

**IMPLICATIONS OF DYSREGULATED ANTIBODY HYPERMUTATION  
PATTERNS IN MULTIPLE SCLEROSIS PATIENTS**

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## DEDICATION

This work is dedicated to the patients who have consented to participate in research, in the hopes of helping their community receive better diagnostics and treatments. Elucidating the biological mechanisms of MS disease brings both researchers and clinicians closer to developing the optimal care for patients.

Thank you to my family, Jolanta, Jerzy, and Eva, for their continual support throughout my studies.

Thank you to my mentor, Dr. Monson, for allowing me to transition into molecular Immunology with a translational focus.

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IMPLICATIONS OF DYSREGULATED ANTIBODY HYPERMUTATION  
PATTERNS IN MULTIPLE SCLEROSIS PATIENTS

by

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# IMPLICATIONS OF DYSREGULATED ANTIBODY HYPERMUTATION PATTERNS IN MULTIPLE SCLEROSIS PATIENTS

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The potential role of B cells in contributing to the pathology of the human autoimmune neurological disease multiple sclerosis (MS) has recently become of significant interest. MS is a complex disorder of the central nervous system (CNS) with various presentation types, symptoms, and damage that involves many different components of the immune system. Historically, the role of B cells was considered minimal and overshadowed by the impact of T cells. The main effector function of a B cell is mediated by the downstream production of antibodies, that bind to their cognate antigens.. Thus, detailed investigation of antibody genomics reveals important information regarding the response of a B cell to its environment. We previously discovered a pattern of somatic hypermutation in the antibody genes expressed isolated from cerebrospinal fluid (CSF) B cells of patients with MS and those at high risk for developing MS. The focus of this thesis project was to further characterize this pattern of mutation termed the antibody gene signature (AGS) and determine the biological significance of this shared AGS among patients. Secondly, I aimed to discover differences in B cell subtypes and antibody genomics in the two of the most common

initial presentations of clinically isolated syndrome (CIS) patients at high risk for converting to MS: optic neuritis (ON<sub>CIS</sub>) and transverse myelitis (TM<sub>CIS</sub>).

Through my work in analyzing antibody genomics, I demonstrated that the AGS is present at the site of MS disease, within the CNS tissue. This provides support for the study of CSF B cells since it recapitulates what is present within the parenchyma. I also determined that both ON<sub>CIS</sub> and TM<sub>CIS</sub> patients are enriched for AGS positive B cells within the CSF. This exemplifies that regardless of initial presentation, patients at high risk for converting to MS share this AGS. Further characterization of TM<sub>CIS</sub> patients revealed that a subset harbor an expansion of plasmablasts in both the periphery and the CSF compared to ON<sub>CIS</sub> patients. Furthermore, in depth analyses of the peripheral plasmablasts uncovered altered genomic selective pressures in the periphery. This differential expansion of plasmablasts may offer insight for future studies of the possible varied underlying biological processes between these two patient groups.

I aimed to determine the biological significance of this shared genomic pattern of the AGS by examining the CNS targeting potential. Immunohistochemical experiments revealed that AGS-enriched antibodies from ON<sub>CIS</sub>, TM<sub>CIS</sub>, and MS patients target neurons and astrocytes within the gray matter. These novel findings provide future directions for elucidating the auto-antigen(s) responsible for eliciting the shared AGS. Furthermore, identifying the AGS-enriched antibody binding patterns could aid in identifying potential therapeutic targets to help reduce CNS damage in both CIS and MS patients.

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## **LIST OF ABBREVIATIONS**

ADEM: acute disseminated encephalomyelitis

AGS: antibody gene signature

AID: activation-induced cytidine deaminase

APC: antigen presenting cell

ATP: adenosine triphosphate

AQP4: aquaporin 4

BAFF: B-cell activating factor

BBB: blood brain barrier

BCR: B cell receptor

BSA: bovine serum albumin

CD: cluster of differentiation

CDMS: clinically definite multiple sclerosis

cDNA: complementary DNA

CDR: complementarity determining region

CIS: clinically isolated syndrome

CNS: central nervous system

CNPase: 2-3-cyclic-nucleotide 3-phosphodiesterase

cPEP: cDNA primer extension preamplification

CSF: cerebrospinal fluid

CSR: class switch recombination

DAB: 3,3-Diaminobenzidine

DAPI: 4',6-diamidino-2-phenylindole

D<sub>H</sub>: diversity segment

DMEM: Dulbecco's Modified Eagle Medium

dNTP: deoxyribonucleotide triphosphate

dsDNA: double stranded DNA

DTT: Dithiothreitol

EAE: experimental autoimmune encephalomyelitis

EDSS: Expanded Disability Status Scale

EDTA: Ethylenediaminetetraacetic acid

ELISA: enzyme-linked immunosorbent assay

FACS: fluorescence activated cell sorting

Fc: fragment crystallizable

FCS: fetal calf serum

FDC: follicular dendritic cell

FR: framework region

FSC: forward scatter

GA: glatiramer acetate

GC: germinal center

gDNA: genomic DNA

GFAP: glial fibrillary acidic protein

GM: gray matter

GWAS: Genome-Wide Association Studies

HC: healthy control

HEK 293T: Human Embryonic Kidney 293 cells

HEPES: hydroxyethyl piperazineethanesulfonic acid

HRP: horseradish peroxidase

IFC: immunofluorescence

IFN: interferon

Ig: immunoglobulin

IgG: immunoglobulin of the gamma isotype

IgK: immunoglobulin of the kappa isotype

IHC: immunohistochemistry

IRB: Institutional Review Board

ITS: insulin-transferrin selenium

J<sub>H</sub>: junctional heavy segment

J<sub>κ</sub>: junctional kappa segment

J<sub>λ</sub>: junctional lambda segment

LB: Luria-Bertani broth

LCM: laser capture microdissection

MBP: myelin basic protein

MF: mutation frequency

MHC: major histocompatibility complex

MOG: myelin oligodendrocyte glycoprotein

MP4: myelin basic protein- proteolipid protein fusion protein

MRI: magnetic resonance imaging

mRNA: messenger RNA

MRS: magnetic resonance spectroscopy

MS: multiple sclerosis

MWCO: molecular weight cut off

NAA: N-acetyl aspartate

NAWM: normal appearing white matter

NeuN: neuronal nuclei

NF: neurofilament

NGS: next generation sequencing

NMDAR: N-methyl-D-aspartate receptor

NMO: neuromyelitis optica

OCB: oligoclonal band

O.C.T.: optimum cutting temperature compound

ON<sub>CIS</sub>: clinically isolated syndrome optic neuritis

OND: other neurological disease

P: plaque

PB: peripheral blood

PBMC: peripheral blood mononuclear cells

PBS: phosphate buffered saline

PCR: polymerase chain reaction

PLP: proteolipid protein

PND: paraneoplastic neurological disorder

PNS: peripheral nervous system

PPMS: primary progressive multiple sclerosis

RA: rheumatoid arthritis

RAG1/2: recombination-activating genes

RF: replacement frequency

rhAb: full-length recombinant human antibody

RHP: random hexamer primer mix

RM: replacement mutation

rpm: revolutions per minute

RPMI: Roswell Park Memorial Institute medium

RRMS: relapsing remitting multiple sclerosis

R:S: replacement to silent mutation ratio

RSS: recombination signal sequence

RT: reverse transcription mix

s.e.m.: standard error of the mean

SHM: somatic hypermutation

SLE: systemic lupus erythematosus

SOB: super optimal broth

SOCS: super optimal culture solution

SPMS: secondary progressive multiple sclerosis

SSC: side scatter

TBE: Tris/Borate/EDTA

TCR: T cell receptor

TD: T cell dependent

TdT: terminal deoxynucleotidyl transferase

TI: T cell independent

TM<sub>CIS</sub>: clinically isolated syndrome transverse myelitis

TM<sub>CIS</sub>A: transverse myelitis- above

TM<sub>CIS</sub>B: transverse myelitis- below

TMB: 3,3',5,5'-Tetramethylbenzidine

V<sub>H</sub>: variable heavy segment

VHE: variable heavy external PCR

VHN: variable heavy nested PCR

V<sub>κ</sub>: variable kappa segment

VKE: variable kappa external PCR

VKN: variable kappa nested PCR

V<sub>λ</sub>: variable lambda segment

VLA-4: very late antigen-4 (alpha4beta1 integrin)

WM: white matter



# **CHAPTER ONE**

## **INTRODUCTION**

### **Multiple Sclerosis (MS)**

#### *MS overview and pathology*

Multiple Sclerosis (MS) is an autoimmune inflammatory disease of the central nervous system (CNS) characterized by the demyelination of axons and the formation of lesions (1-5). In 1868, Charcot first characterized this disease by identifying hard sclerotic lesions in the post-mortem brain of afflicted patients. Approximately 0.1% of the population has MS, with a gender bias of 3 females to 1 male afflicted (6-8). MS is a heterogeneous disease, with both white matter (WM) and gray matter (GM) involvement and lesions characterized by different types of immune cells (6, 9-11). Genome-Wide Association Studies (GWAS) of MS patients have shown an increase in MS-risk associated the HLA-DR2 allele highlighting the importance of antigen presentation within the immune system in the disease process (12). Also, reduced signaling through the IL-7 receptor and polymorphisms in the IL-2 receptor, which may increase the soluble levels of the receptor, increases the risk of developing MS and are the first confirmed non-MHC associated genes among MS patients (12). Both IL-7 and IL-2 are important cytokines in the growth and proliferation of immune cells.

Perivascular demyelinated lesions are common since these areas are near lymphocyte accumulations consisting of B cells, T cells, and macrophages (2, 6, 7, 9). In addition to demyelination, axonal damage and transection occur early in MS in both lesions and normal appearing white matter (NAWM), and are associated with irreversible neurological deficits and cognitive decline (3, 4, 13, 14). Global diffuse injury to both the

WM and GM, beyond overt demyelination, is detected in MS CNS and contributes to pathology and symptoms (9, 15).

Myelin can be damaged through activated CD4<sup>+</sup> and CD8<sup>+</sup> T cells, macrophages, immunoglobulin (Ig), and microglia, which all induce an inflammatory assault against the previously immunoprivileged site. These inflammatory infiltrates are accompanied by a disturbance in the blood brain barrier (BBB) allowing for entry into the CNS (16-18). Magnetic resonance imaging (MRI) is used to detect and monitor progression of lesions and abnormalities *in vivo*. T1 weighted gadolinium images detect BBB breakdown and sites of active WM lesions (19) while T2 weighted images of lesion volume show changes over time that are used to monitor long-term disease activity (20). Increased T2 lesions are correlated with IgG index (a measure of IgG content in the CSF) whereas T1 lesions are correlated with CD4<sup>+</sup> T cell and total CSF cell numbers suggesting that different immunological mechanisms underlie the pathogenesis of different types of lesions (21). Immunological disturbances are also evident in the CSF during active MS with increased numbers of total CSF cells, activated CD4<sup>+</sup> T cells, and CD19<sup>+</sup> B cells (2, 21).

Exactly how MS disease is initiated is still debated, however one hypothesis is that the peripheral immune system becomes activated against previously immunoprivileged antigens that have drained from the CNS into cervical lymph nodes (22). Activated B and T cells can cross the BBB into the CNS. MS patients, as well as mice and marmosets induced to have a model of MS, have macrophages with engulfed myelin and neuronal antigens present in their cervical lymph nodes (23-25). This is not observed in healthy human individuals or mice (23-25). It is also likely that a break in

peripheral immune tolerance could occur and be perpetuated to mount an immune response against CNS components.

### MS subtypes

Approximately 85% of patients are initially diagnosed with a relapsing remitting disease course (RRMS) characterized by acute relapse attacks of worsening clinical presentations and neurological dysfunction followed by a remission of symptoms to a quiescent state (8). About 10% of patients show a benign disease course with no accumulation of deficits and their expanded disability status scale (EDSS) score, a method of quantifying clinical disability in MS, remains below 3 (mild to moderate disability, though fully ambulatory) (26). These patients also have significantly fewer cortical lesions than patients with non-benign MS (27). A meta-analysis study reports that 66% of RRMS patients eventually develop secondary progressive MS (SPMS) characterized by progressive disability without remissions (28). The median time to developing SPMS is 15 years, and within 25 years after initial onset greater than 80% of patients progress to SPMS (28). 15% of patients present with primary progressive MS (PPMS) in which they do not experience an RRMS stage and instead have progressive accumulation of deficits from disease onset (8). PPMS patients do not respond to the same treatment approaches as RRMS and SPMS patients underscoring the different etiology of this disease form (29).

### Contribution of damage to the white matter (WM) and gray matter (GM)

MS patients have damage and inflammation in both the WM and GM regions of the CNS. Historically, there has been greater focus on WM lesions, in part due to easier

detection via MRI and immunohistochemical techniques compared to GM lesions (30, 31). However, it has come to light that WM damage does not fully explain the progression and symptom characteristics of MS (32, 33) and instead a combination of changes in both WM and GM most effectively predicts clinical disease progression (34). Atrophy in the GM occurs at a higher rate than atrophy in the WM (35-38) and cortical demyelination is more extensive than in the WM (26.5% vs 6.5%) with the percentage of demyelination in the cortex increasing with disability and length of disease (31). Furthermore, GM atrophy and damage is associated with faster disease progression (27, 39-42). Cortical GM demyelination also occurs early in disease and is associated with inflammatory infiltrates (43). This is further supported by the finding that GM axon, neuronal, and synaptic proteins are already enriched in the CSF at the first clinical attack of MS (44). However, as disease progresses, cortical neurodegeneration continues without overt inflammatory infiltrates in SPMS patients (45).

### **Clinically isolated syndrome (CIS)**

Patients that present with a first demyelinating attack are diagnosed with clinically isolated syndrome (CIS), which places them at high risk for developing clinically definite MS (CDMS). A CIS is the first clinical manifestation of a neurological event due to demyelination or inflammation in the CNS. The most common presentations of CIS are optic neuritis (ON<sub>CIS</sub>) and transverse myelitis (TM<sub>CIS</sub>) (46).

### **Optic Neuritis (ON<sub>CIS</sub>) and Transverse Myelitis (TM<sub>CIS</sub>)**

ON<sub>CIS</sub> is characterized by visual impairments due to demyelination of the optic nerve (47-49). TM<sub>CIS</sub> symptoms involve weakening of limbs or sensations of numbness

due to demyelination occurring across short segments of the spinal cord (50). Those at high risk for CDMS do not have any peripheral nervous system (PNS) involvement (51) but have lesions in the CNS (52, 53). While 30-48% of patients remain idiopathic, 44-60% go on to develop CDMS (52, 54). Acute complete TM<sub>CIS</sub> patients with lesions longer than 2 segments typically are early neuromyelitis optica (NMO) patients whereas acute partial TM<sub>CIS</sub> patients with short lesion segments are at risk for MS (53, 55, 56). Patients that present with either ON<sub>CIS</sub> or TM<sub>CIS</sub> have a high risk of converting to CDMS, but current risk stratification approaches depend on MRI findings in the brain. 82% of CIS patients with an abnormal baseline brain MRI convert to CDMS, compared to just 21% with a normal MRI (57). Furthermore, the presence of one lesion in the spinal cord of CIS patients is an independent risk factor for conversion to MS with a hazard ratio (HR) of 3.5 and those with 2 or more spinal cord lesions have a further increased HR of 5.9 (58). Within a year after the initial CIS, 58% of TM<sub>CIS</sub> patients have converted to CDMS with a lower number of ON<sub>CIS</sub> patients (37%) converted in the same time interval (59).

Additionally, ON<sub>CIS</sub> patients have better long-term prognoses reaching an EDSS of 4 (ambulatory despite relatively severe disability) in about 13.5 years compared to other presentations including TM<sub>CIS</sub> who reach that disability milestone in just 7 years (60). TM<sub>CIS</sub> patients also have detectable levels protein 14-3-3, which is found in axons, in their CSF if they do not recover from an attack (61), a potential marker of permanent neurological disability with a shorter time to conversion to CDMS (3.7 vs 7 years) and a higher relapse rate (3.1 vs 1.8) (62). Differences in progression to CDMS, long-term prognosis, and location of initial lesions between ON<sub>CIS</sub> and TM<sub>CIS</sub> patients suggest differences in the underlying biology.

### Importance of early diagnosis

Most CIS patients already have an abnormal MRI at the first clinical event indicating an ongoing destructive process (63). Further necessitating the importance of early and accurate diagnosis are the data suggesting that earlier treatment with disease modifying agents delays the progression of disease and accrual of disability (28, 57, 64-67). During early disease stages, irreversible CNS tissue loss is not typically detected by MRI, however it does develop 1-3 years post diagnosis in patients who convert to CDMS (39, 65) further highlighting the need to identify and treat patients early. The number of relapses within the first 2 years and rate of another clinical event in CIS correlates with future EDSS scores (28, 60, 68). Patients are also 3 times more likely to convert to SPMS if they experienced 5 or more attacks within the first years (28). Silent CNS damage accumulates at a proposed rate of 5-10 lesions for each clinical relapse (69), illustrating the progressive destructive course of the disease and need for early treatment.

### **The study of B cells in MS**

While all immune cells play a role in the pathology of MS, more in-depth analysis of each cell type's contribution is needed to understand the global picture. B cells have been implicated in MS disease and research in this field has led to substantial findings in support of their pathological potential. Thus, the following sections detail B cell development.

## **B cell development**

### **VDJ recombination**

The development of a human B cell and its effector functions and fates are all determined by the immunoglobulin (Ig), or antibody, it expresses. Ig are rearranged using a set of germline encoding gene segments. The variable heavy chain ( $V_H$ ) region is encoded by three types of gene segments, V (variable), D (diversity), and J (joining). There are 7  $V_H$  families ( $V_H1-7$ ) containing a total of 51  $V_H$  gene segments, 27  $D_H$  gene segments, and 6  $J_H$  gene segments located on chromosome 14.  $V_H$  combinatorial diversity arises from the assortment of the three genes comprising the VDJ cassette. The variable light chain is encoded by two types of gene segments, V and J. There are two classes of light chains: variable kappa ( $V\kappa$ ) on chromosome 2 and variable lambda ( $V\lambda$ ) on chromosome 22. There are 6  $V\kappa$  families ( $V\kappa1-6$ ) containing a total of 40  $V\kappa$  gene segments and 5  $J\kappa$  gene segments. There are 11  $V\lambda$  families ( $V\lambda1-11$ ) containing a total of 29  $V\lambda$  gene segments and 4  $J\lambda$  gene segments. Combinatorial diversity is lower in the light chain VJ cassettes when compared to the heavy chain due to the absence of D segments.

Somatic V-region rearrangement (VDJ recombination) is carried out by the recombinase enzymes RAG-1 (recombination-activating gene-1) and RAG-2 (recombination-activating gene-2).  $V_H$  rearranges by creating a DJ cassette first which is then joined to a V gene. RAG1/2 bind as a complex to recombination signal sequence (RSS) regions and bring two gene segments together while cleaving the RSSs. The DNA that is looped out between the two gene segments is excised from the genome. Artemis:DNA-PK adds palindromic P-nucleotides to the open DNA hairpin. The open

ends are modified by terminal deoxynucleotidyl transferase (TdT) that randomly adds non-template-encoded N-nucleotides to the ends. Nucleotides are also deleted at the end of segments. This process of random addition and deletion of nucleotides, termed junctional diversity, adds to the variability of possible Igs produced. Not only does this exponentially increase the diversity, it also increases the chance for nonproductive rearrangements, which will be removed from the B cell progenitor pool during development.

#### *B cell development in the bone marrow to the periphery*

B cells develop from a common lymphoid progenitor within the bone marrow. The earliest B-lineage cell is the pro-B cell where antibody rearrangement begins. The early pro-B cell is identified by the rearrangement of the D-J cassette in the  $V_H$  gene followed by the late pro-B cell with the joining of the DJ cassette to a V gene. Once this VDJ has been rearranged, it is transiently joined to the  $\mu$  chain region forming the pre-B cell receptor (BCR). The pre-BCR is comprised of a rearranged  $V_H$  and  $\mu$  chain with a surrogate light chain and CD79a/CD79b (Ig- $\alpha$  and Ig- $\beta$ ), which is expressed on the surface of the cell. Signaling through the pre-B cell receptor allows the cell to pass an important checkpoint of successful  $V_H$  rearrangement and further rearrangement at this locus is halted. This large pre-B cell proliferates to form multiple clones of small pre-B cells. Light chain rearrangement of the V-J cassette begins in each of small pre-B cells first with the  $V_\kappa$  genes and only moves on to the  $V_\lambda$  genes once the first two  $V_\kappa$  chromosomes have failed at producing a successfully rearranged light chain. An intact monomeric IgM molecule with a productive heavy and light chain is expressed on the surface of an immature B cell.



The immature B cell is now tested within the bone marrow for tolerance to self-antigens. This process of negative selection, known as central tolerance, removes immature B cells that have a strong autoreactivity. There are four possible routes for a self-reactive immature B cell. One is death by apoptosis or clonal deletion due to strong binding to a cell-bound antigen creating an aggregation of BCR on the surface without a secondary signal in the absence of T cells (70). A second route is anergy, which makes the cell nonresponsive to stimuli. A B cell can also be immunologically ignorant due to the low level of self-antigen or unavailability of an antigen from an immunoprivileged site (71). While these B cells are not responding at the present time, they could become active if they encounter their antigen. This usually occurs only when antigen matriculates from the once immunoprivileged site. The fourth and final route is a process called receptor editing in which the BCR is altered by rearranging a new antibody or modifying the existing antibody in an attempt to abrogate self-recognition.

About 10% of B cells pass central tolerance and exit from the bone marrow. Immature B cells that pass selection in the bone marrow migrate into the periphery as mature B cells with IgM and IgD isotypes expressed on the cell surface. These immature B cells enter the transitional B cell stage prior to additional tolerance checks occur in the periphery. B cells that strongly cross-link antigens undergo clonal deletion or, to a lesser extent, receptor edit. If they do not encounter a strong signal, the transitional B cells migrate into the spleen and enter the red pulp where they become mature B cells. Once they migrate from the spleen, mature B cells circulate through the blood and lymphatic system until they encounters their cognate antigen. Antigens enter the lymph node through the afferent lymphatics and the immune cells enter through the high endothelial venules. T cells reside in paracortical areas and B cells are in follicles within the cortex of

the lymph node. B cells express CXCR5 and migrate towards CXCL13 produced by follicular dendritic cells (FDCs) residing in secondary lymphoid organ follicles. The B cells promote the follicle structure of the germinal center (GC) through the production of lymphotoxin- $\alpha$ , which is needed for FDC development. This GC reaction involving FDCs, CD4<sup>+</sup> T cells, and B cells is essential for creating effector B cells with increased affinity for their cognate antigen. The B cell has four fates after exiting the GC: it can become a memory cell which will circulate and respond quicker and more robustly to a second encounter with the antigen, a short lived mobile plasmablast which remains in the medulla of the node, or it can migrate to inflamed tissue, or will enter the bone marrow and become a long-lived plasma cell.

#### Somatic hypermutation (SHM) and affinity maturation

Signaling through the BCR directs the fate of the B cell. B cells can be activated through their BCR differently depending on the type of antigen that binds to the BCR. A T cell-independent (TI)-1 antigen is a B cell epitope linked to a polyclonal activator or mitogen. This leads to rapid differentiation into IgM plasmablasts with the BCR functioning as a dock for the mitogen to activate the cell. A TI-2 antigen is a polyvalent highly repetitive epitope, such as a carbohydrate, capable of cross-linking many BCRs to induce a signal. These B cells are CD5<sup>+</sup> B-1 cells that contain a restricted V region repertoire and secrete IgMs. Conventional B-2 cells respond to T-dependent (TD) antigens, which require cognate T cell help to mount a response.

Once a B cell interacts with an antigen and receives help from a CD4<sup>+</sup> T cell, it moves into the center of the germinal center (GC) and proliferates rapidly. This secondary follicle consists of proliferating B cells (centroblasts) in the center and smaller

non-proliferating B cells (centrocytes) at the edge interacting with FDCs and T cells. The dark zone of centroblasts is where affinity maturation occurs through the process of somatic hypermutation (SHM) and the light zone of centrocytes is where clonal selection and class switch recombination (CSR) occurs.

Within the expanded centroblast B pool, point mutations occur within the antibody predominately within the variable region's complementarity determining regions (CDRs) and less so in the framework regions (FRs). This process is termed somatic hypermutation (SHM) and creates a clonally related B cell pool with mutations in their BCRs. Activation-induced cytidine deaminase (AID) is the enzyme that promotes mutations along the gene by deaminating cytidine to uracil at preferential DNA motifs. This uracil is removed from the gene by strand breaks and low-fidelity DNA repair enzymes and polymerases. In competing for antigen bound to the surface of FDCs with the other expanded B cells, only those harboring beneficial mutations that increased their affinity for the antigen receive survival signals. This process is affinity maturation and clonal selection. In addition to SHM and clonal selection, B cells can also receive cytokine signals to class switch their antibody from IgM to a IgG, IgA, or IgE which have various effector functions. CSR also requires AID activity and occurs at the genome level to juxtapose the existing VDJ to a new constant region. IL-4 is the main cytokine signal involved in class switching to any isotype away from IgM. IL-21 is involved in switching human B cells to IgG<sub>1</sub> and IFN $\gamma$  is a secretion factor for IgG<sub>1</sub>. IL-4 is a switch factor for human B cells to IgE or IgG<sub>4</sub>, and IL-5 or TGF $\beta$  to IgA. Alternative splicing of the mRNA leads to the formation of either surface bound or secreted Ig of the same specificity.

### Receptor editing

Autoimmune B cells can escape to the periphery and the majority B cells emerging from the human bone marrow are auto-reactive (55-75%) (72). Self-reactive B cells in the bone marrow either undergo apoptosis or a process termed “receptor editing” in an attempt to survive selective pressure against self-reactivity (73-76). Receptor editing is a prominent feature in the bone marrow (77, 78). This process requires secondary rearrangement of antibody genes. Antibody heavy chain editing is complicated by the  $D_H$  gene, which is deleted during primary rearrangement in conjunction with the original 12 bp spacer RSS needed to combine with the 23 bp spacer RSS flanking the  $V_H$  or  $J_H$  genes. Despite this, editing at the heavy chain has been demonstrated to occur at cryptic RSS sites present in the 3' end of  $V_H$  genes which allows for V: VDJ replacement (79, 80). This cryptic RSS can be found in most  $V_H$  genes and allows for RAG binding to allow for the secondary rearrangement, though at a lower efficiency than during primary rearrangement (81). In the normal human B cell repertoire it is estimated that 5-10% of the BCRs are a product of  $V_H$  receptor editing (81). During replacement, a footprint of the previous  $V_H$  gene can sometimes be found within the newly formed CDR3 region (81) and can thus elongate the region. Despite using a different mechanism than light chain editing, it has been found that heavy chain rearrangement also utilizes downstream  $J_H$  genes, namely the final  $J_{H6}$  segment (82). It is estimated that approximately 59% of mature B cells that leave the bone marrow can bind self-antigen (72). In the periphery, anergy, apoptosis, or gene replacement/editing must control these cells to prevent autoimmunity. Also, the lack of cognate T cell help can maintain peripheral tolerance since central tolerance is more robust in T cells compared to B cells.

## **B cell involvement in MS**

### *Intrathecal Ig and oligoclonal banding (OCB)*

The earliest evidence for a dysregulated B cell compartment in MS was the discovery of elevated intrathecal Ig levels and oligoclonal banding (OCB; clonal production of Igs) in the CSF (83-87). Reports suggest that 60% to over 90% of CIS and MS patients have OCBs in their CSF (88-91). Although other inflammatory neurological diseases can also present with OCB (88, 92), in these cases, once the infection or inflammatory insult has cleared, the OCB wanes. In MS, the OCB remains constant throughout the disease course pointing to a continual B cell response to self-antigens. Intrathecal IgG from MS patients recognizes similar peptides over time (93) reflecting a persistent response to self. CIS patients presenting with IgG OCB as compared to OCB negative patients have a higher risk (62% OCB+ convert vs 19% OCB-) and faster progression to CDMS (0.7-3 vs 9.9 years), and have a higher risk of greater EDSS accrument (risk ratios 1.65-2.03) once progressed to CDMS (91, 94, 95). Patients with the highest probability of converting to CDMS have both elevated IgG index and IgG OCB (96). Additionally, increased CSF IgG levels correlated more strongly with development of CDMS than an abnormal MRI in an ON<sub>CIS</sub> cohort (after 8 years: 50% conversion with abnormal MRI, 100% conversion with increased CSF IgG) (89). OCB was also a better predictor than MRI of conversion to CDMS in a TM<sub>CIS</sub> cohort after 2 years (81% conversion with abnormal MRI, 92% conversion with OCB) (97). Collectively, these findings suggest that elevated intrathecal B cell activity measured by antibody production is a metric of worse prognosis and that their Igs likely play a role in the pathogenesis of the disease.

### *Ig and complement in CNS lesions*

MS is a heterogeneous disease with varied presentations and symptoms suggesting that there are diverse underlying immunopathologies among patients or within different regions of the CNS of patients. One seminal study that supports this hypothesis defined four patterns of demyelination based on immune cell representation and CNS targets (6). 53.5% of lesions follow pattern II demyelination with pronounced deposition of Ig and complement at the site of active demyelination. Pattern I (12.3%) lesions have B cells but no deposition of complement within lesions, pattern III (30.1%) lesions are dominated by T cells, and pattern IV (4.1%) lesions also have T cells but are characterized by oligodendrocyte abnormalities (6). Clinical studies of plasmapheresis treatment have shown significant symptom improvement in patients harboring pattern II lesions (98, 99), implicating the pathogenicity of antibodies in this patient group. Furthermore, the transfer of IgG from an MS patient responsive to immunoadsorption exacerbated the course of disease in experimental autoimmune encephalomyelitis (EAE), a mouse model of MS (100) demonstrating the pathogenic potential of these antibodies.

A number of immunopathology studies of MS CNS plaques suggest a role of Ig and complement in the lesion pathology. IgG and complement are present on disrupted myelin tracts in active demyelinating lesions and within the associated myelin laden macrophages (101-107). Deposits of IgG are also found along axons within MS CNS that are currently undergoing demyelination (108). Increased levels of complement components are detected in both CIS and MS CNS (106, 109) and CSF (110). Both increased intrathecal Ig synthesis (111, 112) and complement activation (113) are also associated with a more aggressive disease course. Accordingly these findings implicate a pathological role for antibodies in the pathoetiology of MS.

### Elevated levels of B cells

B cells are rare in the CSF of normal humans, comprising only 0.5-1.0% of the total lymphocytes (114-116). In patients with MS, B cells represent 0.3-17% of the CSF lymphocytes (117, 118). Furthermore, these B cells in the CSF of MS patients are predominantly of the memory phenotype ( $CD19^+CD27^+$ ) with the naïve to memory ratio being lower than 1 (118, 119). Memory B cells also more readily pass the BBB in comparison to their naïve counterparts through the upregulation of integrins (116). RRMS patients undergoing an attack have an expansion of B cells in the CSF (active RRMS: 1.6% vs stable RRMS: 0.8%), which are predominately of the memory phenotype (80-85%) (118, 119). Additionally, paired patient information revealed that those with the highest frequency of memory B cells in the CSF had the lowest frequency of memory B cells in the periphery (119) and that levels of  $CD27^+$  B cells increased in the periphery of patients in remission as compared to relapse (120). This suggests that B cells are recruited to the CSF from the periphery in these patients, and recent repertoire data underscore this possibility with shared peripheral B cell progenitors and mutated clonally related daughter cells in the CSF (121). From a clinical view, elevated levels of B cells in the CSF correlate with lesion activity on MRI (5, 117). B cell expansion occurs early in both CIS and established RRMS patients, and correlates with brain inflammation in both types (122). These CSF B cells are hyperactive since *in vitro* culture of CSF derived B cells in the presence of IL-6 induces significant IgG secretion compared to CSF derived B cells from healthy controls (123). B cells (101, 124, 125) and plasma cells (6, 126, 127) have been detected in the CNS of MS patients.

### Ectopic B cell lymphoid follicles

Ectopic B cell lymphoid follicles can be found in the meninges (128, 129), suggesting that B cell maturation and antigen driven selection can be sustained locally in the CNS. Patients who were positive for ectopic follicles have a more aggressive disease course with follicles found adjacent to cortical subpial lesions (128, 130) with a gradient of neuronal death outwards from these meningeal follicles (131). Elevated levels of CXCL13 and lymphotoxin alpha, chemokines necessary for GC follicle formation (132), are found in MS CNS (133, 134) and CSF (135, 136). The ability to find B cell subpopulations within the CSF representing the stages normally found within a GC (133) provides further evidence of locally derived B cell activation and differentiation. Tertiary follicles have also been found in the meninges and parenchyma of a B cell dependent myelin basic protein (MBP)-proteolipid protein (PLP) fusion protein (MP4) EAE model (137). Furthermore, there is evidence of T cell epitope spreading after follicle development (137). In the traditional MOG peptide-induced EAE, T cells interact with antigen presenting cells (APCs) within the spinal cord leptomeninges prior to entering the parenchyma (138) promoting a relevant role of ectopic follicles in diversification and progression of disease. Diseased-organ-associated ectopic B cell follicles are also found in other autoimmune diseases with chronic pathological B cell activation such as myasthenia gravis (139), rheumatoid arthritis (RA) (140, 141), Sjogren's syndrome (142, 143), Hashimoto's (144), and even during tuberculosis infection (145). The formation of ectopic GCs is considered a result of chronic inflammation and persistence of antigen(s).



### Clinical evidence for a role of B cells in disease pathology

Disease modifying therapeutics administered to MS patients have effects on various immune cell populations. Clinical evidence of the known inhibitory effects on B cells in MS disease and is listed in Table 1-1.

#### Interferon (IFN) $\beta$ -1a/ $\beta$ -1b (Avonex/Rebif/Betaseron)

Several clinical trials have demonstrated that treatment with any of the three preparations of IFN- $\beta$  after the first clinical event delays the conversion to CDMS (146). IFN- $\beta$  treatment of MS patients reduced the levels of CD40L<sup>+</sup> T cells to normal as compared to non-treated patients (8.3% vs 4%) (147). This could decrease the ability of T cells to interact and provide costimulation through CD40 on the B cell and reduce class switching. In fact, peripheral B cells from IFN- $\beta$  treated RRMS patients had modest but significant reductions in class-switched memory B cells (17% vs 19%) and an increase in naïve B cells (66% vs 61%) (119). IFN- $\beta$  treatment also lowered the expression of CD40 and CD80 on the B cells (CD40: 14.9% vs 11.9%, CD80: 5.5% vs 3.1%) (148) which is also necessary for B-T cell costimulatory interactions. Treatment can also cause reduced IL-12 and increased IL-10 levels from *in vitro* PBMC stimulation with bacterial components (149) which could reduce the activity of both B and T cells. However, it is puzzling that IFN- $\beta$  treatment also increased serum B-cell activating factor (BAFF) levels (150), but this may account for elevated levels of neutralizing anti-IFN- $\beta$  antibodies in some treated patients (151). In fact, neuromyelitis optica NMO patients (a neuroimmunological autoimmune disorder) respond poorly to IFN- $\beta$  treatment and relapse with increased anti-aquaporin 4 (AQP4) titers (152-154) most likely due to BAFF supporting the development and survival of plasma cells in the periphery.

### Glatiramer acetate (Copaxone)

Glatiramer acetate (GA) was initially developed as a synthetic MBP mimic to induce EAE but actually ameliorated disease (155) and has become a MS therapeutic. GA is a copolymer of a random assortment of alanine, lysine, glutamate, and tyrosine to roughly resemble MBP. Clinical trials demonstrated that GA treatment reduced the clinical attack rate and number of new lesions in RRMS patients (156, 157). Serum from GA treated patients have reduced TNF $\alpha$  and increased IL-10 levels (158) which could reduce the activity of both B and T cells. Monocytes from GA treated patients had an anti-inflammatory phenotype with an increase in IL-10 and decrease in IL-12 production (159). CD8<sup>+</sup> T cell proliferation could be reduced in the presence of B cells (as the APCs ) and GA in an *in vitro* culture system in 83% (n=5/6) of MS patients (160). *In vitro* treatment of GA in B cell cultures does not alter B cell proliferation or cytokine secretion but the complex effects *in vivo* of these responses are being investigated (161).

### Mitoxantrone (Novantrone)

Mitoxantrone is primarily an anti-cancer treatment and functions by disrupting DNA synthesis and repair and thus is a potent immunosuppressant. This drug is predominately perscribed for SPMS and not RRMS patients due to potential cardiac damage and risk of malignancy (162). A 2-year clinical trial of mitoxantrone treatment for patients with worsening RRMS or SPMS found a reduced progression of disability and exacerbations (163). In addition to being a immunosuppressant it has some modulatory activity in reducing levels of secreted antibodies (164) most likely due to the depletion of B cells proliferating into plasmablasts or plasma cells.

