UTSWMC Internal Medicine Grand Rounds

Growth and Death: Two Sides of the Same Coin in Chronic Heart Failure

Eric J. Eichhorn, MD June 26, 1997



A. INTRODUCTION:

Cardiac failure due to a cardiomyopathy (myocardial failure) is generally regarded as an irreversible and progressive process characterized by ventricular enlargement, geometrical chamber alterations, eccentric hypertrophy, mechanical insufficiency, and depressed pump performance. For years, we viewed chronic heart failure (CHF) purely as a "pump" problem. The weakened heart was incapable of delivering adequate blood and oxygen to the systemic metabolizing tissues.² Based on this hemodynamic paradigm, strategies for improving heart failure lead to the use of agents (vasodilators and inotropic agents) to pharmacologically improve hemodynamics. With the exception of isosorbide dinitrate-hydralazine ³ (and maybe amlodipine ⁴), these strategies failed miserably.^{3,5-9} While vasodilators and inotropic agents improved symptoms, hemodynamics, and functional ability ¹⁰⁻¹⁶, they either did not affect the natural history of heart failure or worsened it.^{3,5-9} Additionally a common feature of the agents that pharmacologically increase myocardial performance is that they utilize components of \(\beta\)-adrenergic pathways to increase contractility 17 or they indirectly activate neurohormonal/autocrine-paracrine compensatory mechanisms in response to vasodilation. 18,19 Thus, despite beneficial pharmacological properties, these medications all carried the potential to produce adverse effects on the biology of the heart.

In the latter part of the 1980s and early 1990s evidence began to emerge that certain other types of medical therapy might have a beneficial effect on the natural history of left ventricular dysfunction or myocardial failure, despite having initial hemodynamic effects that were either unimpressive ²⁰⁻²² or even adverse. ²³⁻²⁶ These two types of therapies, angiotensin-converting enzyme inhibitors and \(\beta\)-adrenergic blocking agents, have changed our thinking about the potential of medical treatment of heart failure. Data generated from both clinical trials and model systems indicate that both types of therapy may slow or even in some cases reverse the progression of pump dysfunction and pathological remodeling that characterizes the natural history of heart failure. It is important to emphasize that the beneficial effects of these treatments are not pharmacologic, but rather are due to favorable effects on the biology of the failing heart. It appears that the favorable effect these agents have on the natural history of heart failure is due to a blockade of the deleterious growth and energetic effects of angiotensin II and norepinephrine on the heart. Heart failure should no longer be viewed purely as a hemodynamic illness, but an illness of abnormal growth and remodeling in the heart. In addition, the biological improvements produced by ACE inhibition and B-adrenergic blockade in patients with myocardial failure means that the heart failure clinical syndrome need no longer be viewed as inexorably progressive processes. 1

B. OVERVIEW OF HEART FAILURE COMPENSATORY MECHANISMS

Myocardial failure begins with an insult to pump function, such as a myocardial infarction, inflammation, severe hemodynamic overload from hypertensive or valvular disease, genetic causes, or idiopathic myocardial dysfunction. In response to as yet undefined signals that probably include arterial underfilling, tissue hypoperfusion and central venous congestion, compensatory mechanisms are activated to support the failing heart. 27,28 There are four physiologic adjustments the heart can use to stabilize or increase myocardial performance in the failing heart: 1) increase in heart rate ²⁹ 2) increase in contractility 3) increase in preload ^{30,31} and 4) increase in the number of contractile elements. ^{32,33} These four adjustments are largely accomplished by an increase in activity of two interrelated neurohormonal/autocrine-paracrine systems, the adrenergic and renin-angiotensin systems. 27,28 These two neurohormonal systems cross-regulate each other such that activation of one results in increased activity of the other. Renin release from the kidney is a B₁ adrenergic receptor mediated response ³⁴, while angiotensin II facilitates presynaptic norepinephrine release. 35,36 Increase in heart rate and contractility is largely due to \(\beta \)adrenergic mechanisms mediated by increased cardiac neurotransmitter activity. 37,38 An increase in preload, which increases cardiac output via the Frank-Starling mechanism, 30,31 occurs by activation of both the renin-angiotensin and adrenergic systems. Angiotensin-II is a major mediator of aldosterone secretion, which through effects on the kidney causes salt and water retention.^{27,39} Nonosmotic release of vasopressin (AVP) is accomplished by both \(\beta\)-adrenergic \(^{40}\) and angiotensin-II \(^{41}\) receptor mechanisms in the neurohypophysis. Finally, both norepinephrine (via both α and β-adrenergic receptor mechanisms) and angiotensin-II are powerful mediators of cardiac myocyte cell hypertrophy. 33,42-47 In addition, activation of both the adrenergic and renin-angiotensin systems causes vasoconstriction, which serves to stabilize central blood pressure, and redistribute cardiac output to the brain and the heart, which largely have autoregulatory control of flow. Although redistribution of fluid to these vital organs is obviously advantageous for the short term, the increase in peripheral resistance and left ventricular wall stress actually decreases myocardial performance, particularly in the presence of any degree of pump dysfunction. For this reason, as the adrenergic and renin-angiotensin systems are activated there is coactivation of several counterregulatory mechanisms such as atrial natriuretic peptides ⁴⁸ and vasodilator prostaglandins ⁴⁹ that serve to minimize the effect of α -adrenergic and angiotensin-II vasoconstriction.

C. PATHOLOGICAL REMODELING OF THE LEFT VENTRICLE DUE TO ACTIVATION OF THE ADRENERGIC, RENIN-ANGIOTENSIN, AND CYTOKINE SYSTEMS

Despite the short-term hemodynamic benefits of activation of the adrenergic nervous system and renin-angiotensin system (RAS), the long-term effects of these regulatory systems on ventricular function and remodeling are deleterious (**Figure 1**).

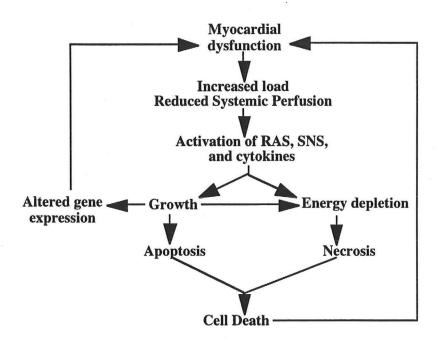


Figure 1: Relationship of neurohormonal activation and production of cardiac myocyte loss due to apoptosis and necrosis and altered gene expression. Cell loss and altered gene expression result in more myocardial dysfunction, and a vicious cycle is established (from reference 1).

Both growth alterations within the myocyte and interstitium and progressive cell death result in a deterioration in left ventricular function. The normal heart is small and elliptical in shape while the pathologically remodeled heart is large and spherical, a shape which is energetically and hemodynamically unfavorable.

Angiotensin II and norepinephrine as growth promoters-

Both angiotensin II and norepinephrine, as well as other cytokines and growth factors can elicit a growth response in the heart (Figure 2). Stretch of myocytes due to the altered load of heart failure results in paracrine/autocrine release of angiotensin II and receptor activation of phospholipase C and tyrosine kinase. This results in activation of protein kinase C by phospholipase C and activation of tumor suppressor genes p53 and p107.

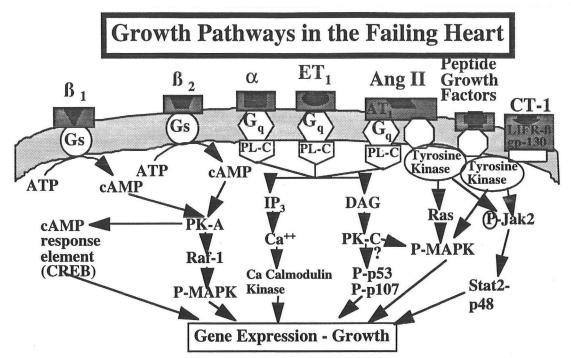
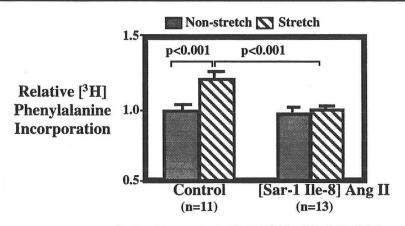


Figure 2: Proposed growth pathways within the myocyte.

Effects of 24 hrs of Stretch and Ang II Antagonists on Fetal Gene Expression in Adult Myocytes



Sadoshima et al. Cell 1993; 75: 977-984.

Figure 3: Effect of myocyte stretch on angiotensin II growth expression.