### Fingolimod (Gilenya)

A more recent drug treatment applied to MS is fingolimod, an anti-sphingosine-1-receptor (S1P) antibody which blocks the egress of lymphocytes from secondary lymphoid tissue (165), leading to decreased global levels of circulating lymphocytes (166). These sequestered B and T cells still have the capacity to proliferate and make antibodies but physiologically are unable to leave the lymphoid tissues (167). In a 2-year phase 2/3 trial of fingolimod treatment in RRMS patients, patients had reduced annual relapse rate, disability progression, and new lesions on MRI (168). Fingolimod treatment of MS patients significantly reduced the levels of CSF lymphocytes but had no effect on intrathecal IgG levels and B cells were still present in the CSF (169) possibly due to a CNS compartmentalized regeneration of B cells in these patients.

### Natalizumab (Tysabri)

Natalizumab is an anti-very-late-antigen-4 (VLA-4) antibody designed to block the entry of any immune cells into the CNS (170-173) leading to the increased retention of these cells in the periphery (174). In a 2-year phase 3 trial of natalizumab treatment, RRMS patients receiving the drug had reduced relapse rates and fewer new lesions as defined by MRI (175). Memory B cells express high levels of VLA-4 and utilize this to pass through the BBB into the CNS (116). Levels of B cells in the CSF are significantly decreased after treatment with natalizumab (169, 173) and remain low even 6 months after cessation of treatment (172). Circulating peripheral B cells increased 2.8 fold while other lymphocytes increased 1.5 fold 16 months after natalizumab therapy (176). Interestingly, a study of clinical response to the treatment showed that 44% (n=10/23) of the patients that remained relapse free had no difference in CD4<sup>+</sup> T cell levels but had

significantly lower intrathecal Ig synthesis levels and lower plasmablast levels (fell from 1.7%-0% in responding patients vs 2.3%-0.4% in non-responders) (177). One year after natalizumab treatment, levels of the neurodegeneration marker neurofilament (NF) light chains in the MS CSF were reduced to normal levels (178) indicating that this drug can help prevent axonal damage.

### *Rituximab (Rituxan)*

Most interestingly, the clinical use of rituximab, a chimeric anti-CD20 B cell depleting antibody, has also provided evidence for the pathological role of B cells in MS. This was first used as a treatment for B-cell non-Hodgkin lymphomas and B cell malignancies expressing CD20. Rituximab treatment decreases brain inflammation in RRMS (179-181) and SPMS patients (182), with similar results seen with the humanized version ocrelizumab in RRMS patients (183). It also reduced the severity of recombinant human MOG-induced EAE in hCD20tg mice with reduced T cell responses and anti-MOG IgG levels (184). Reduced total IgG levels were not observed in patients who responded to rituximab therapy although they demonstrated a reduction in relapses and new lesion formation suggesting that B cells' main contribution to pathology is through APC functions and activation of T cells. However, in other autoimmune diseases treated with rituximab there are significant decreases in the disease-associated IgG but not the total levels of IgG.

Rituximab depletes both naïve and memory B cells. A high amount of antigen in an autoimmune setting promotes production of short-lived plasmablasts from memory precursors. This memory B cell pool is depleted after rituximab treatment, resulting in a decline of plasmablasts as well as autoantibody titers (185). In fact, levels of memory B

cells in NMO patients treated with rituximab mirror the levels of anti-aquaporin-4 (AQP4) antibodies with clinical relapses associated with peaks in both (186), suggesting that the plasmablast pool is replenished from the memory B cell pool. Vasculitis patients with somatically mutated and IgG class-switched antibodies against neutrophil serine protease proteinase-3 (PR3) who responded favorably to rituximab treatment had concomitant reductions in anti-PR3 antibody levels without any significant differences in total IgG levels (187). Similarly, in systemic lupus erythematosus (SLE) patients, early relapse post rituximab treatment was associated with increase in autoantibody titers to dsDNA and Ro/SSA, with total IgG and responses to microbial antigens remaining unchanged in either patient group (188, 189). This trend of a specific reduction in autoantibodies and not total IgG is also seen in thrombotic thrombocytopenic purpura (190), RA (191, 192), pemphigus (193), anti-MAG neuropathy (194), and myasthenia gravis (195). Therefore, it is still too early to dismiss the therapeutic scope of rituximab on autoantibody levels in MS since the target auto-antigen(s) remains unknown.

#### Plasmablasts and autoimmunity

Plasmablasts are short-lived antibody producing cells and are identified by high levels of CD27 (196). CSF plasmablasts have been identified as the main effector B cell in active MS, levels correlate with disease activity as evidenced by MRI (117), and are clonally related (197). Treatment with natalizumab was effective in MS patients if they had lower levels of CSF plasmablasts before treatment and maintained low levels post-treatment (177). An abnormal expansion of CD27<sup>high</sup> plasmablasts has been documented in the afflicted compartments of several autoimmune diseases such as RA (198-200),

Sjogren's syndrome (142), SLE (200), pediatric SLE (201), NMO (202), ankylosing spondylitis (203), and pediatric ulcerative colitis (204).

#### Altered B cell repertoires in MS

The V<sub>H</sub> chain has a larger number of contacts with the antigen and can impart a greater impact on antigen binding (205), though not always. Normally, the V<sub>H</sub> repertoire has family usage that roughly mimics the germline prevalence of the available genes with V<sub>H</sub>3 being the most commonly used family (46%) followed by V<sub>H</sub>4 (21%), V<sub>H</sub>1 (19%), V<sub>H</sub>2 (6%), V<sub>H</sub>5 (4%), V<sub>H</sub>6 (2%), and V<sub>H</sub>7 (2%) (206-210); this has been reconfirmed more recently using next generation sequencing (NGS) (208). A meta-analysis approach surveying V<sub>H</sub> repertoires across various methodologies has found that the V<sub>H</sub> gene usage is surprisingly constant between individuals (210). Analysis of Ig variable region repertoires offers additional evidence of a dysregulated B cell response in MS and CIS patients. Clonal expansion, accumulation of SHM, and class switched Igs are indicative of an antigen-driven B cell response. Dominant B-cell clones are found within CNS (211-213) and CSF (214-221). This also occurs at the early CIS stage of disease (216, 222, 223). Also, the MS CSF B cells accumulate high levels of somatic mutations and undergo the process of intraclonal diversification (214, 215, 218, 219). Conversely, class-switched marginal zone B cells isolated from mice, which do not participate in the GC reaction, have significantly lower levels of mutations than follicular B cells from the same mouse (224). Mice lacking AID have reduced severity of EAE disease accompanied by lower recall responses in CD4<sup>+</sup> T cells to myelin peptides (225) demonstrating the importance of SHM diversification of the B cell repertoire.

Previous work in our laboratory has demonstrated that MS CSF derived B cells undergo typical GC reactions (214, 220), as evidenced by mutational targeting to CDRs typical of GC selection (226, 227). 75% of MS patients (n=6/8) had CSF V<sub>H</sub> repertoires with significantly higher mutation frequency (MF) accumulation compared to peripheral blood from healthy individuals (220). We have also reported clonal expansion of the V<sub>H</sub> repertoire within the MS CSF (214, 220). Furthermore, intraclonal diversification and receptor editing of the Ig were evident (214). Our laboratory has also published a unique pattern of SHM in CSF V<sub>H</sub>4<sup>+</sup> B cells from ON<sub>CIS</sub> and MS patients indicative of similar auto-antigenic pressures (228).

Altered B cell repertoires have been isolated from the CNS tissue of MS patients. MS CNS Ig analysis by complementarity determining region 3 (CDR3) spectratyping revealed that V<sub>H</sub>1 and V<sub>H</sub>4 had the most OCBs (212). Further sequencing of these V<sub>H</sub>4 and V<sub>H</sub>1 samples showed clonal expansion in both (212). IgGs isolated from CNS tissue from both MS and measles infection of the CNS patients were analyzed to determine if the V<sub>H</sub> gene usage would be similar in either case of neuroinflammation (229). In MS patients, V<sub>H</sub>4 dominated (60%) while in measles CNS V<sub>H</sub>1 dominated (43%) (229) illustrating that V<sub>H</sub>4<sup>+</sup> B cells are characteristic of MS neuroinflammation. Additionally, these V<sub>H</sub>4<sup>+</sup> IgG were all mutated and displayed GC-like targeting of an increased replacement to silent mutation ratio (R:S) in the CDR (229). Some of the V<sub>H</sub> sequences found between two lesions within the same patient were shared but the majority were unique (229).

MS CSF, more readily accessible compared to CNS, also reveals altered B cell repertoires. CSF B cells from patients with either MS or viral meningitis patients were analyzed to determine whether clonal expansion would be similar in either case of

neuroinflammation (217). The viral meningitis patients (n=2) had a predominantly polyclonal response with equal amounts of IgG<sup>+</sup> and IgM<sup>+</sup> B cells while half (n=2/4) of the MS patients had a dominant IgG<sup>+</sup> B cell population, 1 had equal IgG<sup>+</sup> and IgM<sup>+</sup>, and 1 had an IgM<sup>+</sup> populations (217). However, upon closer examination of the IgG repertoires, all MS patients had clonally expanded IgG sequences, with only one patient having an IgM clone (217). A similar study was done comparing the CSF repertoires of MS and other neurological disease (OND) patients including viral encephalitis and meningitis and also found an oligoclonal response in all the MS patients (n=10) and in 30% (n=3/10) of the OND, of which had CNS infections (218). The oligoclonal response in the MS patients had evidence of intraclonal diversification, which was absent in the OND patients (218). Another study also found a dominant V<sub>H</sub>4 mutated and clonally expanded presence in the CSF of MS patients (215). V<sub>H</sub> sequences isolated from both CD19<sup>+</sup> B cells and CD138<sup>+</sup> plasma cells from the CSF of MS patients also demonstrated mutated and clonally expanded repertoires in each of the populations (230). About 55% (B cell) and 70% (plasma cell) of the CSF repertoires were V<sub>H</sub>4 family member genes, while in the PB of both MS and HC equal V<sub>H</sub>4 usage was seen (32% and 23%) (230). This suggests that an abnormal expansion of V<sub>H</sub>4 B cells may reflect responses to CNS antigens.

Comparisons of which B cells continue to develop into plasma cells was carried out by comparing the repertoire of CD19<sup>+</sup> B cells and CD138<sup>+</sup> plasma cells isolated from the CSF of 3 MS patients (221). Expanded and mutated clones were found in both populations with little sequence overlap between the CD19<sup>+</sup> B cells and CD138<sup>+</sup> plasma cells (221). This may be due to the relatively few number of sequences obtained, which may have missed some of the related V<sub>H</sub> sequences. Alternatively, a small subset of B



cells may be responsible for the plasma cell population. A similar finding of infrequent overlap between the two B cell populations was also seen in CIS CSF (222). However, one study of 2 MS CSF repertoires reported shared V<sub>H</sub> sequences between clonally related plasmablasts and plasma cells (197). V<sub>H</sub>4 dominates the IgG (subclass unknown) plasma cells (67%) but not the IgM plasma cells (19%), and V<sub>H</sub>1 genes are present in roughly 2% of the plasma cells (221). This demonstrates that the V<sub>H</sub>4 expansion documented by others may be clinically relevant since they are present in the class switched complement-activating IgG class of antibodies.

Clonal expansion and a bias of V<sub>H</sub>4 usage are already evident in CSF B cells isolated from ON<sub>CIS</sub> patients but not in the periphery of the same patients (57% and 16%) (222). In the small study group, patients with either V<sub>H</sub>4 or V<sub>H</sub>2 repertoire bias progressed to CDMS within 2 years compared to those without this bias (222). Another similar study of B cells and plasma cells from ON<sub>CIS</sub> patients demonstrated clonal expansion (17-75%) and evidence of intraclonal diversification within the members (223). In analyzing CDR3 regions, another group showed that 81% (n=13/16) of CIS patients have clonally expanded B cells in the CSF (compared to 9% ONDs, those positive had herpes or acute disseminated encephalomyelitis (ADEM) (216). Furthermore, 77% of the CIS patients that had clonally expanded B cells in the CSF converted to CDMS 1-6 years later (216), suggesting that this early expansion of B cells places the patient at a high risk to convert. Contrary to other reports, V<sub>H</sub>3 dominated over V<sub>H</sub>4 in the clonally expanded members but this could be due to a low number of clones analyzed (n=12) or to catching these patients at a very early stage where the V<sub>H</sub>4 clones have not yet expanded fully (216). Overall, the repertoire had proper GC-like targeting to increased R:S within the

CDR vs FR (216) indicating an early antigen driven response is already occurring in these CIS patients.

A proteomic profile of CSF-derived Ig in MS and healthy controls undergoing anesthesia procedures was created to identify shared patterns of peptide sequences among the patient groups (231). Not surprisingly, they found an increase in V<sub>H</sub>4 gene usage in MS patients compared to healthy individuals (231). Interestingly, 3 V<sub>H</sub> peptides were shared between 3 or more of the 29 MS patients tested, which were all part of the CDR2, but they found no similar peptides among the proteome of the 30 healthy controls (231). This reinforces the hypothesis that similar auto-antigenic pressure drives mutation and gene selection of the Ig produced by activated MS B cells. A paired study of B cells from the CSF (proteome and transcriptomes) and CNS (transcriptomes) of the same MS patients found a strong overlap of V<sub>H</sub> sequences (39-84%) between the compartments suggesting that the CSF reflects the CNS B cell compartment (232). They also found 3 dominant heavy chain genes used in the CSF B cells: 2 V<sub>H</sub>4 genes and 1 V<sub>H</sub>3 gene (232). The CSF proteome was compared to the transcriptome, to determine if the CSF B cells produce the Ig in the CSF, and it was found that they are closely related and predominately of the V<sub>H</sub>4 family (233). Furthermore, 91-100% of the V<sub>H</sub> sequenced belonged to the IgG<sub>1</sub> class and were somatically mutated, compared to only 17% IgG<sub>1</sub> found in healthy serum (233). Finding shared clonally expanded, mutated, and class switched B cells in both the CSF and CNS provides support for CSF repertoire analysis in reflecting what is present in the diseased CNS tissue.

Additional compartments have been simultaneously analyzed: V<sub>H</sub> repertoires from MS meninges, plaques, NAWM, and CSF were examined to study the spread of B cell clones throughout the parenchyma (234). Clonal expansion in the meninges and

parenchyma was similar (24% and 28%) and both had dominant IgG representation (~90%) (234). There was some overlap between the two compartments, but when this was analyzed for only the clonally expanded and mutated sequences there was a range of 39-62% overlap in all patients tested (234). V<sub>H</sub>4 usage was dominant in the plaques as expected, but also in the NAWM and meningeal repertoires (234).

However, comparing the V<sub>H</sub> repertoire in paired CNS and PB MS patient samples revealed unique clones in each compartment suggesting a compartmentalization within the CNS (211). This was also found in a study of paired CSF and PB MS patient samples (218). Due to the emergence of next generation sequencing (NGS), the amount of sequences isolated from each patient has increased so that rare sequences are more easily detected. Using NGS, evidence of clonal exchange across the BBB with shared members in the peripheral blood (PB) and CSF of MS patients was detected albeit at low levels (~2%) (121).

Longitudinal studies of V<sub>H</sub> repertoires isolated from MS CSF has identified related clonal members detected 4 years after initial sampling (219) with intracлонаl diversity suggesting a continued antigen-driven response. A bias of V<sub>H</sub>4 usage was also detected in the CSF plasma cells of a patient 3 years after initial sampling (230). Intracлонаl diversification has been detected in the B cells from MS CSF (214, 218) and in one MS CSF patient, the same clones were detected 1 year later (218), again reinforcing the hypothesis of continual selective stimulation. Characterization of the altered B cell repertoires can offer insight into the antigens driving MS disease. This shared bias of V<sub>H</sub>4 usage, clonal expansion, GC-like mutation targeting to CDR, class switched IgG, and increased mutation accumulation in the diseased CSF and CNS strongly suggests a shared set of auto-antigens driving activation of B cells.

### **Putative auto-antigen targets in MS**

There are many possible auto-antigens (235-237), especially because MS is a heterogeneous disease with both WM and GM involvement. About 50 years ago, *in vitro* experiments of EAE sera demonstrated the ability of a humoral component to induce demyelination (238, 239). In the late 1980s, passive transfer of antibodies against myelin oligodendrocyte glycoprotein (MOG), a myelin component, induced demyelination in EAE models (240, 241) offering a clue that antibodies could mediate damage. Since then, research has been heavily focused on myelin components as immunological targets of MS disease. Novel non-myelin components have also been investigated as potential targets. Additionally, some posit that polyclonal activation of B cells by EBV infection can cause an exaggerated humoral response contributing to MS disease (242, 243) or that cross-reactivity between a pathogen and self can lead to autoimmunity (244, 245). Detection of candidate auto-antigens is predominately done by screening the reactivity of MS serum or CSF while other approaches include cloning of rearranged antibody gene segments isolated from MS patients and using these as molecular probes (235, 246). Both potential myelin and non-myelin targets are listed in Table 1-2.

### **Myelin components**

Due to the fact that demyelination is a hallmark of MS disease, there has been substantial work focused on determining which myelin components are the target of the humoral response. These targets are also attractive candidates in a CNS-restricted disease since proteolipid protein (PLP) and myelin basic protein (MBP) account for over 50% and 30%, respectively, of the CNS myelin (247). In the peripheral nervous system (PNS),

PLP is minimal and MBP represents only 5% of the myelin (247). There is support for anti-myelin antigens being a target for part of the humoral response in CIS (248, 249), MS (250-255), and EAE (240, 256-258). There have been reports of a more severe disease course correlated with increased levels of anti-MOG antibodies (259, 260) although other reports do not see any relationship between anti-MOG titers and relapse, EDSS, or MRI measures (251, 261, 262).

However, there is debate in the field as to the specificity of anti-MBP and anti-MOG antibodies for MS as they can also be detected in healthy people (263), other neurological diseases (264), are of low affinity in MS patients (265), and do not recognize refolded MOG (266). This may be partly due to the fact that autoantibodies are enriched in the CNS tissue and thus not circulating in the PB or CSF (252), or that they are not major contributors to MS disease pathology. Two immunohistochemical studies of cloned antibodies from MS CSF did not show any staining to NAWM or the three major myelin proteins: MOG, MBP, or PLP (267, 268). Additionally, a flow based assay with whole human myelin from CNS tissue to preserve both conformation and post-translational modifications, found that half of the MS serum bound to this presentation of MOG while only 3% bound to traditionally purified MOG (251) illustrating complex recognition beyond singular protein candidates. This also suggests that these antibodies are recognizing conformational discontinuous epitopes. While serum from some MS patients had the ability to demyelinate and damage axons *in vitro*, commercial anti-MBP or anti-PLP antibodies were unable to elicit the same damage (269) either due to being raised to altered epitopes or not recognizing the cellular presentation of the epitopes. This further illustrates the complexity of potential demyelinating targets from MS antibodies beyond that of the traditional myelin components.

### Oligodendrocytes

Oligodendrocytes are the specialized CNS cells that make the myelin sheath around axons. If these are targeted by the immune system, the remyelinating capacity of the CNS is reduced. In fact, as disease progresses it becomes less likely that a lesion will be repaired and remyelination becomes more sparse (270). Incubating CSF from active MS, and not quiescent disease, with a myelinating culture *in vitro* lysed oligodendrocyte progenitor cells in the presence of added complement (271), but it is unknown if this damage is due only to complement mediated lysis or to other damaging factors in the CSF from the patient. Increased levels of intrathecal anti-nogo-A (transmembrane protein on oligodendrocytes) antibody were seen in MS (272). 2-3-cyclic-nucleotide 3-phosphodiesterase (CNPase), another oligodendrocyte membrane protein, was identified as a target using proteomics approaches (273) and also isolated from immune complexes deposited in the CNS (274). One group found reactivity to oligodendrocyte specific protein in MS using denatured protein on a western blot to detect CSF antibodies (275). However, this may be an epiphenomenon of post damage clean up as this finding was later refuted using a cell-based methodology to preserve the native conformation of oligodendrocyte specific protein (276). Transaldolase in oligodendrocytes, needed for producing large amounts of lipids, is diminished along with MBP within lesions and anti-transaldolase, but not anti-MBP, antibodies were found in MS CSF (277, 278). Oligodendrocytes may be potential targets present in some MS patient's B cell pool, which could contribute to demyelination.

### Neurons and axons

Neurons and axons can be the primary targets for the humoral response rather than the traditional myelinating cells and the sheaths themselves. Incubation of CSF from aggressive RRMS with neurons *in vitro* induced cell damage, transected axons (279), and correlated with poor recovery post relapse (280). Antibodies isolated from clonally expanded B cells within MS lesions and CSF bind to axons (281, 282). Additionally, rats immunized with rMOG develop disease with axonal transection and apoptotic retinal ganglion neurons (283). A loss of neuronal precursors can reduce the ability of oligodendrocytes to remyelinate lesions (284) and have been identified as a putative cellular target in a flow cytometric assay using MS serum (285).

The most commonly studied neuronal auto-antigen is the neurofilament (NF) comprising the cytoskeleton. Increased level of heavy NF in the CSF, presumably released after neuronal damage, is associated with increased disability and MS relapses (286-288). Additionally, antibodies to both the heavy and light NF are detected in CSF and are associated with more aggressive disease from both MS (289-291) and CIS (288) patients indicating that axonal damage occurs at the earliest disease step. Immunization of mice with light NF induced an EAE disease with axonal degeneration in both the WM and GM of the spinal cord with an “inside-out” demyelinating process with axonal death preceding subsequent myelin sheath degradation (292, 293). Elevated CSF antibodies to tubulin, another neuronal cytoskeletal protein, are also detected in MS CSF (294, 295). Neurofascin at the axo-glial junction was identified as another axonal auto-antigen targeted in MS (296, 297), which could potentially block remyelination (298). Contactin-2 is another component in the axo-glial junction that was identified as a MS immune target through both autoantibody production and T cell proliferation, and also induces an

EAE disease with dominant GM damage (299). Neuron-specific enolase autoantibodies and auto-reactive T cells are detected in MS patients (300) and levels of neuron-specific enolase are increased in early EAE disease (301). Neuronal targeting is evident in tandem with myelin targeting during MS disease and may explain the prevalence and significance of GM damage in the disease.

#### *Astrocytes and BBB*

Astrocytes in the CNS are necessary to maintain the BBB as well as homeostatic support to surrounding cells in the CNS. Astrocyte damage occurs early in the inflammatory MS lesions (302). Astrocytes have been shown to be critical in promoting the remyelinating ability of oligodendrocytes (303, 304) and increase the ability for the BBB to heal after an assault (305). Antibodies against the astrocyte protein, GFAP, are present in the early pre-clinical stages of guinea pig EAE (306) and axonal/myelin damage at the same stage is detected in the same areas as astrocyte damage (307). AQP4 on astrocytes is a well-described target auto antigen on astrocytes in the related autoimmune disease NMO. Antibodies to AQP4 are diagnostic for NMO patients, pathogenic, and produce NMO-like lesions in mice (308-310) even in the absence of antigen-specific T cells (311-313). In MS serum, a recent study has identified the astrocyte protein KIR4.1 as a potential humoral target and this serum disrupted astrocyte morphology after injection into mice (314).

Another route in disrupting the BBB is with immune responses directed to the BBB itself. Both active and, to a lesser extent, inactive MS lesions have vessels disrupted in the BBB (16). Serum from active MS patients, but not inactive patients, stained brain microvascular endothelial cells and this staining correlated with increased number of



lesions by MRI (315) reflecting a breach of the BBB. Antibody binding to human umbilical vein endothelial cells was seen using serum from both MS and NMO patients, and correlated with increased spinal cord lesions and relapses in the MS cohort (316). Serum from SPMS patients induced both neuronal damage *in vitro* and a reduction in BBB proteins in an endothelial culture (317). Interestingly, *in vitro* cultures simulating the BBB have the highest functionality and resistance to permeability when both astrocytes and neurons are present to synergistically induce the endothelial cells to form a BBB (317, 318). Therefore, there may be complex physiological effects resulting from immune mediated targeting and damage of any of the major CNS cellular components.

### **Summary**

Evidence of clonally expanded and mutated B cells in MS and their proximal location to diseased tissue suggests that B cells are responding to CNS auto-antigen(s). This in conjunction with the wide array of putative auto-antigens proposed in the field and the heterogeneity of disease presentations and symptoms suggests that the targets are most likely complex and numerous. The aim of my work was to expand on the initial finding in the laboratory of a shared set of SHM rearranged immunoglobulin genes (the antibody gene signature (AGS)) in the CSF B cell repertoires of MS and high-risk ON<sub>CIS</sub> patients. We sought to determine whether this signature could also be detected at the site of disease within the CNS tissues. Also, we recruited TM<sub>CIS</sub> patients to determine the prevalence of the AGS in the other major CIS presentation. Furthermore, a more detailed analysis of both B cell subpopulations and BCR genomics was conducted to identify possible differential B cell representations and genomic pressures in TM<sub>CIS</sub> patients compared to ON<sub>CIS</sub> patients. After further characterizing B cell subpopulations and mutation patterns, the underlying goal was to determine the biological impact of a shared

mutation pattern on antibody targeting. A series of immunohistochemical techniques were employed to elucidate the biological relevance of the AGS in the targeting of antibody molecules to CNS tissue. Genomic and targeting studies of MS and CIS B cells could provide for a better understanding of the underlying pathological mechanisms of disease in order to offer future directives in the clinical care of these patients.

## TABLES FOR CHAPTER ONE: INTRODUCTION

<b>Table 1-1: Immunomodulatory therapeutics in MS and their B cell inhibitory effects</b>		
<b><i>Drug</i></b>	<b><i>Inhibitory effects on B cells in MS patients</i></b>	<b><i>References</i></b>
IFN- $\beta$	Reduces the levels of CD40L <sup>+</sup> T cells, reduces costimulation	(147)
	Reduces expression of CD40 and CD80 on B cells	(148)
	Reduces class-switched memory B cells and increased naïve B cells in periphery	(119)
	Reduces IL-12 and increased IL-10 levels in serum, inhibitory	(149)
Glatiramer acetate	Reduces TNF $\alpha$ , IL-12 and increased IL-10 levels in serum and from monocytes, inhibitory	(158, 159)
	Reduces <i>in vitro</i> CD8 <sup>+</sup> T cell proliferation cultured with B cells and GA	(160)
Mitoxantrone	Immunosuppressant and reduces antibody secretion	(164)
Fingolimod	Blocks egress of lymphocytes from secondary lymphoid tissue	(165, 167)
Natalizumab	Blocks entry of immune cells into the CNS	(170-173)
	Significantly reduces B cells in CSF	(169, 172, 173)
	Patients who respond well have lower intrathecal Ig synthesis and CSF plasmablasts	(177)
Rituximab	Depletes B cells, which reduces brain inflammation and relapses, no difference in intrathecal Ig synthesis	(179-182)
Ocrelizumab	Depletes B cells, which reduces brain inflammation and relapses, no difference in intrathecal Ig synthesis	(183)

**Table 1-2: Highlighted putative auto-antigen targets in MS**

Target	Evidence	References
<b><i>Myelin components</i></b>		
MOG	Anti-MOG in patient serum/CSF	(248-250, 253, 254, 259)
	Antibodies purified from CNS bind to MOG	(252)
	Serum from high-titered of anti-MOG patients stain WM tracts	(250)
	Transfer of anti-MOG antibodies exacerbates EAE	(240, 241, 319)
MBP	Anti-MBP in patient serum/CSF	(248, 249, 259, 320)
	Cloned antibodies from CSF bind to MBP	(255)
PLP	Fusion MBP-PLP protein induces antibody mediated EAE	(257)
	Anti-PLP in patient CSF	(321)
	Antibodies from PLP induced EAE bind to myelin and neurons	(322)
Whole myelin	Anti-myelin binding IgG in CSF	(249, 251)
	Patient serum/CSF tested on arrays reacts to multiple myelin components	(236, 323-325)
	<i>In vitro</i> demyelination from incubation with serum from MS and EAE	(238, 239, 269)
<b><i>Oligodendrocytes</i></b>		
OPCs	<i>In vitro</i> OPC death from incubation with patient CSF	(271, 326)
	Anti-OPC in patient serum	(285)
Nogo-A	Anti-NogoA in patient serum/CSF	(272)
CNPase	Cloned antibodies from CSF bind to CNPase	(255)
	Anti-CNPase in patient serum/CSF	(273, 274)
OSP		
Transaldolase	Anti-transaldolase in patient serum/CSF	(277)
<b><i>Neurons and axons</i></b>		
Axons	<i>In vitro</i> axonal damage from incubation with patient CSF	(279)
	Bound antibodies to axons in CNS lesions	(282)
	Cloned antibodies from CSF bind to axons	(281)
NPC/neurons	<i>In vitro</i> neuron damage from incubation with patient serum	(317)
	Anti-NPC and neuron in patient serum/CSF	(285, 327)
	MOG induced EAE had apoptotic retinal neurons	(283)
NF	Anti-NF heavy in patient CSF	(288)
	Anti-NF light in patient CSF	(289, 290)
	NF light induced EAE had GM and myelin lesions	(292, 293)
Tubulin	Anti-tubulin in patient CSF	(294)
Neurofascin	Anti-neurofascin in patient serum	(296)
	Anti-neurofascin transfer exacerbated EAE	(296)
Contactin-2	Anti-contactin-2 in patient serum	(299)
	Contactin-2 induced EAE had GM lesions	(299)
<b><i>Astrocytes and BBB</i></b>		
GFAP	Anti-GFAP in early EAE serum	(306)
KIR4.1	Anti-KIR4.1 in patient serum and transfer into mice disrupted astrocytes	(314)
Endothelial cells	Anti-endothelial cell in patient serum	(315, 316)
	<i>In vitro</i> BBB damage from incubation with patient serum	(317)

## **CHAPTER TWO**

### **METHODOLOGY**

#### **Overview of patient sample acquisition**

Cerebrospinal fluid (CSF) was obtained by lumbar puncture and peripheral blood (PB) by venipuncture on the same day from patients recruited to be in a study at UT Southwestern Medical Center (UTSWMC) in accordance with the UTSWMC Institutional Review Board (IRB). None of the patients had received immunomodulatory agents for at least one month prior to lumbar puncture. The PB cells were centrifuged after being underlaid with a polysaccharide Ficoll gradient that collects the peripheral blood mononuclear cells (PBMCs) in a separate layer. The cells were then washed, counted, stained with fluorescently labeled antibodies, and sorted for bulk populations of CD19<sup>+</sup> B cells, CD4<sup>+</sup> T cells, CD8<sup>+</sup> T cells, and CD138<sup>+</sup> plasma cells through a CD45<sup>+</sup> lymphocyte gate. CSF cells were collected as a pellet after centrifugation, washed, counted, stained with fluorescently labeled antibodies, and sorted for single CD19<sup>+</sup> B cells through a CD45<sup>+</sup> lymphocyte gate. A second blood sample was obtained from four TM<sub>CIS</sub> patients and these were sorted for single cells through a CD45<sup>+</sup> lymphocyte gate to separate the CD19<sup>+</sup>CD27<sup>+</sup> memory B cell and the CD19<sup>+</sup>CD27<sup>high</sup> plasmablast populations.

The plasma, CSF supernatant, unused PB lymphocytes, sorted PB populations, and sorted CSF populations were all aliquoted and archived in freezers. Single sorted plates were stored at -80°C until processed for cDNA primer extension preamplification (cPEP). During all sorts, flow cytometry data were collected for immunophenotype analyses on both the PB and CSF. Available clinical information from CSF diagnostic

tests (CSF Ig synthesis rate and CSF Ig index), as well as patient age and disease duration at time of lumbar puncture sampling were obtained in accordance with the UTSWMC IRB.

A total of 46 samples were collected, 42 were paired PB and CSF samples and the remaining 4 were a second PB draw from 4 previously sampled TM<sub>CIS</sub> patients. The diagnosis at the time of sampling for the 42 paired samples are: 3 CDMS, 20 TM<sub>CIS</sub>, 7 ON<sub>CIS</sub>, 7 undefined CIS, 2 PND, and 2 NMO patients. 6 patients were male (14%) and the remaining 36 were female (86%). The median age was 40 and the average was 43. 8 additional postmortem B cell V<sub>H</sub> repertoires from MS CNS tissue were generated by collaborators.

#### Peripheral blood (PB) processing for flow cytometry

PB was acquired by venipuncture into an EDTA tube, with a median of 5.5 mL per patient sample. The blood was diluted with an equal amount of 1x PBS and was underlaid with a matching volume of Ficoll to the original blood. Alternatively, the blood and PBS mixture was overlaid onto the Ficoll layer. A Ficoll gradient was created by centrifuging at 1900 rpm for 25 minutes at room temperature without a brake, to not disturb the gradient layers formed. The top layer of plasma was removed, aliquoted, and archived at -80°C for downstream ELISA assays. The peripheral blood mononuclear cells (PBMCs) were pipetted from the buffy coat layer between the plasma and the high-density erythrocytes and granulocytes at the bottom. These PBMCs were diluted to a final volume of 10 mL with PBS and passed through a mesh cell strainer into a 50 mL conical tube. 40 mL of PBS was passed through the mesh cell strainer to wash the cells. A 10 µL

sample was removed and diluted with 90  $\mu\text{L}$  of trypan blue (1:10 dilution) for counting cells in a hemacytometer. The cell count and initial sample volume were recorded. The PBMCs were washed to remove the excess Ficoll by centrifuging the diluted 50 mL mixture at 1500 rpm for 5 minutes at 4°C. The pelleted cells were resuspended in FACS Buffer (filtered 4% heat inactivated FCS in PBS) to achieve a cell concentration of  $2 \times 10^6$  cells per mL. A total of  $5.5 \times 10^6$  cells were reserved for fluorescent staining for FACS analysis and the remaining were resuspended in freezing media and placed in cryotubes for archiving at -120°C.

#### Cerebrospinal fluid (CSF) processing for flow cytometry

CSF was acquired by lumbar puncture, with a median of 11 mL per patient sample. The CSF was centrifuged at 1000 rpm for 12 minutes at 4°C. The CSF supernatant was removed, aliquoted, and archived at -80°C for downstream ELISA assays. The pelleted cells were resuspended in 1 mL of FACS Buffer. A 10  $\mu\text{L}$  sample was removed and diluted with 10  $\mu\text{L}$  of trypan blue (1:1 dilution) for counting cells in a hemacytometer. The cell count and initial sample volume were recorded. All the cells were utilized for fluorescent staining for FACS analysis.

#### Usage of HMv3 Cocktail

In cases where the patient sample was received and a FACS sorting appointment could not be immediately made, the HMv3 cocktail was used to maintain the cells overnight or up to 2 days. After centrifuging the CSF to obtain the cells, the pellet was resuspended in 300-400  $\mu\text{L}$  HMv3 cocktail (a proprietary mixture). After washing and

obtaining the PBMCs, the pellet was resuspended in 700  $\mu$ L HMv3. Both samples were stored on ice overnight at 4°C. After further experience, the PBMCs were no longer stored with HMv3 and were instead kept overnight in the EDTA tube on a slow speed rocker to avoid coagulation.

#### Fluorescent labeled antibody staining for flow cytometry

All analyses were conducted using a 6-color fluorochrome flow cytometry panel. Cells were pipetted into round bottom polypropylene tubes:  $5 \times 10^5$  PBMCs (250  $\mu$ L) per compensation stain,  $2 \times 10^6$  PBMCs (1 mL) for PB full color stain, and all CSF cells (1 mL) for full color stain. FACS Buffer was added to each tube for a final volume of 2 mL and centrifuged at 1500 rpm for 5 minutes at 4°C. After the centrifugation, the supernatant was removed using a vacuum aspirator. The resuspended cells were incubated with 20  $\mu$ L blocking buffer (780  $\mu$ L FACS buffer with 20  $\mu$ L mouse sera) for 10 minutes on ice. Fluorescently labeled antibodies (Table 2-1) were added to the appropriate tubes: 1 compensation tube with no antibodies, 6 compensation tubes with an individual fluorescently labeled antibody, and 2 full 6-color panel tubes (PB and CSF). The volumes of each antibody added were according to manufacturer's recommendations (Table 2-1). The single-color compensation tubes used the same antibody as was utilized for the full 6-color panel except for PE. CD138-PE was switched to CD8-PE for compensation due to the normally very low abundance of CD138<sup>+</sup> cells in the samples. The cells were incubated for at least 30 minutes on ice and protected from light exposure. After the incubation, the excess antibodies were washed with 1 mL of FACS buffer and centrifuged at 1500 rpm for 5 minutes at 4°C. The supernatant was removed using a



vacuum aspirator. The cell pellets were resuspended in 150  $\mu$ L Flow sugar mix (120 mL colorless RPMI, 4.8 mL FCS, 120  $\mu$ L 100X insulin-transferin-selenium, 1.23 mL L-glutamine). The cells were kept on ice and protected from light exposure during flow cytometry transport.

### Single-cell and Bulk-cell sorting

Flow data were collected and sorts were performed on either the FACS Aria flow cytometer (Becton Dickinson, San Jose, CA) or the MoFlo High-Performance Cell Sorter (Cytomation, Ft. Collins, CO). Flow cytometry data were collected in conjunction with cell sorting for analysis of lymphocyte subpopulations. Events were collected and analyzed post-sort with Flowjo Software (Treestar). Cells were gated on live and single cells based on forward scatter (FSC) and side scatter (SSC) characteristics. Within this gate, a CD45<sup>+</sup> lymphocyte gate was created and all cell population analyses were conducted within this gate. The gating was created and compared in parallel using the CSF and PB data from the same patient. Sample gating strategy is shown in Figure 2-1. The patient information and cell population percentages for each sample are shown in Table 2-2 for the CSF compartment and Table 2-3 for the PB compartment.

Dot-plot scatter view was used for sorting all populations into appropriate gates. The gating strategy was a single cell live gate (FSCxSSC) followed by a lymphocyte gate (CD45<sup>+</sup>). Bulk-cell sorts were conducted on the PBMCs and collected in FACS tubes containing 250  $\mu$ L of Flow sugar mix. Four populations were collected: CD4<sup>+</sup> T cells, CD8<sup>+</sup> T cells, CD19<sup>+</sup> B cells, and CD138<sup>+</sup> plasma cells. The number of events sorted into each of the populations was recorded and purity was tested using the CD4<sup>+</sup> population.

Upon returning to the laboratory the sorted cells were transferred to 1.7 mL snap-cap tubes and centrifuged at 1500 rpm for 5 minutes at 4°C. The supernatant was removed using a vacuum aspirator and the tubes were snap-frozen using liquid nitrogen. Cells were archived at -80°C and their location was logged.

Single-cell sorts were conducted on CSF cells into 96-well PCR plates containing 4 µL of cDNA primer extension preamplification (cPEP) sort mix in each well, described in further detail within section “*cDNA primer extension preamplification (cPEP)*”. One CD19<sup>+</sup> B cell was sorted into each well and the number of wells sorted was recorded. If there was a sufficient amount of cells, the last well contained 100 sorted cells. This bulk well served as a PCR positive control and was used for downstream analysis for next-generation sequencing (NGS) in comparison to the single-cell sequencing. Many patients did not have enough cells sorted in order to generate a B cell repertoire, but all had sufficient cells for immunophenotype characterization. Four TM<sub>CIS</sub> patients had blood re-sampled and single-cell sorts were done in the same manner as the CSF sorts. Instead of total CD19<sup>+</sup> B cells, 2 plates of CD19<sup>+</sup>CD27<sup>+</sup> memory B cells and 2 plates of CD19<sup>+</sup>CD27<sup>high</sup> plasmablast cells were sorted per patient. All plates were sealed and frozen immediately on dry ice post sort to help preserve the mRNA for efficient cDNA creation downstream. Upon returning to the laboratory, the plates were stored at -80°C until cPEP was conducted.

#### Designation of TM<sub>CIS</sub>A and TM<sub>CIS</sub>B patient subgroups

The patients were divided into two groups by the initial clinical presentation of disease. The ON<sub>CIS</sub> group (n=11) presented with optic neuritis (ON) and the TM<sub>CIS</sub> group

(n=22) presented with transverse myelitis (TM). The TM group was further divided into TM<sub>CIS</sub>A(Above) and TM<sub>CIS</sub>B(Below) based on the percentage of CD19<sup>+</sup>CD27<sup>high</sup> plasmablast cells determined by flow cytometry. The threshold for the TM<sub>CIS</sub>A group was determined by calculating the mean percentage of CD19<sup>+</sup>CD27<sup>high</sup> plasmablasts in the ON<sub>CIS</sub> patients and adding two s.e.m. Patients whose percentage fell below this threshold were designated TM<sub>CIS</sub>B, and those whose percentage fell above this threshold were designated TM<sub>CIS</sub>A. This grouping was done separately for both the PB and CSF compartments.

*cDNA primer extension preamplification (cPEP)*

The original mRNA from each single well was reverse transcribed into cDNA using modifications to a previously described procedure (328). The 96-well plates were stored at -80°C post sort with cells frozen in 4 µL cPEP sort mix (10% 0.1M Dithiothreitol (DTT), 9% recombinant RNAsin (Promega) in 0.5x PBS). The cDNA was made within the same plate, in a sterile RNA and DNA free environment including the storage space, working space, reusable and disposable components, equipment, and a dead-air hood. The plate was kept either on a bed of dry ice or on a metal plate holder previously stored at -20°C. 3.5 µL of the random hexamer primer (RHP) mix was added quickly to each well with a multi channel pipette to prevent the mix from freezing in the pipette tip. This step also included a surfactant (10% Igepal CO-630) to break open the cell membrane without disrupting the nuclear membrane, resulting in clean access to mRNA. The plate was sealed and placed in a PCR cycler (Eppendorf Mastercylers) and incubated for 1 minute at 68°C. After the incubation, the plate was removed and cooled

on an ice block. The plate was then transferred to a new ice block and returned to the sterile RNA and DNA free environment. 7  $\mu$ L of the reverse transcription (RT) mix was added to each well with a multi channel pipette and mixed by pipetting 6-8 times. Each of the wells was then topped with 20  $\mu$ L of mineral oil to protect and seal the reaction. The plate was sealed with a film and pulse-spun in a balanced mini-plate centrifuge or an eppendorf centrifuge with plate adaptors. The cDNA reaction was run in the PCR cyclor with a 42°C initial hot-start for 5 minutes followed by 25°C for 10 minutes, the annealing and extension cycle was at 50°C for 120 minutes and the reaction was completed and inactivated at 94°C for 5 minutes. Once completed, the plate with cDNA was stored at -20°C as template for downstream PCR amplifications. See Table 2-4 for the formulations and component specifics for the cPEP sort, RHP, and RT mixes.

*Amplification of variable heavy ( $V_H$ ) immunoglobulin regions*

The cDNA created at the cPEP step was the template DNA for the multiplexed variable heavy external reaction (VHE) designed to amplify all  $V_H$  family members from the complex cDNA mixture. 1.5  $\mu$ L of the cPEP was added to 48.5  $\mu$ L of VHE master in a new 96 well PCR plate. The master mix contained 0.5  $\mu$ M of each primer (VH1E, VH2E, VH3E, VH4E, and JHE), which was heated at 95°C for 5 minutes before adding the remaining components. The remaining was composed of 1x PCR Buffer, 1.5 mM  $MgCl_2$ , 0.2 mM dNTPs, and 1 unit/reaction of Go-Taq polymerase (Promega). Each of the wells was then topped with 20  $\mu$ L mineral oil to protect and seal the reaction. The plate was sealed with a film and pulse-spun in a balanced mini-plate centrifuge or an

Eppendorf centrifuge with plate adaptors. The VHE reaction was run in the PCR cyclor. Both the formulations and program details are listed in Table 2-4.

Four family specific nested PCR reactions (VH1N, VH2N, VH3N, and VH4N) were each run with their respective primer set using 5  $\mu$ L of the VHE reaction as the template. The primer, MgCl<sub>2</sub>, dNTP, buffer, and Go-Taq polymerase concentrations remained the same as the VHE reaction with a final volume of 50  $\mu$ L. Each of the wells was then topped with 20  $\mu$ L mineral oil to protect and seal the reaction. The plate was sealed and pulse-spun in a balanced mini-plate centrifuge or an eppendorf centrifuge with plate adaptors. The VHN reactions were run in the PCR cyclor. The program details are listed in Table 2-4. See Table 2-5 for primer sequences and Table 2-6 for master mix components for each reaction.

#### Amplification of variable kappa ( $V\kappa$ ) immunoglobulin regions

Only wells that had amplified productive V<sub>H</sub> sequenced products were selected for V $\kappa$  amplifications. The cDNA created at the cPEP step was the template DNA for 3 different multiplexed external reactions (VK12E, VK3E, and VK45E) designed to amplify the V $\kappa$  family members from the complex cDNA mixture. 1.5  $\mu$ L of the cPEP was added to 48.5  $\mu$ L master mix for each of the VKE reaction plates in new 96-well PCR plates per external reaction. The master mix contained 0.5  $\mu$ M of each primer for the respective external reactions, which was heated at 95°C for 5 minutes before adding the remaining components. The remaining was composed of 1X PCR Buffer, 0.2 mM dNTPs, and 1 unit/reaction of Go-Taq polymerase (Promega). The optimized MgCl<sub>2</sub> concentrations varied among the VKE reactions: 3.5 mM for VK12E, 2.5 mM for VK3E,

and 2 mM for VK45E. Each of the wells was then topped with 20  $\mu$ L mineral oil to protect and seal the reaction. The plate was sealed with a film and pulse-spun in a balanced mini-plate centrifuge or an Eppendorf centrifuge with plate adaptors. The VKE reactions were run in the PCR cycler. Both the formulations and program details are listed in Table 2-4.

Five family specific nested PCR reactions (VK1N, VK2N, VK3N, VK4N, and VK5N) were each run with their respective primer set using 5  $\mu$ L of the corresponding VKE reaction as the template. The primer,  $MgCl_2$ , dNTP, buffer, and Go-Taq polymerase concentrations remained the same as for the VKE reactions with a final volume of 50  $\mu$ L. Each of the wells was then topped with 20  $\mu$ L mineral oil to protect and seal the reaction. The plate was sealed with a film and pulse-spun in a balanced mini-plate centrifuge or an Eppendorf centrifuge with plate adaptors. The VKN reactions were run in the PCR cycler. Both the formulations and program details are listed in Table 2-4. See Table 2-5 for primer sequences and Table 2-6 for template, primer list,  $MgCl_2$  concentration, and PCR reaction cycles for each of reactions.

#### PCR product visualization and purification

The PCR buffer used in the nested reactions contained a dye for running in an agarose gel. The entire 50  $\mu$ L nested reaction was visualized on a 2% agarose gel in 0.5x TBE (5.4 g Tris-Base, 2.75 g Boric Acid, and 2 mL 0.5 M EDTA per liter Millipore water) with 20  $\mu$ L ethidium bromide in a 400 mL gel volume. 5-10  $\mu$ L of 100 bp DNA ladder (NEB) was included in each gel lane for estimation of PCR product base pair length. The gel was run at 145 volts for 45 minutes. The ethidium bromide in the gel

allowed for visualization using the AlphaImager to see and document the resulting product bands for each of the nested reactions. Bands at the correct height for a  $V_H$  or  $V_K$  product (~250bp; to distinguish from high primer dimer bands) were excised from the gel using a razor and placed into a 1.7 mL snap-cap tube labeled with the patient code, V family primer amplified, and well ID (column and row) to ensure proper matching of  $V_H$  and  $V_K$  products for downstream cloning.

The excised gel product was purified using the Wizard SV Gel and PCR cleanup kit (Promega). The membrane binding solution was added to the tube with the excised gel piece at a ratio of 10  $\mu$ L solution to 10 mg of agarose gel. On average, 250  $\mu$ L of solution was added to the gel piece to fully immerse the gel. The tube was incubated at 65°C for 10 minutes and flick mixed to ensure it was completely melted. Vortexing was avoided in order to not shear the DNA. A SV minicolumn was placed in a labeled collection tube for each product. The dissolved gel mixture was transferred to each respective minicolumn and incubated at room temperature for 1 minute. This incubation was followed by a centrifugation in the table-top microcentrifuge at 14000 rpm for 1 minute and the flow through discarded. The minicolumn was washed by adding 700  $\mu$ L membrane wash solution and centrifuged at 14000 rpm for 1 minute, and the flow through discarded. This wash was repeated with 500  $\mu$ L membrane wash solution. The minicolumns were centrifuged again with out any fluid at 14000 rpm to allow for the evaporation of residual alcohol. The minicolumn was transferred to a clean 1.7 mL snap-cap tube labeled with the patient code, V family primer amplified, and well ID (column and row), and date to ensure proper matching of  $V_H$  and  $V_K$  products for downstream cloning. 30-50  $\mu$ L, depending on the intensity of the band when visualized in the gel, of nuclease-free water

was added directly to the center of the column to completely wet the filter and incubated at room temperature for 1 minute. The purified PCR product was eluted by centrifuging at 14000 rpm for 1 minute and archived at -20°C.

#### PCR product sequencing and processing

A 2 µL sample from the purified PCR product eluate was used for measuring DNA concentration using the Epoch Nano (Biotek). The concentration and purity, based on the 260/280 ratio, were recorded. 12 ng of each product was added to a tube with 6 µL of 1 mM of the corresponding forward primer matching the amplification primer used in the PCR reaction. The volume was adjusted to 12 µL with nuclease-free water. These products were sent to the McDermott Center for DNA sequencing core facility at UTSWMC. Samples were amplified using Applied Biosystems Inc. (ABI) Big Dye Terminator 3.1 chemistry, and were analyzed on ABI capillary instruments. The sequences were returned as .txt files and .abi electropherogram files for analysis.

FinchTV was used to visualize the electropherogram for each sequence. Sequences were visually examined to identify any early sequence miss-reads or missed bases and fixed manually. The sequences were also verified to be complete to the end of the J<sub>K</sub> or J<sub>H</sub> genes to ensure the entire variable region read was intact from the start of CDR1 through the start of FR4.

Germline rearrangements were inferred using the IMGT/V-QUEST immunoglobulin sequence analysis tool (<http://imgt.cines.fr>) (329). The FASTA formatted names were normalized to ensure proper long-term identification and for column values once parsed by the Perl-based extraction program: ">patient code-



primer\_well” (i.e. >CIS348-VH4\_A3). BLAST was run to produce a text format output with all selections marked in the detailed view. Only sequences that were productive were included for analysis and saved in the PCR product log. Sequences were considered productive provided that codon 104, the beginning of FR4, remained in frame and no stop codons were inserted throughout the length of the V gene from codons 31-104. The resulting BLAST output was saved as a .txt file using the TextWrangler program and saved with the file name matching the PCR log number. The PCR log number is a unique identifier for all productive sequences and is never repeated within the laboratory, it is also then labeled on the top of the PCR product archived in the -20°C freezer and entered into the master PCR product log. This enables the long-term efficient retrieval of both sequence information in electropherogram, text file of the IMGT/V-QUEST BLAST output, physical location of product, and detailed sequence information parsed by the Perl-based extraction program.

#### *CNS extracted V<sub>H</sub> sequences*

Two sources of V<sub>H</sub> repertoires from B cells isolated from MS CNS tissues were obtained from collaborators. All human subject research was approved from the local human research IRBs. One set included 4 repertoires generated using cDNA amplification from extracted tissues from Dr. David Hafler and Dr. Kevin O’Connor, Yale University. This set included autopsy material from 4 subjects with CDMS. Specimens were immediately snap-frozen then stored at -80°C. Variable region libraries were assembled from tissue sections prepared on a cryostat and tissue was excised using laser capture microdissection (LCM). RNA was extracted from 14-µm thick tissue

sections using the RNeasy Mini Kit (Qiagen) according to the manufacturer's instructions. From the total RNA, cDNA was synthesized and human Ig variable region genes were amplified as described previously (330).

The second set included 4 repertoires generated using genomic DNA template of total B cells extracted from 4 CDMS patients generated by Dr. Scott Boyd and Dr. Andrew Fire. High-throughput next-generation DNA sequencing (NGS) was performed using the 454 platform with Titanium chemistry, as previously described (331). Only V<sub>H</sub> sequences amplified with the BIOMED-2 consortium framework 1 (FR1) primers and J segment primer were used, as these provide longer amplicons for study than the framework 2 (FR2) primers (332).

#### *Bulk PB CD19<sup>+</sup> B cell NGS sequencing*

9 CIS, 1 MS, and 4 HC bulk sorted PB CD19<sup>+</sup> B cell pellets were sent to Dr. Scott Boyd and Dr. Andrew Fire for NGS sequencing. The 10 CIS/MS samples chosen had single-cell PCR repertoires generated from CSF CD19<sup>+</sup> B cells. Total gDNA was extracted using the QIAamp DNA blood mini kit (Qiagen). 20 µL of QIAGEN protease (a proteinase K) was pipetted into a 1.7 mL snap-cap tube. The thawed CD19<sup>+</sup> B cell pellets were each adjusted to a final volume of 200 µL using PBS and added to the protease tube. 200 µL of Buffer AL was added to each tube and mixed by pipetting to avoid shearing of DNA. This mixture was incubated at 56°C for 10 minutes. After the cell lysis was complete, 230 µL of 100% EtOH was added to the sample and mixed by pipetting. This mixture was added to a QIAamp Mini spin column in a 2 mL collection tube and centrifuged in a table-top microcentrifuge at 14000 rpm for 1 minute. The

column was then placed in a new 2 mL collection tube and 500  $\mu$ L of Buffer AW1 was added followed by centrifugation at 14000 rpm for 1 minute. The column was then placed in a new 2 mL collection tube and 500  $\mu$ L Buffer AW2 was added followed by centrifugation at 14000 rpm for 3 minutes. The flow through was discarded and the column was centrifuged again at 14000 rpm for 1 minute to remove any residual liquids. The column was placed in a labeled 1.7 mL snap-cap tube and 50  $\mu$ L of Buffer AE was added to the center of the filter and incubated for 5 minutes at room temperature. The extracted gDNA was eluted by centrifuging at 14000 rpm for 1 minute. The tubes were placed in a speedvac to remove extraneous liquid and resuspended in 15  $\mu$ L of Buffer AE in order to generate yield and concentration reads using picogreen.

The resulting gDNA was then amplified using the REPLI-g Mini kit (Qiagen). 5  $\mu$ L of template gDNA was added to a PCR tube. 5  $\mu$ L of Buffer D1 was added and mixed by pipetting followed by a 3 minute incubation at room temperature. 10  $\mu$ L of Buffer N1 was added and mixed by pipetting. A master mix containing 29  $\mu$ L of REPLI-g Mini reaction buffer and 1  $\mu$ L of REPLI-g Mini DNA polymerase was added to each reaction tube containing denatured DNA. The tubes were sealed with 20  $\mu$ L of mineral oil and then capped. They were placed in the PCR cyclor and incubated at 30°C for 16 hours, inactivated at 70°C for 3 minutes, and stored at -20°C once completed. 2  $\mu$ L of the 50  $\mu$ L reaction was removed for quantification of dsDNA produced via picogreen.

1000 ng of REPLI-g material from each of the 14 samples were sent to Dr. Scott Boyd and Dr. Andrew Fire. High-throughput NGS was performed using the 454 platform with Titanium chemistry, as previously described (331).  $V_H$  sequences were only

amplified with the BIOMED-2 consortium framework 1 (FR1) primers and J segment primers.

### Database generation

Sequences were analyzed and compiled into databases containing V<sub>H</sub> gene, J<sub>H</sub> gene, CDR3 amino acid sequence, and mutation information using a Perl-based program developed at UTSWMC by William H. Rounds which utilizes the IMGT/V-QUEST tool as a basis for extracting the sequence information from the saved text files (333). The most recent version of the Perl program is “AlignToEnd5.pl” and all databases were modified using Excel-based scripts to match the output from this program. All outputs were also further processed to create summary tables for a given group of sequences using Excel-based scripts and handling. Sample output for a sequence and summary table for a patient’s repertoire are shown in Figure 2-2. V<sub>H</sub> gene distributions, average mutation frequency (MF), complementarity determining region (CDR) replacement mutations (R): silent mutations (S), and framework (FR) R:S are shown per patient for all single-cell sorted CSF B cell cPEP derived productive V<sub>H</sub> sequences in Table 2-7. The same information is shown for the single-cell sorted PB B cell cPEP derived productive V<sub>H</sub> sequences from TM<sub>CIS</sub>A memory and plasmablast sorts in Table 2-8. A limit was set within the lab to dismiss sequences with less than 85% homology to their V<sub>H</sub> germline gene segment. This was chosen to avoid introducing potential V<sub>H</sub> gene miscalls into the databases.

Of the productive sequences, those which contained 2 or more nucleotide mutations were designated as antigen experienced and included for further study when comparing to healthy control databases. This was done to ensure that comparisons could

be made to the healthy controls, which do not exhibit enrichment for memory cells similar to diseased repertoires. The healthy control peripheral blood (HCPB) database was obtained from previous publications using similar single cell sequencing methodologies and consists of 217 antibody rearrangements (207, 209).

Utilizing this criterion for memory-like cells, the MS CSF database consists of 327 CD19<sup>+</sup> B cell antibody rearrangements from 1 PPMS and 10 RRMS patients. The MS PB database consists of 58 PB CD19<sup>+</sup> B cells from 4 of the previous MS patients. The CIS CSF database consists of 518 CD19<sup>+</sup> B cells antibody rearrangements from 6 ON<sub>CIS</sub> and 11 TM<sub>CIS</sub> patients. This was divided into CIS subgroups, ON<sub>CIS</sub> (6 patients, 148 sequences), TM<sub>CIS</sub>A (6 patients, 285 sequences), and TM<sub>CIS</sub>B (5 patients, 85 sequences). The peripheral TM<sub>CIS</sub>A databases consist of 169 CD19<sup>+</sup>CD27<sup>+</sup> memory B cells and 223 CD19<sup>+</sup>CD27<sup>high</sup> plasmablasts from 4 TM<sub>CIS</sub>A patients. The MS CNS database contains 918 V<sub>H</sub> sequences compiled from the 4 CNS tissues from Dr. David Hafler and Dr. Kevin O'Connor. The MS CNS database using the 454 platform contains 555 V<sub>H</sub> sequences from 4 CNS tissues from Dr. Scott Boyd and Dr. Andrew Fire. The PB databases compiled from the bulk sorted PB CD19<sup>+</sup> B cells from 1 MS, 10 CIS patients, and 4 HC donors contains 96072 V<sub>H</sub> sequences produced by Dr. Scott Boyd and Dr. Andrew Fire using the 454 platform.

#### *V<sub>H</sub> rearrangement read length and mutation frequency determination*

The Kabat codon numbering system was used (334), and the IMGT/V-QUEST definitions present in the BLAST outputs were converted to Kabat numbering by the aforementioned Perl-based program. V<sub>H</sub> read length was defined as the number of

nucleotides from codon 31 to 92 (CDR1, FR2, CDR2, and FR3). The 3' end of the V<sub>H</sub> gene segment was defined as codon 92 and the CDR3 read contained codons 93-102.

Mutation frequency (MF) was determined by dividing the number of mutations in a given V<sub>H</sub> rearrangement between codons 31 and 92, by the number of nucleotides in that region. For example, a sequence of 206 nucleotides with 1 mutation would have a MF of 1/206 or 0.48%, which can be translated into 99.52% identical to the germline variable gene. FR1 codons were not included in any of the analyses.

#### CDR3 length and charge determination

The CDR3 region begins at codon 93 within the V gene, extends through the D segment and ends at codon 102 of the J<sub>H</sub> segment, as defined by Kabat (334). CDR3 charge was calculated by translating the CDR3 nucleotide sequence into the corresponding amino acids and summing the charges, counting each arginine (R) and lysine (K) as a positive one charge, and each aspartic acid (D) and glutamic acid (E) as a negative one charge. Histidine was not included because it holds relatively no charge at physiologic pH (335). This calculation was done using the Perl-based program developed at UTSWMC.

#### Antibody gene signature (AGS) calculation

All productive V<sub>H</sub>4 sequences in a subject's repertoire with at least one replacement mutation (RM) were included for the calculation of the subject's AGS score. An AGS codon is defined as having a significantly higher replacement frequency (RF) in MS CSF V<sub>H</sub>4 repertoire than HCPB repertoire. This was previously discovered in the lab

and published by Dr. Elizabeth Cameron (228). An AGS score was calculated using the RF values at the following 6 codons within the V<sub>H</sub>4 gene: 31B, 40, 56, 57, 81, and 89. A Z-score was calculated for each codon (RF at codon – avg RF in HCPB (1.6)/ stdev of avg RF in HCPB (0.9)), and the 6 scores were added together to arrive at the AGS for the subject's repertoire. This was modified in the current studies to include RMs occurring only between codons 31-92 to standardize RF calculations across sequences of varying read lengths.

### Statistical analyses

Cell population frequencies, V<sub>H</sub> and J<sub>H</sub> gene usages, MF, and J<sub>H</sub>4:J<sub>H</sub>6 ratio were compared using Chi-square analysis. CDR3 lengths and charge, and AGS scores were compared using the Student's t-test. Pearson's correlation was used to compare the plasmablast numbers and percentages to patient clinical measures. P-values equal to or less than 0.05 were considered significant.

### PCR modification of original patient products for cloning full-length recombinant human IgG<sub>1</sub> antibodies (rhAbs)

Sequences from CDMS, ON<sub>CIS</sub>, and TM<sub>CIS</sub> patients were chosen as candidates for cloning into full-length expression vectors based on their V<sub>H</sub> genomics. The criteria were: expressing a V<sub>H</sub>4 gene and have 2 or more of the 6 AGS codons mutated. 60% were also clonally expanded by identifying another V<sub>H</sub> sequence within the same patient with identical CDR3 amino acids. The corresponding V<sub>K</sub> sequence was amplified from the same well as the V<sub>H</sub> sequence to identify the antibody binding region of the single CSF B cell. Sequence and patient details for the 32 rhAbs selected for cloning and production

are shown in Table 2-9.

Additional rounds of PCR were performed to add restriction enzyme sites to both the 5' and 3' ends of the original PCR products. This was done to facilitate insertion of the variable regions into the expression vectors using modifications of previously published primers (336). The primers used are listed in Table 2-10. V<sub>H</sub>4, V<sub>κ</sub>3-20, and V<sub>κ</sub>3-15 sequences all required a two-step PCR procedure (shuttle and Ig PCR) to build up the missing regions from the original patient PCR products. The remaining V<sub>κ</sub> sequences required one PCR procedure (Ig PCR). All reactions were run in a final volume of 25 µL containing 0.5 µM each of forward and reverse primer, 1x Phusion reaction buffer (NEB), 0.2 µM dNTPs (Promega), 0.5 units Phusion DNA polymerase (NEB), and 5 ng of purified nested PCR product as the template.

The V<sub>H</sub>4 shuttle PCR was first tested at: 98°C, 1min; {98°C, 10sec; 64°C, 15sec; 72°C, 6sec} x25 cycles; 72°C, 10min; 4°C, ∞. Depending on the resulting bands, no bands, or indiscriminate hazes on the gel, the shuttle program was modified to include a 2-step annealing process: 98°C, 1min; {98°C, 10sec; 52°C, 15sec; 72°C, 6sec} x5 cycles; {98°C, 10sec; 66°C, 15sec; 72°C, 6sec} x5 cycles; 72°C, 10min; 4°C, ∞. The V<sub>H</sub>Ig PCR from a purified V<sub>H</sub>4 shuttle product was first run with the same settings as the first V<sub>H</sub>4 shuttle PCR with the annealing temperature changed to 68°C. The V<sub>κ</sub> shuttle PCR was first tested at: 98°C, 1min; {98°C, 10sec; 70°C, 15sec; 72°C, 6sec} x25 cycles; 72°C, 10min; 4°C, ∞. The V<sub>κ</sub>Ig PCR was run from either a purified V<sub>κ</sub> shuttle product or V<sub>κ</sub>N product with the annealing temperature changed to 64°C. The PCR annealing temperature, times, and 1 or 2-step processes were modified as needed to accommodate each template and primer pairing and to optimize results after visualization on a gel.



Dr. Betty Diamond provided two control full-length recombinant human antibodies (rhAbs) cloned from systemic lupus erythematosus (SLE) patient derived B cells using the same expression vector system. B1 has been shown to not bind to mouse brain and G11 has been shown to bind to NMDARs in the mouse brain as well as dsDNA (337). These two antibodies have been studied and published and were used as controls for the full-length IgG<sub>1</sub> rhAb construct in all the immunohistochemistry and immunofluorescence experiments.

#### *Gel purification of PCR inserts and cut IgG and IgK backbone vectors*

The first and final PCR steps were all visualized on a 1% agarose gel run at 120 volts for 1 hour and the desired bands were excised using a razor blade. The double restriction enzyme digested IgG and IgK backbone vectors were visualized on a 0.7% gel run at 100 volts for 1.5 hours. Products were purified using the QIAquick gel extraction kit (Qiagen). 3 volumes of Buffer QG were added to 1 volume of gel (i.e. 300 µL Buffer to each 100 mg gel) with a maximum of 400 mg of gel per purification column. The tubes were incubated at 50°C for 10 minutes until the gel was completely dissolved and flick mixed. Vortexing was avoided to prevent shearing of the DNA. After the gel was dissolved, 1 gel volume equivalent of isopropanol was added to the sample and mixed by inversion. This increased the yield of DNA fragments smaller than 500 bp and larger than 4 kb. This was transferred to a labeled QIAquick column (maximum 800 µL per pass) and centrifuged in a table-top microcentrifuge at 13000 rpm for 1 minute. The flow through was discarded and 500 µL of Buffer QG was added to the column to clear traces of agarose and centrifuged at 13000 rpm for 1 minute and the flow through discarded. For

salt-sensitive applications such as cloning, the salts were cleared by adding 750  $\mu$ L Buffer PE to the column and incubating for 2-5 minutes followed by centrifugation at 13000 rpm for 1 minute. The flow through was discarded and the column was centrifuged at 13000 rpm for an additional minute to remove residual alcohol. The column was transferred to a pre-labeled 1.7 mL snap-cap tube and 30-50  $\mu$ L of nuclease-free water was added to the filter and incubated for 1-2 minutes. The volumes of water were varied depending on the intensity of the band when visualized in the gel. The DNA was eluted by centrifuging at 13000 rpm for 1 minute and the product was stored at either 4°C for short term or archived at -20°C for long term storage. A 2  $\mu$ L sample from the purified product eluate was used for measuring DNA concentration using the Epoch Nano. The concentration and purity based on the 260/280 ratio were recorded.

*Double restriction enzyme digests of IgG and IgK backbone vectors*

Dr. Michel Nussenzweig (The Rockefeller University) provided the backbone expression vectors for both the IgG and IgK chains for the production of rhAbs. These vectors and the procedure have been extensively described for the production of monoclonal human IgG<sub>1</sub> (328). The protocol for the restriction enzyme digestion is shown in Table 2-6. The vectors were first linearized with a single restriction enzyme that had the lowest efficiency of cutting, the 3' enzyme for both backbones. 2 fold excess of the 3' restriction enzyme was added to 4  $\mu$ g of plasmid backbone. The IgG vector was incubated with 5  $\mu$ L SalI HF (2000 u/mL; 50x, NEB) and 5  $\mu$ L of NEB buffer #4 in a final volume of 50  $\mu$ L adjusted with nuclease-free water at 37°C for 20 minutes. After 20 minutes, and additional 5  $\mu$ L SalI HF was added and incubated at 37°C for 20 minutes.

Denaturing the enzyme at 65°C for 20 minutes halted the reaction. The IgK vector was incubated with 2 µL BsiWI (1000 u/mL; 10x, NEB) and 5 µL of NEB buffer #1 in a final volume of 50 µL adjusted with nuclease-free water at 55°C for 20 minutes. After 20 minutes, and additional 2 µL BsiWI was added and incubated at 55°C for 20 minutes. Denaturing the enzyme at 80°C for 20 minutes halted the reaction. Both of these single-cut backbones were run out on a 0.7% agarose gel at 100V for 1.5 hours and the linearized vector was excised and gel purified. This ensured that only the backbone plasmids that were effectively cut were then included in the next reaction.

Then a second restriction enzyme digest was performed to make the final cut at the 5' site at 1 fold excess. Both vectors were incubated with 2.5 µL AgeI HF (2000 u/mL; 50x, NEB), 5 µL of NEB buffer #4, and 0.5 µL of 10x BSA in a final volume of 50 µL adjusted with nuclease-free water at 37°C for 20 minutes. Denaturing the enzymes at 65°C for 20 minutes halted the reaction. Due to the small change in base pairs and the high efficiency of the enzyme these were not run out on a gel and were column purified by skipping the initial agarose gel dissolving steps. This resulted in double-cut vector backbones. A 2 µL sample from the purified product eluate was used for measuring DNA concentration using the Epoch Nano. The concentration and purity, based on the 260/280 ratio, were recorded.

The purity of each batch was evaluated by following the T4 DNA-ligase procedure, without adding insert, and the result was transformed into DH5α cells. This was plated on LB agarose plates with ampicillin and incubated at 37°C overnight. If there were a high number of colonies the next day, this indicated that the restriction enzyme digestions were not efficient, making it unusable for cloning in a PCR insert.

### Double restriction enzyme digests of PCR inserts

Both the 5' and 3' restriction enzyme digestions were conducted simultaneously as opposed to the procedure followed for vector backbones. There were two potential sources of V<sub>K</sub> and V<sub>H</sub> inserts, one from PCR used to add restriction sites to the original patient PCR product, and the other purchased as an insert inside of a plasmid from Integrated DNA Technologies (IDT, IA, USA). The protocol for both is shown in Table 2-11. The maximum amount of input DNA into the reaction was 2 µg from an IDT vector and 200 ng from a PCR product. The V<sub>H</sub> inserts were incubated with 1.25 µL AgeI HF (2000 u/mL; 50x, NEB), 2.5 µL SalI HF (2000 u/mL; 50x, NEB), 5 µL of NEB buffer #4, and 0.5 µL of 10x BSA in a final volume of 50 µL adjusted with nuclease-free water at 37°C for 20 minutes. Denaturing the enzymes at 65°C for 20 minutes halted the reaction. The V<sub>K</sub> inserts were incubated with 1.25 µL AgeI HF (2000 u/mL; 50x, NEB), 2 µL BsiWI (1000 u/mL; 10x, NEB), 5 µL of NEB buffer #1, and 0.5 µL of 10x BSA in a final volume of 50 µL adjusted with nuclease-free water at 37°C for 20 minutes. Denaturing the enzymes at 80°C for 20 minutes halted the reaction. Due to the small change in base pairs these were not run out on a gel and were column purified by skipping the initial agarose gel dissolving steps. This resulted in double-cut variable region segments for insertion into their respective backbones. A 2 µL sample from the purified product eluate was used for measuring DNA concentration using the Epoch Nano. The concentration and purity, based on the 260/280 ratio, were recorded.

### Ligation of double-cut vector and insert

Ligation of purified double-cut vector and the corresponding double-cut insert

was conducted using the T4 DNA ligase (NEB). After initial purchase, the 10x ligase buffer was vigorously vortexed and aliquoted into PCR tubes at 10  $\mu$ L each and stored at -20°C to avoid repeated freeze/thaws and degradation of the ATP. The cut vector and insert was added in a 1 vector : 3 insert ratio (i.e. 50 ng vector: 15 ng insert). 2  $\mu$ L of ligase buffer was added and the volume was adjusted to 19  $\mu$ L with nuclease-free water. 1  $\mu$ L of T4 DNA ligase was added and flick mixed to avoid DNA shearing and incubated at room temperature for 10 minutes. The reaction was then stored at -20°C until ready for bacterial transformation or chilled on ice and used immediately.

#### *Chemically competent DH5 $\alpha$ cells*

The creation of chemically competent DH5 $\alpha$  E.coli cells for transformation was modified from a protocol in the literature (338). 10-50  $\mu$ L of DH5 $\alpha$  cells were plated on a LB agar plate without any antibiotics overnight in a 37°C oven. The next morning, 10-12 large colonies were plucked and added to 250 mL SOB media (0.5% yeast extract, 2% tryptone, 10 mM NaCl, 2.5 mM KCl, 10 mM MgCl<sub>2</sub>, and 10 mM MgSO<sub>4</sub> dissolved in Millipore water and autoclaved) in a 1 L flask. This was incubated at 19°C with vigorous shaking to an OD<sub>600</sub>+0.5, which took approximately 24-36 hours. The 1 L of cell growth was divided into Sorvall bottles and incubated on ice for 10 minutes. Care was taken to minimize temperature fluctuations and to keep the cells on ice at all times when not in the centrifuge. The cells were pelleted by centrifuging at 4000 rpm in the Sorvall floor centrifuge for 10 minutes at 4°C. Cells were gently resuspended in 80 mL ice-cold TB solution (55 mM MnCl<sub>2</sub>\*4H<sub>2</sub>O, 15 mM CaCl<sub>2</sub>\*2H<sub>2</sub>O, 250 mM KCl, and 10 mM PIPES solution in Millipore water and sterilized by filtration) in order to not break open the cells

and incubated on ice for 10 minutes. The cells were pelleted by centrifuging at 4000 rpm in the Sorvall floor centrifuge for 10 minutes at 4°C. Cells were gently resuspended in 20 mL ice-cold TB solution and 1.4 mL DMSO. This cell slurry was aliquoted into tubes at 50-250 µL per tube. The batch of DH5α cells were tested for chemical competency by transforming with a known control plasmid and plating on selective antibiotics to test for positive transformants. The cells were kept at -80°C and would be discarded if they reached temperatures above ice.