Tyrosine kinase results in activation of the Ras-MAP kinase and Jak-Stat pathways.⁵⁰ These ultimately produce Immediate-Early (IE) gene expression (especially *c-fos*, *c-jun*, *jun B*, *Egr-1*, *and c-myc*) which promotes growth.^{45,51-53} Angiotensin-II can induce

protein synthesis ⁵⁴ and produce cardiac myocytic hypertrophy in cultured cells ⁴⁵, or overt hypertrophy at the organ level. ⁵⁵⁻⁶⁰ It is also mitogenic for cardiac fibroblasts, and stimulates collagen formation. ^{55,56}

Angiotensin may also provide a positive feedback regulation of the cardiac hypertrophic response by inducing the angiotensinogen gene and transforming growth factor β_1 (TGF- β) gene. 45,61 TGF- β also has mitogenic potential and may induce hypertrophy. 62-64

In response to adrenergic activity at the cell surface, the neurotransmitter norepinephrine is released and B-adrenergic receptors are activated. Release of norepinephrine can be modulated locally by presynaptic $\alpha 2$ receptors (inhibitory) 65 and presynaptic angiotensin II and B₂ receptors (facilitory). 35,36,66 Thus, ACE inhibitors will mildly reduce adrenergic activity in part by inhibiting norepinephrine release.⁶⁷ α-receptors on the cell surface will activate protein kinase C and inositol 1,4,5-triphosphate (IP₃). This will result in a growth response which may be mediated by tumor suppressor genes. 68,69 ß-receptors coupled to G-stimulatory proteins will transduce and amplify the agonist signal, promoting adenylate cyclase activity. 70 Once activated, adenylate cyclase produces an increase in cytoplasmic cAMP, which in turn activates intracellular protein kinase A and activates the cAMP response element (CREB).³³ Sarcolemmal and sarcoplasmic reticulum proteins are phosphorylated by these protein kinases and this results in an augmentation in intracellular calcium flux. Such an increase in intracellular calcium flux results in increased contractility and improved relaxation of the heart. Activation of the enhancer CREB produces a growth response. Norepinephrine has been shown to produce a hypertrophic response in culture via both α and β-adrenergic mechanisms. 71,72

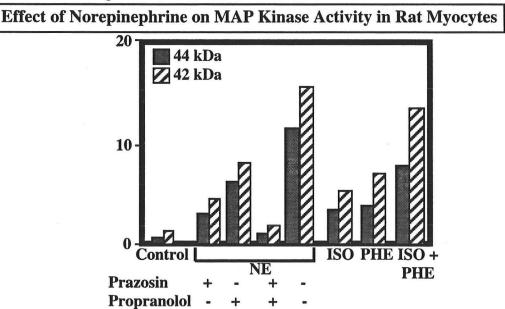
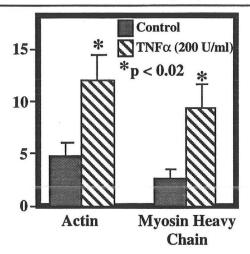


Figure 4: Effect of Norepinephrine on MAP kinase activity in rats (reference 72).

Other neurohormones may also be responsible for a growth response in the heart. These neurohormones include cytokines ^{42,73-77} (TNF α and cardiotrophin), endothelins^{42,78} and insulin-like growth factor-1 (IGF-1).⁷⁹ TNF α at physiological concentrations has been shown to increase protein synthesis, especially the synthesis of sarcomeric contractile proteins actin and myosin heavy chain, in feline cardiac myocytes.⁷³ Cardiotrophin-1 is a unique cytokine which acts via the gp130 and leukemia inhibitory factor receptors to activate tyrosine kinase and induce a growth response in rat myocytes which is characterized by cell lengthening and production of sarcomeric units in series.⁷⁷





Yokoyama T et al. Circulation 1997; 95: 1247-1252.

Figure 5: Effect of TNFα on synthesis of sarcomeric proteins in adult feline myocytes (from reference 73).

The growth pattern of the failing myocyte is to develop additional contractile units in series. 80,81 This produces cell elongation and mild hypertrophy of the myocytes resulting in eccentric hypertrophy (increase in myocardial mass with only minimal or no increase in wall thickness) of the ventricle. 80-82 Myocyte cell volume may be increased by 100% in the remodeled human ventricle 81 due to individual cell hypertrophy. Nonmyocytes, particularly fibroblasts, also are major contributors to remodeling. 55,56 Interstitial fibrosis is increased in patients with eccentric myocyte hypertrophy, including subjects with ischemic and nonischemic dilated cardiomyopathies. Both the production and degradation of the collagen network are controlled by fibroblasts, which in the presence of remodeling and eccentric hypertrophy must produce additional extracellular matrix to maintain structural in-

tegrity of the ventricular wall.^{55,56} However, the presence of increased interstitial collagen may account for reduced capillary density and increased oxygen diffusion distance, which may contribute to metabolic stress or even overt ischemia.⁸³⁻⁸⁶ In addition, the increase in structural rigidity may impair the ability of myocytes to fully contract, thus reducing contractility.^{55,56} Activation of fibroblasts and production of interstitial fibrosis is controlled to a large degree by the renin-angiotensin system.^{55,56} This change in left ventricular geometry from a prolate ellipse to a larger, more spherical shape causes increased meridional wall stress ^{87,88}, abnormal distribution of fiber shortening ⁸⁷⁻⁸⁹, functional mitral regurgitation ⁹⁰, worsened exercise tolerance ⁹¹, and poorer long-term survival.⁸⁹

D. DELETERIOUS EFFECTS OF NEUROHORMONAL ACTIVATION ON THE MYOCYTE

Toxic Effects of Neurohormones

	Responsible Neurohormone	
B-receptor downregulation	SNS	
Altered chamber geometry	SNS, RAS, Cytokines	
Energy Depletion	SNS, RAS, Cytokines	
Direct depression LV function	Cytokines	
Production of fetal phenotype	SNS, RAS, Cytokines	
Reduced cell viability	SNS, RAS, Cytokines	

Figure 6: Toxic effects of neurohormones on myocytes

Downregulation of β-receptors-

 β -adrenergic receptors are the most influential receptors in human myocardium. 70

In non-failing hearts, the ratio of β_1 to β_2 receptors is approximately $80:20.^{70,92}$ These receptors are "linked" to the cell by a family of homologous proteins known as guanine nucleotide-binding regulatory proteins, or G proteins. While a detailed description of the function of these proteins is beyond the scope of this discussion, the G proteins serve to amplify (100-fold) the effects of receptor activation. Previous studies of heart failure have shown "downregulation" of the β_1 -adrenergic receptors on the myocardial cell surface while β_2 receptors are relatively preserved. In the failing ventricle, the ratio of β_1

to β_2 receptors is approximately 60:40 (instead of 80:20).⁹² The amount of downregulation is proportional to coronary sinus norepinephrine, a surrogate marker of adrenergic activity to the heart.⁹⁵ As the human heart does not possess spare β -receptors,⁹³ "downregulation" or loss of these receptors at the cell surface theoretically results in less production of cAMP (intracellular second messenger) for any β -agonist concentration at the cell surface. In addition to β_1 downregulation, the β_2 receptor is uncoupled from adenylate cyclase formation producing a subsensitive β_2 response to agonist.⁷⁰

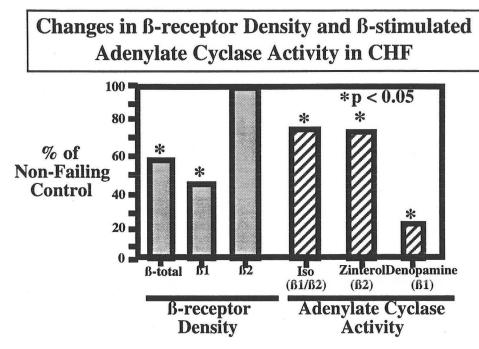


Figure 7: Changes in β-receptor density and β-stimulated adenylate cyclase activity in CHF.

While regulation of β -receptors cannot explain the impaired resting ventricular function in heart failure ⁹⁶, its importance exists during exercise.^{1,97} Downregulation of β -receptors impairs the response of the myocyte to norepinephrine spillover during times of stress or exercise. Thus, during exercise, the heart responds inadequately when metabolic need is high.

Alteration in myocardial phenotype-

While the process of hypertrophy increases the number of functioning contractile elements, alterations in gene expression involving calcium handling by the sarcoplasmic reticulum and changes in contractile proteins or their regulatory elements may produce an inefficient contractile element. 60-65 Some or all of these changes ultimately lead to progres-

sive left ventricular dysfunction, best understood as a continued decline in systolic function.

Systolic dysfunction of individual cardiac myocytes is by definition due to a change in gene expression. In rodent systems the constellation of alterations in gene expression that accompanies cardiac hypertrophy and its transition to myocardial dysfunction has been termed activation of a "fetal" program, as the changes recapitulate embryonic or neonatal patterns. 26,62 Because humans do not exhibit major changes in gene expression during development they do not exhibit the dramatic fetal program activation that characterizes hypertrophy or failure in rodent hearts.⁶² However, there are certain changes in human hearts that resemble fetal activation. These include an up-regulation in gene expression of atrial natriuretic peptide ^{66,67} and down-regulation in the expression of SR-calcium ATPase ⁶⁰⁻⁶² and a myosin heavy chain. ^{63,64} Angiotensin-II, ³⁵⁻³⁸, endothelins ^{35,65} and adrenergic stimulation ^{39,40} have been shown to be potent inducers of the fetal/hypertrophy gene program in model systems. In chronic heart failure, additional changes in gene expression that are not typically considered to be part of the fetal program occur, such as down-regulation in B₁ adrenergic receptors ^{68,69} and mRNA.⁷⁰ Taken together, these adjustments may decrease systolic performance and compromise myocardial reserve in times of stress, such as during exercise.⁷¹

Myocardial Phenotype

	Adult	Fetal	Hypertrophy /Failure
Cardiac α -actin	+++	+	+
Skeletal α -actin	+.	+++	+++
Smooth muscle α -actin	+	+++	+++
α -Myosin heavy chain	+++	+	+
ß -Myosin heavy chain	+	+++	+++
SR Ca++ ATPase	+++	+	+

Figure 8: Changes in calcium cycling and contractile proteins in the failing heart.

It appears that ß-adrenergic stimulation may play a major role in the development of myocyte dysfunction. In isolated cardiac myocytes exposure to norepinephrine causes myocyte toxicity, abnormal calcium handling, decreased macromolecular synthesis, and contractile dysfunction. ⁵⁶ In humans, markedly elevated catecholamine levels accompanying

brain injury ⁷² or pheochromocytoma ⁷³ cause intrinsic systolic dysfunction. Finally, the use of positive inotropic agents that act on the cyclic AMP contractility stimulating pathway appear to lead to depressed contractile function after they are withdrawn. ^{74,75} Therefore, changes in gene expression, which contribute to the slowing of contraction and disordered calcium handling, may be impacted by both the adrenergic and renin-angiotensin systems.

Acceleration of Cell Death-

The adult myocyte, when exposed to trophic signals such as angiotensin II, nore-pinephrine, endothelins, and cytokines (TNF α , cardiotrophin-1), not only stimulate altered growth patterns, but may accelerate cell death by two distinct processes: 1) cell necrosis and 2) apoptosis.