#### Bacterial transformation of ligations

Chemically competent DH5α were created and stored at -80°C in small aliquots. These were always kept on ice; once they were warmed above ice temperature they were no longer competent. A 1.7 mL snap-cap tube was chilled on ice and then 50 µL slurry of competent DH5α was added to the tube. 7 µL of the ligation reaction was added to the bacteria and mixed by gently swirling the pipette tip. The bacteria were heat shocked to disrupt the membrane and allow for uptake of the plasmid DNA at 42°C for 30 seconds. The tube was chilled on ice for 30 minutes, allowing for the bacteria to recover. LB agar plates were prepared by adding the selective antibiotic: 40 µL of ampicillin stock (at 100 mg/mL) diluted in 110 µL water and this 150 µL antibiotic mix was spread on to the agar with an alcohol and flame sterilized metal spreader. These were placed right-side up in the 37°C oven for absorption during the 30 minute bacterial recovery step. After the 30 minute ice incubation, 400 µL of SOCS media (96 mL SOB, 4 mL 0.5 M filtered glucose) was added to the tube, flick mixed, and incubated at 37°C in a shaker incubator set at ~100 rpm for 45 minutes. The tubes were mixed by inversion halfway through the

incubation to avoid excessive sedimentation. The entire 457  $\mu\text{L}$  transformed bacterial mixture was added to the prepared LB agar + ampicillin plates and spread with an alcohol and flame sterilized metal spreader. These were inverted and placed in a 37°C oven overnight for colony growth.

#### Miniprep amplification of colony DNA

Individual colonies from the transformed plates were grown for miniprep amplification of the colony for a maximal yield of 20  $\mu\text{g}$  of plasmid DNA (Qiagen, CA, USA). Only the center of colonies were chosen to ensure that only a single clone was being selected and reduced satellite colony contamination. The center of a colony was plucked with a pipette tip and added to 8 mLs of LB broth with selective antibiotic (10  $\mu\text{L}$  of ampicillin stock) in a 14 mL culture tube. The cultures were grown in a shaker incubator overnight at 37°C. The following morning, 1 mL of growth was reserved at 4°C for re-growth. The remaining cells were harvested by centrifuging 7 mL of the growth at 8000 rpm in the Sorvall floor centrifuge for 4 minutes. The supernatant was discarded and the pellet was resuspended in 250  $\mu\text{L}$  Buffer P1 (with added RNase A and LyseBlue reagent, stored at 4°C) which was equilibrated to room temperature. This bacterial slurry was transferred to a labeled 1.7 mL snap-cap tube. 250  $\mu\text{L}$  Buffer P2 was added to the tube and mixed thoroughly by inverting the tube 4-6 times avoiding vortexing to not shear the DNA. If properly lysed, the cell suspension turned blue. The reaction was not to exceed 5 minutes and was halted by adding 350  $\mu\text{L}$  Buffer N3 and mixed immediately and thoroughly by inverting the tube 4-6 times. All traces of blue would be gone and a homogenous colorless suspension devoid of localized precipitate

was the desired goal. The tube was centrifuged in a table-top microcentrifuge at 13000 rpm for 10 minutes to form a compact white pellet. The supernatants containing the plasmid DNA were carefully pipetted to avoid the white precipitate and added to a QIAprep spin column. The column with the supernatant was centrifuged at 13000 rpm for 1 minute and the flow through discarded. 750  $\mu$ L Buffer PE was added to the column to wash and was centrifuged at 13000 rpm for 1 minute and the flow through discarded. The column was centrifuged without any liquid for an additional 1 minute to remove the residual alcohol and wash buffer. The QIAprep column was transferred to a labeled 1.7 mL snap-cap tube and 50  $\mu$ L nuclease-free water was added to the filter. It was incubated for 1 minute and the DNA was eluted by centrifuging at 13000 rpm for 1 minute. A 2  $\mu$ L sample from the purified product eluate was used for measuring DNA concentration using the Epoch Nano. The concentration and purity, based on the 260/280 ratio, were recorded. The purified plasmid DNA was stored at -20°C for short term and archived at -80°C for long-term storage.

#### Plasmid sequencing and verification

800 ng of miniprep plasmid DNA was mixed with 6  $\mu$ L of 1  $\mu$ M 5' Absence primer in final volume of 12  $\mu$ L with nuclease-free water. These products were sent to the McDermott Center for DNA sequencing core facility at UTSWMC. Samples were amplified using Applied Biosystems Inc. (ABI) Big Dye Terminator 3.1 chemistry, and were analyzed on ABI capillary instruments. The sequences were returned as .txt files and .abi electropherogram files for analysis.



FinchTV was used to visualize the electropherogram for each sequence. Sequences were visually examined to identify any early sequence miss-reads or missed bases and fixed manually. The inserts were verified to match the original patient nested PCR sequence from Kabat codon 31 (start of CDR1) through codon 104 (start of FR4). Any insertions or deletions invalidate the sequence regardless of their location. The FR1 region had to exactly match the region covered by the primer but other point mutations were valid because they were unable to be read from the original patient nested PCR sequence read. Mutations induced/imposed by the cloning JH primer were dismissed. The restriction enzyme sites were verified to be in the correct locations. Up to 30 base pairs before and after the restriction sites were verified to match the backbone sequences to insure that the plasmids remained in frame after insertion of the variable regions. If the sequence matched these criteria, it was logged and archived at -80°C.

#### *Glycerol stock creation and verification*

A 14 mL culture tube with 10 mL LB media and ampicillin was inoculated with 30 µL of the corresponding miniprep culture that was verified to contain the correct cloned in frame and patient matching insert IgG or IgK vector. This was grown overnight in a shaker incubator at 37°C. The next morning a cryovial, labeled with the Ig clone code, patient # and well ID, with 500 µL of 60% sterile filtered glycerol had 1 mL of overnight culture added. The tube was inverted to mix and placed in a Mr. Frosty overnight in the -80°C and then archived the next day and logged. The overnight growth was verified to still match the previous sequence by repeating the Qiagen miniprep on 3 mL of bacterial growth.

### Midiprep amplification of DNA for transfection

Miniprep DNA yields were insufficient for transfections. The IgG and IgK of each rhAb was expanded using midiprep growth for a maximal yield of 100 µg plasmid DNA (Qiagen). Three 14 mL culture tubes with 10 mL of LB media with ampicillin were inoculated with glycerol stock scrapings from a still frozen tube. The cultures were grown in a shaker incubator overnight at 37°C. The cells were harvested the following morning by centrifuging 30 mL of the growth at 8000 rpm in the Sorvall floor centrifuge for 15 minutes. The supernatant was discarded and the pellet was resuspended in 4 mL Buffer P1 that was equilibrated to room temperature. This bacterial slurry was transferred to a labeled 15 mL conical tube. 4 mL of Buffer P2 was added to the tube and mixed thoroughly by inverting the tube 4-6 times avoiding vortexing to not shear the DNA. If properly lysed, the cell suspension turned blue. The reaction was not to exceed 5 minutes and was halted by adding 4 mL of chilled Buffer P3 and mixed immediately and thoroughly by inverting the tube 4-6 times and incubated on ice for 15 minutes. All traces of blue would be gone and a homogenous colorless suspension devoid of localized precipitate was the desired goal. The tube was centrifuged in the Sorvall floor centrifuge at 8000 rpm for 30 minutes at 4°C to form a compact white pellet. The supernatants containing the plasmid DNA were carefully pipetted to avoid the white precipitate and added to a new 15 mL conical tube. The supernatant was centrifuged again in the Sorvall at 8000 rpm for 15 minutes at 4°C and the supernatant was removed immediately into a new 15 mL conical tube. QIAGEN-tip 100 columns were equilibrated by adding 4 mL

Buffer QBT and allowed to empty by gravity flow. The supernatant from the 15 mL conical was added to the equilibrated column and allowed to pass through the resin by gravity flow. The column was washed twice with 10 mL of Buffer QC each time. The waste conicals were discarded and a new 15 mL conical was added below the columns. The DNA was eluted using 5 mL Buffer QC and allowing it to pass through by gravity flow. 3.5 mL of isopropanol was added to the eluted DNA to aid in precipitation and incubated at -20°C overnight.

The following day, the tubes were removed and mixed by inversion to visualize the precipitate. The tubes were centrifuged in the Sorvall at 8000 rpm for 1 hour at 4°C. The supernatant was carefully decanted with a serological pipette as the DNA pellet was glassy and loose. The DNA pellet was washed with 1 mL 70% EtOH and transferred to a 1.7 mL snap-cap tube. These were centrifuged using a table-top microcentrifuge at 13000 rpm for 10 minutes at 4°C. This wash was performed twice and the supernatant carefully removed with a pipette. The DNA pellet was air-dried for 5-15 minutes and then dissolved in 200-400 µL nuclease-free water depending on the concentration needs for downstream applications. A 2 µL sample from the purified product eluate was used for measuring DNA concentration using the Epoch Nano. The concentration and purity, based on the 260/280 ratio, were recorded. The purified plasmid DNA was stored at -20°C for short term and archived at -80°C for long-term storage. A sample was sent for re-sequencing to verify that the patient insert and plasmid still matched and was in frame.

### Production of monoclonal rhAbs

Human embryonic kidney fibroblast (HEK) 293T cells were archived in  $5 \times 10^5$  cell aliquots at  $-120^{\circ}\text{C}$ . A frozen stock was removed and quickly thawed in a  $37^{\circ}\text{C}$  water bath with constant swirling until a small floating ice crystal was left. This was added to 10 mL cold RegMed (500 mL DMEM, 50 mL heat-inactivated FCS, 5 mL HEPES, and 5 mL L-Glutamine mixed and filtered) to avoid heat-shock. The conical tube was mixed by inversion and cells were pelleted by centrifuging at 1500 rpm for 3 minutes. The cell pellet was gently resuspended in 10 mL RegMed and plated in a T25 flask and placed in a 5%  $\text{CO}_2$  water-jacketed incubator (Nuaire) at  $37^{\circ}\text{C}$ . These were grown for  $\sim 3$  days to reach 80-90% confluency. Cells were lifted with 1 mL trypsin for 5-10 minutes at room temperature, neutralized with 5 mL RegMed, and centrifuged at 1500 rpm for 5 minutes. The pellet was resuspended in 30 mL RegMed and passaged into a T75 flask. These were grown for 1.5-2 days to reach 80-90% confluency for a maximum yield of  $\sim 20 \times 10^6$  cells per flask.

10 cm coated tissue culture dishes were prepared for transfection by plating  $2.1 \times 10^6$  cells in 10 mL RegMed 24 hours prior to transfection. These plates were grown to 50-80% confluency, as any higher density would lead to failed transfection. One hour prior to transfection (23 hours post plating) the media was removed and replaced with 5 mL UltraLow Media (same as RegMed expect that the FCS was replaced with ultra-low IgG FCS). The dishes were placed back in the incubator while preparing the transfection solution. JetPEI solution (Polyplus transfection) was used with optimized modifications to manufacturer's recommendations. Paired IgG and IgK DNA that composed a rhAb were mixed in a 1.7 mL snap-cap tube.  $6.25 \mu\text{g}$  of each plasmid ( $12.5 \mu\text{g}$  total DNA) was

added to the tube and the volume adjusted to 250  $\mu$ L with the provided 150 mM NaCl solution. In a separate 1.7 mL snap-cap tube, 25  $\mu$ L JetPEI was diluted with 225  $\mu$ L 150 mM NaCl solution for a final volume of 250  $\mu$ L. Both tubes were flick mixed; vortexing was avoided to not shear the DNA. The JetPEI solution was added to the DNA solution tube and flick mixed. The mixture was incubated at room temperature for 20-30 minutes. The 10 cm dishes were removed from the incubator and placed in the laminar flow hood. The DNA-JetPEI precipitate solution was evenly added dropwise to the media and then the plate was tapped gently on each of the 4 sides to homogenize the mixture before replacing it in the incubator. Two hours after transfection, an additional 15 mL UltraLow media was added slowly to not disrupt the cell layer and the plates were returned to the incubator.

Supernatants were collected on days 3, 5, 7, and 10 (day 1 was the day after transfection). 20 mL supernatants were collected in 50 mL conical tubes and centrifuged at 1500 rpm for 5 minutes to pellet any cell debris. The supernatant was transferred to a new conical tube and stored at -20°C until further downstream processing. Prior to freezing, a 20  $\mu$ L aliquot was taken and frozen in a 0.6 mL snap-cap tube for ELISA measurements of growth yield and concentration of all the collection days.

#### Quantification of rhAb production and concentration

ELISAs were used to determine the yield and the concentration of the rhAbs produced in culture. This was also repeated downstream to obtain an accurate measurement post-concentrating or column purifying of the rhAbs. Goat anti-human IgG Fc antibody (Santa Cruz) was diluted to 10  $\mu$ g/mL in coating solution (0.1 M anhydrous

NaHCO<sub>3</sub> dissolved in water) and added at 100 µL per well of an ELISA plate (2HB Immulon) as the primary catch antibody. The plate was sealed and incubated overnight at 4°C. The following morning, the wells were washed with PBS/Tween (0.2% Tween in PBS) by adding 200 µL solution to each well and allowing the plate to sit for 1 minute and then inverted to remove liquid and patted out on a paper towel to remove residual wash solution. This was repeated twice. Blocking buffer (1% BSA in PBS) was added at 200 µL per well and incubated at room temperature for 30 minutes. The wells were washed twice with PBS/Tween as before.

2.5 µL of human reference serum (stock at 4.4 mg/mL) was diluted in 11 mL blocking buffer. This was serially diluted using 500 µL of the previous reference serum solution with 500 µL blocking buffer. 10 standards were created: 500, 250, 125, 62.5, 31.3, 15.6, 7.8, 3.9, 1.95, and 0 ng/mL. The standards were added in duplicate at 100 µL per well in the ELISA plate. The samples were diluted 100x using blocking buffer. Each supernatant collection day for a rhAb was tested: days 3, 5, 7, and 10. These 4 sample dilutions were added in duplicate at 100 µL per well in the ELISA plate. The plate was sealed and the samples and standards were incubated at room temperature for 2 hours. The wells were washed five times with PBS/Tween as before.

Goat anti-human IgG Fc HRP-conjugated antibody (SantaCruz) was diluted to 100 ng/mL in blocking buffer and added at 100 µL per well of the ELISA plate as the secondary detection antibody. The plate was sealed and incubated at room temperature for 1 hour. The wells were washed with PBS/Tween as before with the additional 30 second pause between each wash. This was repeated 5 times. Tetramethylbenzidine (TMB) substrate solution (Ebioscience) was added at 100 µL per well. This was

incubated for ~1-10 minutes in the dark and development was regularly checked and stopped when the samples developed a blue color in the middle range of the standard curve. The reaction was stopped by added 50  $\mu$ L of 1 M HCl turning the solution in the wells from blue to yellow. The plate was read at 450 nm using the Greiner 96 round bottom plate setting in the Epoch Nano software. Standard curves and rhAb concentrations were interpolated using GraphPad Prism 6.

#### Concentrating rhAb supernatant

Successful growth of rhAbs was determined using the aforementioned ELISA. Collection days with sufficient production were thawed overnight at 4°C. Supernatants were concentrated using the 10kDa MWCO Amicon Ultra centrifugal filter units (Millipore) following manufacturer's recommendations. The minimal concentration was 18 ng/ $\mu$ L for downstream applications and the maximum was set at ~120 ng/ $\mu$ L. ELISA results were used to obtain a close estimate to the yield and concentration of the supernatants. This was used to estimate the target volume needed to concentrate the rhAbs. Supernatant was added to the Amicon Ultra centrifugal filter unit and centrifuged at 4000 rpm for 20 minutes at 4°C. This was repeated until the desired volume endpoint was achieved. Aliquots of the concentrated rhAb supernatant were created and stored at -80°C. A second ELISA was performed on the concentrated stocks of rhAbs to determine an accurate final concentration. Additionally, a non-transfected cell culture supernatant was confirmed to not contain any IgG above ELISA detection. These concentrated rhAbs were used as primary antibodies for all mouse brain immunohistochemistry (IHC) and immunofluorescence (IFC) experiments.

### Column purification of rhAbs

A set of 10 AGS-enriched rhAbs and 2 control rhAbs were purified by passing supernatant through a 5 mL column with a bed of protein G sepharose beads (Pierce). The column was set up by filling the column and funnel extender with DPBS with the bottom portion plugged. A frit was pushed down to the bottom and bubbles were tapped out. DPBS was then allowed to flow through and refilled after which the bottom was plugged. 1 mL of protein G sepharose bead slurry was pipetted into the DPBS and equilibrated for 30 minutes. The column was then un-plugged and allowed to drain. 2.5 mL of freshly made elution buffer (0.751 g glycine, 0.877 g NaCl, in 100 mL Millipore water, pH 2.5-2.8 adjusted with HCl) was added to the column followed by a 10 mL DPBS rinse. A glass bottle was then placed below the column to collect the rhAb supernatant flowthrough. Supernatants were passed through the column and drained by gravity flow. After all the rhAb supernatant was passed through, 40 mL of DPBS was used to rinse the column. The flow through was stored at 4°C until the column purification was verified to be successful.

The rhAbs were eluted in three fractions: 3.5 mL elution + 583 µL neutralization (2 M TrisHCl, pH 8), 2.5 mL elution + 417 µL neutralization, and 5 mL elution + 833 µL neutralization. The column was preserved by rinsing with 10 mL DPBS followed by an additional 10 mL DPBS with 50 µL of 10% NaN<sub>3</sub>, sealed, and stored at 4°C. 20 µL of each elution was sampled for coomassie gel to visualize which elutions contained the rhAb. The samples were run on a 4-15% SDS-page gel and the resulting bands were visualized by staining in coomassie stain for 1 hour followed by a 30 minute rinse in water. Typically, the rhAb was present mostly in elution 1, with a lesser amount in



elution 2, and none in elution 3.

Elutions that contained rhAb were dialyzed in Spectra/Por Dialysis Membrane (6000-8000 MWCO) tubing in 2 L of PBS with a stir bar added to agitate the PBS overnight at 4°C. The next morning, the tubings were added to a fresh 2 L of PBS. Approximately 8-10 hours later, they were transferred to 2 L DPBS. Samples were removed the next day and stored in 15 mL conical tubes at 4°C. 10 µL of sample was removed for concentration and yield determination via ELISA. Once the concentration was determined, if the rhAb needed to be further concentrated for downstream applications this was done using the 10kDa MWCO Amicon Ultra centrifugal filter units (Millipore, MA, USA) followed by filtering. 10% NaN<sub>3</sub> was added to the purified rhAbs for a final concentration of 0.01% NaN<sub>3</sub> as a preservative and then stored at 4°C.

#### *Biotinylation of column purified rhAbs*

A set of 10 AGS-enriched rhAbs and 2 control rhAbs were biotinylated using 106 µg of column-purified product following manufacturer's instructions for the Thermo Scientific EZ-Link Micro NHS-PEG4-Biotinylation kit (Thermo Scientific, MA, USA). 106 µg accounted for the ~95% recovery post de-salt for a final yield of ~100 µg of biotinylated rhAb. Minimum concentration of column purified rhAbs was 151.42 ng/µL to account for the maximum 700 µL reaction volume. 106 µg of column-purified rhAb was added to a 1.7 mL snap-cap tube and the volume was adjusted to 700 µL with PBS. One microtube of NHS-PEG4-Biotin was cut from the strip stored in a desiccated pouch at 4°C. A 20 mM biotin solution was created by piercing the foil with a pipette tip and adding 170 µL of PBS and mixed by pipetting. 1.77 µL of the 20 mM biotin solution was

added to the tube with 106 µg of rhAb and flick mixed. This was incubated for 2 hours on ice. Excess unbound biotin and salts were removed using the provided Zeba Spin Desalting Column (7000 MWCO). A column was cleared by removing the bottom plug and centrifuging in a 15 mL conical waste tube at 1000 xg for 2 minutes at 4°C. The column was then equilibrated by adding 1 mL of PBS to the top of the resin bed and centrifuging at 1000 xg for 2 minutes at 4°C and the flow through was discarded. This was repeated three times. The column was placed into a new 15 mL conical tube for collection. The biotin-rhAb solution was added directly onto the center of the resin bed and allowed to be fully absorbed into the resin (~2-5 minutes). The biotinylated rhAb was recovered by centrifuging at 1000 xg for 2 minutes at 4°C. The flow-through was the purified biotinylated-rhAb and was collected and transferred to a labeled 1.7 mL snap-cap tube. The volume recovered was documented so the concentration could be determined. 10% NaN<sub>3</sub> was added to achieve a final concentration of 0.05% NaN<sub>3</sub> as a preservative and then stored at 4°C. These biotinylated rhAbs were used as primary antibodies for all human brain IHC and IFC experiments.

#### *Processing of mouse brain tissue for freezing*

Mice were sacrificed 2-3 days post stroke induction as previously described (339) and were provided by Dr. Ann Stowe (UTSWMC). A perfusion pump was set up with heparinized saline (1000 units/mL of PBS) in a syringe fitted with a butterfly syringe. A mouse was placed in an isoflurane chamber and once it stopped breathing its four legs were pinned to a styrofoam board with its back flush against the board. An incision was made across the abdomen and through the ribs and diaphragm to expose the heart. The

butterfly needle attached to the perfusion pump was pierced through the left ventricle and the right atrium was cut to release the blood. Each mouse was perfused with 50 mL of heparinized saline at a rate of 5 mL/minute to flush the entire body of blood. The saline was then replaced with 40 mL of 4% paraformaldehyde and perfused at the same rate to achieve full-body rigidity.

Extraction of the brain began by severing the head and carefully cutting through the eye sockets and skull. The skull was pried free from the brain avoiding any surface damage to the cortex. The brain was pulled out keeping the cerebellum intact and immersed in a vial of 4% paraformaldehyde for 48 hours at 4°C to preserve it. The brains were then cryoprotected by sequential incubations at 4°C in 15% sucrose for at least 24 hours (or longer if needed, until the brain sank) followed by 30% sucrose for at least 48 hours.

#### *Processing of frozen human brain tissue*

Post-mortem human brain samples were provided by the Human Brain and Spinal Fluid Resource Center (UCLA, Los Angeles, CA). Three samples were used for the studies: white matter (WM) from a healthy control without neurological complications (HC), white matter plaque from a patient with clinically definite MS (MS-P), normal appearing WM from the same MS patient (MS-WM), and normal appearing gray matter (MS-GM) on the same sample as the MS-WM. Mean time to sampling from time of death was 16 hours. A test using a mouse brain snap-frozen with liquid nitrogen and then placed in -80°C for a week to simulate post-mortem human tissue conditions was conducted to confirm that the human samples could be processed in the same manner as

the mouse samples. Upon removing human brain samples from -80°C, they were preserved similarly to mouse brains with 4% paraformaldehyde for 48 hours at 4°C followed by cryoprotection in sequential 15% and 30% sucrose solutions.

#### Processing and cryostat sectioning of frozen tissue

All tissues were embedded in O.C.T freezing compound and stored at -20°C until cryosectioned. The brain tissues were drained and wiped down to remove excess sucrose. A layer of O.C.T. freezing compound was added to the bottom of a mold and the brain tissue was placed in an upright position. The mold was filled with O.C.T. and then placed in an isopropanol bath on top of dry ice. Once the O.C.T. froze to an opaque white color, it was placed at -20°C for at least 24 hours before further processing.

Tissue sections (12-16µm) were cut and attached to charged glass slides using a cryostat (Thermo Scientific MICROM) and frozen at -20°C. Mouse brain tissue was cut to produce 3 coronal sections per slide. Human brain tissues did not have a planar orientation and were cut to produce 3 sections per slide.

#### Cresyl violet staining

Tissues were stained with cresyl violet to validate the integrity and the preservation of the tissue. Cresyl violet binds to nissl substance in neurons and cell nuclei and is visualized as purple under a light microscope. Slides were removed from -20°C and air-dried for 1-3 minutes. They were then immersed in cresyl violet stain (188 mL of 0.6% acetic acid, 12 mL of stock base (1.3 g NaOAc in 100 mL water) and 25 mL of stock dye (1.2 g cresyl violet in 900 mL water)) for 20 minutes. The slides were then

rinsed in deionized water and immersed in 70% EtOH for 3 minutes followed by 95% EtOH for 3 minutes, 100% EtOH for 3 minutes, and xylenes for 5 minutes. The slides were mounted with ~120-150  $\mu$ L permount:xylene (3:1 ratio) mixture and a coverslip was placed on top. Slides were imaged using a 40x brightfield lens on the NanoZoomer (Hamamatsu, Japan). Images were visualized using NDP.view software (Hamamatsu, Japan) and 20x images were exported for visualization and adjustments to brightness and contrast were done with ImageJ software (NIH, USA).

#### *Diaminobenzidine (DAB)-immunohistochemistry (IHC) staining of mouse brain tissue*

All 32 AGS-enriched rhAbs and the 2 control rhAbs (B1 and G11) were assayed for binding to brain using DAB on mouse brain tissue. Binding to brain was visualized by brown precipitates formed by the DAB reaction and could be seen using a light microscope. Slides were removed from -20°C and air dried for 1-3 minutes until most of the condensation was removed. The slides were placed in PBS until the unmasking solution was ready. Care was taken at all steps to protect the tissue from drying out which would lead to non-specific signals due to damaged tissue. Tissues were subjected to antigen retrieval by boiling for 2 minutes in low pH citric acid based antigen unmasking solution (Vector Laboratories) prepared by diluting 15 mL of solution in 1.6 L deionized water. Post boiling, the slides were immediately transferred to a deionized water bath to cool for 2 minutes. Once the slides were cool, they were transferred to PBS for 2-5 minutes in order to rehydrate. Each slide was removed one at a time and carefully dried around the tissue and a circular waxy barrier was drawn around each of the 3 samples using a pap pen. PBS was added to the brains in a drop-wise fashion to cover them in

liquid and they were placed in a humidifying chamber (a slide box lined with water soaked paper towels).

Removal of any liquid on the tissues was done by vacuum aspiration using care to not touch the brain with the pipette tip. Most of the liquid was removed with a small film remaining to prevent tissue desiccation and damage. PBS was removed and the brains were incubated with 100  $\mu$ L of 3%  $\text{H}_2\text{O}_2$  for 5 minutes to block endogenous peroxidases. The tissues were washed with PBS for 5 minutes followed by a 10 minute blocking incubation with 100  $\mu$ L of 3% normal goat serum in PBS. The tissues were washed with PBS for 5 minutes. 1  $\mu$ g of primary rhAb antibody (10ng/ $\mu$ L diluted in blocking buffer) was added to each brain slice and incubated overnight at 4°C.

The next morning, the tissues were washed twice with PBS for 5 minutes to maximize the removal of all unbound rhAb. Tissues were probed with 100  $\mu$ L of biotinylated goat anti-human secondary antibody (Vectastin Elite ABC Kit for human: 5 mL PBS, 3 drops normal goat serum, 1 drop biotinylated secondary antibody) for 1 hour at room temperature. In the interim, the ABC reagent was prepared (5 mL PBS, 2 drops reagent A, 2 drops reagent B) and allowed to sit in a dark drawer for at least 30 minutes prior to use. Once the secondary incubation was complete, the tissues were washed with PBS for 5 minutes. 100  $\mu$ L of ABC reagent was added to each brain slice and incubated for 30 minutes at room temperature. The tissues were washed with PBS for 5 minutes; all the liquid was removed at this step to limit the amount of free conjugates that would increase the background staining. The tissues were developed using 100  $\mu$ L of DAB substrate solution (2.5 mL DI water, 2 drops buffer stock, 2 drops DAB, 1 drop  $\text{H}_2\text{O}_2$ ; Vector Laboratories) and incubated for 1-4 minutes depending on the rate of development.

The reaction was stopped by removing the DAB solution and adding PBS to the tissues. The slides were dehydrated and cleared in a dipping rack in the fume hood in the following order: 70% EtOH for 5 minutes, 95% EtOH for 5 minutes, 100% EtOH for 5 minutes (repeated twice), xylenes for 5 minutes (repeated twice). The slides were mounted with ~120-150  $\mu$ L permount:xylene (3:1 ratio) mixture and a coverslip was placed on top. They were air dried for 1 hour prior to imaging the slides and stored long term at 4°C. All three brain slices on each slide were imaged using a 40x brightfield lens on the NanoZoomer (Hamamatsu, Japan). Images were visualized using NDP.view software (Hamamatsu, Japan) and 20x images were exported for visualization and adjustments to brightness and contrast were done with ImageJ software (NIH, USA).

#### *DAB-IHC staining of human brain tissue*

Initial processing of the human brain tissue sections remained the same as the mouse tissue. After blocking with 3% normal goat serum in PBS for 10 min at room temperature, an additional blocking step was performed with BloxAll for 10 min at room temperature (Vector Laboratories). Due to the presence of IgG deposits even in healthy brain and as an artifact of post-mortem tissue preparation (106), the set of 10 rhAbs and the 2 control rhAbs used in the mouse brain IFC were biotinylated to eliminate the need for a species specific secondary antibody. Therefore, the tissues were instead incubated overnight at 4°C with 1  $\mu$ g biotinylated-rhAb (diluted to 10 ng/ $\mu$ L in blocking buffer) per brain slice. The next day, these biotinylated-rhAbs were detected without a secondary antibody and instead with ABC reagent alone (Vector Laboratories). Dehydration, clearing, mounting, and visualization of the human tissue followed the same procedure as

the mouse tissue

*Immunofluorescence (IFC) staining of mouse brain tissue*

Ten AGS rhAbs and 2 control rhAbs from the DAB panel were selected for further experiments using IFC. Tissue sections were subjected to antigen retrieval in the same manner as was done for DAB-IHC. The sections were blocked with 1% normal goat serum and 1% Tween-20/PBS for 1 hour at room temperature. The tissues were washed three times with PBS for 5 minutes. Most of the rhAbs were diluted in blocking solution. Pierce Immunostain Enhancer (Thermo Scientific) was used as the diluent for the primary rhAb incubation as well as the secondary Alexa Fluor488 for the following two rhAbs: AJL03, AJL15. Slides were washed three times with PBS for 5 minutes, and then incubated overnight at 4°C with 1 µg rhAb (10 ng/µL) per brain slice.

The following morning, the sections were washed three times with PBS for five minutes. They were then incubated for 1 hour at room temperature with 100 µL of the secondary antibody Alexa Fluor 488 goat anti-human IgG Fc (1:400; Life Technologies) and care was taken to minimize light exposure. The tissues were washed three times with PBS for 5 minutes. Then 100 µL of a colocalization marker, either GFAP (Abcam) or NeuN (Chemicon) were used at 1:1000 and 1:100 dilutions respectively, was incubated for 1 hour at room temperature. The tissues were washed three times with PBS for 5 minutes. The colocalization markers were then probed with 100 µL of the appropriate secondary antibody Alexa Fluor 594 anti-rabbit IgG Fc for GFAP or Alexa Fluor 594 anti-mouse IgG Fc for NeuN detection (1:400; Life Technologies) for 1 hour at room temperature. The tissues were washed three times with PBS for 5 minutes. Next, the



stained tissue sections were incubated for three minutes with DAPI (1:1000) as a counterstain for nuclei (Life Technologies). The sections were washed twice with PBS for 5 minutes and once with DI water for 5 minutes. They were dried of excess buffer and water and then wet mounted with 2-3 drops of Fluoro-Gel (Electron Microscopy Diatome). Slides were viewed with a fluorescent Leica TCS SP5 confocal microscope (Leica microsystems) in the UTSWMC Live Cell Imaging Core with three channel spectral detection. The original .lif files generated were viewed, adjusted in brightness and contrast, and overlaid images were created using ImageJ software (NIH, USA). See Table 2-12 for specifics on the antibodies utilized for IFC.

#### IFC staining of human brain tissue

Initial processing of the human brain tissue sections for IFC remained the same as the mouse tissue. Again, biotinylated rhAbs were used to eliminate the need for a species-specific secondary antibody. After the initial blocking, endogenous biotin was blocked per manufacturers instructions using the streptavidin-biotin blocking kit (Vector Laboratories). The tissues were incubate with streptavidin solution for 15 minutes, washed twice with PBS for 5 minutes, incubated with biotin solution for 15 minutes, and then washed three times with PBS for 5 minutes. Pierce Immunostain Enhancer (Thermo Scientific) was used as the diluent for the primary rhAb incubation as well as the secondary Alexa Fluor 488 for all human tissue IFC. Slides were incubated overnight at 4°C with 2 µg rhAb (20 ng/µL) per brain slice.

The following morning, the sections were washed with 0.05%Tween/PBS three times for 5 minutes. They were then incubated for 1.5 hours at room temperature with

100  $\mu$ L of the secondary antibody Alexa Fluor 488 goat anti-streptavidin (1:400; Life Technologies) and care was taken to minimize light exposure. The tissues were then washed with 0.05% Tween/PBS three times for 5 minutes. The colocalization with either GFAP or NeuN, DAPI counterstain, mounting and visualization followed the same procedure as the mouse brain tissue.

#### IFC staining with Lamin A on mouse brain tissue

Additional IFC using lamin A as a colocalizing marker for the nuclear membrane was performed on a subset of 7 rhAbs that colocalized with NeuN. IFC was done on mouse brains obtained from EAE immunized with full-length recombinant human MOG. Initial processing of frozen mouse brain tissue was performed as for the previous IFC experiments. Slides were incubated overnight at 4°C with 1  $\mu$ g rhAb (10 ng/ $\mu$ L) simultaneously with lamin A antibody (1:400) diluted in 100 $\mu$ L of Pierce Immunostain Enhancer per brain slice.

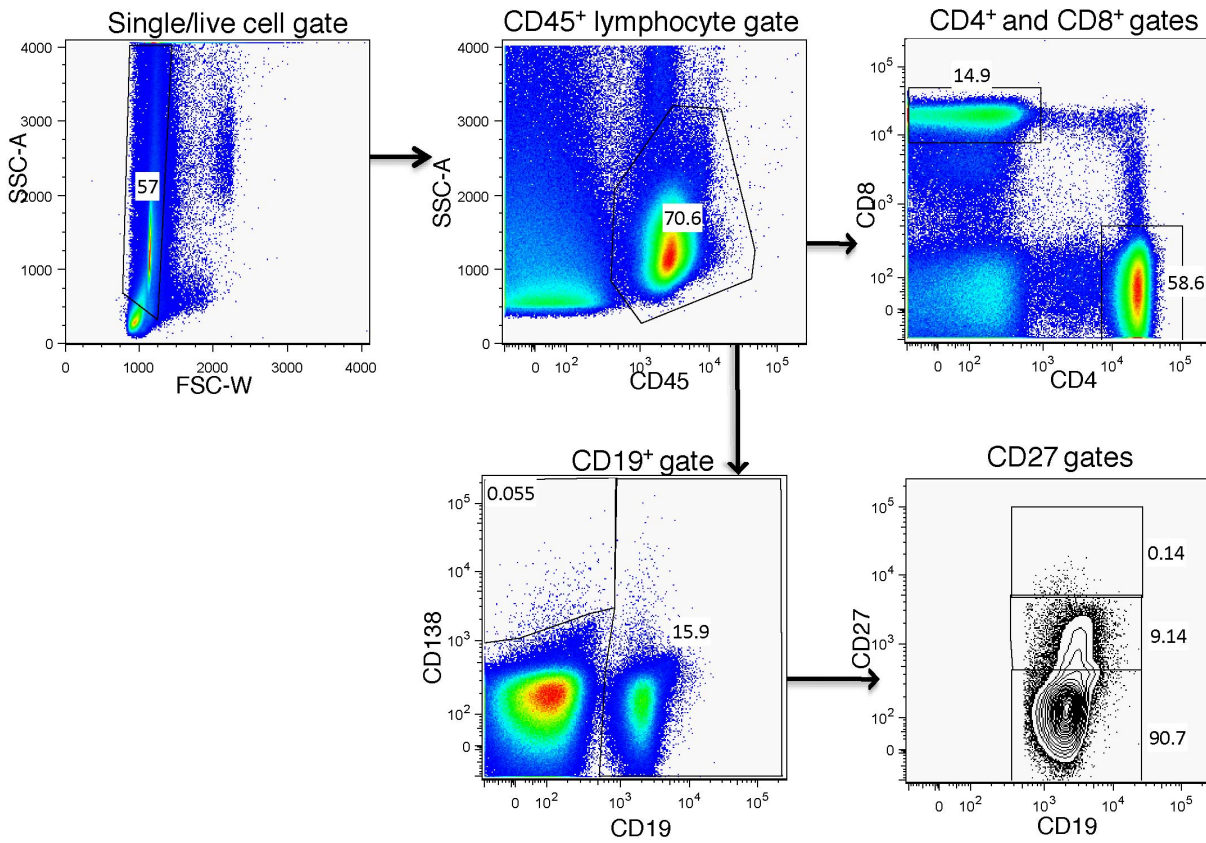
The following morning, the sections were washed with 0.05% Tween/PBS 3 times for 5 minutes. They were then incubated for 2 hours on a rotating shaker at 4°C with 100  $\mu$ L of the secondary antibody Alexa Fluor 488 goat anti-human IgG Fc simultaneously with Alexa Fluor 594 anti-rabbit IgG Fc (both 1:400; Life Technologies). The tissues were then washed with 0.05% Tween/PBS three times for 5 minutes. The DAPI counterstain, mounting and visualization followed the same procedure as the previous IFC on mouse brain tissue experiments.