Necrosis vs Apoptosis

Necrosis

Result of acute cellular injury

- Rapid cell swelling
- Lysis
- Inflammatory response

Apoptosis

- Results from withdrawal of cellular growth factors, activation of death receptors, or DNA damage
- DNA degraded into fragments
- Loss of mitochondrial function
- Membrane alterations signal phagocytes to digest the dying cell
- No inflammatory response

Figure 9: Different modes of cell death in heart failure.

Apoptosis Growth **Endonuclease** Activation of Cytotoxic factor death receptors activation T cells withdrawal Phago-Central cell **Protease** Cell surface cytosis activation alterations death signal p53 DNA Cytoskeletal Metabolic or BCL₂ damage cell cycle reorganization BCLX perturbations

Figure 10: Mechanism of myocyte death due to apoptosis (from reference 102).

Cell necrosis, which is an inflammatory process, may occur by two hypothetical mechanisms: 1) Chamber remodeling leads to a larger, more spherical ventricle with elevated wall stress and left ventricular end-diastolic pressure. At the same time, the reduction in systolic performance leads to reduced stroke volume and aortic diastolic (and coronary perfusion) pressure. The reduction in coronary perfusion pressure and elevation in left ventricular end-diastolic pressure results in reduced epicardial-endocardial pressure gradient, leading to reduced endocardial blood flow. In addition, as interstitial remodeling may lead to increased interstitial collagen, reduced capillary density, and increased oxygen diffusion distance, these factors may contribute to metabolic stress or even overt ischemia within the remodeled ventricle. 83-86 Thus, overt ischemia may result leading to cell necrosis. 2) Cell necrosis may also occur as a direct result of exposure to elevated levels of angiotensin II 98,99, norepinephrine 100,101, or other toxins.

Apoptosis is a non-inflammatory process of programmed cell self-destruction.¹⁰² Within a cell type, control of cell number is determined by a balance between cell proliferation and cell death. Excessive cell proliferation may lead to malignancy whereas excessive cell death may lead to organ dysfunction or death. Apoptosis, the process of programmed cell death, is characterized by condensation of cytoplasm, loss of plasma membrane microvilli, segmentation of the nucleus, and extensive degradation of chromosomal DNA into oligomers of about 180 bp caused by activation of endogenous endonucleases.¹⁰²⁻¹⁰⁷ The control of apoptosis within the cell is controlled by a delicate

balance of factors which either inhibit or induce it. Factors which produce apoptosis include: cytokines, TGFß, growth factor withdrawal, calcium, DNA damaging agents (viral, chemotherapeutic, radiation, toxins), oncogenes (myc, fos, rel, E1A), tumor suppressors (p53), and free radicals. Inhibitors of apoptosis include growth factors, estrogen, androgens, viral genes, bcl-x, bcl-2 gene expression, tumor promoters.¹⁰² Apoptosis has been reported in heart failure models in animals ¹⁰⁸ and recently was reported in human heart failure.¹⁰⁹

Apoptosis likely occurs as a response to prolonged growth stimulation in the adult terminally differentiated myocyte. The adult myocyte, unable to divide ¹¹⁰, shifts from a program of cell maintenance to production of muscle-specific gene products. While a clear link between neurohormonal activation and apoptosis has not been established, it is known that the intermediates responsible for apoptosis (including p53 ¹¹¹) appear to be up-regulated in the failing heart and may be further up-regulated by angiotensin-II. ⁶⁸ Additionally, the cytokine TNFα, which is increased in chronic heart failure ¹¹² and mediates biologic effects in the failing human heart ¹¹³, is a potent stimulus for growth ¹¹⁴⁻¹¹⁶ and apoptosis induction ^{114,117} in model systems. Cell loss from apoptosis and cell necrosis results in a progressive loss of myocytes and contractile units, leading to progressive ventricular dysfunction.

E. ALTERATIONS IN REMODELING WITH NEUROHORMONAL ANTAGONISTS

Attenuation of the remodeling and myopathic process by inhibition of the renin-angiotensin system-

Studies in animals have demonstrated that angiotensin is produced in the heart and is released in a paracrine/autocrine fashion in response to myocyte stretch.^{51,118,119} Several investigators have demonstrated that the use of an ACE inhibitor can result in regression of hypertrophy in the pressure overload animal model ^{57,58} and can interfere with normal postnatal growth of the left ventricle.⁵⁹ ACE inhibitors can also retard the progressive remodeling process and ventricular dilatation in rats post infarct and this may be integrally related to survival.^{120,121}

Recent work in a pacing-tachycardia dog model of heart failure has shown that an ACE inhibitor can attenuate the myocyte lengthening, reduction in velocity of shortening, and reduction in left ventricular ejection fraction that is associated with ventricular remodeling in this model. This study highlights the close relation between myocyte function, chamber function, and chamber architecture and emphasizes the benefit of an ACE inhibitor on all

these levels. Other studies in canine heart failure models have demonstrated a slowing or reversal of the remodeling process with ACE inhibitor therapy.^{123,124} Thus, angiotensin-II appears to play a critical role in the development of left ventricular hypertrophy, myocyte dysfunction, and chamber architecture and ACE inhibitors are able to regress or prevent the development of these changes in several animal models.

In humans, it is clear that in the presence of systolic dysfunction ACE inhibitors reduce mortality and retard the progression of the heart failure clinical syndrome both in chronic heart failure ^{125,126} and after myocardial infarction. ¹²⁷⁻¹³⁰ After a myocardial infarction there is a progressive increase in left ventricular systolic and diastolic volumes and an increase in the sphericity of the left ventricle between three weeks and one year. ¹³¹⁻¹³³ This change in geometry occurs at a time when infarct expansion has already occurred and thus represents ventricular remodeling. These late geometrical changes can be prevented or retarded by ACE inhibitor therapy. ¹³¹⁻¹³³ In patients with chronic heart failure, ACE inhibitors retard progressive increases in left ventricular volumes and mass. ¹³⁴⁻¹³⁶

Effects of ACE Inhibitors on Survival in Patients with CHF

Mortality							
Trial	ACEI	Controls	RR (95% CI)				
Chronic CHF							
Consensus I	50 / 127 (39%)	68 / 126 (54%)	0.56 (0.34-0.91)				
SOLVD (Treatment)	452 / 1285 (35%)	510 / 1284 (40%)	0.82 (0.70-0.97)				
SOLVD (Prevention)	313 / 2111 (15%)	334 / 2117 (16%)	0.92 (0.79-1.08)				
Post MI							
SAVE	228 / 1115 (20%)	275 / 1116 (25%)	0.81 (0.68-0.97)				
AIRE	170 / 1004 (17%)	222 / 982 (23%)	0.73 (0.60-0.89)				
TRACE	304 / 876 (35%)	369 / 873 (42%)	0.78 (0.67-0.91)				
SMILE	38 / 772 (5%)	51 / 784 (6.5%)	0.75 (0.40-1.11)				
Totals	1555 / 7290 (21%)	1829 / 7282 (25%))				

Figure 11: Effect of ACE inhibitors on survival in patients with LV dysfunction.

Effect of Enalapril on Remodeling in SOLVD

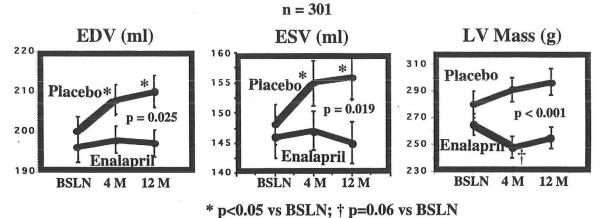


Figure 12: Effect of enalapril on ventricular remodeling in heart failure (from reference 135).

However, there is no evidence from clinical investigations that ACE inhibitor therapy can lead to substantial reversal of the remodeling process or to improved intrinsic myocyte function. Rather, the available data indicate that in established dilated cardiomyopathies administration of ACE inhibitors retard progression to increased LV mass and a more spherical geometry. Although ACE inhibitors diminish the effects of the renin-angiotensin system on the myocyte and despite the fact that ACE inhibitors initially reduce adrenergic activity ^{67,137}, plasma norepinephrine increases over time. ¹³⁷ These data suggest that progressive sympathetic nervous system activation occurs even in the presence of ACE inhibitors and enforce the need for a concomitant adjunctive anti-adrenergic strategy to block the long-term sequelae of norepinephrine on the heart. The use of a β-blocking agent will also result in further deactivation of the renin-angiotensin system, even in the presence of an ACE inhibitor. ¹³⁸

Reversal of the remodeling and myopathic process by \(\beta\)-adrenergic blockade-

It is clear from animal and human studies that β-adrenergic blockade results in improvement in myocyte and chamber function in heart failure. Gwathmey and co-workers have examined the effect of β-blocker therapy in the furazolidone-treated turkey model, which resembles human dilated cardiomyopathy with regard to mechanical dysfunction, morphology, and biochemical alterations. ¹³⁹⁻¹⁴¹ In these studies administration of β-blockers resulted in improvement in ejection fraction, reduction of ventricular volumes, an increase in developed pressure, and normalization of the force-frequency relationship which is altered in heart failure. ¹³⁹⁻¹⁴²

Carabello and associates have examined the effect of β-adrenergic blockade in a canine model of chronic mitral regurgitation. They found that treatment with atenolol resulted in restoration of ventricular (chamber) and myocyte contractile function. Thus, the fundamental improvement in contractility was not a pharmacologic effect but was the result of biological improvement within the myocyte itself.