## **FIGURE LEGENDS FOR CHAPTER TWO: METHODOLOGY**

**Figure 2-1.** *Gating strategy for analysis of lymphocyte subpopulations.* A representative CIS patient's flow cytometry data is shown with arrows indicating the direction of gate analyses. The initial gate was a single/live cell gate followed by a CD45<sup>+</sup> lymphocyte gate. From the lymphocyte gate, CD4<sup>+</sup>, CD8<sup>+</sup>, CD138<sup>+</sup> and CD19<sup>+</sup> gates were formed. Naïve (CD27<sup>-</sup>), memory (CD27<sup>+</sup>), and plasmablast (CD27<sup>high</sup>) B cell gates were created within the CD19<sup>+</sup> gate. This immunophenotyping was done for each compartment once per patient.

**Figure 2-2.** *Sample work-flow of sequence processing and database generation.* A representative initial individual V<sub>H</sub> sequence and resulting patient total V<sub>H</sub> repertoire summary table are shown with arrows indicating the direction of work-flow. Post purification of PCR product and sending for sequencing, the initial electropherogram is visualized and edited manually for missing nucleotides. This sequence is blasted using IMGT/V-QUEST detailed analysis and the resulting alignment information is imported into TextWrangler and saved as a text file. All the text files comprising a patient's V<sub>H</sub> repertoire are run using the perl-based script (AlignToEnd5.pl) generating vgene and mutation information excel databases. These output databases are used to generate summary tables utilizing excel-based scripts.

**Figure 2-1**



**Figure 2-2**

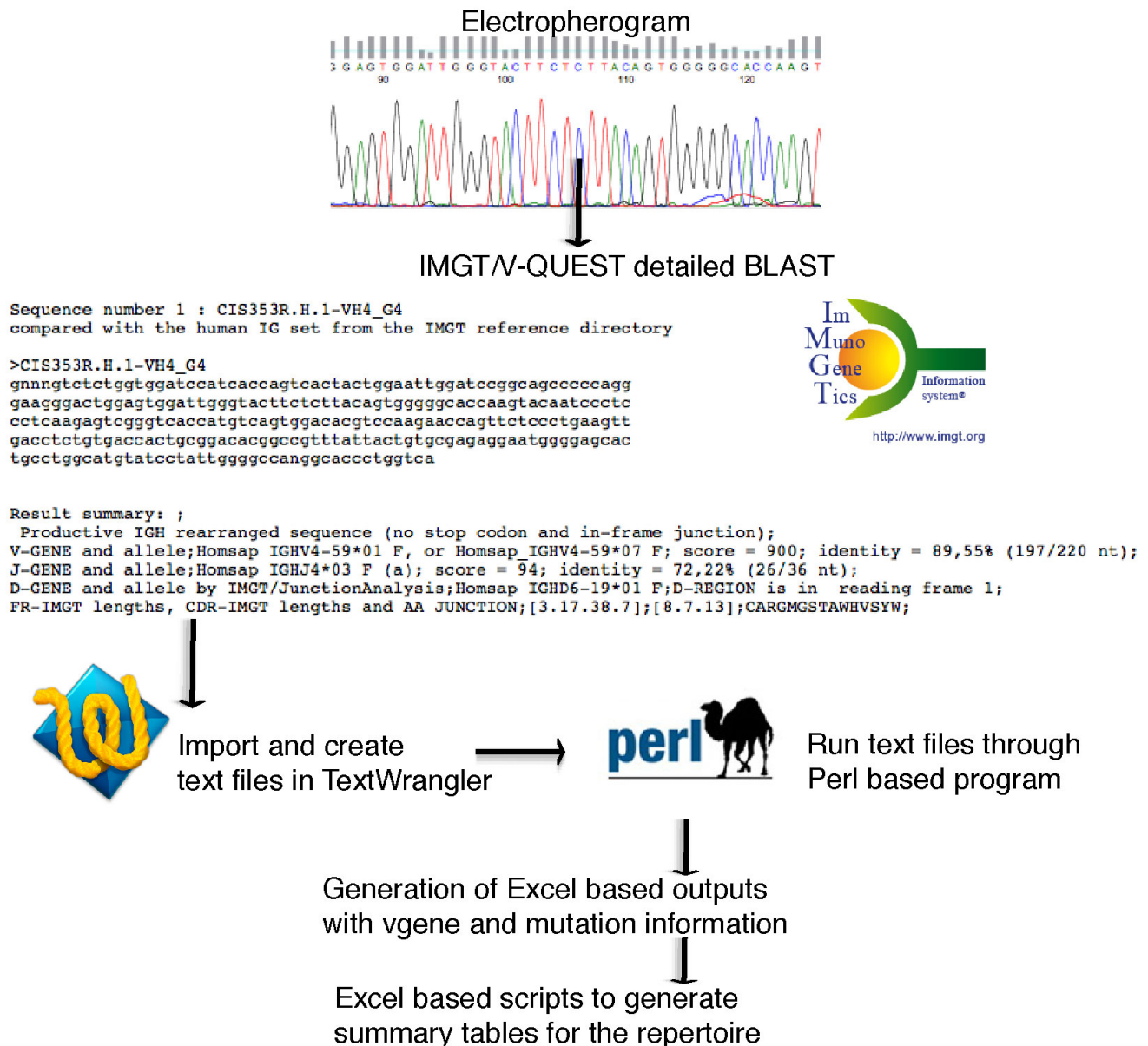


Figure 2-2 (continued)

	VH1	VH2	VH3	VH4	VH5	VH6	VH7	Totals	
<b>N</b>	2	1	32	14	2	0	0	51	<b>Total VH</b>
<b>% of total re</b>	3.92%	1.96%	62.75%	27.45%	3.92%	0.00%	0.00%	51	<b>Total J</b>
<b>JH1 N</b>	0	0	3	2	0	0	0	5	<b>JH1 N</b>
<b>JH2 N</b>	0	0	2	1	0	0	0	3	<b>JH2 N</b>
<b>JH3 N</b>	1	0	5	2	1	0	0	9	<b>JH3 N</b>
<b>JH4 N</b>	0	1	16	5	0	0	0	22	<b>JH4 N</b>
<b>JH5 N</b>	0	0	3	2	1	0	0	6	<b>JH5 N</b>
<b>JH6 N</b>	1	0	3	2	0	0	0	6	<b>JH6 N</b>
<b>JH1 %</b>	0.00%	0.00%	9.38%	14.29%	0.00%			9.80%	<b>JH1 %</b>
<b>JH2 %</b>	0.00%	0.00%	6.25%	7.14%	0.00%			5.88%	<b>JH2 %</b>
<b>JH3 %</b>	50.00%	0.00%	15.63%	14.29%	50.00%			17.65%	<b>JH3 %</b>
<b>JH4 %</b>	0.00%	100.00%	50.00%	35.71%	0.00%			43.14%	<b>JH4 %</b>
<b>JH5 %</b>	0.00%	0.00%	9.38%	14.29%	50.00%			11.76%	<b>JH5 %</b>
<b>JH6 %</b>	50.00%	0.00%	9.38%	14.29%	0.00%			11.76%	<b>JH6 %</b>
<b>JH4:JH6</b>	0.00		5.33	2.50				3.67	<b>JH4:JH6</b>
<b>CDR-Mut%</b>	9.85%	7.25%	8.61%	6.59%	1.52%			7.80%	<b>CDR-Mut%</b>
<b>FR-Mut%</b>	2.65%	6.06%	3.27%	4.06%	0.76%			3.42%	<b>FR-Mut%</b>
<b>Total-Mut%</b>	5.05%	6.47%	5.05%	4.90%	1.01%			4.88%	<b>Total-Mut%</b>
<b>%M in CDR</b>	32.50%	38.46%	49.27%	31.98%	25.00%			42.71%	<b>%M in CDR</b>
<b>(targeting)</b>									<b>(targeting)</b>
<b>Total R/S</b>	0.80	1.40	2.16	2.10	3.00			2.03	<b>Total R/S</b>
<b>CDR-R/S</b>	1.75	1.50	4.00	4.89	#DIV/0!			3.91	<b>CDR-R/S</b>
<b>FR-R/S</b>	0.17	1.33	1.21	1.27	1.00			1.16	<b>FR-R/S</b>
<b>CDR3 length</b>	17.50	12.00	15.09	15.07	20.50			15.33	<b>CDR3 length</b>
<b>CDR3 charge</b>	-0.50	1.00	-0.41	0.07	0.50			-0.22	<b>CDR3 charge</b>
<b>% acidic (-)</b>	50.00%	0.00%	46.88%	50.00%	0.00%			45.10%	<b>% acidic (-)</b>
<b>% basic (+)</b>	50.00%	100.00%	21.88%	35.71%	50.00%			29.41%	<b>% basic (+)</b>
<b>% neutral (0)</b>	0.00%	0.00%	31.25%	14.29%	50.00%			25.49%	<b>% neutral (0)</b>
<b>max charge</b>	1	1	1	4	1	0	0	4	<b>max charge</b>
<b>min charge</b>	-2	0	-3	-3	0	0	0	-3	<b>min charge</b>
<b>RF (Rcodons)</b>	6.06%	10.45%	8.96%	8.87%	2.27%			8.59%	<b>RF (Rcodons/codon)</b>
<b>CDR RF</b>	15.91%	13.04%	16.91%	14.25%	4.55%			15.58%	<b>CDR RF</b>
<b>FR RF</b>	1.14%	9.09%	4.97%	6.17%	1.14%			5.08%	<b>FR RF</b>

## **TABLES FOR CHAPTER TWO: METHODOLOGY**

<b>Table 2-1. Fluorescently-labeled antibodies used for FACS</b>					
Cell marker	Fluorochrome	BD #	Clone	master mix <sup>1</sup>	compensation <sup>2</sup>
CD45	APC-Cy7	557833	2D1	8 µL	3 µL
CD4	PE-Cy7	557852	SK3	8 µL	3 µL
CD8	APC	555369	RPA-T8	16 µL	5 µL
CD8	PE	555367	RPA-T8	N/A	10 µL
CD19	PerCP-Cy5.5	561295	HIB19	8 µL	3 µL
CD27	FITC	555440	M-T271	32 µL	10 µL
CD138	PE	550805	DL-101	32 µL	N/A
Abbreviations: FACS: fluorescence activated cell sorting, CD: cluster of differentiation, BD: BD Biosciences; N/A: not applicable					
<sup>1</sup> Volumes added of each antibody to the master mix of which 34µL was added to CSF cells and 68µL was added to PB cells.					
<sup>2</sup> Volumes added of each antibody to the individual respective compensation tubes.					

Table 2-2: Patient information and CSF flow cytometry determined cell population percentages															
Patient ID	Patient Type	Age/Gender	CSF mL	Cells/mL <sup>1</sup>	Single/Live%	CD45 <sup>+</sup> % <sup>2</sup>	CD4 <sup>+</sup> %	CD8 <sup>+</sup> %	4:8 ratio <sup>3</sup>	CD138 <sup>+</sup> %	CD19 <sup>+</sup> %	CD27 <sup>-</sup> %	CD27 <sup>+</sup> %	CD27 <sup>high</sup> %	N:M ratio <sup>4</sup>
M357	RRMS	19/F	17.0	10176	74.0	77.8	57.6	19.5	2.95	0.15	5.03	1.16	3.33	0.53	0.30
M423	RRMS	53/F	14.5	n.d. <sup>5</sup>	92.3	75.4	55.7	17.0	3.28	0.41	2.56	0.24	2.26	0.05	0.11
M562	RRMS	n.d./F	10.5	3810	84.5	52.7	55.0	7.2	7.60	1.08	4.34	0.42	3.88	0.05	0.11
NMO316	NMO	43/F	10.0	1580	78.8	16.9	35.0	6.0	5.83	0.55	1.53	0.37	1.04	0.12	0.32
NMO296	NMO	53/F	14.0	14100	92.1	44.4	66.0	26.6	2.48	0.06	2.41	0.29	1.41	0.70	0.14
OND516	PND	74/F	15.0	1700	85.7	55.6	40.9	3.2	12.66	0.35	1.73	0.04	1.69	0	0.03
OND955	PND	63/F	12.5	400	89.5	27.4	57.0	17.8	3.20	0.34	2.01	0.52	1.38	0.10	0.35
CIS166	CIS	31/F	9.5	1579	95.5	7.8	51.6	19.4	2.66	1.87	6.05	0.14	5.35	0.56	0.02
CIS248	CIS	29/F	7.0	2857	84.8	59.1	46.0	11.0	4.18	0.17	1.43	0.49	0.61	0.33	0.52
CIS319	CIS	43/F	10.0	1500	84.3	29.1	59.5	19.6	3.04	0.83	0.16	0	0.10	0.06	0
CIS424	CIS	58/F	11.5	325000	99.9	4.0	69.8	8.0	8.68	0.03	2.26	0.48	1.78	0	0.27
CIS457	CIS	48/F	7.0	30000	84.5	5.6	26.0	27.2	0.96	0.46	7.03	1.85	3.52	1.67	0.36
CIS825	CIS	31/F	14.0	7500	87.7	37.7	54.0	19.4	2.78	0.09	1.94	0.75	1.10	0.09	0.63
CIS968	CIS	65/F	12.5	800	72.4	13.7	27.1	n.d. <sup>5</sup>	n.d. <sup>5</sup>	0.48	4.41	0.31	4.11	0	0.07
CIS212	ON <sub>CIS</sub>	30/F	20.0	750	97.0	63.0	37.8	18.2	2.08	1.39	12.00	0.51	11.11	0.38	0.04
CIS348	ON <sub>CIS</sub>	34/F	20.0	8400	96.3	66.7	60.6	19.5	3.11	0.13	6.90	0.60	5.98	0.32	0.10
CIS426	ON <sub>CIS</sub>	30/M	20.0	n.d. <sup>5</sup>	84.4	59.5	54.3	15.4	3.53	0.11	3.01	0.21	2.60	0.20	0.08
CIS563	ON <sub>CIS</sub>	40/F	13.5	2593	95.7	46.1	75.0	13.0	5.77	1.02	2.00	0.18	1.28	0.54	0.10
CIS826	ON <sub>CIS</sub>	35/M	11.0	3409	43.0	16.8	48.0	13.3	3.61	0.56	1.86	0.21	1.51	0.13	0.13
CIS831	ON <sub>CIS</sub>	40/F	15.0	1000	98.5	59.3	68.9	16.4	4.20	0.74	6.11	1.12	3.21	1.78	0.22
CIS919	ON <sub>CIS</sub>	21/F	15.0	9000	97.2	86.5	76.3	10.3	7.41	0.02	3.43	1.07	2.04	0.32	0.45
CIS964	ON <sub>CIS</sub>	54/F	22.0	63181	99.1	1.7	56.9	17.1	3.33	0.67	1.79	0.23	1.13	0.43	0.15
CIS111	TM <sub>CIS</sub>	62/F	10.0	1500	71.4	88.5	49.4	37.4	1.32	0.15	0.67	0.08	0.23	0.36	0.14
CIS251	TM <sub>CIS</sub>	54/F	10.0	37500	57.1	3.8	51.4	15.8	3.25	0.37	1.90	0.17	1.69	0.05	0.10
CIS287	TM <sub>CIS</sub>	46/F	15.0	5600	92.5	32.3	45.6	15.6	2.92	0.4	6.35	1.06	2.69	2.60	0.20
CIS328	TM <sub>CIS</sub>	33/M	12.0	5000	98.9	86.1	27.9	12.4	2.25	0.13	1.53	0.30	1.20	0.03	0.24
CIS335	TM <sub>CIS</sub>	34/F	13.0	3846	89.0	81.1	44.2	23.6	1.87	0.95	14.90	1.04	5.72	8.14	0.08
CIS353	TM <sub>CIS</sub>	58/F	10.5	1905	54.1	8.0	62.4	8.3	7.53	2.46	3.14	0.23	1.47	1.40	0.08
CIS371	TM <sub>CIS</sub>	56/F	10.0	1000	89.5	6.1	61.0	10.1	6.04	2.82	2.36	0.34	1.77	0.25	0.17



Table 2-2 CONTINUED: Patient information and CSF flow cytometry determined cell population percentages															
Patient ID	Patient Type	Age/Gender	CSF mL	Cells/mL <sup>1</sup>	Single/Live%	CD45 <sup>+</sup> % <sup>2</sup>	CD4 <sup>+</sup> %	CD8 <sup>+</sup> %	4:8 ratio <sup>3</sup>	CD138 <sup>+</sup> %	CD19 <sup>+</sup> %	CD27 <sup>+</sup> %	CD27 <sup>+</sup> %	CD27 <sup>high</sup> %	N:M ratio <sup>4</sup>
CIS431	TM <sub>CIS</sub>	28/F	14.5	1793	94.0	55.9	40.4	5.7	7.10	0.44	4.78	1.11	2.02	1.65	0.30
CIS527	TM <sub>CIS</sub>	43/F	16.0	3000	80.8	12.1	77.7	7.8	9.99	0.59	2.59	0.66	1.81	0.12	0.34
CIS663	TM <sub>CIS</sub>	32/F	10.5	1905	34.4	4.6	55.8	14.3	3.90	1.98	1.98	0	0.74	1.24	0
CIS683	TM <sub>CIS</sub>	40/F	20.0	1000	90.8	89.3	71.8	15.9	4.52	0.16	0.97	0.06	0.61	0.29	0.07
CIS699	TM <sub>CIS</sub>	37/F	10.0	1400	78.9	21.1	58.1	17.8	3.26	0.65	2.78	0.28	2.43	0.08	0.11
CIS787	TM <sub>CIS</sub>	33/M	10.0	1650	62.3	30.7	67.4	20.0	3.37	0.37	2.39	0.83	1.08	0.47	0.53
CIS793	TM <sub>CIS</sub>	69/M	9.5	1579	64.9	13.8	47.4	13.2	3.59	0.46	4.47	1.21	3.26	0	0.37
CIS799	TM <sub>CIS</sub>	29/F	10.0	5500	98.0	88.9	68.0	10.5	6.48	0.19	9.53	0.80	5.88	2.84	0.09
CIS834	TM <sub>CIS</sub>	57/F	9.0	83333	50.7	81.5	61.9	18.5	3.35	0.18	12.70	0.62	11.37	0.71	0.05
CIS873	TM <sub>CIS</sub>	20/F	11.0	21818	86.8	7.8	48.1	13.8	3.49	0.28	9.14	2.22	5.01	1.91	0.32
CIS924	TM <sub>CIS</sub>	69/M	21.5	3256	96.5	5.1	14.7	14.7	1.00	0.95	1.59	0.04	1.10	0.45	0.02
CIS942	TM <sub>CIS</sub>	53/F	9.5	2105	65.4	7.0	65.4	16.7	3.92	0.51	1.41	0.14	1.21	0.06	0.11
CIS991	TM <sub>CIS</sub>	34/F	10.0	1000	78.5	60.2	63.4	6.1	10.41	0.43	1.59	0.31	1.13	0.15	0.24
<sup>1</sup> Cells/mL was determined using cell counts with a hemacytometer <sup>2</sup> All immune cell population percentages are determined from the CD45 <sup>+</sup> lymphocyte gate <sup>3</sup> 4:8 ratio is determined by dividing the CD4 <sup>+</sup> % by the CD8 <sup>+</sup> % <sup>4</sup> naïve (N): memory (M) ratio is determined by dividing the CD19 <sup>+</sup> CD27 <sup>-</sup> % by the sum of the CD19 <sup>+</sup> CD27 <sup>+</sup> and CD19 <sup>+</sup> CD27 <sup>high</sup> %'s <sup>5</sup> not determined (n.d.) due to unavailable information															

Table 2-3: Patient information and PB flow cytometry determined cell population percentages															
Patient ID	Patient Type	Age/Gender	PB mL	Cells/mL <sup>1</sup>	Single/Live%	CD45 <sup>+</sup> % <sup>2</sup>	CD4 <sup>+</sup> %	CD8 <sup>+</sup> %	4:8 ratio <sup>3</sup>	CD138 <sup>+</sup> %	CD19 <sup>+</sup> %	CD27 <sup>-</sup> %	CD27 <sup>+</sup> %	CD27 <sup>high</sup> %	N:M ratio <sup>4</sup>
M357	RRMS	19/F	5.0	1.86x10 <sup>6</sup>	17.2	57	43.4	24.5	1.77	0.04	10.7	7.99	2.51	0.21	2.94
M423	RRMS	53/F	5.0	n.d. <sup>5</sup>	81.6	36.3	36.4	17.1	2.13	0.10	13.5	6.59	6.86	0.11	0.95
M562	RRMS	n.d./F	5.0	5.75x10 <sup>6</sup>	70.8	73.9	34.8	13.8	2.52	0.05	9.48	4.45	4.97	0.06	0.88
NMO316	NMO	43/F	10.0	1.39x10 <sup>6</sup>	44.8	57.9	44.9	9.56	4.70	0	6.08	4.77	1.27	0.05	3.60
NMO296	NMO	53/F	5.0	1.50x10 <sup>6</sup>	72.5	68.1	57.4	22.8	2.52	0	3.70	2.80	0.85	0.03	3.19
OND516	PND	74/F	4.5	1.16x10 <sup>7</sup>	75.5	79.6	17.6	6.8	2.63	0.18	16.7	15.73	0.95	0.02	16.28
OND955	PND	63/F	3.0	1.25x10 <sup>6</sup>	70.1	90.6	27.6	12.1	2.28	0	5.96	3.77	2.04	0.14	1.73
CIS166	CIS	31/F	5.0	1.45x10 <sup>6</sup>	98.4	47.0	44.5	19.1	2.33	0.04	16.90	13.11	3.45	0.27	3.53
CIS248	CIS	29/F	10.0	1.45x10 <sup>6</sup>	93.6	27.8	38.7	14.8	2.61	0.04	15.20	13.07	1.81	0.31	6.17
CIS319	CIS	43/F	8.0	1.38x10 <sup>6</sup>	96.6	23.3	37.2	24.4	1.52	0.04	11.20	9.05	1.98	0.09	4.37
CIS424	CIS	58/F	10.0	3.25x10 <sup>6</sup>	98.0	58.7	50.7	10.2	4.97	0.01	12.10	11.40	0.68	0.02	16.19
CIS457	CIS	48/F	5.5	1.82x10 <sup>6</sup>	97.3	22.6	51.8	11.2	4.63	0.02	18.50	14.04	3.87	0.71	3.07
CIS825	CIS	31/F	7.5	2.67x10 <sup>6</sup>	97.4	29.3	58.3	15.3	3.81	0.01	10.60	7.50	2.96	0.19	2.38
CIS968	CIS	65/F	5.0	2.00x10 <sup>6</sup>	75.2	41	44.3	n.d. <sup>5</sup>	n.d. <sup>5</sup>	0.03	17.40	11.61	5.79	0	2.00
CIS212	ON <sub>CIS</sub>	30/F	12.5	1.74x10 <sup>6</sup>	98.1	73.9	52.4	11.4	4.60	0.01	14.10	9.52	4.39	0.17	2.09
CIS348	ON <sub>CIS</sub>	34/F	10.0	1.30x10 <sup>6</sup>	96	43.8	32.2	29.7	1.08	0.01	14.40	5.04	9.23	0.03	0.54
CIS426	ON <sub>CIS</sub>	30/M	15.0	1.58x10 <sup>6</sup>	97.6	49.2	51.0	14.9	3.42	0.05	6.29	3.81	2.48	0	1.53
CIS563	ON <sub>CIS</sub>	40/F	10.0	2.15x10 <sup>6</sup>	96.5	42.3	65.3	16.9	3.86	0.03	11.20	4.58	6.56	0.06	0.69
CIS826	ON <sub>CIS</sub>	35/M	5.5	4.77x10 <sup>6</sup>	31.5	53.8	42.4	11.4	3.72	0.04	10.00	6.11	3.82	0.05	1.58
CIS831	ON <sub>CIS</sub>	40/F	1.0	1.25x10 <sup>6</sup>	98.4	23.2	43.2	18.1	2.39	0.11	12.70	6.85	5.80	0.14	1.15
CIS919	ON <sub>CIS</sub>	21/F	4.0	9.38x10 <sup>5</sup>	85.4	80.8	46.6	18.3	2.55	0	9.60	6.09	3.44	0.09	1.73
CIS964	ON <sub>CIS</sub>	54/F	14.0	1.06x10 <sup>6</sup>	97.8	48.0	46.6	14.9	3.11	0.07	7.85	4.18	3.56	0.11	1.14
CIS111	TM <sub>CIS</sub>	62/F	5.5	2.05x10 <sup>6</sup>	54.5	79.9	39.3	37.5	1.05	0.22	5.43	2.63	1.59	1.21	0.94
CIS251	TM <sub>CIS</sub>	54/F	5.0	4.50x10 <sup>6</sup>	20.9	20.8	47.5	19.1	2.49	0.17	12.50	7.74	4.66	0.13	1.61
CIS287	TM <sub>CIS</sub>	46/F	5.0	1.00x10 <sup>6</sup>	98.8	60.9	27.7	24.9	1.11	0.05	6.28	3.51	2.41	0.36	1.27
CIS328	TM <sub>CIS</sub>	33/M	5.0	3.00x10 <sup>6</sup>	99.2	71.2	24.9	16.6	1.50	0.05	16.90	12.98	3.92	0.01	3.30
CIS335	TM <sub>CIS</sub>	34/F	6.0	3.86x10 <sup>6</sup>	75.7	30.7	46.8	16.6	2.82	0.08	8.24	3.87	4.05	0.35	0.88
CIS353	TM <sub>CIS</sub>	58/F	5.0	3.00x10 <sup>6</sup>	14.7	68.3	53.2	15.3	3.48	2.22	5.06	1.52	2.89	0.65	0.43
CIS371	TM <sub>CIS</sub>	56/F	2.25	4.17x10 <sup>6</sup>	27.9	40.7	47.7	24.6	1.94	0.38	2.36	0.34	1.77	0.25	0.17

Table 2-3 CONTINUED: Patient information and PB flow cytometry determined cell population percentages															
Patient ID	Patient Type	Age/ Gender	PB mL	Cells/mL <sup>1</sup>	Single/ Live%	CD45 <sup>+</sup> % <sup>2</sup>	CD4 <sup>+</sup> %	CD8 <sup>+</sup> %	4:8 ratio <sup>3</sup>	CD138 <sup>+</sup> %	CD19 <sup>+</sup> %	CD27 <sup>-</sup> %	CD27 <sup>+</sup> %	CD27 <sup>high</sup> %	N:M ratio <sup>4</sup>
CIS431	TM <sub>CIS</sub>	28/F	10.0	3.31x10 <sup>6</sup>	97	49.8	42.1	12.1	3.48	0.02	14.10	11.22	2.62	0.26	3.90
CIS527	TM <sub>CIS</sub>	43/F	5.0	1.50x10 <sup>6</sup>	97.6	7.41	43.4	15.1	2.87	0.05	23.50	8.81	14.50	0.81	0.60
CIS663	TM <sub>CIS</sub>	32/F	5.0	2.75x10 <sup>6</sup>	113	54.7	54.3	22.4	2.42	1.84	9.25	1.40	5.42	2.16	0.18
CIS683	TM <sub>CIS</sub>	40/F	15.0	3.75x10 <sup>6</sup>	98.8	45.6	65.2	10.3	6.33	0.05	7.00	4.63	2.33	0.04	1.95
CIS699	TM <sub>CIS</sub>	37/F	4.5	4.22x10 <sup>5</sup>	93.4	11.4	36.1	22.1	1.63	0.06	22.40	11.56	10.44	0.20	1.09
CIS787	TM <sub>CIS</sub>	33/M	5.5	1.82x10 <sup>6</sup>	62.9	64.1	58.6	14.8	3.96	0.07	16.00	14.51	1.46	0.02	9.77
CIS793	TM <sub>CIS</sub>	69/M	5.5	4.55x10 <sup>6</sup>	80.7	41.1	30.2	6.5	4.65	0.18	52.00	33.38	18.62	0.01	1.79
CIS799	TM <sub>CIS</sub>	29/F	10.0	3.13x10 <sup>6</sup>	98.3	39.9	61.3	10.9	5.62	0.07	13.70	6.00	7.38	0.32	0.78
CIS834	TM <sub>CIS</sub>	57/F	5.5	6.59x10 <sup>6</sup>	54.2	23.1	15.6	6.5	2.40	1.03	26.30	7.84	18.30	0.15	0.42
CIS873	TM <sub>CIS</sub>	20/F	5.0	9.12x10 <sup>5</sup>	95.7	21.1	33.0	18.9	1.75	0.09	26.30	17.86	7.84	0.61	2.11
CIS924	TM <sub>CIS</sub>	69/M	5.0	1.55x10 <sup>6</sup>	97.6	72.2	36.2	15.1	2.40	0.01	9.73	3.87	5.35	0.52	0.66
CIS942	TM <sub>CIS</sub>	53/F	5.0	3.25x10 <sup>6</sup>	39	36.1	47.7	10.5	4.54	0.25	13.70	8.33	5.29	0.08	1.55
CIS991	TM <sub>CIS</sub>	34/F	4.0	1.88x10 <sup>6</sup>	56.3	50.0	59.1	10.1	5.85	0.03	10.50	6.84	3.42	0.25	1.86
CIS111R	TM <sub>CIS</sub>		72.0	1.18x10 <sup>6</sup>	82.3	39.7	40.4	34.2	1.18	0.86	9.76	6.08	3.53	0.15	1.65
CIS431R	TM <sub>CIS</sub>		67.0	4.25x10 <sup>6</sup>	73.3	64.1	44.5	24.1	1.85	0.85	16.8	12.18	4.50	0.10	2.64
CIS353R	TM <sub>CIS</sub>		73.0	7.7x10 <sup>5</sup>	44.2	85.8	42.5	29.8	1.43	1.23	7.7	5.15	2.53	0.03	2.02
CIS683R	TM <sub>CIS</sub>		72.0	1.28x10 <sup>6</sup>	73.2	41.7	69.8	9.81	7.12	1.77	3.17	2.70	0.43	0.03	5.82
<sup>1</sup> Cells/mL was determined using cell counts with a hemacytometer															
<sup>2</sup> All immune cell population percentages are determined from the CD45 <sup>+</sup> lymphocyte gate															
<sup>3</sup> 4:8 ratio is determined by dividing the CD4 <sup>+</sup> % by the CD8 <sup>+</sup> %															
<sup>4</sup> naïve (N): memory (M) ratio is determined by dividing the CD19 <sup>+</sup> CD27 <sup>-</sup> % by the sum of the CD19 <sup>+</sup> CD27 <sup>+</sup> and CD19 <sup>+</sup> CD27 <sup>high</sup> %'s															
<sup>5</sup> not determined (n.d.) due to unavailable information															

<b>Table 2-4. Formulations for mixes used for one cPEP plate<sup>1</sup></b>			
<b><i>cPEP sort mix</i></b>			
<i>Component</i>	<i>Vendor</i>	<i>Volume</i>	
0.1 M DTT (from SSRT III kit) <sup>2</sup>	Invitrogen/ Life- Technologies	53.7 $\mu$ L	
Recombinant RNAsin	Promega	48.3 $\mu$ L	
0.5x PBS	Cellgro	454 $\mu$ L	
		536.3 $\mu$ L	<b><i>Final volume<sup>3</sup></i></b>
		4 $\mu$ L	<b><i>volume per well</i></b>
<b><i>Random Hexamer Primer (RHP) mix</i></b>			
<i>Component</i>	<i>Vendor</i>	<i>Volume</i>	
Random Hexamer primers (3 $\mu$ g/ $\mu$ L)	Invitrogen/ Life- Technologies	7.67 $\mu$ L	
10% Igepal CO-630	Sigma	76.7 $\mu$ L	
Recombinant RNAsin	Promega	23.33 $\mu$ L	
Nuclease-free water	Biotex	392 $\mu$ L	
		499.7 $\mu$ L	<b><i>Final volume<sup>3</sup></i></b>
		3.5 $\mu$ L	<b><i>volume per well</i></b>
<b><i>Reverse Transcription (RT) mix</i></b>			
<i>Component</i>	<i>Vendor</i>	<i>Volume</i>	
5x First strand buffer (from SSRT III kit) <sup>2</sup>	Invitrogen/ Life- Technologies	396 $\mu$ L	
10 mM dNTP	Promega	261.6 $\mu$ L	
Recombinant RNAsin	Promega	26.4 $\mu$ L	
Nuclease-free water	Biotex	8.4 $\mu$ L	
Superscript RT III	Invitrogen/ Life- Technologies	33 $\mu$ L	
		857.4 $\mu$ L	<b><i>Final volume<sup>3</sup></i></b>
		7 $\mu$ L	<b><i>volume per well</i></b>
<sup>1</sup> One cPEP plate (96 wells) contained both the sorted cells and the cPEP reaction was performed in the same sorted plate. <sup>2</sup> These reagents were provided as part of the kit with the Superscript RT III (SS RT III) enzyme. <sup>3</sup> Final volume of the mix accounted for volume loss due to pipetting and transferring to reagent reservoirs.			

<b>Table 2-5. Primer sequences for V<sub>H</sub> and V<sub>K</sub> amplifications</b>	
<b><i>Primers for V<sub>H</sub> amplifications</i></b>	
Primer name	Sequence (all in direction for ordering: complement)
VH1E	CAGCTKGTRCAGTCTGG
VH2E	CAGRTCACCTTGAAGGA
VH3E	GTGCAGCTGKTGGAG
VH4E	CAGSAGTSGGGCBCAG
JHE	CTGARGAGACRGTGAC
VH1N	GTCTGGRSCTGAGGTGAAGAAG
VH2N	GAGTCTGGTCCTRYGCTGGTG
VH3N1	GGAGTCTGGGGGAGGYBTGGT
VH3N2	GAGKCKTGGTMCAGCCTGGG
VH3N3	GGAGGCTTRRTYCAGCCTGGG
VH4N	GGCBCAGGACTGKTGAAGCCTT
JH1245N	GTGACCRTKGTCCCTTGGCCC
JH36N	TGACCAGGGTKCCMYGGCCC
<b><i>Primers for V<sub>K</sub> amplifications</i></b>	
VK12E	GCTCAGCTCCTGGGGCT
VK3E	GGAARCCCCAGCDCAGC
VK45E	CTSTTSCTYTGGATCTCTG
JK2E	ACGTTTGATCTCCAGCTTG
JK5E	CTTACGTTTAATCTCCAGTC
VK1N	CATCCAGWTGACCCAGTCTCC
VK2N	TCCAGTGGGGATATTGTGATGAC
VK3N	GTCTKTGTCTCCAGGGGAAAGAG
VK4N	GACATCGTGATGACCCAGTCTC
VK5N	GGGCAGAAACGACACTCACGCA
JK2N	CAGCTTGGTCCCCTGGCCAAA
JK5N	CCAGTCGTGTCCCTTGGCCG
IUPAC nucleotide codes for mixed bases: R (A or G), Y (C or T), S (G or C), W (A or T), K (G or T), M (A or C), B (C, G, or T), D (A, G, or T), H (A, C, or T), V (A, C, or G), N (any base)	

**Table 2-6. Template, primers, MgCl<sub>2</sub> concentration, and PCR reaction cycles for V<sub>H</sub> and V<sub>K</sub> amplifications<sup>1</sup>**

<b><i>V<sub>H</sub> amplifications</i></b>				
Reaction name	Template	Primers <sup>2</sup>	MgCl <sub>2</sub>	PCR cycles
VHE	1.5 µL cPEP	VH1E, VH2E, VH3E, VH4E, JHE	1.5 mM	95°C, 7min; {94°C, 1min; 52°C, 30sec; 72°C, 1.5min} x45 cycles; 72°C, 10min; 4°C, ∞
VH1N	5 µL VHE	VH1N; JH1245N; JH36N	1.5 mM	95°C, 5min; {94°C, 1min; 67°C, 30sec; 72°C, 1.5min} x50 cycles; 72°C, 10min; 4°C, ∞
VH2N	5 µL VHE	VH2N; JH1245N; JH36N	1.5 mM	<i>Same as VH1N</i>
VH3N	5 µL VHE	VH3N1; VH3N2; VH3N3; JH1245N; JH36N	1.5 mM	<i>Same as VH1N</i>
VH4N	5 µL VHE	VH4N; JH1245N; JH36N	1.5 mM	<i>Same as VH1N</i>
<b><i>V<sub>K</sub> amplifications</i></b>				
Reaction name	Template	Primers	MgCl <sub>2</sub>	PCR cycles
VK12E	1.5 µL cPEP	VK12E; JK2E; JK5E	3.5 mM	95°C, 5min; {94°C, 1min; 56°C, 30sec; 72°C, 1.5min} x40 cycles; 72°C, 5min; 4°C, ∞
VK3E	1.5 µL cPEP	VK3E; JK2E; JK5E	2.5 mM	<i>Same as VK12E</i>
VK45E	1.5 µL cPEP	VK45E; JK2E; JK5E	2 mM	<i>Same as VK12E</i>
VK1N	5 µL VK12E	VK1N; JK2N; JH5N	3.5 mM	95°C, 7min; {94°C, 1min; 65°C, 1min; 72°C, 1.5min} x50 cycles; 72°C, 5min; 4°C, ∞
VK2N	5 µL VK12E	VK2N; JK2N; JH5N	3.5 mM	<i>Same as VK1N</i>
VK3N	5 µL VK3E	VK3N; JK2N; JH5N	2.5 mM	<i>Same as VK1N</i>
VK4N	5 µL VK45E	VK4N; JK2N; JH5N	2 mM	<i>Same as VK1N</i>
VK5N	5 µL VK45E	VK5N; JK2N; JH5N	2 mM	<i>Same as VK1N</i>

<sup>1</sup> All reactions were in a final volume of 50 µL adjusted with nuclease free water. The final concentrations of dNTPs (0.2mM), 5x PCR buffer (1x), and Go-Taq DNA polymerase (1 unit/reaction) did not vary between the different PCR reactions.

<sup>2</sup> All primers were used at a final concentration of 0.5 µM and heated at 95°C for 5 minutes prior to adding to the master mix. The sequences for each primer are listed in table 2-5.

**Table 2-7: V<sub>H</sub> gene usage and mutation overview of single cell PCR amplified from CSF CD19<sup>+</sup> B cells**

Patient ID	Patient Type	# V <sub>H</sub>	% V <sub>H1</sub>	% V <sub>H2</sub>	% V <sub>H3</sub>	% V <sub>H4</sub>	% V <sub>H5</sub>	% V <sub>H6</sub>	% V <sub>H7</sub>	Average MF <sup>1</sup>	CDR R:S <sup>2</sup>	FR R:S <sup>2</sup>
M357	RRMS	82	14.63	3.66	25.61	56.10	0	0	0	4.48	4.96	1.42
CIS212	ON <sub>CIS</sub>	4	0	0	50.0	50.0	0	0	0	3.76	4.67	2.00
CIS348	ON <sub>CIS</sub>	79	8.86	3.80	30.38	51.90	5.06	0	0	5.96	4.99	1.44
CIS426	ON <sub>CIS</sub>	8	0	0	37.5	62.5	0	0	0	5.47	2.15	1.35
CIS563	ON <sub>CIS</sub>	24	0	4.17	16.67	79.17	0	0	0	8.04	3.62	1.83
CIS831	ON <sub>CIS</sub>	120	10.00	4.17	30.00	50.83	4.17	0	0.8	4.68	2.87	1.70
CIS964	ON <sub>CIS</sub>	5	0	0	40.0	60.0	0	0	0	3.14	5.00	2.4
CIS251	TM <sub>CIS</sub>	13	23.08	0	53.85	23.08	0	0	0	4.93	3.58	1.55
CIS287	TM <sub>CIS</sub>	12	0	0	41.70	58.30	0	0	0	5.42	5.11	1.11
CIS328	TM <sub>CIS</sub>	31	64.52	0	19.35	16.31	0	0	0	4.16	3.82	0.75
CIS335	TM <sub>CIS</sub>	95	8.42	3.16	26.32	61.05	1.05	0	0	6.75	2.93	1.86
CIS353	TM <sub>CIS</sub>	36	25.00	0	38.89	19.44	16.67	0	0	3.72	2.53	1.77
CIS371	TM <sub>CIS</sub>	31	0	3.23	29.03	64.52	0	3.23	0	3.96	2.47	1.04
CIS431	TM <sub>CIS</sub>	51	21.57	0	39.22	27.45	1.96	7.84	1.96	5.42	4.16	0.96
CIS527	TM <sub>CIS</sub>	22	13.64	4.55	40.91	40.91	0	0	0	2.71	5.22	0.93
CIS683	TM <sub>CIS</sub>	5	0	0	0	100	0	0	0	8.56	4.17	2.00
CIS787	TM <sub>CIS</sub>	69	18.84	4.35	44.93	26.09	5.80	0	0	2.83	4.38	1.61
CIS834	TM <sub>CIS</sub>	89	26.97	5.62	30.34	30.34	3.37	0	3.37	3.70	4.21	1.47
CIS873	TM <sub>CIS</sub>	40	2.50	2.50	32.50	62.50	0	0	0	5.00	4.46	1.12

<sup>1</sup> Average mutation frequency (MF) represents the number of nucleotide mutations across the sequence read length

<sup>2</sup> Replacement : Silent (R:S) mutation ratios represents the number of R mutations divided by the number of S mutations within the given regions

PCR amplifications done on all available CD19<sup>+</sup> sorted cells within the patient's 96 well plate. All amplified, productive, V<sub>H</sub> segments are reported here.

**Table 2-8: V<sub>H</sub> gene usage and mutation overview of single cell PCR amplified from PB CD19<sup>+</sup> B cell subsets**

Patient ID	Patient Type	# V <sub>H</sub>	% V <sub>H1</sub>	% V <sub>H2</sub>	% V <sub>H3</sub>	% V <sub>H4</sub>	% V <sub>H5</sub>	% V <sub>H6</sub>	% V <sub>H7</sub>	Average MF	CDR R:S	FR R:S
<b><i>PB CD19<sup>+</sup>CD27<sup>+</sup> memory B cells</i></b>												
CIS111R	TM <sub>CIS</sub>	14	7.14	0	42.86	42.86	7.14	0	0	6.73	2.14	1.26
CIS431R	TM <sub>CIS</sub>	71	14.08	0	49.30	29.58	4.23	0	2.82	5.00	3.05	1.61
CIS353R	TM <sub>CIS</sub>	54	7.41	5.56	51.85	33.33	1.85	0	0	4.83	2.95	1.63
CIS683R	TM <sub>CIS</sub>	61	9.84	3.28	57.38	22.95	6.56	0	0	3.96	5.44	1.53
<b><i>PB CD19<sup>+</sup>CD27<sup>high</sup> plasmablasts</i></b>												
CIS111R	TM <sub>CIS</sub>	58	22.41	3.45	37.93	32.76	3.45	0	0	7.01	3.41	1.35
CIS431R	TM <sub>CIS</sub>	81	12.35	0	67.90	18.52	1.23	0	0	6.75	4.62	1.41
CIS353R	TM <sub>CIS</sub>	51	3.92	1.96	62.75	27.45	3.92	0	0	4.88	3.91	1.16
CIS683R	TM <sub>CIS</sub>	63	7.94	3.17	58.73	22.22	6.35	1.59	0	6.06	3.96	1.60

<sup>1</sup> Average mutation frequency (MF) represents the number of nucleotide mutations across the sequence read length

<sup>2</sup> Replacement : Silent (R:S) mutation ratios represents the number of R mutations divided by the number of S mutations within the given regions

PCR amplifications done on all available CD19<sup>+</sup>CD27<sup>+</sup> or CD19<sup>+</sup>CD27<sup>high</sup> sorted cells within the patient's 96 well plate. All amplified, productive, V<sub>H</sub> segments are reported here.



Table 2-9. Patient, gene, and AGS overview of the 32 cloned rhAbs						
Patient #; code	Diagnosis	rhAb	Clone	# AGS <sup>1</sup>	V <sub>H</sub> ; J <sub>H</sub> <sup>2</sup>	V <sub>K</sub> ; J <sub>K</sub> <sup>3</sup>
1; M357	CDMS	AJL02	No	2	4-31; 4	1-39; 2
		AJL03	No	2	4-39; 1	1-33; 2
2; CIS563	ON <sub>CIS</sub>	AJL10	No	4	4-4; 6	2-28; 5
		AJL11	No	3	4-39; 5	3-20; 2
		WR12 <sup>4</sup>	Yes	2	4-30; 4	2-28; 4
		WR13 <sup>4</sup>	Yes	2	4-30; 4	2-28; 2
3; CIS831	ON <sub>CIS</sub>	AJL06	No	3	4-30; 4	1-33; 2
		AJL07 <sup>5</sup>	Yes	3	4-59; 4	1-13; 2
		AJL08	Yes	3	4b; 4	1-5; 2
		AJL09	Yes	2	4-59; 5	1-13; 5
		AJL13 <sup>5</sup>	Yes	3	4-59; 4	2-30; 5
4; CIS431	TM <sub>CIS</sub>	AJL01	Yes	3	4-34; 3	3-20; 5
		WR10 <sup>6</sup>	Yes	2	4-4; 6	3-20; 5
		WR11 <sup>6</sup>	Yes	2	4-4; 6	3-11; 4
5; CIS873	TM <sub>CIS</sub>	AJL14	No	3	4-61; 6	4-1; 2
		AJL15	Yes	4	4-39; 5	2D-29; 2
		AJL16	Yes	3	4-39; 5	1-33; 5
6; CIS787	TM <sub>CIS</sub>	AJL18	Yes	5	4-31; 5	1-39; 1
		AJL19	Yes	3	4-34; 3	3-20; 2
		AJL20	No	3	4-39; 4	1-27; 4
7; M125	CDMS	WR01 <sup>7</sup>	Yes	2	4-59; 6	3-20; 2
		WR02 <sup>7</sup>	Yes	2	4-30; 6	3-20; 2
8; M584	CDMS	WR03	No	3	4-4; 3	3-20; 1
		WR04	No	3	4-39; 4	3-15; 2
		WR05	No	4	4-61; 1	1-5; 4
		WR06	No	3	4-39; 3	1-5; 3
9; M522	CDMS	WR07	No	4	4-39; 4	3-20; 1
10; CIS348	ON <sub>CIS</sub>	AJL04	Yes	3	4-59; 5	1-16; 5
		AJL05	Yes	4	4-39; 4	1-16; 5
		AJL12	No	3	4-39; 5	3-20; 1
		WR08 <sup>8</sup>	Yes	2	4-31; 4	2-30; 5
		WR09 <sup>8</sup>	Yes	2	4-31; 4	3-20; 2
Abbreviations: CDMS: clinically definite multiple sclerosis, ON <sub>VIS</sub> : clinically isolated syndrome-optic neuritis, TM <sub>CIS</sub> : clinically isolated syndrome- transverse myelitis, rhAb: recombinant human antibody, AGS: antibody gene signature; V <sub>H</sub> : variable heavy, J <sub>H</sub> : junctional heavy, V <sub>K</sub> : variable kappa, J <sub>K</sub> : junctional kappa, N/A: not applicable <sup>1</sup> Number of mutated AGS codons (6 total possible) in the V <sub>H</sub> gene of the rhAb. <sup>2</sup> V <sub>H</sub> and J <sub>H</sub> genes utilized in the heavy chain of the rhAb. <sup>3</sup> V <sub>K</sub> and J <sub>K</sub> genes utilized in the light chain of the rhAb. Clonally related sequences: <sup>4</sup> WR12 and WR13; <sup>5</sup> AJL07 and AJL13; <sup>6</sup> WR10 and WR11; <sup>7</sup> WR01 and WR02; <sup>8</sup> WR08 and WR09						

**Table 2-10. Primer sequences for rhAb cloning PCR**

<b>Primers for <math>V_H4</math> rhAb constructs</b>		
Primer name	$V_H4/J_H$ genes	Sequence (all in direction for ordering: complement)
VH4shuttle	All except 4-34	TGCAGGAGTCGGGCCCAGG
VH4-34shuttle	4-34	TACAGCAGTGGGGCGCAGG
VH4Ig	All except 4-34, 4-39	CTGCA <b>ACCGGT</b> GTACATTCCCAGGTGCAGCTGCAGGAG
VH4-34Ig	4-34	CTGCA <b>ACCGGT</b> GTACATTCCCAGGTGCAGCTACAGCAGTGGG
VH4-39Ig	4-39	CTGCA <b>ACCGGT</b> GTACATTCCCAGGTGCAGCTGCAGGAG
JH12Ig	$J_H1, J_H2$	TGCGA <b>AGTCGAC</b> GCTGAGGAGACGGTGACCAG
JH3Ig	$J_H3$	TGCGA <b>AGTCGAC</b> GCTGAAGAGACGGTGACCATTG
JH45Ig	$J_H4, J_H5$	TGCGA <b>AGTCGAC</b> GCTGAGGAGACGGTGACCAG
JH6Ig	$J_H6$	TGCGA <b>AGTCGAC</b> GCTGAGGAGACGGTGACCGTG
<b>Primers for <math>V_K</math> rhAb constructs</b>		
Primer name	$V_K/J_K$ genes	Sequence (all in direction for ordering: complement)
VK3-20shuttle	3-20	AGTCTCCAGGCACCCTGTCTTTGTCT
VK3-15shuttle	3-15	AGTCTCCAGCCACCCTGTCTGTGTCT
VK1-5Ig	1-39, 1D-39, 1-33, 1D-33, 1-27, 1-17, 1D-16, 1-12, 1D-12, 1-5	CTGCA <b>ACCGGT</b> GTACATTCTGACATCCAGATGACCCAGTC
VK1-9Ig	1-9	TTGTGCTGCA <b>ACCGGT</b> GTACATTCAGACATCCAGTTGACCCAGTCT
VK1-13Ig	1-13, 1D-13	TTGTGCTGCA <b>ACCGGT</b> GTACATTCAGCCATCCAGTTGACCCAGTCT
VK1D-43Ig	1D-43, 1-8, 1-6	CTGCA <b>ACCGGT</b> GTACATTGTGCCATCCGGATGACCCAGTC
VK2-24Ig	2-40, 2D-40, 2-29, 2-24	CTGCA <b>ACCGGT</b> GTACATGGGGATATTGTGATGACCCAGAC
VK2-28Ig	2-28, 2D-28	CTGCA <b>ACCGGT</b> GTACATGGGGATATTGTGATGACTCAGTC
VK2-30Ig	2-30, 2D-30	CTGCA <b>ACCGGT</b> GTACATGGGGATGTTGTGATGACTCAGTC
VK3-15Ig	3-15, 3D-15, 3D-7	CTGCA <b>ACCGGT</b> GTACATTCAGAAATAGTGATGACGCAGTC
VK3-20Ig	3-20, 3D-20, 3-11, 3D-11	TGTGCTGCA <b>ACCGGT</b> GTACATTCAGAAATTGTGTTGACGCAGTCT
VK4-1Ig	4-1	CTGCA <b>ACCGGT</b> GTACATTCGGACATCGTGATGACCCAGTC
JK1Ig	$J_K1$	GCCACCGT <b>ACG</b> TTTGATYTCCACCTTGGTC
JK2Ig	$J_K2$	GCCACCGT <b>ACG</b> TTTGATYTCCAGCTTGGTC
JK3Ig	$J_K3$	GCCACCGT <b>ACG</b> TTTGATATCCACTTTGGTCCCAGGGC
JK4Ig	$J_K4$	GCCACCGT <b>ACG</b> TTTGATCTCCACCTTGGTCCCTCCGC
JK5Ig	$J_K5$	GCCACCGT <b>ACG</b> TTTAATCTCCAGTCGTGTC

<b>Table 2-11. Procedure for double restriction enzyme digestion of vector backbone and variable region inserts</b>		
<b><i>Procedure for Vector Backbone cuts (max DNA/reaction = 4µg)</i></b>		
Component (NEB)	IgG vector	IgK vector
Sall HF (20000 u/mL; 50x)	5 µL (2 fold)	-
BsiWI (10000 u/mL; 10x)	-	2 µL (2 fold)
Buffer (stock at 10x)	5 µL of #4	5 µL of #1
Add H <sub>2</sub> O up to 50 µL		
20 minute incubation at:	37°C	55°C
<i>After 20 minutes add same amount of enzyme again; another 20 minute incubation</i>		
20 minute denaturation at:	65°C	80°C
<i>Run reaction on 0.7% gel at 100V for 1.5 hours. Gel purify linearized band.</i>		
AgeIHF (20000 u/mL; 50x)	2.5 µL (1 fold)	2.5 µL (1 fold)
Buffer (stock at 10x)	5 µL of #4	5 µL of #4
BSA	0.5 µL	0.5 µL
Add H <sub>2</sub> O up to 50 µL		
20 minute incubation at:	37°C	37°C
20 minute denaturation at:	65°C	65°C
<i>Column purify doubly-cut vector backbones</i>		
<b><i>Procedure for Variable region insert cuts (max DNA/reaction = 2 µg from vector, 200 ng from PCR reaction)</i></b>		
Component (NEB)	IgG insert	IgK insert
AgeHF (20000 u/mL; 50x)	1.25 µL (1 fold)	1.25 µL (1 fold)
Sall HF (20000 u/mL; 50x)	2.5 µL (2 fold)	-
BsiWI (10000 u/mL; 10x)	-	2 µL (2 fold)
Buffer (stock at 10x)	5 µL of #4	5 µL of #1
BSA	0.5 µL	0.5 µL
Add H <sub>2</sub> O up to 50 µL		
20 minute incubation at:	37°C	37°C
20 minute denaturation at:	65°C	80°C
<i>Column purify doubly-cut variable region inserts</i>		

<b>Table 2-12. Antibodies used for IFC experiments</b>					
<b>Antibody</b>	<b>Species</b>	<b>Fluorochrome</b>	<b>Vendor</b>	<b>Vendor #</b>	<b>Working dilution</b>
rhAb	Human	N/A	N/A	N/A	10 ng/μL <sup>1</sup>
NeuN <sup>2</sup>	Mouse	N/A	Chemicon (Millipore)	MAB377	1:100
GFAP	Rabbit	N/A	Abcam	ab7260	1:1000
Lamin-A	Rabbit	N/A	Abcam	ab26300	1:400
Human IgG Fc	Goat	Alexa Fluor 488	Life Technologies	A-11013	1:400
Rabbit IgG Fc	Goat	Alexa Fluor 594	Life Technologies	A-11012	1:400
Mouse IgG Fc	Goat	Alexa Fluor 594	Life Technologies	A-11005	1:400
Streptavidin	N/A	Alexa Fluor 488	Life Technologies	S-11223	1:400
<sup>1</sup> 10 ng/μL was used for all mouse IHC, IFC and human IHC. The concentration was changed to 20 ng/μL for human IFC.					
<sup>2</sup> NeuN was clone A60. All other antibodies were polyclonal					

## **CHAPTER THREE**

### **RESULTS**

#### **AIM I: AGS POSITIVE B CELLS ARE ENRICHED IN MS DISEASE**

##### **Overview and rationale**

The laboratory has previously published AGS scores and enrichment in CSF B cells from MS and ON<sub>CIS</sub> patients at high risk to convert (228). An AGS score over 6.8 in an ON<sub>CIS</sub> patient's repertoire was predictive of conversion to CDMS. This is unique to the MS diseased compartment since the average AGS score from 3 OND patients was 4.5, and from 3 MSPB patient samples was 2.0. Since publication, the AGS scores have been recalculated for all patients' repertoires past and present to re-anchor the replacement mutation (RM) counts to only include codons 31-92. This was done to better accommodate and standardize across different sources of repertoires, but has not changed the overall conclusions derived from the initial findings.

It was unknown if the same AGS enrichment could also be detected in CNS B cells from MS patients. Finding the same genomic marker in diseased tissue would provide support for further study of CSF B cells as they are reflective of the CNS B cell repertoire. In collaboration with Drs. Hafler and O'Connor, we received V<sub>H</sub> repertoires from 4 postmortem MS CNS specimens using laser capture microdissection (LCM) to isolate B cells and PCR their V<sub>H</sub> regions. The resulting AGS scores have been published (340). Post publication, in collaboration with Drs. Fire and Boyd we received V<sub>H</sub> repertoires from 4 postmortem MS CNS specimens using NGS to obtain the antibody

sequences. AGS enrichment was found in both sets of MS CNS B cell repertoires isolated from NAWM as well as plaques.

CSF B cell V<sub>H</sub> repertoires were previously provided by Drs. Owens and Bennett (University of Colorado Denver) in order to determine the ability for the AGS score to predict conversion of an ON<sub>CIS</sub> patient to CDMS (228). It was unknown whether patients with the other major initial presentation, TM<sub>CIS</sub>, also displayed enrichment of the AGS in their CSF B cell repertoires. Since the anatomical location of the lesions and general prognosis differs between ON<sub>CIS</sub> and TM<sub>CIS</sub>, it was possible that the influences shaping the B cell repertoire may be different, resulting in a different genomic pattern. We found that regardless of the patient's initial presentation, those at high risk to convert to CDMS harbor an enrichment of the AGS in their CSF B cell repertoires.

## **AGS ENRICHMENT IS PREVALENT IN THE CNS OF MS PATIENTS**

The following study has been published in the journal, *Journal of Neuroimmunology*. Ligocki A.J, Lovato L., Guidry P., Scheuermann R.H., Willis S.N., Almendinger S., Racke M.K., Frohman E.M., Hafler D.A., O'Connor K.C., Monson N.L., *A unique antibody gene signature is prevalent in the central nervous system of patients with multiple sclerosis*, 2010, volume 226, issue 1-2, pages 192-193, and is reproduced here with the permission of Elsevier under the issued license number 3275541014401

### Introduction

The involvement of B cells in the pathogenesis of multiple sclerosis (MS) has been reviewed elsewhere (341-344) and is supported by the therapeutic efficacy of the B cell depleting anti-CD20 drug rituximab in patients with MS (179, 345). This finding supports the concept that the B cell pool in MS patients harbors a subset that contributes to disease pathology. We hypothesized that if the cellular pool in MS patients is dysregulated, one would expect that antibody genes utilized by B cells circulating within the cerebrospinal fluid (CSF) would display a pattern of somatic hypermutation not found in healthy donors or patients with other neurological diseases. Indeed, our laboratory has recently identified a novel pattern of somatic hypermutation that is unique to MS CSF B cells and not found in control derived sequences (228). We investigated the utility of this antibody gene signature (AGS) as a molecular genomic tool to identify CIS patients at risk of developing definite MS. Application of the AGS tool demonstrated the ability to predict conversion to definite MS with an accuracy of 91% (228). The goal of this current

study was to determine whether this MS-specific AGS identified in the CSF is also present in the CNS tissue of patients with MS.

### Results and Discussion

The calculated AGS scores derived from the four subjects are listed in Table 3-1. We had previously established (228) that the AGS scores of CSF B cells from patients with MS had an average AGS score of 14.87. The AGS scores for the LCM-CNS tissue antibody repertoires ranged from 12.05-17.86 (average combined AGS score of 14.80) (Table 3-1). These data demonstrate that the AGS is not unique to the CSF but is also present in CNS tissue of MS patients. Of note, the average AGS score of CD19<sup>+</sup> CSF B cells from three patients with other neurological diseases was 4.5 and the average AGS score of CD19<sup>+</sup> peripheral blood B cells from 3 MS patients was 2.0.

The presence of a strong AGS score in this CNS tissue antibody gene repertoire database provides important corroboration of our principal hypothesis that AGS enriched B cells are present at the site of the disease process in MS, as well as in the circulating CSF. Our observations are in keeping with the current conceptualization of MS pathogenesis, which includes the matriculation of brain-reactive B cells from the periphery into brain tissue via the circulating CSF (9, 10, 125, 129, 346-348). Thus, if high AGS scores are a common feature of CSF B cells from MS patients, it should also represent a common characteristic of B cells localized to CNS tissue, as we have demonstrated here. A limitation in this current study is the low number of patient samples evaluated, however it provides a preliminary look into the localization of the AGS and justifies further research into the area. Ultimately, the AGS prevalence of B cells in CSF and CNS tissue of MS patients supports the hypothesis that a restricted population of B



cells are involved in the biological underpinning of the disease process in MS. Further characterization of these AGS enriched B cells in MS is currently under active investigation.

### *Acknowledgments*

The authors thank the patients and their families who consented to donating post-mortem samples for this study.

## **TABLES FOR CHAPTER THREE: RESULTS**

<b>Table 3-1. CNS specimen details and AGS scores for LCM-CNS<sup>1</sup> samples</b>						
Subject	Age/gender	Disease duration (years)	MS course	Anatomical location	AGS score	#V <sub>H</sub> 4 sequences with RM
MS-1	38/F	n.a.	RRMS	Plaque	12.05	20
MS-2	65/M	n.a.	CPMS	Plaque	17.86	12
MS-3	43/F	20	CPMS	Plaque	14.97	9
MS-4	39/F	13	CPMS	NAWM	14.31	30
Abbreviations: LCM-CNS: laser capture microdissection CNS, RM: replacement mutation, n.a.: not available, RRMS: relapsing remitting MS, CPMS: chronic progressive MS, NAWM: normal appearing white matter						
<sup>1</sup> LCM-CNS V <sub>H</sub> sequences provided by Drs. Hafler and O'Connor						

## **CHAPTER FOUR**

### **RESULTS**

#### **AIM II: MUTATION CHARACTERISTICS AND B CELL SUBPOPULATIONS**

##### **DIFFER BETWEEN CIS PATIENTS PRESENTING WITH ON<sub>CIS</sub> vs TM<sub>CIS</sub>**

###### ***Overview and rationale***

Patients at high risk of converting to MS present with lesions at two different anatomical locations: the optic nerve and the spinal cord. Patients afflicted with TM<sub>CIS</sub> (lesions in the spinal cord) tend to have worse clinical prognosis than ON<sub>CIS</sub> (lesions in the optic nerve) if they convert to CDMS (47, 349). CIS patients have higher CSF cell counts than patients with established MS (350), which may indicate that lymphocytes circulate more readily in the CNS during the highly inflammatory state of the early acute disease. In fact, while B cells are rare in the CSF of normal donors (114, 115), MS patients undergoing an attack have an expansion of memory B cells in the CSF (118), and a contraction of memory B cells in the periphery (119), suggesting possible recruitment to the CSF from the periphery or entry of memory B cells into lymphoid or peripheral tissues.

One of the goals of this published study was to determine if there are any irregularities in the B cell subpopulations of TM<sub>CIS</sub> patients compared to ON<sub>CIS</sub> patients (333). We found that a subset of TM<sub>CIS</sub> patients, stratified as the TM<sub>CIS</sub>A patient subgroup, had a unique expansion of CD27<sup>high</sup> plasmablasts in the CSF. The majority of these TM<sub>CIS</sub>A patients also demonstrated an expansion of plasmablasts in the periphery.

Expansion of plasmablasts was not observed in any of the ON<sub>CIS</sub> patients in either compartment. The frequency of CD27<sup>high</sup> plasmablasts is also elevated in several autoimmune diseases and occurs in the diseased tissues where the putative auto-antigens are present (142, 198, 203, 204).

These plasmablasts from the periphery of TM<sub>CIS</sub>A patients exhibit evidence of heavy chain receptor editing through positive selective pressure of V<sub>H</sub>4<sup>+</sup>J<sub>H</sub>6<sup>+</sup> B cells. Additionally, this plasmablast expansion amplifies in the periphery proportionally with time to clinical visit, suggesting that chronic untreated neuroinflammation can expand the V<sub>H</sub>4<sup>+</sup> plasmablasts in these patients. Receptor editing may be a driving force contributing to J<sub>H</sub>6 selection in the periphery, but is a mechanism absent in the CSF compartment where disease pathology is localized and autoreactive B cells continue to expand. Perhaps this expansion of abnormally selected plasmablasts at the early stage of TM<sub>CIS</sub> affects the course of neuroinflammation in these patients.

## EXPANSION OF CD27<sup>HIGH</sup> PLASMABLASTS IN TM<sub>CIS</sub> PATIENTS THAT HARBOR V<sub>H</sub>4<sup>+</sup>J<sub>H</sub>6<sup>+</sup> B CELLS WITH EXTENSIVE SHM

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### Introduction

Patients that present with a first demyelinating attack are diagnosed with clinically isolated syndrome (CIS), which places them at high risk to develop Multiple Sclerosis (MS), an autoimmune inflammatory disease of the central nervous system characterized by the demyelination of axons and the formation of lesions (1-5). The most common presentations are optic neuritis (ON<sub>CIS</sub>) and transverse myelitis (TM<sub>CIS</sub>) (46). ON<sub>CIS</sub> is characterized by visual impairments due to demyelination of the optic nerve (47). TM<sub>CIS</sub> symptoms involve weakening of limbs or sensations of numbness due to demyelination occurring across short segments of the spinal cord (50). Patients that present with either ON<sub>CIS</sub> or TM<sub>CIS</sub> have a high risk of converting to MS, but current risk stratification approaches depend on brain MRI findings. Compounding the importance of

early and accurate diagnosis are the data suggesting that earlier treatment delays the progression of disease and accrue of disability (64, 65). The presence of lesions in the brain of TM<sub>CIS</sub> patients also increases the risk of conversion to MS (58) and these patients typically have a faster occurrence of a second attack than ON<sub>CIS</sub> patients (46, 349). Additionally, ON<sub>CIS</sub> patients have better long-term prognosis than other presentations including TM<sub>CIS</sub> (47, 349, 351). Differences in progression to clinically definite MS and location of initial lesions between ON<sub>CIS</sub> and TM<sub>CIS</sub> patients may suggest different underlying biology. One possible difference could be variations in the composition of lymphocytes involved in the autoimmune pathology associated with these disease presentations.

B cells have been implicated in the pathogenesis of MS (5, 6, 83-85, 101, 102, 105, 108, 118, 126, 133, 352), highlighted by the finding that rituximab, a B cell depleting agent, can decrease brain inflammation in MS patients (179-181). Of particular interest are plasmablasts, a B cell subset that is identified by high CD27 expression (196). An abnormal expansion of CD27<sup>high</sup> plasmablasts has been documented in the afflicted compartments of several autoimmune diseases such as rheumatoid arthritis (RA) (198), Sjogren's (142), systemic lupus erythematosus (SLE) (200), neuromyelitis optica (NMO) (202), ankylosing spondylitis (AS) (203), and pediatric ulcerative colitis (204). In addition, patients with active systemic lupus erythematosus (SLE) have greater counts of CD27<sup>high</sup> plasmablasts in the periphery than healthy controls or patients with inactive disease (199-201, 353, 354), suggesting these expanding plasmablasts may be contributing to damage associated with these autoimmune diseases.

Since patients presenting with TM<sub>CIS</sub> have a worse prognosis than patients presenting with ON<sub>CIS</sub> (46)(47, 351), we hypothesized that the plasmablast subset would

be expanded in TM patients and subsequently, that the antibody genomics of TM patients would demonstrate irregularities. To test this hypothesis, we characterized subpopulations and antibody genomics of B cells in the cerebrospinal fluid (CSF) and peripheral blood of patients experiencing their first TM<sub>CIS</sub> event. Interestingly, the TM<sub>CIS</sub> patients could be segregated into two groups based on the percentage of CD19<sup>+</sup>CD27<sup>high</sup> B cells in either the blood or CSF, which was not seen in the ON<sub>CIS</sub> patients. This increase in CD27<sup>high</sup> plasmablasts in the TM<sub>CIS</sub> patients who have the worst clinical prognosis parallels what is seen in other autoimmune disorders. In addition, we found abnormal antibody gene distributions and mutation patterns in the CD27<sup>high</sup> plasmablasts isolated from the periphery of TM<sub>CIS</sub> patients that are absent from the CD27<sup>+</sup> memory B cells from the same patients.

## Results

### *A subset of TM patients have an expanded plasmablast compartment*

Initial characterization of lymphocyte (CD45<sup>+</sup>), CD4<sup>+</sup> T cell, CD8<sup>+</sup> T cell, CD19<sup>+</sup> B cell, and CD138<sup>+</sup> plasma cell percentages and absolute numbers were similar in ON<sub>CIS</sub> and TM<sub>CIS</sub> patients in both the CSF and peripheral blood compartments (Table 4-1). The percentages and absolute numbers of CD27<sup>-</sup> naïve B cells and CD27<sup>+</sup> memory B cells were also similar between the ON<sub>CIS</sub> and TM<sub>CIS</sub> patients, regardless of compartment (Table 4-1). Additionally, the ratios of CD4:CD8 T cells and naïve:memory B cells were also similar between ON<sub>CIS</sub> and TM<sub>CIS</sub> patients in both the CSF and peripheral PB compartments (Table 4-1).

In contrast, a subset of TM<sub>CIS</sub> patients demonstrated an expansion of the plasmablast B cell pool identified by high expression of CD27 (Figure 4-1) in both the CSF (Figure 4-1A, C) and PB (Figure 4-1B, D) compared to the ON<sub>CIS</sub> patients. Therefore we stratified the TM<sub>CIS</sub> patients into the TM<sub>CIS</sub>A (Above) and TM<sub>CIS</sub>B (Below) patient subsets. This analysis demonstrated that 41% (9/22) of TM<sub>CIS</sub> patients had an expansion of plasmablasts in the CSF and 45% (10/22) of TM<sub>CIS</sub> patients had an expansion of plasmablasts in the periphery (Table 4-2). Of the 9 TM<sub>CIS</sub>A patients with plasmablast expansion in their CSF, 7 of them also had plasmablast expansion in the periphery (Table 4-2). This suggests that abnormal plasmablast expansion can be detected in the periphery of some TM patients.

We also analyzed the percent of CD27<sup>high</sup> plasmablasts present in nine patients with paraneoplastic disease (PND), another neuroinflammatory disease group. PND patients also have characteristic high levels of various autoantibodies and expansion of CSF B cells (355-357), and thus could potentially have elevated levels of plasma cells or plasmablasts. Eight of the PND patients had a mean of 0.050% CD27<sup>high</sup> plasmablasts within the blood that falls below the TM<sub>CIS</sub>A threshold (Table 4-3). One of the patients had a significant elevation of plasmablasts in the blood that may be due to the patient having a possible lymphoma. None of nine PND patients had elevated CD27<sup>high</sup> plasmablast levels within the CSF compartment with the mean being 0.051% (Table 4-2). In both the blood and CSF compartments, there is no evidence of elevated plasmablast levels in PND patients.

Due to the increase in plasmablasts in the TM<sub>CIS</sub>A patients, we reasoned that the CSF immunoglobulin (Ig) synthesis rate (mg/24hr) and the CSF Ig index (measure of Ig in the CSF) could be affected. Despite the increased plasmablast frequency in the CSF,



there was no correlation between these two clinical markers and plasmablast expansion (Table 4-4). There were also no correlations with age at the time of sampling for any of the patient groups (Table 4-5). However, there was a positive correlation between peripheral plasmablast expansion and the length of time the TM<sub>CIS</sub>A patients remained untreated for their disease ( $R=0.67$ ,  $p=0.034$ ) (Table 4-5). The ON<sub>CIS</sub> and TM<sub>CIS</sub>B patients did not show this correlation.

#### Increased $V_H4$ usage and mutation accumulation in CSF repertoires

Since the TM<sub>CIS</sub>A patients had elevated plasmablasts in the CSF, we reasoned that the B cell antibody repertoires of this subgroup would display skewing. Previous data from our group and others demonstrates that CSF-isolated B cells from MS and ON<sub>CIS</sub> patients often display enrichment of  $V_H4$  antibody genes that is not observed in peripheral memory B cell populations of healthy control donors (214, 220, 222, 228-230, 358). Indeed, the TM<sub>CIS</sub>A and TM<sub>CIS</sub>B patient subgroups were enriched for  $V_H4^+$  B cells in the CSF as observed in established MS patients (MS: 38.84%, TM<sub>CIS</sub>A: 43.86%, TM<sub>CIS</sub>B: 30.59%) (Figure 4-2A). The ON<sub>CIS</sub> patients displayed a further expansion of  $V_H4$  usage compared to the MS patients (ON<sub>CIS</sub>: 49.32%,  $p=0.032$ ) (Figure 4-2A).  $V_H3$  usage by MS and all CIS patient subgroups was similar to that observed in the periphery of HC subjects (data not shown).

Accumulation of somatic hypermutations (SHM) in antibody genes is a second indication that particular B cells are being selected in a repertoire through an antigen-driven process. To determine whether B cells expressing  $V_H4$  genes were being selected at this level in the ON<sub>CIS</sub>, TM<sub>CIS</sub>A and TM<sub>CIS</sub>B patients, we calculated mutation frequencies (MF) of  $V_H3^+$  B cells and compared them to MFs of  $V_H4^+$  B cells (Figure 2B).

As expected, we found that the  $V_H4^+$  B cells from the CSF of MS patients accumulate more mutations when compared to  $V_H3^+$  B cells from the same patients (Figure 4-2B).  $V_H4^+$  B cells from the CSF of CIS patients also accumulate more mutations when compared to  $V_H3^+$  B cells from the same patients (Figure 4-2B). This positive selection of  $V_H4^+$  B cells was also evident independently in each CIS patient subtype:  $ON_{CIS}$ ,  $TM_{CISA}$ , and  $TM_{CISB}$  (Figure 4-2B). Preferential accumulation of mutations in  $V_H4^+$  B cells in the CSF compartment is a shared characteristic across all disease groups.

Positive selection of CSF  $V_H4^+J_H4^+$  B cells is not maintained in  $TM_{CISA}$

A characteristic of typical selection is the preferential usage of  $J_H4$  segments over  $J_H6$  segments in the memory B cell antibody repertoire (208). All patient groups had a 1.5-3 fold increased usage of  $J_H4$  segments compared to  $J_H6$  in the  $V_H4^+$  B cell pool (Figure 4-2C). In addition,  $V_H4^+$  B cells from the MS, CIS,  $ON_{CIS}$ , and  $TM_{CISB}$  patients utilizing  $J_H4$  segments had higher MFs than  $V_H4^+$  B cells utilizing  $J_H6$  segments (Figure 4-2D). However,  $V_H4^+$  B cells from  $TM_{CISA}$  patients using  $J_H4$  segments had equal MFs compared to those using  $J_H6$  segments (Figure 2D).  $TM_{CISA}$  patients had more  $V_H4^+J_H4^+$  B cells (Figure 4-2C), but they are not selected relative to the  $V_H4^+J_H6^+$  B cells (Figure 4-2D).