Two other canine studies of heart failure have found that β-adrenergic blockade halts the progression or even reverses the process of chamber remodeling and growth. 123,124

Over 15 placebo controlled studies involving more than 2000 patients with chronic heart failure from systolic dysfunction have examined the effect of \$\beta\$-adrenergic blockade on ventricular function. \$96,144-163\$ In every study of more than one month duration, left ventricular ejection fraction has been consistently shown to increase with \$\beta\$-blocker therapy. \$96,144-163\$ No negative studies of more than one month duration exist. Most importantly, three human studies \$154,157,164\$ and one animal \$143\$ study using four different \$\beta\$-blocking agents have shown that the improvement in ventricular function is due to increased systolic ventricular performance. Improved performance appears to be due to enhanced contractility. \$143\$

Controlled Trials of B-blockers

Trial	Nos.	Pre LVEF	Post LVEF	† Exercise	↓Symptoms
Engelmeier et al (144	8 (4	$.13 \pm .06$	$.18 \pm .05$	Yes	Yes
Ikram et al* (145)	15	$.47 \pm .13$	$.44 \pm .15$	No	
Currie et al* (146)	10			No	
Gilbert et al (147)	23	$.26 \pm .07$	$.35 \pm .11$	No	Yes
Pollock et al (148)	12	$.19 \pm .07$	$.23 \pm .08$	Yes	Yes
Woodley et al (149)					
all patients	29	$.23 \pm .08$	$.29 \pm .11$	No	Yes
IDČ	13	$.26 \pm .06$	$.35 \pm .10$	No	Yes
ISCDC	16	$.21 \pm .08$	$.23 \pm .09$	No	Yes
Metra et al (150)	40	$.20 \pm .07$	$.30 \pm .12$	Yes	Yes
Olsen et al (151)	54	$.20 \pm .06$	$.31 \pm .12$	Yes	Yes
MDC (152)	380	$.14 \pm .03$	$.31 \pm .16$	Yes	Yes
Bristow et al (153)	139	$.24 \pm .07$	$.30 \pm .12$	No	No
Wisenbaugh (154)	24	$.23 \pm .08$	$.33 \pm .12$	No	
Paolisso et al (155)	10			Yes	Yes
Krum et al (156)	49	$.17 \pm .07$	$.24 \pm .11$	Yes	Yes
Eichhorn et al (157)	24	$.22 \pm .10$	$.33 \pm .13$		Yes
Fisher et al (158)	50	$.22 \pm .08$	$.29 \pm .11$	Yes	Yes
ANZ (159)	415	$.28 \pm .09$	$.34 \pm .13$	No	No
PRECISE (160)	278	$.22 \pm .07$.30±??	No	Yes
MOCHA (161)	345	.23±.08	0.31±??†	No	Yes

IDC = Idiopathic dilated cardiomyopathy; ISCDC = Ischemic dilated cardiomyopathy. *Denotes trial of only 1 month duration; † denotes only highest dose of carvedilol.

Figure 13: Controlled trials of β-blockade

To underscore that the effects of β-blocking agents are not directly related to their pharmacologic properties, a recent study demonstrated that after one day of therapy with metoprolol left ventricular ejection fraction was depressed compared to baseline, but by one month was back to baseline. Improvement in left ventricular function occurred between 1 and 3 months of therapy. These data support the biological changes (as opposed to pharmacological effects) which occur as a result of β-blocker therapy. There appears to be continued improvement in left ventricular function between 3 and 6 months. Despite consistent improvement in left ventricular function by 3-6 months of therapy 96,144-163, left ventricular architecture does not change until much later. By 12-18 months, β-blocking agents have started to reduce left ventricular mass and remodel the shape of the heart into a more normal elliptical shape.

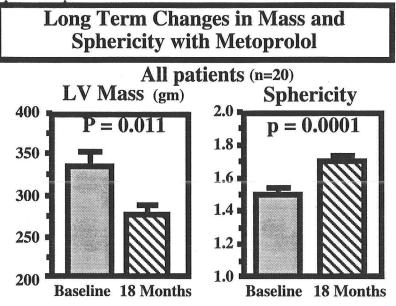


Figure 14: Changes in LV Mass and shape with metoprolol therapy (reference 165).

E. CLINICAL IMPLICATIONS

Heart failure has long been considered to have a progressive downhill course leading inexorably to an early demise. The downhill course often occurs silently, in the absence of any obvious cardiac insults. The reason for this is a combination of cell loss (necrosis and/or apoptosis), myocyte dysfunction (altered phenotype), impaired energetics, and pathological remodeling of the chamber. These events result in a progressive reduction in left ventricular systolic and diastolic function. Impaired left ventricular systolic function as reflected by decreased LVEF is a powerful predictor of adverse outcome in subjects with

chronic heart failure. ^{168,169} Thus, improved clinical outcome should result from strategies that reduce the biologic signals responsible for myocyte growth, dysfunction and loss, and chamber remodeling. In the case of ACE inhibitors, their relatively minor effects consisting of an attenuation of the remodeling process, translate into modest reduction (15%–30%) in mortality. 125-127 The addition of β-adrenergic blockade on top of ACE inhibition may result in a further reduction in mortality of 20-65%. 170,171 Moreover, since carvedilol and bucindolol produce a dose related improvement in left ventricular function and a dose related reduction in mortality ^{153,171}, it is likely that the mortality reduction seen with carvedilol was related to improvement in myocardial biologic function. Because of the therapeutic potential of biologic modification of the failing heart by third generation \(\mathbb{B} - \) blocking agents, the NIH and VA cooperative clinical trials programs are jointly sponsoring a large mortality trial with bucindolol (the BEST trial) ¹⁷², which also is examining specific mechanisms by which this type of treatment alters myocardial gene expression. It is likely that additional treatments to inhibit the renin-angiotensin and adrenergic systems will be developed, and other critical pathways involved in adverse biologic processes in the failing heart will be identified and lead to additional therapeutic strategy.

Our ability to alter the biology of the failing heart through \(\mathbb{B}\)-blocker therapy strongly suggests that in the future treatment of heart failure will involve identifying the adverse biological processes that result in pump failure, and then developing treatments that neutralize or normalize those adverse processes. In time, it may be possible for the clinician to view the treatment of heart failure largely as a matter of improving the biological function of the myocardium.

References

- 1. Eichhorn EJ, Bristow MR. Medical therapy can improve the biologic properties of the chronically failing heart: A new era in the treatment of heart failure. Circulation 1996; 94: 2285-2296.
- 2. Ross J, Braunwald E. Studies on Starling's law of the heart: the effects of impeding venous return on performance of the normal and failing human left ventricle. Circulation 1964;30:719-727.
- 3. Cohn, JN, Archibald, DG, Ziesche, S, Franciosa, JA, Harston, WE, Tristani, FE, Dunkman, WB, Jacobs, W, Francis, GS, Flohr, KH, Goldman, S, Cobb, FR, Shah, PM, Saunders, R, Fletcher, RD, Loeb, HS, Hughes, VC, and Baker, B. Effect of vasodilator therapy on mortality in chronic congestive heart failure. Results of a Veterans Administration Cooperative Study. N Engl J Med 1986; 314: 1547-1552.
- 4. Packer M, O'Connor CM, Ghali JK, Pressler ML, Carson PE, Belkin RN, Miller AB, Neuberg GW, Frid D, Wertheimer JH, Cropp AB, DeMets DL for the Prospective Randomized Amlodipine Survival Evaluation Study Group. Effect of amlodipine on morbidity and mortality in severe chronic heart failure. N Engl J Med 1996; 335: 1107-1114.
- 5. Packer M, Carver JR, Chesebro JH, Ivanhoe RJ, DiBianco R, Zeldis SM, Hendrix GH, Bommer WJ, Elkayam U, Kukin ML, Mallis GI, Sollano JA, Shannon J, Tandon PK, DeMets DL and the PROMISE investigators. Effect of milrinone on mortality in severe chronic heart failure. The prospective randomized milrinone survival evaluation (PROMISE). N Engl J Med 1991; 325: 1468-1475.
- 6. Packer M, Rouleau J, Swedberg K, Pitt B, Fisher L, Klepper M, and the PROFILE Investigators & Coordinators. Effect of flosequinan on survival in chronic heart failure: Preliminary results of the PROFILE study. Circulation 1993; 88 (Suppl I): I-301. Abstract.
- 7. Califf RM, Adams KF, Armstrong PW, Darius H, Gheorghiade M, Handberg E, Harrell FE, McKenna WJ, McNulty SE, Schulman K, Soler-Soler J, Swedberg K, Uretsky B, Wheeler WS, Zannad F. Flolan International Randomized Survival Trial Study (FIRST): Final Results. J Am Coll Cardiol 1996; 27 (Suppl A): 141A. Abstract.

- 8. The xamoterol in severe heart failure study group. Xamoterol in severe heart failure. Lancet 1990; 336: 1-6.
- 9. Dies F, Krell MJ, Whitlow P, Liang C, Goldenberg I, Applefeld MM, Gilbert EM. Intermittent dobutamine in ambulatory outpatients with chronic cardiac failure. Circulation 1986; 74 (Suppl II): II-38. Abstract.
- 10. Massie BM, Berk MR, Brozena SC, Elkayam U, Plehn JF, Kukin ML, Packer M, Murphy BE, Neuberg GW, Steingart RM, Levine TB, DeHaan H, for the FACET Investigators. Can further benefit be achieved by adding flosequinan to patients with congestive heart failure who remain symptomatic on diuretic, digoxin, and an angiotensin converting enzyme inhibitor? Circulation 1993; 88: 492-501.
- 11. Packer M, Narahara KA, Elkayam U, Sullivan JM, Pearle DL, Massie BM, Creager MA, and the Principal Investigators of the REFLECT Study. Double-blind, placebo-controlled study of the efficacy of flosequinan in patients with chronic heart failure. J Am Coll Cardiol 1993; 22: 65-72.
- 12. The German and Austrian Xamoterol Group. Double-blind placebo-controlled comparison of digoxin and xamoterol in chronic heart failure. Lancet 1988; 1: 489-493.
- 13. Dibianco R, Shabetai R, Kostuk W, Moran J, Schlant RC, Wright R. A comparison of oral milrinone, digoxin, and their combination in the treatment of patients with chronic heart failure. N Engl J Med 1989; 320: 677-683.
- 14. Uretsky BF, Generalovick T, Reddy PS, Spangenberg RB, Follansbee WP. The acute hemodynamic effects of a new agent, MDL 17,043, in the treatment of congestive heart failure. Circulation 1983; 67: 823-828.
- 15. Monrad ES, Baim DS, Smith HS, Lanoue AS. Milrinone, dobutamine, and nitroprusside: comparative effects on hemodynamics and myocardial energetics in patients with severe congestive heart failure. Circulation 1986; 73 (Suppl III): III-168-III-174.

- 16. Gottlieb SS, Kukin ML, Penn J, Fisher ML, Cines M, Medina N, Yushak M, Taylor M, Packer M. Sustained hemodynamic response to flosequinan in patients with heart failure receiving angiotensin-converting enzyme inhibitors. J Am Coll Cardiol 1993; 22: 963-967.
- 17. Colucci WS, Wright RF, Braunwald E. New positive inotropic agents in the treatment of congestive heart failure. N Engl J Med 1986; 314: 290-299.
- 18. Kessler P, Packer M, Medina N, Yushak M. Long-term hemodynamic and clinical responses to flosequinan, a new once-daily balanced direct-acting vasodilator, in severe heart failure. Circulation 1986; II-509. Abstract.
- 19. Yui Y, Nakajima H, Kawai C, Murakami T. Prostacyclin therapy in patients with congestive heart failure. Am J Cardiol 1982; 50: 320-324.
- 20. Foult JM, Tavolaro O, Antony I, Nitenberg A. Direct myocardial and coronary effects of enalaprilat in patients with dilated cardiomyopathy: assessment by a bilateral intracoronary infusion technique. Circulation. 1988;77:337-344.
- 21. Ikram, H, Fitzpatrick, D. Double blind trial of chronic oral beta blockade in congestive cardiomyopathy. Lancet 1981; 2: 490-493.
- 22. Currie, PJ, Kelly, KJ, McKenzie, A, Harper, RW, Lim, YL, Federman, J, Anderson, ST, Pitt, A. Oral beta-adrenergic blockade with metoprolol in chronic severe dilated cardiomyopathy. J Am Coll Cardiol 1984; 3: 203-209.
- 23. Stephen SA. Unwanted effects of propranolol. Am J Cardiol 1966; 18:463-472.
- 24. Packer M, Lee WH. Provocation of hyper- and hypokalemic sudden death during treatment with and withdrawal of converting-enzyme inhibition in severe chronic congestive heart failure. Am J Cardiol 1986; 57: 347-348.
- 25. Packer M, Lee WH, Medina N, Yushak M, Kessler PD. Functional renal insufficiency during long-term therapy with captopril and enalapril in severe chronic heart failure. Ann Intern Med 1987; 106: 346-354.

- 26. Hasford J, Ansari H, Lehmann K. CART and logistic regression analyses of risk factors for first dose hypotension by an ACE-inhibitor. Therapie 1993; 48: 479-82.
- 27. Francis GS. The relationship of the sympathetic nervous system and the renin-angiotensin-aldosterone system in congestive heart failure. Am Heart J 1989; 118: 642-648.
- 28. Packer M, Lee WH, Kessler PD, Gottlieb SS, Bernstein JL, Kukin ML. Role of neurohormonal mechanisms in determining survival in patients with severe chronic heart failure. Circulation 1987; 75 (Suppl IV): IV-80-92.
- 29. Mitchell, JH, Wallace, AG, and Skinner, NS. Intrinsic effects of heart rate on left ventricular performance. Am J Physiol 1963; 205: 41-48.
- 30. Ross J, Braunwald E. Studies on Starling's law of the heart: the effects of impeding venous return on performance of the normal and failing human left ventricle. Circulation 1964;30:719-727.
- 31. Glower DD, Spratt JA, Snow ND, Kabas JS, Davis JW, Olsen CO, Tyson GS, Sabiston DC, Rankin JS. Linearity of the Frank-Starling relationship in the intact heart: the concept of preload recruitable stroke work. Circulation 1985; 71: 994-1009.
- 32. Grossman W, Jones D, McLaurin LP. Wall stress and patterns of hypertrophy in the human left ventricle. J Clin Invest 1975; 56: 56-64.
- 33. Katz A. The Cardiomyopathy of Overload: An Unnatural Growth Response in the Hypertrophied Heart. Ann of Int. Med.1994; 121:363-371.
- 34. McLeod AA, Brown JE, Kuhn C, Kitchell BB, Sedor FA, Williams RS, Shand DG. Differentiation of hemodynamic, humoral and metabolic responses to β_1 and β_2 -adrenergic stimulation in man using atenolol and propranolol. Circulation 1983; 67: 1076-1084.
- 35. Bristow, MR, Abraham WT. Anti-adrenergic effects of angiotensin converting enzyme inhibitors. Eur Heart J 1995;16:37-41.
- 36. Malik KU, Nasjletti A. Facilitation of adrenergic transmission by locally generated angiotensin II in rat mesenteric arteries. Circ Res 1976; 38: 26-30.

- 37. Swedberg K, Viquerat C, Rouleau JL, Roizen M, Atherton B, Parmley WW, Chatterjee K. Comparison of myocardial catecholamine balance in chronic congestive heart failure and in angina pectoris without failure. Am J Cardiol 1984;54:783-786.
- 38. Hasking GJ, Esler MD, Jennings GL, Burton D, Korner PI. Norepinephine spillover to plasma in patients with conjective heart failure. Evidence of increased overall and cardiorenal sympathetic nervous activity. Circulation 1986;73:615-621.
- 39. Laragh JH, Angers M, Kelly WG, Lieberman S. Hypotensive agents and pressor substances: the effect of epinephrine, norepinephrine, angiotensin II, and others on the secretory rate of aldosterone in man. JAMA 1960; 174: 234-240.
- 40. Schrier RW, Bichet DG. Osmotic and nonosmotic control of vasopressin release and the pathogenesis of impaired water excretion in adrenal, thyroid, and edematous disorders. J Lab Clin Med 1981; 98:1-15.
- 41. Bonjour JP, Malvin RL. Stimulation of ADH release by the renin-angiotensin system. Am J Physiol 1970; 218:1555-1559.
- 42. Dzau VJ. Local contractile and growth modulators in the myocardium. Clin Cardiol 1993; 16: II-5-II-9.
- 43. Weber KT, Anversa P, Armstrong PW, Brilla CG, Burnett JC, Cruickshank JM, Devereux RB, Giles TD, Korsgaard N, Leier CV, Mendelsohn FAO, Motz WH, Mulvany MJ, Strauer BE. Remodeling and reparation of the cardiovascular system. J Am Coll Cardiol 1992; 20: 3-16.
- 44. Weber KT, Brilla CG. Pathological hypertrophy and cardiac interstitium. Fibrosis and renin-angiotensin-aldosterone system. Circulation 1991; 83: 1849-65.
- 45. Sadoshima J, Izumo S. Signal transduction pathways of angiotensin II-induced *c-fos* gene expression in cardiac myocytes in vitro. Roles of phospholipid-derived second messengers. Circ Res 1993; 73: 424-438.

- 46. Simpson P, McGrath A. Norepinephrine-stimulated hypertrophy of cultured rat myocardial cells is an alpha 1-adrenergic response. J Clin Invest 1983; 72: 732-737.
- 47. Sen S, Tarazi RC. Regression of myocardial hypertrophy and influence of the adrenergic system. Am J Physiol 1983; 244: H97-H101.
- 48. Edwards BS, Zimmerran RS, Schwab TR, Heublein DM, Burnett JC. Atrial stretch, not pressure, is the principal determinant controlling the acute release of atrial natriuretic factor. Circ Res 1988; 62: 191-195.
- 49. Dzau VJ, Packer M, Lilly LS, Swartz SL, Hollenberg NK, Williams GH. Prostaglandins in severe heart failure: relation to activation of the renin-angiotensin system and hyponatremia. N Engl J Med 1984; 310: 347-352.
- 50. Schieffer B, Paxton WG, Marrero MB, Bernstein KE. Importance of tyrosine phosphorylation in angiotensin II type 1 receptor signaling. Hypertension 1996; 27 (part 2): 476-480.
- 51. Sadoshima J, Xu Y, Slayter HS, Izumo S. Autocrine release of angiotensin II mediates stretch-induced hypertrophy of cardiac myocytes in vitro. Cell 1993; 75: 977-984.
- 52. Sadoshima J, Izumo S. Molecular characterization of angiotensin II-induced hypertrophy of cardiac myocytes and hyperplasia of cardiac fibroblasts. Critical role of the AT1 receptor subtype. Circ Res 1993; 73: 413-423.
- 53. Komuro I, Kaida T, Shibazaki Y, Kurabayashi M, Katoh Y, Hoh E, Takaku F, Yazaki Y. Stretching cardiac myocytes stimulates cell hypertrophy and specific gene expression in cultured rat cardiac myocytes: possible role of protein kinase C activation. J Biol Chem 1991; 266: 1265-1268.
- 54. Aceto JF, Baker KM. [Sar¹]angiotensin II receptor-mediated stimulation of protein synthesis in chick heart cells. Am J Physiol 1990; 258: H806-H813.
- 55. Weber KT, Brilla CG. Pathological hypertrophy and cardiac interstitium. Fibrosis and renin-angiotensin-aldosterone system. Circulation 1991; 83: 1849-65.