CSF  $V_H4^+$  B cells have similar CDR3 charge and length

Self-reactive B cells from healthy donors emerge from the bone marrow with an enrichment of positively charged CDR3 residues in their  $V_H$  genes (72) and  $V_H$  receptor editing favors the addition of positively charged arginines in the CDR3 (359). Following peripheral selection, enrichment of positively charged CDR3 residues is diminished. To

address whether  $V_H4^+$  B cells from these groups displayed selection at this level, we calculated the overall charge of the CDR3 segments of  $V_H4^+$  B cells from the MS patients and compared them to the CIS,  $ON_{CIS}$ ,  $TM_{CIS}A$  and  $TM_{CIS}B$  patient subgroups. As expected, none of the patient groups were enriched for positively charged residues in the  $V_H4^+$  B cell pool (Figure 4-2E). The  $V_H4^+$  B cell pool from MS patients trended towards accumulating more negative charges in the CDR3, but this did not reach statistical significance.

We reasoned that if  $V_H4^+$  B cells from the patient subgroups were undergoing selection typical of what occurs in the germinal center, bias for productive sequences with short CDR3 lengths (206, 360) would also be intact, as they are in MS and CIS patients. CDR3 length analysis was also important because receptor editing can elongate the  $V_H$  CDR3 region (359). To address this, we calculated the CDR3 length of  $V_H4^+$  B cells from the CSF of MS patients and compared it to the CDR3 length of  $V_H4^+$  B cells from the CIS,  $ON_{CIS}$ ,  $TM_{CIS}A$ , and  $TM_{CIS}B$  patients. In all cases, the CIS as a whole and the CIS patient subgroups had similar CDR3 lengths in comparison to  $V_H4^+$  B cells from established MS patients (Figure 4-2F).

#### Decreased $V_H1$ usage and mutation accumulation in $TM_{CIS}A$ and $ON_{CIS}$

The CIS patients and the  $ON_{CIS}$ ,  $TM_{CIS}A$ , and  $TM_{CIS}B$  patient subgroups had enrichment of  $V_H4^+$  B cells similar to the MS patients, as described above. The  $V_H1$  family also displayed variation in usage across the patient repertoires. The  $ON_{CIS}$  and  $TM_{CIS}A$  patient subgroups displayed a decrease in  $V_H1^+$  B cells as compared to the MS patients (MS: 23.85%,  $ON_{CIS}$ : 4.73%  $p < 0.0001$ ,  $TM_{CIS}A$ : 16.84%  $p = 0.032$ ) (Figure 4-

3A). The TM<sub>CIS</sub>B patients had a similar V<sub>H</sub>1<sup>+</sup> B cell frequency compared to the MS patients (TM<sub>CIS</sub>B: 29.41%) (Figure 4-3A).

The MS patients had an increase of SHM accumulation in the V<sub>H</sub>1<sup>+</sup> B cells when compared to the V<sub>H</sub>3<sup>+</sup> B cells (Figure 4-3B) indicating that in addition to selection of V<sub>H</sub>4<sup>+</sup> B cells, V<sub>H</sub>1<sup>+</sup> B cells were also selected over V<sub>H</sub>3<sup>+</sup> B cells. The TM<sub>CIS</sub>B patients did not differ from MS patients in V<sub>H</sub>1 gene usage (Figure 4-3A), but displayed positive selection of V<sub>H</sub>1<sup>+</sup> B cells over V<sub>H</sub>3<sup>+</sup> B cells as measured by SHM accumulation (Figure 4-3B). The ON<sub>CIS</sub> and TM<sub>CIS</sub>A patients had the lowest V<sub>H</sub>1 usage (Figure 4-3A), and similar SHM accumulation in the V<sub>H</sub>3<sup>+</sup> and V<sub>H</sub>1<sup>+</sup> B cells (Figure 4-3B), indicating a lack of positive selection for V<sub>H</sub>1<sup>+</sup> B cells in these patient groups.

#### Positive selection of CSF V<sub>H</sub>1<sup>+</sup>J<sub>H</sub>6<sup>+</sup> B cells in TM<sub>CIS</sub>A

J<sub>H</sub>4 usage was similar to MS patients in the V<sub>H</sub>1<sup>+</sup> B cells of the CIS, ON<sub>CIS</sub>, TM<sub>CIS</sub>A, and TM<sub>CIS</sub>B patient subtypes (Figure 4-3C). SHM accumulation in V<sub>H</sub>1<sup>+</sup> B cells from the MS, CIS, ON<sub>CIS</sub> and TM<sub>CIS</sub>B patients using J<sub>H</sub>4 segments was either greater or equal to SHM accumulation in V<sub>H</sub>1<sup>+</sup> B cells using J<sub>H</sub>6 segments (Figure 4-3D), similar to what was found in the V<sub>H</sub>4<sup>+</sup> B cell pool. In contrast, SHM accumulation in V<sub>H</sub>1<sup>+</sup> B cells from the TM<sub>CIS</sub>A patients using J<sub>H</sub>6 segments was higher than V<sub>H</sub>1<sup>+</sup> B cells using J<sub>H</sub>4 segments (p=0.001) (Figure 4-3D).

#### CSF V<sub>H</sub>1<sup>+</sup> B cells have similar CDR3 charge and length except TM<sub>CIS</sub>B

The V<sub>H</sub>1<sup>+</sup> B cell pools were not enriched for positively charged residues in MS and CIS patients (Figure 4-3E), as was observed in the V<sub>H</sub>4<sup>+</sup> B cell pool (Figure 4-2E). However, there was a significant accumulation of negative charges in the CDR3s of the

$V_H1^+$  B cells in the  $TM_{CIS}B$  patients when compared to the MS patients (Figure 4-3E). As with the  $V_H4^+$  B cells, there were no statistically significant differences in CDR3 lengths for  $V_H1^+$  B cells in the  $TM_{CIS}A$  and  $ON_{CIS}$  patient groups (Figure 4-3F).

*Increased  $V_H4$  mutation accumulation in peripheral B cell repertoires of  $TM_{CIS}A$*

Since 41% of the TM patients had an enrichment of peripheral  $CD27^{high}$  plasmablast B cells, we next focused on determining whether the antibody repertoires from peripheral plasmablast B cells of these patients also demonstrated skewing of their antibody gene repertoire characteristics. To do this, we sorted memory ( $TM_{CIS}A-CD27^+$ ) and plasmablast ( $TM_{CIS}A-CD27^{high}$ ) B cells from the PB of four  $TM_{CIS}A$  patients. A MS PB database was used to determine antibody repertoire differences in established disease compared to the initial clinical stage. As expected,  $V_H4^+$   $CD27^+$  memory B cells were expanded in the periphery of MS patients compared to the HC (HC: 19.35%; MS: 36.21%,  $p=0.007$ ) (Figure 4-4A). The frequency of peripheral  $V_H4^+$  plasmablasts in the  $TM_{CIS}A$  patients (23.77%) was also similar to HC subjects (19.35%) (Figure 4-4A), but the frequency of PB memory B cells in the  $TM_{CIS}A$  patients demonstrated an increased frequency of  $V_H4^+$  B cells (28.99%,  $p=0.027$ ) but not to the extent observed in MS patients.

Next, we assessed the selection of  $V_H4^+$  B cells by measuring the accumulation of SHM. As expected, there was no SHM accumulation in  $V_H4^+$  B cells from HCs, as their MF was similar to the MF of  $V_H3^+$  B cells (Figure 4-4B). Positive selection for  $V_H4^+$  B cells was observed in the MSPB (Figure 4-4B) similar to  $V_H4^+$  B cells in the CSF of the MS patients. Interestingly, the non-expanded  $V_H4^+$  plasmablasts from the same patients (Figure 4-4A) had an increased MF compared to  $V_H3^+$  plasmablasts from the same

patients (Figure 4-4B). The expanded peripheral  $V_H4^+$  memory B cells (Figure 4-4A) also had increased MF compared to  $V_H3^+$  memory B cells from the same patients (Figure 4-4B). The PB memory B cells and  $CD27^{\text{high}}$  plasmablasts from early TM disease shared the  $V_H4^+$  dysregulation observed in CSF memory B cells from established MS patients.

Positive selection of peripheral  $V_H4^+ J_H6^+$  B cells only in plasmablasts

$V_H4^+$  B cells from HC subjects use  $J_H4$  segments more frequently than  $J_H6$  segments, and  $V_H4^+ J_H6^+$  B cells from HCs displayed higher SHM than  $V_H4^+ J_H4^+$  B cells from HCs (Figure 4-4C,D). In contrast,  $V_H4^+$  B cells from the MS patients used  $J_H6$  segments approximately 2-fold more frequently than  $J_H4$  segments (Figure 4-4C), but did not display higher SHM than  $V_H4^+$  B cells using  $J_H4$  segments (Figure 4-4D). The  $V_H1^+$  B cells from MS patients also utilized  $J_H6$  segments more and these were positively selected over  $J_H4$  segments in addition to accumulating significant positive charge (Figure 4-5D). Similar to the CSF  $V_H4^+$  B cell patient groups, the peripheral blood  $V_H4^+$  repertoires did not have any difference in CDR3 charge (Figure 4-4E) or length (Figure 4-4F). Contrary to the trend towards more  $J_H4$  usage in the  $TM_{\text{CIS}}A$  memory B cell pools, the plasmablast B cell pools from the  $TM_{\text{CIS}}A$  patients using  $V_H4$  genes had an increased trend towards  $J_H6$  usage, but this did not reach significance. Nevertheless, the  $V_H4^+ J_H6^+$  plasmablast B cell pool preferentially accumulated SHM compared to the  $V_H4^+ J_H4^+$  plasmablast B cell pool from the same  $TM_{\text{CIS}}A$  patients ( $V_H4^+ J_H4^+$ :6.27% vs  $V_H4^+ J_H6^+$ :9.40%,  $p=0.001$ ) (Figure 4-4D). Peripheral  $V_H4^+$  memory B cells from the  $TM_{\text{CIS}}A$  patients did not demonstrate this level of selection ( $V_H4^+ J_H4^+$ :6.22% vs  $V_H4^+ J_H6^+$ :6.57%,  $p=0.611$ ) (Figure 4-4D). This depth of selection in the  $TM_{\text{CIS}}A$  patients

was unique to  $V_H4^+$  peripheral  $CD27^{high}$  plasmablasts since no selection was observed for  $V_H1^+$  peripheral  $CD27^{high}$  plasmablasts from the  $TM_{CIS}A$  patients (Figure 4-5D).

## Discussion

Patients with high risk to convert to MS present with lesions at two different anatomical locations: the optic nerve and the spinal cord. Patients afflicted with  $TM_{CIS}$  (lesions in the spinal cord) tend to have worse clinical prognosis than  $ON_{CIS}$  (lesions in the optic nerve) if they convert to MS (47, 349). CIS patients have higher CSF cell counts than patients with established MS (350), which may indicate that lymphocytes circulate more readily in the central nervous system during the highly inflammatory state of the early acute disease. In fact, while B cells are rare in the CSF of normal donors (114, 115), MS patients undergoing an attack have an expansion of memory B cells in the CSF (118) and a contraction of memory B cells in the periphery (119). This suggests that B cells are recruited to the CSF from the periphery in these patients, and recent data underscore this possibility (121).

One of the goals of this study was to determine if there are any irregularities in the B cell subpopulations of  $TM_{CIS}$  patients compared to  $ON_{CIS}$  patients. We found that a subset of  $TM_{CIS}$  patients, termed the  $TM_{CIS}A$  patient subgroup, had a unique expansion of  $CD27^{high}$  plasmablasts in the CSF. The majority of these  $TM_{CIS}A$  patients also demonstrated an expansion of plasmablasts in the periphery. Expansion of plasmablasts was not observed in any of the  $ON_{CIS}$  patients in either compartment. The frequency of  $CD27^{high}$  plasmablasts is elevated in several autoimmune diseases (142, 198, 203, 204) and occurs in the diseased tissues where the putative autoantigens are present.

Clinical evidence of plasmablast activity has been found in patients with NMO (186), RA (198, 361), and SLE (192, 353, 354, 362) who receive the B cell depleting agent Rituximab and are more likely to relapse early if their memory B cells, and more importantly their CD27<sup>high</sup> plasmablasts return earlier. In addition, mRNA from RA patients who are non-responsive to rituximab treatment demonstrate increases in mRNA markers of plasmablasts (361). NMO patients who are positive for anti-aquaporin-4 antibodies have increased plasmablasts in the periphery, which are further increased during a relapse (202). Indeed, one of the TM<sub>CIS</sub>A patients in this present study was diagnosed with NMO and had a high frequency of plasmablasts in both the CSF and periphery. The remaining TM<sub>CIS</sub>A patients all tested negative for the NMO diagnostic anti-aquaporin-4 IgG reactivity and are at high risk to convert to MS. All these data suggest that the re-emergence of symptoms in autoimmune diseases may be marked or potentially caused by an abnormal increase in CD27<sup>high</sup> plasmablasts. Future studies may find this expansion in additional autoimmune diseases in which humoral immunity is a component of the pathology.

Furthermore, plasmablasts are correlated with neuroinflammatory disease activity as evidenced by MRI of MS patients (117). Treatment with Natalizumab, which blocks the entry of cells into the CNS, was effective in MS patients if they had lower levels of CSF plasmablasts before treatment and maintained low levels post-treatment (177). Other studies have found that during a MS relapse, memory B cells are readily recruited to the CSF (119, 120), possibly through a VLA-4 dependent mechanism, since memory B cells (116) and plasmablasts (116, 174) express high levels of VLA-4. Trafficking of B cells may be an early disease step, as CIS patients have higher levels of VLA-4 on their transitional B cells than is observed in MS patients (363). Such an initial step would



enable B cells to enter the CNS, encounter their autoantigen and undergo germinal center-like reactions as evidenced by SHM accumulation and altered selection of  $V_H$  genes.

We were surprised to find that the  $CD27^{high}$  plasmablast expansion could also be detected in the periphery of many  $TM_{CIS}A$  patients, since the pathology of the disease is confined to the CNS. The detection of these plasmablasts in the periphery at this early stage of disease may be due to the activation of B cells in the periphery prior to migration into the CNS where they participate in formation of ectopic germinal centers (129, 134). Alternatively, this activation could occur in the CSF compartment, leading to cell migration into the periphery. Unlike plasma cells, plasmablasts are quite motile (364), but their travel direction in the  $TM_{CIS}$  patients is unknown from this current study. The occurrence of peripheral expansion of plasmablasts found in the  $TM_{CIS}$  patients is unique to this particular CIS presentation of an early stage of the MS disease course.

We also found that the percentage of  $CD27^{high}$  plasmablasts in the periphery of the  $TM_{CIS}A$  group increased the longer the patient had been untreated. This may reflect an accumulation of over-activated B cells, which develop into plasmablasts in a state of extended neuroinflammation. Presumably, lack of treatment can prolong the immune response time to the triggering antigen(s), and the resulting potent inflammatory milieu may promote aberrant cell activation. This correlation was not seen in the CSF compartment, possibly due to plasmablasts entering niches in the inflamed tissue (364) or exiting back into the periphery over time after expanding in the CSF.

Next we analyzed the patient B cell repertoires for distinctions in antibody genomics.  $V_H4^+$  B cells were expanded in the CSF of all patients experiencing both acute (CIS) and chronic (MS) neuroinflammation, which corroborates previous findings.(220,

222, 230) Interestingly, CSF B cells from the ON<sub>CIS</sub> patients had the greatest enrichment of V<sub>H</sub>4 gene usage and the greatest contraction of V<sub>H</sub>1 gene usage among the examined patient groups. In addition to the expansion of V<sub>H</sub>4 genes in the CSF of MS and CIS patients, there was evidence of positive selection of these V<sub>H</sub>4<sup>+</sup> B cells in the CSF of MS and CIS patients. This aberrant selection of V<sub>H</sub>4<sup>+</sup> B cells in the CSF was also observed at the early stages of disease represented by the ON<sub>CIS</sub>, TM<sub>CIS</sub>A and TM<sub>CIS</sub>B patient subgroups.

In contrast, V<sub>H</sub>4<sup>+</sup> gene usage was not increased in peripheral CD27<sup>high</sup> plasmablasts from the TM<sub>CIS</sub>A patients. However, SHM accumulation in peripheral V<sub>H</sub>4<sup>+</sup> plasmablasts was extensive in comparison to V<sub>H</sub>3<sup>+</sup> plasmablasts in the TM<sub>CIS</sub>A patients. Furthermore, the V<sub>H</sub>4<sup>+</sup> plasmablasts using J<sub>H</sub>6 segments accounted for this depth of SHM accumulation. V<sub>H</sub>1<sup>+</sup> plasmablasts from the same TM<sub>CIS</sub>A patients did not demonstrate this level of selection. Taken together, these data suggest that within the peripheral CD27<sup>high</sup> plasmablast pool of TM<sub>CIS</sub>A patients, there is a subgroup of V<sub>H</sub>4<sup>+</sup> plasmablasts enriched for J<sub>H</sub>6 segment use that are undergoing affinity maturation at a faster rate than their V<sub>H</sub>4<sup>+</sup> counterparts that utilize J<sub>H</sub>4 segments.

High J<sub>H</sub>6 usage is an indicator of V<sub>H</sub> receptor editing, which is a process that is estimated to occur in 5-10% of healthy B cell pools (81, 359). Autoreactive B cells often demonstrate skewing to J<sub>H</sub>6 segment use, but do not typically accumulate mutations at a high rate as their selection has been arrested due to self-reactivity (365). Yet the V<sub>H</sub>4<sup>+</sup> plasmablasts in the periphery of the TM<sub>CIS</sub>A patients using J<sub>H</sub>6 segments are accumulating SHM at a higher rate than the V<sub>H</sub>4<sup>+</sup>J<sub>H</sub>4<sup>+</sup> plasmablasts from the same patients. This suggests that the peripheral plasmablast B cell pool of TM<sub>CIS</sub>A patients is both antigen driven and undergoing V<sub>H</sub> receptor editing in the periphery of TM patients.

B cells from ectopic germinal centers of RA patients have also demonstrated this phenomenon (366). Interestingly, we also see evidence of  $V_H$  receptor editing in  $V_H4^+$  and  $V_H1^+$  B cell pools from the periphery of established MS patients. Peripheral B cells from MS patients had a skewed ratio of  $J_H4:J_H6$  in both the  $V_H4^+$  and  $V_H1^+$  B cell pools due to a significant increase of the  $J_H6$  segment usage and accumulation of SHM, but lack the intensity of SHM selection and receptor editing demonstrated only in the  $V_H4^+J_H6^+$  plasmablasts from  $TM_{CIS}A$  patients.

We were interested in whether we could find genomic evidence of these  $V_H4^+J_H6^+$  plasmablasts with high SHM in the CSF of these  $TM_{CIS}A$  patients. Our data suggest that they are not accumulating since  $V_H4^+$  B cells from the CSF of the  $TM_{CIS}A$  patients that utilize  $J_H6$  segments do not accumulate SHM to a greater extent than  $J_H4$  segment-using  $V_H4^+$  B cells from the same patients. Instead, there was no selection between  $V_H4^+J_H4^+$  and  $V_H4^+J_H6^+$  B cells in the CSF of the  $TM_{CIS}A$  patients. However, it is possible that they reside within the brain tissue and are not found circulating in the CSF as readily as their memory B cell counterparts. In either case, receptor editing is not prominent in the CSF B cell compartment of these groups.

In conclusion, we have found a unique phenotype of expanded plasmablasts that is unique to a subset of  $TM_{CIS}$  patients, which is not observed in  $ON_{CIS}$  patients. These cells exhibit evidence of heavy chain receptor editing through positive selective pressure of  $V_H4^+J_H6^+$  B cells. Additionally, this plasmablast expansion amplifies in the periphery proportionally with time to clinical visit, suggesting that chronic untreated neuroinflammation can expand the  $V_H4^+$  plasmablasts in these patients. Receptor editing may be a driving force contributing to  $J_H6$  selection in the periphery, but is a mechanism absent in the CSF compartment where disease pathology is localized and autoreactive B

cells continue to expand. Perhaps this expansion of abnormally selected plasmablasts at the early stage of TM<sub>CIS</sub> affects the course of neuroinflammation in these patients.

#### *Acknowledgements*

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## **FIGURE LEGENDS FOR CHAPTER FOUR: RESULTS**

**Figure 4-1.** *Percentage of CD27<sup>high</sup> B cells in CSF (A) and PB (B) of patients initially presenting with ON<sub>CIS</sub> or TM<sub>CIS</sub>.* The TM<sub>CIS</sub> patients were segregated into two groups: TM<sub>CIS</sub>A (Above) and TM<sub>CIS</sub>B (Below) using the mean of the ON<sub>CIS</sub> group plus 2 s.e.m. as the cutoff criterion. This was done separately for both the CSF (C) and the PB (D) compartments. Bars shown in the plots are the means with s.e.m. The mean, s.e.m., and *N* are shown below each respective group. Additionally, the average cells/mL in each group for (C) and (D) are also shown below. Representative flow plots for the gating of CD19<sup>+</sup>CD27<sup>-</sup> naïve B cells, CD19<sup>+</sup>CD27<sup>+</sup> memory B cells, and CD19<sup>+</sup>CD27<sup>high</sup> plasmablasts are shown in a TM<sub>CIS</sub> and ON<sub>CIS</sub> patient CSF (E).

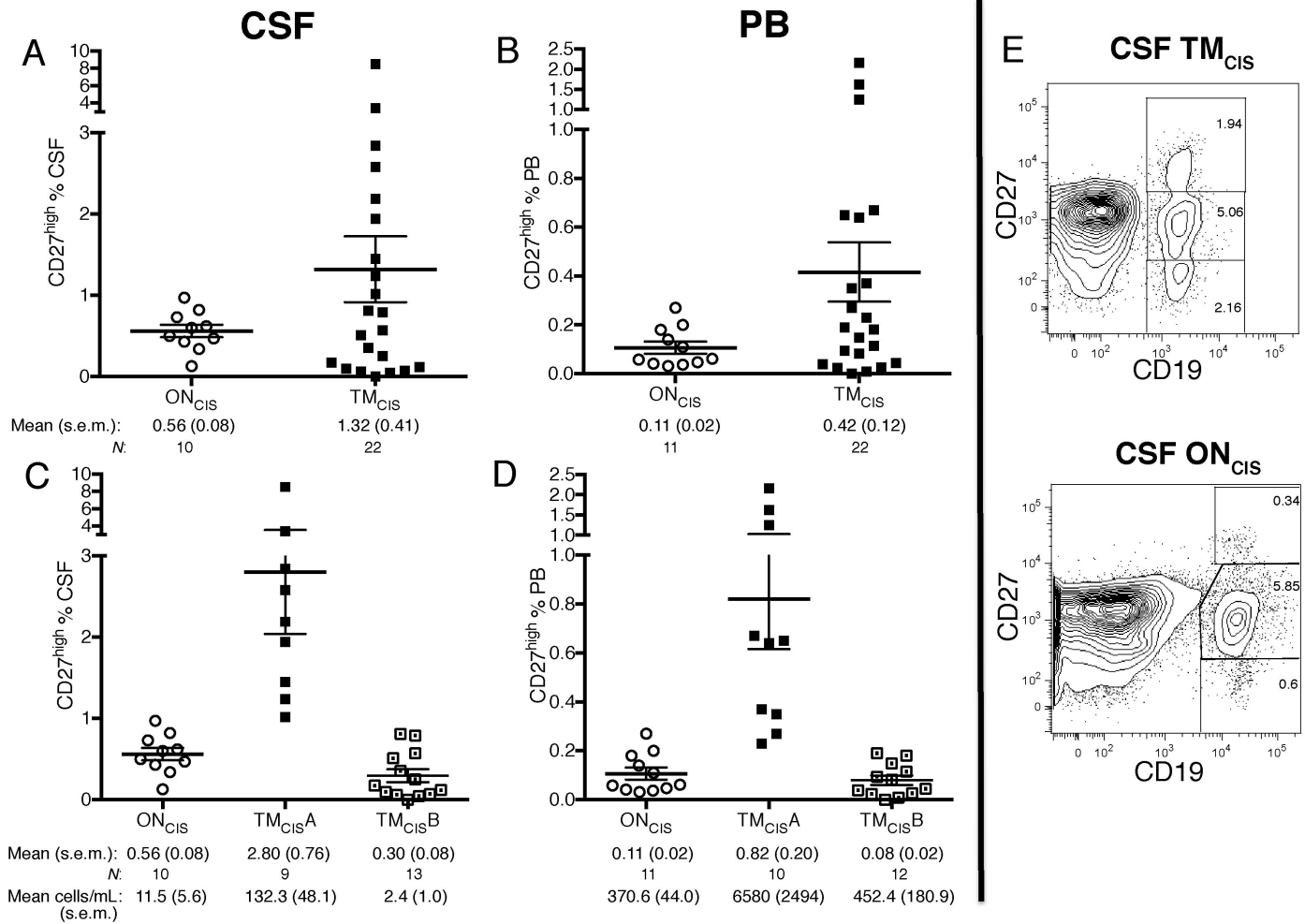
**Figure 4-2.** *Gene and mutation characteristics of V<sub>H</sub>4<sup>+</sup> B cells in the CSF.* All data in (A-F) are shown for each of the five patient groups, indicated above (A) and (B). The panels are: percent V<sub>H</sub>4 family gene usage out of entire V<sub>H</sub> repertoire with the dotted line representing V<sub>H</sub>4% in the HCPB repertoire (A), mutation frequencies (MF) of V<sub>H</sub>4<sup>+</sup> B cells compared to V<sub>H</sub>3<sup>+</sup> B cells within the same group (B), ratio of J<sub>H</sub>4:J<sub>H</sub>6 gene segment usage with the dotted line representing J<sub>H</sub>4:J<sub>H</sub>6 in the HCPB repertoire (C), MF of V<sub>H</sub>4<sup>+</sup>J<sub>H</sub>4<sup>+</sup> B cells compared to V<sub>H</sub>4<sup>+</sup>J<sub>H</sub>6<sup>+</sup> B cells within the same group (D), mean CDR3 charge (E), and mean CDR3 amino acid length (F). P-values were calculated using a Chi-square analysis for (A–D). P-values for (E) and (F) were calculated using a Student's t-test. \*p<0.05, \*\*p<0.001, #p<0.0001. The means and ratios are shown below each respective group as applicable. The number of patients per group is 11 MS, 17 CIS, 6 ON<sub>CIS</sub>, 6 TM<sub>CIS</sub>A, and 5 TM<sub>CIS</sub>B.

**Figure 4-3. Gene and mutation characteristics of  $V_H1^+$  B cells in the CSF.** All data in (A-F) are shown for each of the five patient groups, indicated above (A) and (B). The panels are: percent  $V_H1$  family gene usage out of entire  $V_H$  repertoire with the dotted line representing  $V_H1\%$  in the HCPB repertoire (A), mutation frequencies (MF) of  $V_H1^+$  B cells compared to  $V_H3^+$  B cells within the same group (B), ratio of  $J_H4:J_H6$  gene segment usage with the dotted line representing  $J_H4:J_H6$  in the HCPB repertoire (C), MF of  $V_H1^+J_H4^+$  B cells compared to  $V_H1^+J_H6^+$  B cells within the same group (D), mean CDR3 charge (E), and mean CDR3 amino acid length (F). P-values were calculated using a Chi-square analysis for (A–D). P-values for (E) and (F) were calculated using a Student's t-test. \* $p<0.05$ , \*\* $p<0.001$ , # $p<0.0001$ . The means and ratios are shown below each respective group as applicable. The number of patients per group is 11 MS, 17 CIS, 6 ON<sub>CIS</sub>, 6 TM<sub>CIS</sub>A, and 5 TM<sub>CIS</sub>B.

**Figure 4-4. Gene and mutation characteristics of  $V_H4^+$  B cells in the PB.** All data in (A-F) are shown for each of the four patient groups, indicated above (A) and (B). The panels are: percent  $V_H4$  family gene usage out of entire  $V_H$  repertoire (A), mutation frequencies (MF) of  $V_H4^+$  B cells compared to  $V_H3^+$  B cells within the same group (B), ratio of  $J_H4:J_H6$  gene segment (C), MF of  $V_H4^+J_H4^+$  B cells compared to  $V_H4^+J_H6^+$  B cells within the same group (D), mean CDR3 charge (E), and mean CDR3 amino acid length (F). P-values were calculated using a Chi-square analysis for (A–D). P-values for (E) and (F) were calculated using a Student's t-test. \* $p<0.05$ , \*\* $p<0.001$ , # $p<0.0001$ . The means and ratios are shown below each respective group as applicable. The number of patients per group is 6 HC, 4 MS, 4 TM<sub>CIS</sub>A-CD27<sup>high</sup>, and 4 TM<sub>CIS</sub>A-CD27<sup>+</sup>.

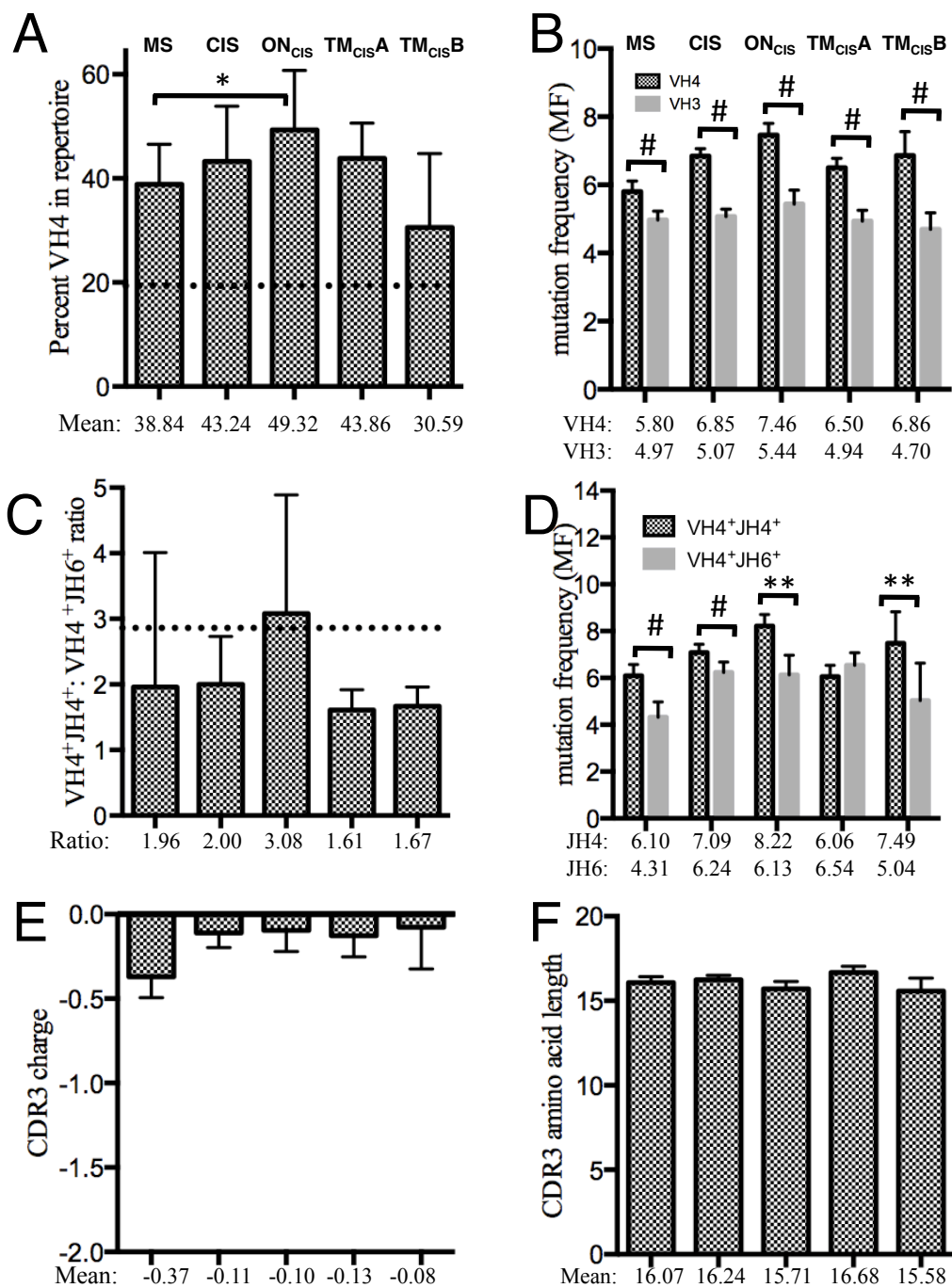
**Figure 4-5.** *Gene and mutation characteristics of  $V_H1^+$  B cells in the PB.* All data in (A-F) are shown for each of the four patient groups, indicated above (A) and (B). The panels are: percent  $V_H1$  family gene usage out of entire  $V_H$  repertoire (A), mutation frequencies (MF) of  $V_H1^+$  B cells compared to  $V_H3^+$  B cells within the same group (B), ratio of  $J_H4:J_H6$  gene segment (C), MF of  $V_H1^+J_H4^+$  B cells compared to  $V_H1^+J_H6^+$  B cells within the same group (D), mean CDR3 charge (E), and mean CDR3 amino acid length (F). P-values were calculated using a Chi-square analysis for (A–D). P-values for (E) and (F) were calculated using a Student’s t-test. \* $p<0.05$ , \*\* $p<0.001$ , # $p<0.0001$ . The means and ratios are shown below each respective group as applicable. The number of patients per group is 6 HC, 4 MS, 4  $TM_{CIS}A-CD27^{high}$ , and 4  $TM_{CIS}A-CD27^+$ .