- 56. Weber KT, Anversa P, Armstrong PW, Brilla CG, Burnett JC, Cruickshank JM, Devereux RB, Giles TD, Korsgaard N, Leier CV, Mendelsohn FAO, Motz WH, Mulvany MJ, Strauer BE. Remodeling and reparation of the cardiovascular system. J Am Coll Cardiol 1992; 20: 3-16.
- 57. Linz W, Scholkens BA, Ganten D. Converting enzyme inhibition specifically prevents the development and induces regression of cardiac hypertrophy in rats. Clin Exp Hypertens 1989; 11: 1325-1350.
- 58. Baker KM, Chernin MI, Wixson SK, Aceto JF. Renin-angiotensin system involvement in pressure-overload cardiac hypertrophy in rats. Am J Physiol 1990; 259: H324-H332.
- 59. Beinlich CJ, White GJ, Baker KM, Morgan HE. Angiotensin II and left ventricular growth in newborn pig heart. J Mol Cell Cardiol 1991; 23: 1031-1038.
- 60. Brilla CG, Janicki JS, Weber KT. Cardioreparative effects of lisinopril in rats with genetic hypertension and left ventricular hypertrophy. Circulation 1991; 83: 1771-1779.
- 61. Gibbons GH, Pratt RE, Dzau VJ. Vascular smooth muscle cell hypertrophy vs hyperplasia. Autocrine transforming growth factor-β₁ expression determines growth response to angiotensin II. J Clin Invest 1992; 90: 456-461.
- 62. Schneider MD, Parker TG. Cardiac myocytes as targets for the action of peptide growth factors. Circulation 1990; 81: 1443-1456.
- 63. Parker TG, Packer SE, Schneider MD. Peptide growth factors can provoke "fetal" contractile protein gene expression in rat cardiac myocytes. J Clin Invest 1990; 85: 507-514.
- 64. MacLellan WR, Brand T, Schneider MD. Transforming growth factor-ß in cardiac ontogeny and adaptation. Circ Res 1993; 73: 783-791.
- 65. Parker JD, Newton GE, Landzberg JS, Floras JS, Colucci WS. Functional significance of presynaptic α-adrenergic receptors in failing and nonfailing human left ventricle. Circulation 1995; 92: 1793-1800.

- 66. Newton GE, Parker JD. β1 vs nonselective β-blockade in human congestive heart failure: Acute effects on cardiac sympathetic activity. Circulation 1995; 92 (Suppl I): I-395 (abstr).
- 67. Gilbert EM, Sandoval A, Larrabee P, Renlund DG, O'Connell JB, Bristow MR. Lisinopril lowers cardiac adrenergic drive and increases β -receptor density in the failing human heart. Circulation 1993; 88:472–480.
- 68. Raynolds M, Peacock, SJ Roden, RL. Induction of the tumor suppressor gene p53 in cardiac tissue may be mediated by angiotensin II. The FASEB Journal 1995;9:A1281.
- 69. Chien KR, Zhu H, Knowlton KU, Miller-Hance W, van-Bilsen M, O'Brien TX, Evans SM. Transcriptional regulation during cardiac growth and development. Annu Rev Physiol 1993; 55: 77-95.
- 70. Bristow MR, Port JD, Sandoval AB, Rasmussen R, Ginsburg R, and Feldman AM: β-adrenergic receptor pathways in the failing human heart. Heart Failure 1989; 5: 77-90.
- 71. Simpson P, McGrath A. Norepinephrine-stimulated hypertrophy of cultured rat myocardial cells is an alpha 1-adrenergic response. J Clin Invest 1983; 72: 732-737.
- 72. Yamazaki T, Komuro I, Zou Y, Kudoh S, Shiojima I, Hiroi Y, Mizuno T, Aikawa R, Takano H, Yazaki Y. Norepinephrine induces the raf-1 kinase/mitogen-activated protein kinase cascade through both α1- and β-adrenoceptors. Circulation 1997; 95: 1260-1268.
- 73. Yokoyama T, Nakano M, Bednarczyk JL, McIntyre BW, Entman M, Mann DL. Tumor necrosis factor-α provokes a hypertrophic growth response in adult cardiac myocytes. Circulation 1997; 95: 1247-1252.
- 74. Brenner DA, O'Hara M, Angel P, Chojkier M, Karin M. Prolonged activation of *jun* and collagenase genes by tumour necrosis factor α. Nature 1989; 337: 661-663.
- 75. Westwick JK, Weitzel C, Minden A, Karin M, Brenner D. Tumor necrosis factor α stimulates AP-1 activity through prolonged activation of the c-Jun kinase. J Biol Chem 1994; 269: 26396-26401.

- 76. Pennica D, King KL, Shaw KJ, Luis E, Rullamas J, Luoh S-M, Darbonne WC, Knutzon DS, Yen R, Chien KR, Baker JB, Wood WI. Expression cloning of cardiotrophin 1, a cytokine that induces cardiac myocyte hypertrophy. Proc Natl Acad Sci U S A 1995; 92:1142-1146.
- 77. Wollert KC, Taga T, Saito M, Narazaki M, Kishimoto T, Glembotski CC, Vernallis AB, Heath JK, Pennica D, Wood WI, Chien K. Cardiotrophin-1 activates a distinct form of cardiac muscle cell hypertrophy. Assembly of sarcomeric units in series via gp130/leukemia inhibitory factor receptor-dependent pathways. J Biol Chem 1996; 271: 9535-45.
- 78. Shubeita HE, McDonough, PM, Harris, AN, Knowlton, KU, Glembotski, CC, Brown, JH, Chien, KR. Endothelin induction of inositol phospholipid hydrolysis, sarcomere assembly, and cardiac gene expression in ventricular myocytes:a paracrine mechanism for myocardial cell hypertrophy. J Biol Chem 1990:265:20555-20562.
- 79. Duerr RL, Huang S, Miraliakbar HR, Clark R, Chien KR, Ross J. Insulin-like growth factor-1 enhances ventricular hypertrophy and function during the onset of experimental cardiac failure. J Clin Invest 1995; 95: 619-627.
- 80. Gerdes AM, Kellerman SE, Moore JA, Muffly KE, Clark LC, Reaves PY, Malec KB, McKeown PP, Schocken DD. Structural remodeling of cardiac myocytes in patients with ischemic cardiomyopathy. Circulation 1992; 86: 426-430.
- 81. Beltrami CA, Finato N, Rocco M, Feruglio GA, Puricelli C, Cigola E, Sonnenblick EH, Olivetti G, Anversa P. The cellular basis of dilated cardiomyopathy in humans. J Mol Cell Cardiol 1995; 27: 291-305.
- 82. Grossman W, Jones D, McLaurin LP. Wall stress and patterns of hypertrophy in the human left ventricle. J Clin Invest 1975; 56: 56-64.
- 83. Sabbah HN, Sharov VG, Cook JM, Shimoyama H, Lesch M. Accumulation of collagen in the cardiac interstitium of dogs with chronic heart failure is associated with decreased capillary density and increased oxygen diffusion distance. J Am Coll Cardiol 1995; 25: 222A. Abstract.

- 84. Shimoyama H, Sabbah HN, Sharov VG, Cook J, Lesch M, Goldstein S. Accumulation of interstitial collagen in the failing left ventricular myocardium is associated with increased anaerobic metabolism among affected cardiomyocytes. J Am Coll Cardiol 1994; 23: 98A. Abstract.
- 85. Parodi O, DeMaria R, Oltrona L, Testa R, Sambuceti G, Roghi A, Merli M, Belingheri L, Accinni R, Spinelli F, Pellegrini A, Baroldi G. Myocardial blood flow distribution in patients with ischemic heart disease or dilated cardiomyopathy undergoing heart transplantation. Circulation 1993; 88: 509-522.
- 86. Anversa P, Capasso JM. Cardiac hypertrophy and ventricular remodeling. Lab Invest 1991; 64: 441-445.
- 87. Sabbah HN, Goldstein S. Ventricular remodeling: consequences and therapy. Eur Heart J 1993; 14 (Suppl C): 24-29.
- 88. Cohn, JN. Structural basis for heart failure. Ventricular remodeling and its pharmacological inhibition. Circulation 1995;91:2504-2507.
- 89. Douglas PS, Morrow R, Ioli A, Reichek N. Left ventricular shape, afterload and survival in idiopathic dilated cardiomyopathy. J Am Coll Cardiol 1989; 13: 311-315.
- 90. Kono T, Sabbah HN, Rosman H, Alam M, Jafri S, Goldstein S. Left ventricular shape is the primary determinant of functional mitral regurgitation in heart failure. J Am Coll Cardiol 1992; 20: 1594-1598.
- 91. Lamas GA, Vaughan DE, Parisi AF, Pfeffer MA. Effects of left ventricular shape and captopril therapy on exercise capacity after anterior wall acute myocardial infarction. Am J Cardiol 1989; 63: 1167-1173.
- 92. Bristow, MR, Ginsburg, R, Umans, V, Fowler, M, Minobe, W, Rasmussen, R, Zera, P, Menlove, R, Shah, P, Jamieson, S, and Stinson, EB. β_1 and β_2 -adrenergic-receptor subpopulations in nonfailing and failing human ventricular myocardium: Coupling of both receptor subtypes to muscle contraction and selective β_1 -receptor down-regulation in heart failure. Circ Res 1986; 59: 297-309.

- 93. Bristow, MR, Ginsburg, R, Minobe, W, Cubicciotti, RS, Sageman, WS, Lurie, K, Billingham, ME, Harrison, DC, and Stinson, EB. Decreased catecholamine sensitivity and β-adrenergic receptor density in failing human hearts. N Engl J Med 1982; 307: 205-211.
- 94. Bristow MR, Minobe W, Raynolds, MV Port JD, Rasmussen R, Ray PE, Feldman AM. Reduced β₁ receptor mRNA abundance in the failing human heart. J Clin Invest 1993; 92:2737-2745.
- 95. Bristow MR, Sandoval AB, Gilbert EM, Deisher T, Minobe W, Rasmussen R. Myocardial α- and β-adrenergic receptors in heart failure: Is cardiac-derived norepinephrine the regulatory signal? Eur Heart J 1988; 9 (Suppl H): 35-40.
- 96. Eichhorn EJ. Do β-blockers have a role in patients with congestive heart failure? Cardiology Clinics 1994; 12: 133-142.
- 97. Bristow, MR. Pathophysiologic and pharmacologic rationales for clinical management of chronic heart failure with beta-blocking agents. Am. J. Cardiol. 1993;71:12C-22C
- 98. Tan LB, Jalil JE, Pick R, Janicki JS, Weber KT. Cardiac myocyte necrosis induced by angiotensin II. Circ Res 1991; 69: 1185-1195.
- 99. Henegar JR, Brower, GL, Kabour, A, and Janicki, JS. Catecholamine response to chronic ANGII infusion and its role in myocyte and coronary vascular damage. Am. J. Physiol. 1995; 269:H1564-H1569.
- 100. Haft, JI. Cardiovascular injury induced by sympathetic catecholamines. Prog Cardiovasc Dis 1974; 17: 73-85.
- 101. Mann DL, Kent RL, Parsons B, Cooper G IV. Adrenergic effects on the biology of the adult mammalian cardiocyte. Circulation 1992; 85: 790-804.
- 102. Thompson CB. Apoptosis in the pathogenesis and treatment of disease. Science 1995; 267: 1456-1462.
- 103. Ucker DS. Death by suicide: one way to go in mammalian cellular development? New Biol 1991; 3: 103-109.

- 104. Wyllie AH, Kerr JFR, Currie AR. Cell death: the significance of apoptosis. Int Rev Cytol 1980; 68: 251-306.
- 105. Arends MJ, Morris RG, Wyllie AH. Apoptosis: the role of the endonulease. Am J Pathol 1990; 136: 593-608.
- 106. Searle J, Kerr JFR, Bishop CJ. Necrosis and apoptosis: distinct modes of cell death with fundamentally different significance. Pathol Annu 1982; 17: 229-259.
- 107. Tanaka M, Ito H, Adachi S, Akimoto H, Nishikawa T, Kasajima T, Marumo F, Hiroe M. Hypoxia induces apoptosis with enhanced expression of Fas antigen messenger RNA in cultured neonatal rat cardiomyocytes. Circ Res 1994; 75: 426-433.
- 108. Sharov VG, Sabbah HN, Shimoyama H, Goussev AV, Lesch M, Goldstein S. Evidence of cardiocyte apoptosis in myocardium of dogs with chronic heart failure. Am J Pathol 1996; 148: 141-149.
- 109. Narula J, Haider N, Virmani R, DiSalvo TG, Kolodgie FD, Hajjar RJ, Schmidt U, Semigran MJ, Dec GW, Khaw B-A. Apoptosis in myocytes in end-stage heart failure. N Engl J Med 1996; 335: 1182-1189.
- 110. Nadal-Ginard B. Commitment, fusion, and biochemical differentiation of a myogenic cell line in the absence of DNA synthesis. Cell 1978; 15: 855-864.
- 111. Raynolds M, Blain-Nelson, P, Roden, R, Bohlmeyer, T, Bristow M. Expression of the tumor supressor p53 is increased in the failing myocardium. Keystone Symposium, Molecular Biology of the Cardiovascular System (in press).
- 112. Levine B, Kalman J, Mayer L, Fillit HM, Packer M. Elevated circulating levels of tumor necrosis factor in severe chronic heart failure. N Engl J Med 1990; 323: 236-241.
- 113. Torre-Amione G, Kapadia SR, Lee J, Durand JB, Bies RD, Young JB, Mann DL. Tumor necrosis factor α and tumor necrosis factor receptors in the failing human heart. Circulation 1996; 93: 704-711.

- 114. Wyllie AH. Apoptosis: Death gets a brake. Nature 1994; 369: 272-273.
- 115. Brenner DA, O'Hara M, Angel P, Chojkier M, Karin M. Prolonged activation of *jun* and collagenase genes by tumour necrosis factor α. Nature 1989; 337: 661-663.
- 116. Westwick JK, Weitzel C, Minden A, Karin M, Brenner D. Tumor necrosis factor α stimulates AP-1 activity through prolonged activation of the c-Jun kinase. J Biol Chem 1994; 269: 26396-26401.
- 117. Tartaglia LA, Ayres TM, Wong GH, Goeddel DV. A novel domain within the 55 kd TNF receptor signals cell death. Cell 1993; 74: 845-853.
- 118. Campbell DJ, Habener JF. The angiotensinogen gene is expressed and differentially regulated in multiple tissues of the rat. J Clin Invest 1986; 78: 31-39.
- 119. Lindpaintner K, Jin M, Niedermeyer N, Wilhelm MJ, Ganten D. Cardiac angiotensinogen and its local activation in the isolated perfused beating heart. Circ Res 1990; 67: 564-573.
- 120. Pfeffer JM, Pfeffer MA, Braunwald E. Influence of chronic captopril therapy on the infarcted left ventricle of the rat. Circ Res 1985; 57: 84-95.
- 121. Pfeffer MA, Pfeffer JM. Ventricular enlargement and reduced survival after myocardial infarction. Circulation 1987; 75 (Suppl IV): IV-93-IV-97.
- 122. Spinale FG, Holzgrefe HH, Mukherjee R, Hird RB, Walker JD, Arnim-Barker A, Powell JR, Koster WH. Angiotensin-converting enzyme inhibition and the progression of congestive cardiomyopathy. Effects on left ventricular and myocyte structure and function. Circulation 1995; 92:562-578.
- 123. Sabbah HN, Shimoyama H, Kono T, Gupta RC, Sharov VG, Scicli G, Levine TB, Goldstein S. Effects of long-term monotherapy with enalapril, metoprolol, and digoxin on the progression of left ventricular dysfunction and dilation in dogs with reduced ejection fraction. Circulation 1994; 89: 2852-2859.

- 124. McDonald KM, Rector T, Carlyle PF, Francis GS, Cohn JN. Angiotensin-converting enzyme inhibition and beta-adrenoceptor blockade regress established ventricular remodeling in a canine model of discrete myocardial damage. J Am Coll Cardiol 1994; 24: 1762-8.
- 125. The CONSENSUS trial study group. Effects of enalapril on mortality in severe congestive heart failure: results of the Cooperative North Scandanavian Enalapril Survival Study (CONSENSUS). N Engl J Med 1987; 316: 1429-1435.
- 126. The SOLVD investigators. Effect of enalapril on survival in patients with reduced left ventricular ejection fractions and congestive heart failure. N Engl J Med 1991; 325: 293-302.
- 127. Pfeffer M, Braunwald E, Moye' L, Basta L, Brown E, Cuddy T, Davis B, Geltman E, Goldman S, Flaker G, Klein M, Lames G, Packer M, Rouleau J, Roubav JL, Rutherford J, Wertheimer J, Hawkins M. On behalf of the SAVE investigations. Effect of captopril on mortality and morbidity in patients with left ventricular, dysfunction after myocardial infarction. Results of survival and ventricular enlargement trial. N Engl J Med 1992;327:669-677.
- 128. Pfeffer MA, Lamas GA, Vaughan DE, Parisi AF, Braunwald E. Effect of captopril on progressive ventricular dilatation after anterior myocardial infarction. N Engl J Med 1988; 319:80-6.
- 129. Mitchell GF, Lamas GA, Vaughan DE, Pfeffer MA. Left ventricular remodeling in the year after first anterior myocardial infarction: A quantitative analysis of contractile segment lengths and ventricular shape. J Am Coll Cardiol 1992; 19: 1136-44.
- 130. Sharpe N, Smith H, Murphy J, Greaves S, Hart H, Gamble G. Early prevention of left ventricular dysfunction after myocardial infarction with angiotensin-converting-enzyme inhibition. Lancet 1991; 337: 872-76.
- 131. Pfeffer MA, Lamas GA, Vaughan DE, Parisi AF, Braunwald E. Effect of captopril on progressive ventricular dilatation after anterior myocardial infarction. N Engl J Med 1988; 319:80-6.

- 132. Mitchell GF, Lamas GA, Vaughan DE, Pfeffer MA. Left ventricular remodeling in the year after first anterior myocardial infarction: A quantitative analysis of contractile segment lengths and ventricular shape. J Am Coll Cardiol 1992; 19: 1136-44.
- 133. Sharpe N, Smith H, Murphy J, Greaves S, Hart H, Gamble G. Early prevention of left ventricular dysfunction after myocardial infarction with angiotensin-converting-enzyme inhibition. Lancet 1991; 337: 872-76.
- 134. Konstam MA, Rousseau MF, Kronenberg MW, Udelson JE, Melin J, Stewart D, Dolan N, Edens TR, Ahn S, Kinan D, Howe DM, Kilcoyne L, Metherall J, Benedict C, Yusuf S, Pouleur H, for the SOLVD Investigators. Effects of the angiotensin converting enzyme inhibitor enalapril on the long-term progression of left ventricular dysfunction in patients with heart failure. Circulation 1992; 86: 431-438.
- 135. Greenberg B, Quinones MA, Koilpillai C, Limacher M, Shindler D, Benedict C, Shelton B, for the SOLVD Investigators. Effects of long-term enalapril therapy on cardiac structure and function in patients with left ventricular dysfunction. Results of the SOLVD Echocardiography Substudy. Circulation 1995; 91: 2573-2581.
- 136. Doherty, NE, Seelos, KC, Suzuki, J-I, Caputo, GR, O'Sullivan, M, Sobol, SM, Cavero, P, Chatterjee, K, Parmley, WW, Higgins, CB. Application of cine nuclear magnetic resonance imaging for sequential evaluation of response to angiotensin-converting enzyme inhibitor therapy in dilated cardiomyopathy. J. Am Coll Cardiol 1992;19:1294-302.
- 137. Francis GS, Cohn JN, Johnson G, Rector TS, Goldman S, Simon A, for the V-HeFT VA Cooperative Studies Group. Plasma norepinephrine, plasma renin activity, and congestive heart failure. Circulation 1993; 87 (Suppl VI): VI-40-VI-48.
- 138. Eichhorn EJ, McGhie AI, Bedotto JB, Corbett JR, Malloy CR, Hatfield BA, Deitchman D, Willard JE, Grayburn PA. Effects of bucindolol on neurohormonal activation in congestive heart failure. Am J Cardiol 1991; 67: 67-73.
- 139. Glass MG, Fuleihan F, Hajjar RJ, Gwathmey JK. Negative Treppe in dilated cardiomyopathy: Role of abnormal calcium handling. Circulation 1993; 88 (Suppl I): I-87. Abstract.

- 140. Fuleihan F, Matsumori A, Gwathmey JK. Effects of carteolol, a β-blocker, on mortality and left ventricular heart function in dilated cardiomyopathy. Circulation 1993; 88 (Suppl I): I-38. Abstract.
- 141. Glass MG, Reis I, Cory CR, O'Brien PJ, Gwathmey JK. Reversal of the negative Treppe with β-blockers: Role of sarcoplasmic reticulum function and myocardial energetics. Circulation 1993; 88 (Suppl I): I-526. Abstract.
- 142. Mulieri LA, Hasenfuss G, Leavitt B, Allen PD, Alpert NR. Altered myocardial force-frequency relation in human heart failure. Circulation 1992; 85: 1743-1750.
- 143. Tsutsui H, Spinale FG, Nagatsu M, Schmid PG, Ishihara K, DeFreyte G, Cooper G, Carabello BA. Effects of chronic β-adrenergic blockade on the left ventricular and cardiocyte abnormalities of chronic canine mitral regurgitation. J Clin Invest 1994; 93: 2639-2648.
- 144. Engelmeier RS, O'Connell JB, Walsh R, et al: Improvement in symptoms and exercise tolerance by metoprolol in patients with dilated cardiomyopathy: a double-blind, randomized, placebo-controlled trial. Circulation 72: 536-546, 1985.
- 145. Ikram, H, Fitzpatrick, D: Double blind trial of chronic oral beta blockade in congestive cardiomyopathy. Lancet 2: 490-493, 1981.
- 146. Currie, PJ, Kelly, KJ, McKenzie, et al: Oral beta-adrenergic blockade with metoprolol in chronic severe dilated cardiomyopathy. J Am Coll Cardiol 3: 203-209, 1984.
- 147. Gilbert EM, Anderson JL, Deitchman D, et al: Chronic β-blocker-vasodilator therapy improves cardiac function in idiopathic dilated cardiomyopathy: A double-blind, randomized study of bucindolol versus placebo. Am J Med 88: 223-229, 1990.
- 148. Pollock SG, Lytash J, Tedesco C, et al: Usefulness of bucindolol in congestive heart failure. Am J Cardiol 66: 603-607, 1990.

- 149. Woodley SL, Gilbert EM, Anderson JL, O'Connell JB, Deitchman D, Yanowitz FG, Mealey PC, Volkman K, Renlund DG, Bristow MR. β-blockade with bucindolol in heart failure due to ischemic vs idiopathic dilated cardiomyopathy. Circulation 1991; 84: 2426-2441.
- 150. Metra M, Nardi M, Giubbini R, DeiCas L. Effects of short- and long-term carvedilol administration on rest and exercise hemodynamic variables, exercise capacity and clinical conditions in patients with idiopathic dilated cardiomyopathy. J Am Coll Cardiol 1994; 24: 1678-1687.
- 151. Olsen SL, Gilbert EM, Renlund DG, Taylor DO, Yanowitz FD, Bristow MR. Carvedilol improves left ventricular function and symptoms in chronic heart failure; a double-blind randomized study. J Am Coll Cardiol 1995; 25: 1225-1231.
- 152. Waagstein F, Bristow MR, Swedberg, Camerini F, Fowler MB, Silver MA, Gilbert EM, Johnson MR, Goss FG, Hjalmarson A, for the Metoprolol in Dilated Cardiomyopathy (MDC) Trial Study Group. Beneficial effects of metoprolol in idiopathic dilated cardiomyopathy. Lancet 1993; 342: 1441-46.
- 153. Bristow MR, O'Connell JB, Gilbert EM, French WJ, Leatherman G, Kantrowitz NE, Orie J, Smucker ML, Marshall G, Kelly P, Deitchman D, Anderson JL for the Bucindolol Investigators. Dose-response of chronic β-blocker treatment in heart failure from either idiopathic dilated or ischemic cardiomyopathy. Circulation 1994; 89: 1632-1642.
- 154. Wisenbaugh T, Katz I, Davis J, et al: Long-term (3 month) effects of a new beta-blocker (nebivolol) on cardiac performance in dilated cardiomyopathy. J Am Coll Cardiol 21: 1094-1100, 1993.
- 155. Paolisso G, Gambardella A, Marrazzo G, Verza M, Teasuro P, Varricchio M, D'Onofrio F. Metabolic and cardiovascular benefits deriving from β-adrenergic blockade in chronic congestive heart failure. Am Heart J 1992; 123: 103-110.
- 156. Krum H, Sackner-Bernstein J, Goldsmith RL, Kukin ML, Schwartz B, Penn J, Medina N, Yushak M, Horn E, Katz SD, Levin HR, Neuberg GW, DeLong G, Packer M. Double-blind, placebo-controlled study of the long-term efficacy of carvedilol in patients with severe chronic heart failure. Circulation 1995; 92: 1499-1506.

- 157. Eichhorn EJ, Heesch CM, Barnett JH, Alvarez LG, Fass SM, Grayburn PA, Hatfield BA, Marcoux LG, Malloy CR. Effect of Metoprolol on Myocardial Function and Energetics in Patients with Non-Ischemic Dilated Cardiomyopathy: A Randomized, Double-Blind, Placebo-Controlled Study. J Am Coll Cardiol 1994; 24: 1310-1320.
- 158. Fisher ML, Gottlieb SS, Plotnick GD, Greenberg NL, Patten RD, Bennett SK, Hamilton BP. Beneficial effects of metoprolol in heart failure associated with coronary artery disease: A randomized trial. J Am Coll Cardiol 1994; 23: 943-50.
- 159. Australia-New Zealand Heart Failure Research Collaborative Group. Effects of carvedilol, a vasodilator-β-blocker, in patients with congestive heart failure due to ischemic heart disease. Circulation 1995; 92:212-218.
- 160. Packer M, Colucci WS, Sackner-Bernstein JD, Liang C, Goldscher DA, Freeman I, Kukin ML, Kinhal V, Udelson JE, Klapholz M, Gottlieb SS, Pearle D, Cody RJ, Gregory JJ, Kantrowitz NE, LeJemtel TH, Young ST, Lukas MA, Shusterman NH, for the PRECISE Study Group. Double-blind, placebo-controlled study of the effects of carvedilol in patients with moderate to severe heart failure. The PRECISE trial. Circulation 1996; 94: 2793-2799.
- 161. Bristow MR, Gilbert EM, Abraham WT, Adams KF, Fowler MB, Hershberger RE, Kubo SH, Narahara KA, Ingersoll H, Krueger S, Young S, Shusterman N for the MOCHA Investigators. Carvedilol produces dose-related improvements in left ventricular function and survival in subjects with chronic heart failure. Circulation 1996; 94:2807-2816.
- 162. Eichhorn EJ, Hjalmarson Å. β-blocker treatment for chronic heart failure: The Frog Prince. Circulation 1994; 90:2153-2156.
- 163. Doughty RN, MacMahon S, Sharpe N. Beta-blockers in heart failure: Promising or proved? J Am Coll Cardiol 1994; 23: 814-21.

- 164. Eichhorn EJ, Bedotto JB, Malloy CR, Hatfield B, Deitchman D, Brown M, Willard JE, Grayburn PA. Effect of beta-adrenergic blockade on myocardial function and energetics in congestive heart failure: improvements in hemodynamic, contractile, and diastolic performance with bucindolol. Circulation 1990; 82: 473-483.
- 165. Hall SA, Cigarroa CG, Marcoux L, Risser RC, Grayburn PA, Eichhorn EJ. Time Course of Improvement in Left Ventricular Function, Mass, and Geometry in Patients with Congestive Heart Failure Treated with β-Adrenergic Blockade. J Am Coll Cardiol 1995; 25: 1154-61.
- 166. Lowes BD, Gill EA, Rodriguez-Larrain J, Abraham WT, Bristow MR. Carvedilol is associated with a reversal of remodeling in chronic heart failure. Circulation 1996; 94 (Suppl I): I-407. Abstract.
- 167. Eichhorn EJ, Willard JE, Alvarez L, Kim AS, Glamann DB, Risser RC, Grayburn PA. Are contraction and relaxation coupled in patients with and without congestive heart failure? Circulation 1992; 85: 2132-2139.
- 168. Franciosa JA, Wilen M, Ziesche S, Cohn JN. Survival in men with severe chronic left ventricular failure due to either coronary heart disease or idiopathic dilated cardiomyopathy. Am J Cardiol 1983; 51: 831-836.
- 169. Cintron C, Johnson, G, Francis, G, Cobb, F, Cohn, JN. Prognostic significance of serial changes in left ventricular ejection fraction in patients with congestive heart failure. Circulation 1993;87[suppl VI]:VI-17-VI-23)
- 170. CIBIS Investigators and Committees. A Randomized trial of beta-blockade in heart failure: The Cardiac Insufficiency Bisoprolol Study (CIBIS). Circulation 1994; 90: 1765-1773.
- 171. Packer M, Bristow MR, Cohn JN, Colucci WS, Fowler MB, Gilbert EM, Shusterman NH for the U.S. Carvedilol Heart Failure Study Group. Effect of carvedilol on morbidity and mortality in chronic heart failure. N Engl J Med 1996; 334: 1349-55.
- 172. The BEST Steering Committee. Design of the Beta-Blocker Evaluation Survival Trial (BEST). Am J Cardiol 1995; 75: 1220-1223.