**Figure 4-1**

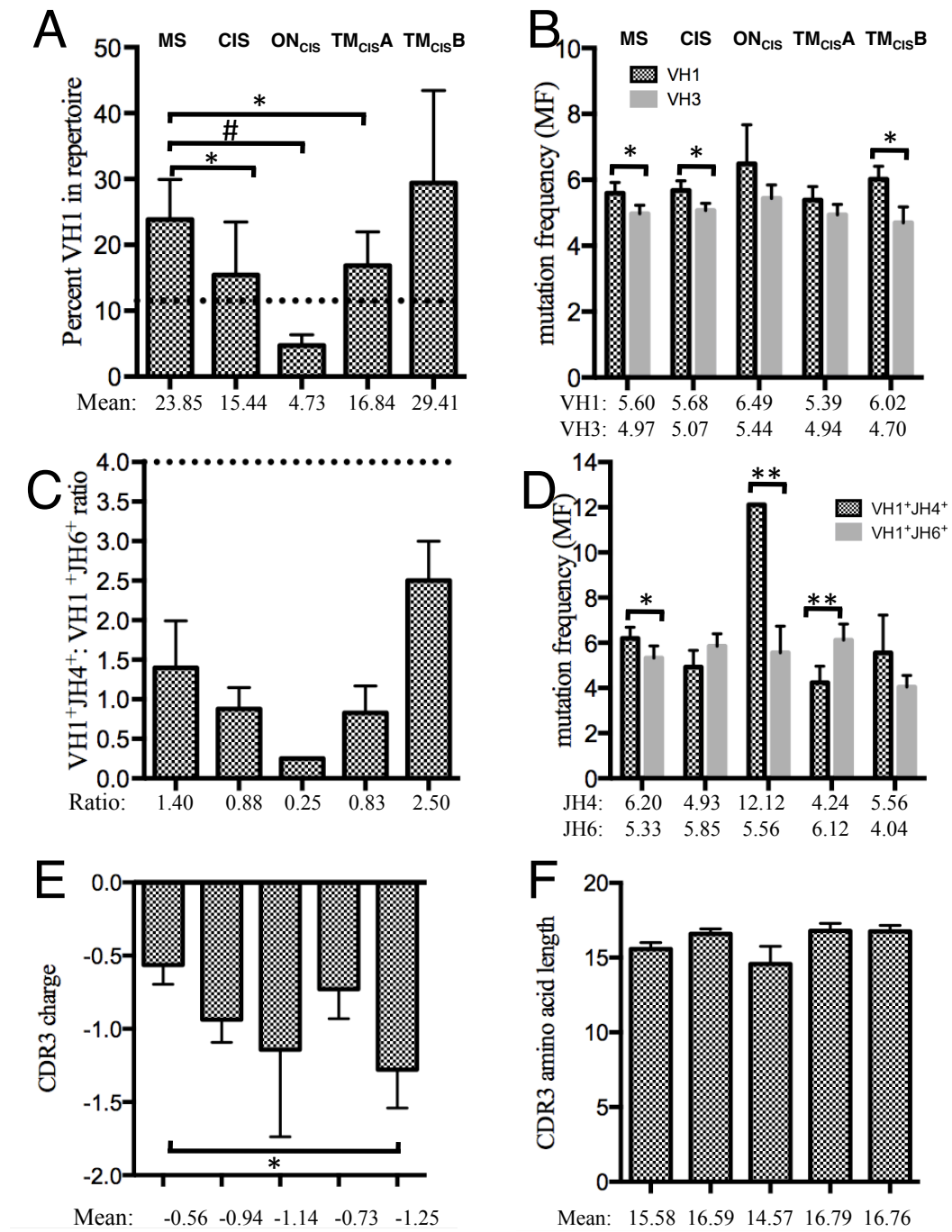




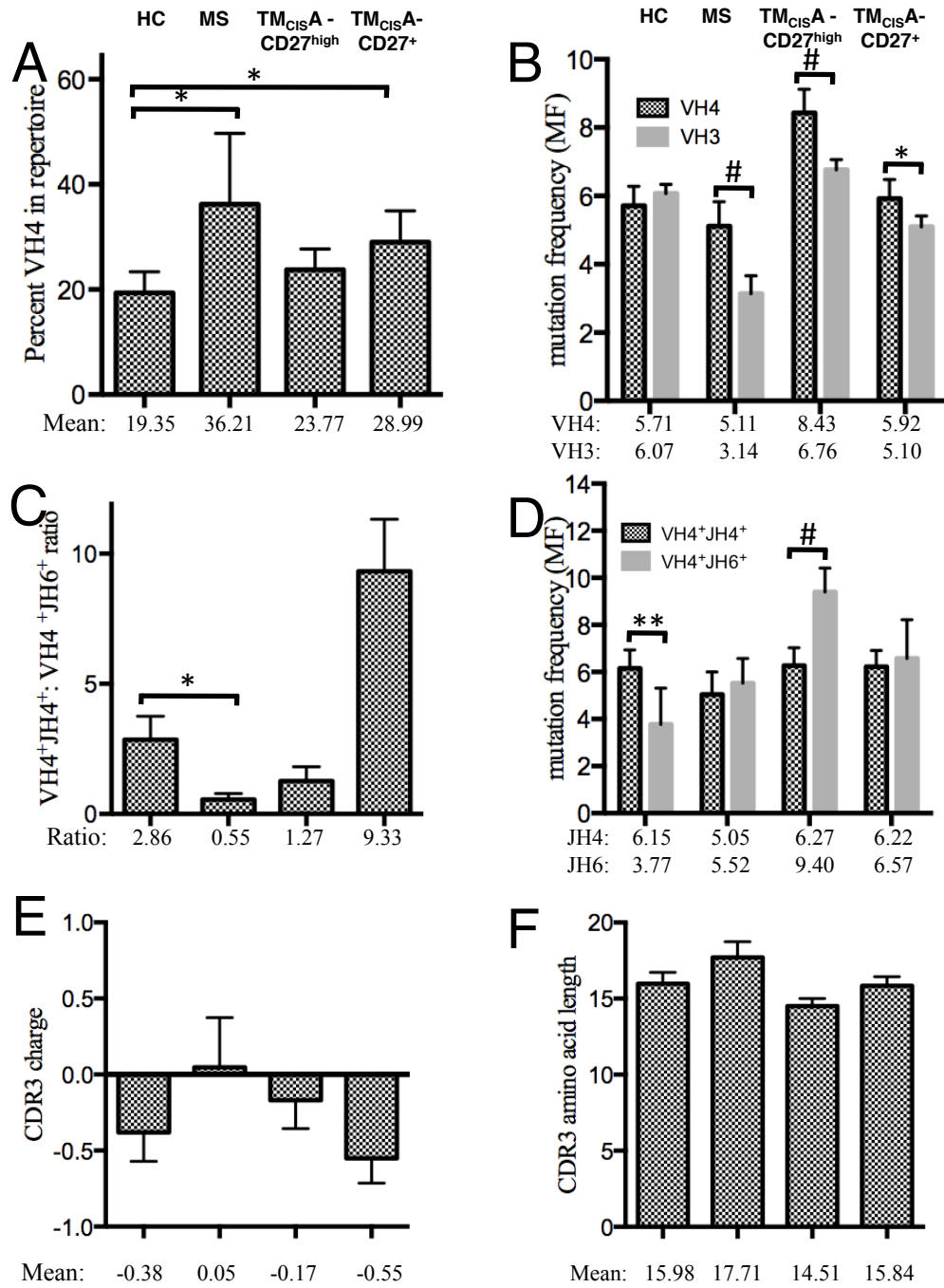
**Figure 4-2**



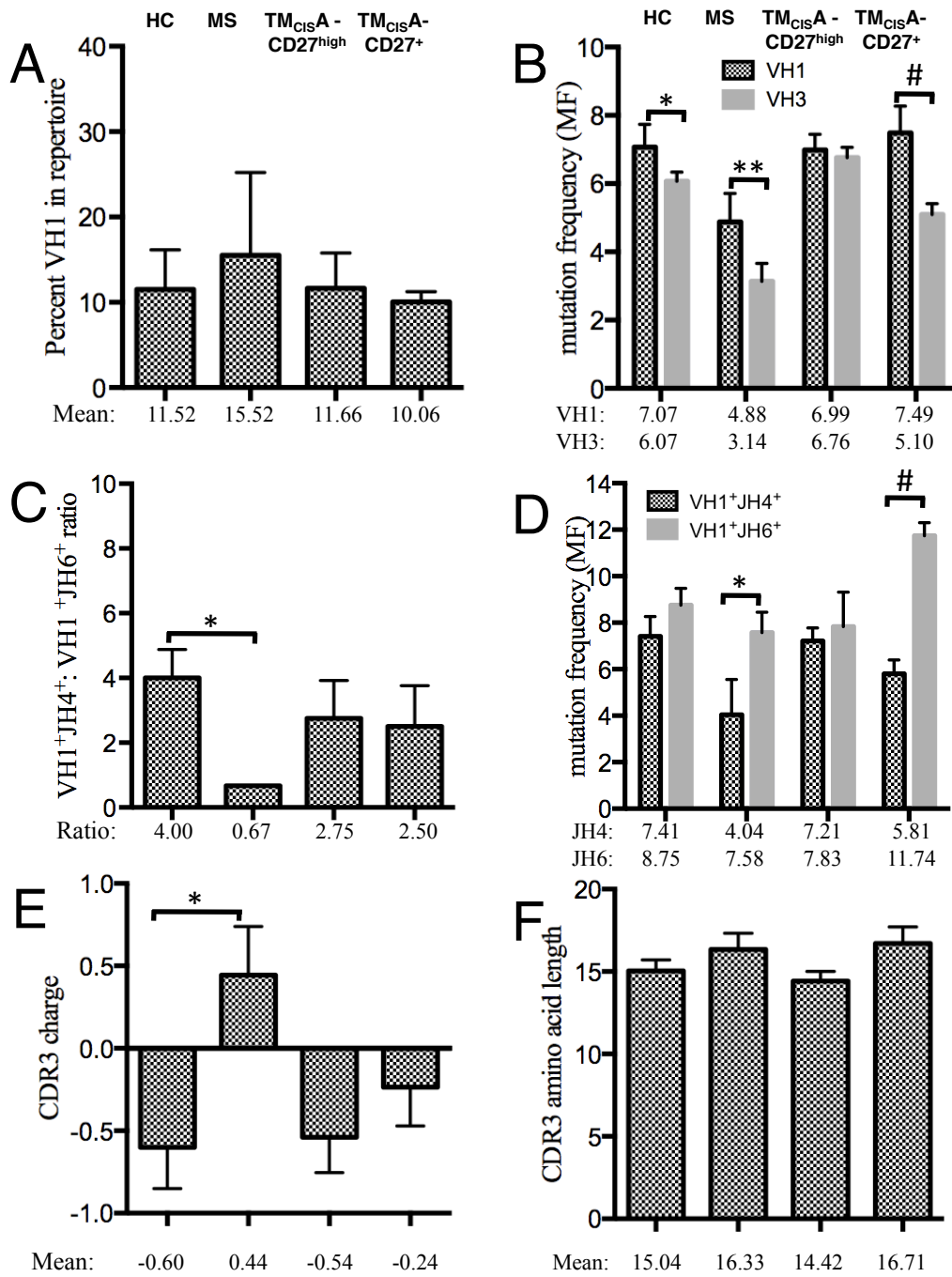
**Figure 4-3**



**Figure 4-4**



**Figure 4-5**



## TABLES FOR CHAPTER FOUR: RESULTS

Table 4-1. Lymphocyte cell populations in CSF and PB compartments of CIS patients presenting with either ON <sub>CIS</sub> or TM <sub>CIS</sub> <sup>1</sup>						
Cerebrospinal Fluid (CSF)						
Cell population	ON <sub>CIS</sub> cell%	ON <sub>CIS</sub> cells/mL	TM <sub>CIS</sub> cell%	TM <sub>CIS</sub> cells/mL	cell% p-value <sup>2</sup>	cells/mL p-value <sup>3</sup>
CD45 <sup>+</sup>	49.95	2348	37.70	2615	0.38	0.93
CD4 <sup>+</sup>	59.73	1598	53.98	1500	0.35	0.96
CD8 <sup>+</sup>	15.40	339	15.12	450	0.91	0.84
CD4:CD8	4.13		4.39		0.80	
CD19 <sup>+</sup>	4.17	96	4.42	206	0.87	0.61
CD19 <sup>+</sup> CD27 <sup>-</sup>	0.51	15	0.80	29	0.47	0.57
CD19 <sup>+</sup> CD27 <sup>+</sup>	3.72	77	3.09	234	0.65	0.61
CD138 <sup>+</sup>	0.52	5	0.78	7	0.38	0.76
N:M B cells	0.16		0.19		0.65	
Peripheral Blood (PB)						
	ON <sub>CIS</sub> cell%	ON <sub>CIS</sub> cells/mL	TM <sub>CIS</sub> cell%	TM <sub>CIS</sub> cells/mL	cell% p-value <sup>2</sup>	cells/mL p-value <sup>3</sup>
CD45 <sup>+</sup>	51.88	710500	44.57	778429	0.39	0.76
CD4 <sup>+</sup>	47.44	349750	43.54	330333	0.44	0.85
CD8 <sup>+</sup>	16.95	112888	16.62	116445	0.91	0.92
CD4:CD8	3.09		3.08		0.98	
CD19 <sup>+</sup>	10.55	75689	15.50	126657	0.18	0.40
CD19 <sup>+</sup> CD27 <sup>-</sup>	9.12	48496	2.46	80719	0.34	0.44
CD19 <sup>+</sup> CD27 <sup>+</sup>	4.49	31192	6.20	46432	0.34	0.50
CD138 <sup>+</sup>	0.053	301	0.33	1536	0.18	0.11
N:M B cells	1.80		1.86		0.94	
Abbreviations: CSF: cerebrospinal fluid, PB: peripheral blood, MS: Multiple Sclerosis, CIS: Clinically Isolated Syndrome, ON <sub>CIS</sub> : Optic Neuritis, TM <sub>CIS</sub> : Transverse Myelitis, N: naïve CD19 <sup>+</sup> CD27 <sup>-</sup> B cell, M: memory CD19 <sup>+</sup> CD27 <sup>+</sup> B cell						
<sup>1</sup> Values shown are the means for each respective group and all cell populations are calculated out of the CD45 <sup>+</sup> lymphocyte gate						
<sup>2</sup> Analyses were done using a two-tailed Student's t-test between ON <sub>CIS</sub> and TM <sub>CIS</sub> cell% groups.						
<sup>3</sup> Analyses were done using a two-tailed Student's t-test between ON <sub>CIS</sub> and TM <sub>CIS</sub> cells/mL groups.						

Table 4-2. Classification of TM <sub>CIS</sub> patients into Above (A) or Below (B) groups within CSF and PB compartments based on CD27 <sup>high</sup> plasmablast population <sup>1</sup>		
Patient	CD27 <sup>high</sup> % CSF <sup>2</sup>	CD27 <sup>high</sup> % PB <sup>3</sup>
1	TM <sub>CIS</sub> A	TM <sub>CIS</sub> A
2	TM <sub>CIS</sub> A	TM <sub>CIS</sub> A
3	TM <sub>CIS</sub> A	TM <sub>CIS</sub> A
4*	TM <sub>CIS</sub> A	TM <sub>CIS</sub> A
5	TM <sub>CIS</sub> A	TM <sub>CIS</sub> B
6	TM <sub>CIS</sub> A	TM <sub>CIS</sub> A
7*	TM <sub>CIS</sub> A	TM <sub>CIS</sub> A
8	TM <sub>CIS</sub> A	TM <sub>CIS</sub> A
9*	TM <sub>CIS</sub> B	TM <sub>CIS</sub> A
10	TM <sub>CIS</sub> B	TM <sub>CIS</sub> A
11	TM <sub>CIS</sub> B	TM <sub>CIS</sub> B
12	TM <sub>CIS</sub> B	TM <sub>CIS</sub> B
13	TM <sub>CIS</sub> B	TM <sub>CIS</sub> B
14	TM <sub>CIS</sub> B	TM <sub>CIS</sub> B
15	TM <sub>CIS</sub> B	TM <sub>CIS</sub> B
16	TM <sub>CIS</sub> B	TM <sub>CIS</sub> B
17*	TM <sub>CIS</sub> B	TM <sub>CIS</sub> A
18	TM <sub>CIS</sub> B	TM <sub>CIS</sub> B
19	TM <sub>CIS</sub> B	TM <sub>CIS</sub> B
20	TM <sub>CIS</sub> B	TM <sub>CIS</sub> B
21	TM <sub>CIS</sub> A	TM <sub>CIS</sub> B
22	TM <sub>CIS</sub> B	TM <sub>CIS</sub> B
Abbreviations: CSF: cerebrospinal fluid, PB: peripheral blood, TM <sub>CIS</sub> : Transverse Myelitis, TM <sub>CIS</sub> A: TM-Above, TM <sub>CIS</sub> B: TM-Below		
<sup>1</sup> Classification into TM <sub>CIS</sub> A and TM <sub>CIS</sub> B was determined by the mean of the Optic Neuritis (ON <sub>CIS</sub> ) group plus 2 s.e.m. and this value as the threshold for splitting the TM <sub>CIS</sub> group.		
<sup>2</sup> Threshold for TM <sub>CIS</sub> A= 1.017%		
<sup>3</sup> Threshold for TM <sub>CIS</sub> A= 0.268%		
* TM <sub>CIS</sub> A patients re-bled for peripheral blood TM <sub>CIS</sub> A-CD27 <sup>+</sup> memory and TM <sub>CIS</sub> A-CD27 <sup>high</sup> single cell sorts		

<b>Table 4-3. CD27<sup>high</sup> % in CSF and PB compartments of PND patients</b>		
<b>PND patient</b>	<b>CD27<sup>high</sup> % CSF</b>	<b>CD27<sup>high</sup> % PB</b>
1	0	0.12
2 <sup>1</sup>	0.09	2.26*
3	0.09	0.18
4	0.2	0.03
5	0	0.01
6	0.03	0.02
7	0	0.02
8	0	0.01
9	0.05	0.01
Abbreviations: CSF: cerebrospinal fluid, PB: peripheral blood, PND: paraneoplastic neurological disorder		
<sup>1</sup> PND patient that was an outlier in the PB compartment possibly due to lymphoma		

<b>Table 4-4. Pearson's correlations between CSF Ig synthesis and CSF Ig index with CD27<sup>high</sup> plasmablast percentage in ON<sub>CIS</sub>, TM<sub>CIS</sub>A, and TM<sub>CIS</sub>B patient groups.</b>						
	<b>CD27<sup>high</sup> % CSF<sup>1</sup></b>			<b>CD27<sup>high</sup> % PB<sup>2</sup></b>		
	<i>mean (SD)</i>	<i>R</i>	<i>p-value</i> <sup>3</sup>	<i>mean (SD)</i>	<i>R</i>	<i>p-value</i> <sup>3</sup>
<b>CSF Ig synthesis rate (mg/24hr)</b>						
<i>ON<sub>CIS</sub></i>	9.4 (12.8)	0.71	0.12	11.3 (12.7)	0.66	0.11
<i>TM<sub>CIS</sub>A</i>	12.6 (13.2)	0.47	0.28	10.0 (12.4)	-0.36	0.34
<i>TM<sub>CIS</sub>B</i>	5.1 (7.8)	0.35	0.26	5.9 (8.6)	-0.46	0.18
<b>CSF Ig Index</b>						
<i>ON<sub>CIS</sub></i>	0.8 (0.4)	0.70	0.08	0.9 (0.5)	0.56	0.15
<i>TM<sub>CIS</sub>A</i>	1.2 (0.4)	0.46	0.30	1.2 (0.6)	-0.08	0.83
<i>TM<sub>CIS</sub>B</i>	0.9 (0.7)	0.32	0.32	0.9 (0.6)	-0.18	0.62
Abbreviations: CSF: cerebrospinal fluid, PB: peripheral blood, R: Pearson's R, ON <sub>CIS</sub> : Optic Neuritis, TM <sub>CIS</sub> A: Transverse Myelitis-Above, TM <sub>CIS</sub> B: Transverse Myelitis-Below, SD: standard deviation						
<sup>1</sup> The number of patients per CD27 <sup>high</sup> % CSF group is 7 ON <sub>CIS</sub> , 7 TM <sub>CIS</sub> A, 12 TM <sub>CIS</sub> B						
<sup>2</sup> The number of patients per CD27 <sup>high</sup> % Blood group is 8 ON <sub>CIS</sub> , 9 TM <sub>CIS</sub> A, 10 TM <sub>CIS</sub> B						
<sup>3</sup> p-values were calculated using a Pearson's correlation of the CD27 <sup>high</sup> parameter and the clinical measure.						
* statistically significant p-values						



<b>Table 4-5. Pearson's correlations between age and time of spinal tap from initial attack with CD27<sup>high</sup> plasmablast percentage in ON<sub>CIS</sub>, TM<sub>CIS</sub>A, and TM<sub>CIS</sub>B patient groups.</b>						
	<b>CD27<sup>high</sup> % CSF<sup>1</sup></b>			<b>CD27<sup>high</sup> % PB<sup>2</sup></b>		
	<i>mean (SD)</i>	<i>R</i>	<i>p-value</i> <sup>3</sup>	<i>mean (SD)</i>	<i>R</i>	<i>p-value</i> <sup>3</sup>
<b>Age (years)</b>						
<i>ON<sub>CIS</sub></i>	38.4 (13.4)	-0.20	0.63	38.5 (12.6)	-0.44	0.24
<i>TM<sub>CIS</sub>A</i>	38.0 (14.2)	-0.27	0.52	44.1 (17.0)	-0.07	0.86
<i>TM<sub>CIS</sub>B</i>	48.6 (13.6)	0.06	0.86	44.7 (12.5)	-0.27	0.45
<b>Disease duration at time of sampling (months)<sup>3</sup></b>						
<i>ON<sub>CIS</sub></i>	11.4 (16.7)	-0.07	0.87	10.1 (15.8)	0.18	0.67
<i>TM<sub>CIS</sub>A</i>	6.9 (11.4)	-0.21	0.61	16.9 (33.6)	<b>0.67</b>	<b>0.034*</b>
<i>TM<sub>CIS</sub>B</i>	18.1 (32.4)	0.50	0.10	10.3 (17.2)	-0.22	0.53
Abbreviations: CSF: cerebrospinal fluid, PB: peripheral blood, R: Pearson's R, ON <sub>CIS</sub> : Optic Neuritis, TM <sub>CIS</sub> A: Transverse Myelitis-Above, TM <sub>CIS</sub> B: Transverse Myelitis-Below, SD: standard deviation						
<sup>1</sup> The number of patients per CD27 <sup>high</sup> % CSF group is 8 ON <sub>CIS</sub> , 8 TM <sub>CIS</sub> A, 12 TM <sub>CIS</sub> B						
<sup>2</sup> The number of patients per CD27 <sup>high</sup> % Blood group is 9 ON <sub>CIS</sub> , 10 TM <sub>CIS</sub> A, 10 TM <sub>CIS</sub> B						
<sup>3</sup> p-values were calculated using a Pearson's correlation of the CD27 <sup>high</sup> parameter and the clinical measure.						
* statistically significant p-values						

## **CHAPTER FIVE**

### **RESULTS**

#### **AIM III: AGS-ENRICHED B CELLS MEDIATE MS PATHOLOGY BY PRODUCING ANTIBODIES THAT TARGET THE BRAIN**

##### ***Overview and rationale***

The presence of B cells sharing a pattern of SHM both in the CSF and CNS of patients at high risk for MS suggests that they are responding to the same set of auto-antigens. However, utilization of the AGS as a molecular diagnostic tool to identify patients that will subsequently develop CDMS does not address the impact of AGS-enriched B cells on disease pathogenesis. Determining the target specificity of AGS-enriched antibodies from CSF B cells of MS patients (early CIS and established CDMS) is the first step towards dissecting the potential role of AGS-enriched antibodies in the pathogenesis of MS. Using AGS enrichment as a genomic marker of antigen driven selection represented in MS disease, we cloned 32 rhAbs from singly sorted CSF B cells to recapitulate the antibody that was present on the surface of the cell at the time of sample collection. In addition to AGS enrichment, 61% of the rhAbs were clonally expanded, another marker of an antigen driven humoral response. These rhAbs are now primary tools to address putative targets and disease involvement of these shared signature B cells.

We hypothesized that regardless of disease presentation or duration, as long as the B cell was AGS-enriched, similar targeting patterns would be expected. In testing the targeting ability of the rhAb panel on both mouse and human tissue, we discovered

targeting to GM rather than WM across all rhAbs tested. The rhAbs also recognized cellular targets in two models of mouse CNS inflammation, stroke and EAE, suggesting that the GM antigens were available in various states of neuroinflammation.

Additionally, further IFC experiments revealed that these rhAbs recognized neuronal nuclei and/or astrocytes in both human and mouse brain tissue. This species-preserved homology of important CNS elements provides promise in addressing the pathogenic potential of these rhAbs, particularly in the context of GM pathology. GM damage is gaining appreciation for its pathological significance in addition to the traditional WM focus. Since this AGS-enrichment within the B cell pool and shared targeting to both neurons and astrocytes are present at both presentations of initial disease, ON<sub>CIS</sub> and TM<sub>CIS</sub>, this highlights the importance of elucidating the targets that drive the mutational signature as it is an early biomarker of MS disease and conversion.

## **AGS-ENRICHED ANTIBODIES TARGET GM NEURONS AND ASTROCYTES**

The following study has been submitted.

Ligocki A.J, Rounds W.H., Li M., Henson P.M., Rivas J.R., Guzman A.A., Graves D.G., Greenberg B.M., Frohman E.M., Ward E.S., Stowe A.M., Monson N.L., *Gray matter neuron and astrocyte targeting of multiple sclerosis antibodies*, 2013

### Introduction

B cells have been implicated in multiple sclerosis (MS) and have been recognized to play a role in MS pathology in addition to the well-accepted pathological role of T cells. B cells and antibodies are present in both the cerebrospinal fluid (CSF) and the central nervous system (CNS) of patients with MS and clinically isolated syndrome (CIS) patients who are at high risk of developing MS. The most common form of MS lesion is characterized by deposition of antibodies and complement (6), and plasmapheresis treatment of patients harboring these lesions leads to symptom improvement (98). In fact, elevated B cells in the CSF correlates with lesion activity on magnetic resonance imaging (MRI) (117) and both increased intrathecal immunoglobulin synthesis (111) and complement activation (113) are also associated with a more aggressive disease course. Collectively these findings implicate a pathological role for antibodies in the pathoetiology of MS.

Our laboratory discovered a biomarker for conversion from CIS to clinically definite MS (CDMS) in the antibody genomics of V<sub>H</sub>4-utilizing B cells in the CSF, termed the antibody gene signature (AGS) (228). This shared pattern of somatic hypermutation at 6 codons along the V<sub>H</sub>4 gene implicates that the B cell pools are

recognizing a shared set of antigens in the MS disease state that are not recognized by B cells in healthy individuals. Thus, we hypothesized that AGS-enriched antibodies may bind to targets within the CNS. To address this hypothesis, we generated a panel of 32 full-length recombinant human antibodies (rhAbs) from single CSF B cells whose antibody genes contained AGS-targeted mutations. Surveying B cells and antibodies within the CSF is relevant to CNS disease because there are shared B cell clones between the same MS patient's CSF and CNS (232), as well as between the meninges and CNS (234). Additionally, we also found that B cells isolated from CNS lesions harbor the AGS (340). This panel of 32 rhAbs came from a diverse set of patients including CDMS and two initial CIS presentations: optic neuritis (ON<sub>CIS</sub>) and transverse myelitis (TM<sub>CIS</sub>). ON<sub>CIS</sub> patients present with optic symptoms and lesions along the optic nerve, and TM<sub>CIS</sub> patients exhibit sensory symptoms with lesions along short segments of the spinal cord. Regardless of either presentation of CIS, both patient types have CSF B cells pools enriched for AGS and are at high risk of converting to CDMS.

CNS targeting of the rhAb panel was determined using immunohistochemistry (IHC) on both mouse and human brain tissue. Surprisingly, the AGS-enriched B cells targeted gray matter (GM) rather than the anticipated myelin-rich white matter (WM), which has been extensively studied in the MS field (9). GM involvement in MS disease symptoms and advancement has been understudied even though the presence of cortical lesions correlates strongly with MS disease severity and progression as opposed to the more easily detected WM lesions (32, 36, 367). In fact, cortical GM demyelination is more extensive than WM (26.5% vs 6.5%) with the percentage of demyelination in the cortex increasing with disability and disease length (31). Immunofluorescence confirmed GM targeting of the rhAbs to astrocyte bodies and processes, and neuronal nuclei in both

human and mouse brain tissue. We also found this targeting pattern in AGS-enriched rhAbs generated from both CIS presentations, ON<sub>CIS</sub> and TM<sub>CIS</sub>, as well as established CDMS. This is the first known description of MS derived antibodies sharing a mutational pattern that target GM and this previously unrecognized target of humoral immunity may elucidate the pathology and symptoms stemming from cortical damage in disease.

## Results

### rhAb selection

We have previously shown that CIS patients at risk to convert and those with CDMS harbor B cells in the CSF with a unique mutational pattern in their V<sub>H</sub>4 repertoires termed the Antibody Gene Signature (AGS) (228, 340). A shared pattern of increased replacement mutations at 6 specific codons within V<sub>H</sub>4 genes suggests selection and recognition of a shared set of antigens. Therefore, we sought to determine the biological significance of this mutational pattern by testing the binding ability of AGS-enriched antibodies to brain tissue. Using single cell sorting of CSF derived B cells from CDMS, ON<sub>CIS</sub>, and TM<sub>CIS</sub> patients, we were able to determine the exact antibody-binding variable regions from PCR amplified V<sub>H</sub>J<sub>H</sub> and V<sub>K</sub>J<sub>K</sub> sequences. Using a full-length recombinant human IgG<sub>1</sub> antibody expression vector system, variable regions were cloned and expressed for further study. Only those B cells expressing a V<sub>H</sub>4 family gene with mutations in 2 or more of the 6 AGS codons were considered for this analysis.

A total of 32 rhAbs were chosen for expression. The details of 10 rhAbs are shown in Table 5-1 and the remaining 22 in Table 5-2. Briefly, all rhAbs contained mutations at 2 or more of the 6 AGS codons, and the majority (66%) contained 3 or more AGS mutations. Additionally, 60% were also clonally expanded, suggesting an antigen

driven process. These rhAbs were cloned from 10 CSF patient repertoires: 9 rhAbs from 4 CDMS patients, 14 rhAbs from 3 ON<sub>CIS</sub> patients, and 9 rhAbs from 3 TM<sub>CIS</sub> patients. Two control rhAbs cloned from SLE patient B cells were provided by Dr. Betty Diamond (The Feinstein Institute for Medical Research) as controls for the human IgG construct. The expected binding of this control set has been extensively published using mouse tissue. B1, the negative control, does not react to brain, whereas G11, the positive control, reacts to NMDARs in the brain as well as dsDNA (337).

#### *AGS-enriched rhAbs bind to mouse brain tissue*

The panel of 32 experimental AGS-enriched and clonally expanded rhAbs as well as the 2 control rhAbs was first tested for targeting to mouse brain tissue using DAB. This methodology offers sensitive detection of primary rhAb binding to the tissue. Due to previous work from other laboratories demonstrating a lack of binding of antibodies cloned from CDMS CSF B cells to normal brain tissue or WM (267, 268), we chose to utilize brain tissue from a mouse model of transient stroke as a source of inflammation (339). This provided generalized non-antigen directed inflammation to minimize bias of any specific CNS antigen. The secondary antibody used for detection, goat anti-human IgG, did not react to mouse brain alone (Figure 5-1A). As expected, the negative construct control rhAb B1 also did not react to mouse brain, while the positive control rhAb G11 showed recognition to brain and thus validated the assay (Figure 5-1A).

The full panel of 32 rhAbs was tested on mouse brain by DAB. There was a wide range of staining intensity. However all but two of the 32 rhAbs, WR01 and WR11, displayed binding to brain tissue (Figure 5-1 and Figure 5-2). There was no difference of rhAb staining patterns amongst the three patient groups used to derive the rhAbs. A

common feature was that the binding appears cellular in the cortex and midbrain and was either absent or sparse along the heavily myelinated corpus callosum.

#### *AGS-enriched rhAbs bind to human brain tissue*

Four sources of human brain were used for the DAB staining experiments: MS normal appearing gray matter (MS-GM) (Figure 5-3), MS normal appearing white matter (MS-WM) (Figure 5-4), MS white matter plaque (MS-P) (Figure 5-5), and healthy control normal appearing WM (HC-WM) (Figure 5-6). Due to the rhAb staining pattern found in the mouse brain, the tissue source of most interest was the MS-GM. The two negative controls, ABC reagent alone and rhAb B1, did not bind to MS-GM whereas the positive control rhAb G11 bound MS-GM (Figure 5-3A). The 10 AGS-enriched rhAbs from 3 different disease types representing 6 different patients all showed binding to human MS-GM (Figure 5-3B-D). As with the mouse brain DAB (Figure 5-1), the staining appeared to be cellular and exhibited similar staining patterns in the human brain DAB (Figure 5-3).

In contrast, the rhAbs demonstrated poor recognition to MS-WM (Figure 5-4). Plaque tissue from the MS patient showed evidence of damage, and the binding was diminished or absent in MS-P tissue (Figure 5-5). The rhAbs also had weak or no binding to HC-WM (Figure 5-6). A common feature shared by the rhAbs was that testing on all sources of WM tissue resulted in weaker staining patterns than was seen in GM tissue.

#### *AGS-enriched rhAbs target neurons and astrocytes in both mouse and human brain tissue*

Due to the location and appearance of the DAB staining, we hypothesized that the rhAbs were binding to either of the two major cell types in the brain, neurons and/or



astrocytes. Therefore, IFC colocalization experiments were performed on 10 of the rhAbs from the initial cohort of 32. These 10 were chosen to sample all three disease subtypes and the same source of mouse brain tissue was utilized in these experiments. B1 and G11 were again used as negative and positive controls for the assay respectively. B1 did not recognize brain tissue (Figure 5-7A and Figure 5-8A), but G11 did recognize mouse brain tissue (Figure 5-7B and Figure 5-8B) as evidenced in both the NeuN and the GFAP colocalization experiments. Similar staining pattern of both B1 and G11 was confirmed in human MS-GM (Figure 5-9).

Three rhAbs, one from each patient type, colocalized with neuronal nuclei identified by NeuN in both mouse and human brain. AJL03 from patient CDMS1 showed similar staining of neuronal nuclei in both species tissue (Figure 5-7C, D). AJL10, from patient ON<sub>CIS</sub>2, showed colocalization with neuronal nuclei with the human targeting being very concentrated in the nucleus compared to a more diffuse staining pattern in mouse (Figure 5-7E, F). Additionally, there were areas of AJL10 binding that were independent of NeuN but were still associated with nuclei as marked by DAPI (Figure 5-7E). AJL15 from patient TM<sub>CIS</sub>5 also colocalized with neuronal nuclei in both mouse and human tissue (Figure 5-7G, H). AGS-enriched B cells that recognize neuronal nuclei in the GM are found in all disease presentations and have conserved recognition between mouse and human species.

In order to see if targeting to astrocytes was present, the other major cell type in the GM in addition to neurons, IFC with GFAP was tested. WR12 and WR13 from the same patient, ON<sub>CIS</sub>2, colocalized with astrocytes and their processes in mouse tissue (Figure 5-8C, E). In human tissue, both rhAbs recognized GFAP-positive astrocyte bodies with additional vessel staining seen with WR12 (Figure 5-8D, F). AJL01 from

patient TM<sub>CIS</sub>4 predominately colocalized with astrocytes with additional staining along the periventricular lining in mouse (Figure 5-8G). AJL01 colocalized to astrocyte endfeet within a vessel in addition to the astrocyte bodies in MS-GM shown (Figure 5-8H). In addition to AGS-enriched B cells that recognize neurons, this mutational pattern also imparts recognition to astrocytes.

Four AGS-enriched rhAbs from all 3 patient types displayed dual-cell targeting. AJL02 from patient CDMS1 predominantly colocalized with NeuN in a ring-like fashion within the nuclei (Figure 5-10A) with minor colocalization with GFAP astrocyte processes (Figure 5-10B). This ring-like staining pattern around neuronal nuclei was also seen in human GM tissue (Figure 5-10C). AJL07 from patient ON<sub>CIS</sub>3, displayed dual-cell targeting to both neurons and astrocytes in mouse brain (Figure 5-10D, E). In MS-GM AJL07 principally stained neuronal nuclei and also a vessel (Figure 5-10F), keeping with the dual-cell targeting observed in mouse. From patient TM<sub>CIS</sub>4, WR10 displayed dual-cell targeting with colocalization to both the edges of neuronal nuclei and astrocyte processes in mouse brain (Figure 5-10G, H). WR10 stained astrocyte bodies, endfeet around a vessel, as well as a neuron seen at the top of the panel in MS-GM (Figure 5-10I). AJL19 from patient TM<sub>CIS</sub>6 reacted similarly to WR10 with dual-cell targeting as it colocalized with both neuronal nuclei and astrocytes (Figure 5-10J, K). Colocalization of AJL19 with neuronal nuclei was also seen in MS-GM (Figure 5-10L).

## Discussion

Utilization of the AGS as a molecular diagnostic tool to identify patients that will subsequently develop CDMS does not address the impact of AGS-enriched B cells on disease pathogenesis. Determining the target specificity of AGS-enriched antibodies from

CSF B cells of MS patients (early CIS and established CDMS) is the first step towards dissecting the potential role of AGS-enriched antibodies in the pathogenesis of MS. Using AGS enrichment as a genomic marker of antigen driven selection represented in MS disease, we cloned 32 rhAbs from singly sorted CSF B cells to recapitulate the antibody that was present on the surface of the cell at the time of sample collection. Regardless of initial presentation of disease (ON<sub>CIS</sub> vs TM<sub>CIS</sub>), early or established disease (CIS vs CDMS), these rhAbs are recognizing neuronal nuclei and/or astrocytes in both mouse and human GM brain tissue. This AGS is present at all initial disease presentations and durations indicating that the stage of disease does not significantly alter the cellular targeting of the AGS-enriched B cell repertoire. Significant immune system activation precedes the initial clinical presentation of disease and complements the finding that rhAbs cloned from ON<sub>CIS</sub> and TM<sub>CIS</sub> patients already display recognition to brain targets. CDMS derived rhAbs also maintain recognition of brain tissue, which corroborates recent findings by others that intrathecal IgG from MS patients recognize similar peptides over time (93).

DAB staining on entire coronal sections from mice allowed for both sensitive detection and a large sampling of multiple brain regions for the initial rhAb testing. Surprisingly the rhAbs showed targeting to cellular targets in the cortex and midbrain with only mild/rare staining along the corpus callosum in mouse tissue. If these rhAbs were strongly targeting WM, there would be accumulation of staining in the corpus callosum that is composed of highly myelinated axonal tracts. Instead, we saw staining in the GM, which has gained appreciation for involvement in CDMS symptoms and disease progression (31, 32, 36, 367). WM lesions are readily detected with gadolinium enhancement on MRI and luxol fast blue staining of postmortem tissue, whereas GM

lesions are more difficult to detect via traditional MRI and IHC, explaining why GM pathology was historically under-recognized (368). Progressive GM loss over time occurs at both CIS and MS stages (35, 38), which suggests that the underlying pathology responsible for the loss is not restricted to later disease stages.

Due to the cortical and cellular appearance of the DAB staining, colocalization with NeuN for neuronal nuclei and GFAP for astrocytes was tested using IFC. We found that cloned rhAbs from AGS-enriched B cells isolated from CDMS, ON<sub>CIS</sub>, and TM<sub>CIS</sub> exhibit targeting to neuronal nuclei and astrocytes, with four rhAbs displaying dual-cell recognition. Three of the rhAbs representing all three patient subtypes displayed colocalization with only neuronal nuclei. Targeting of neurons fits well with findings of neuronal loss and degeneration present in MS. Proton magnetic resonance spectroscopy (MRS) measurement of N-acetyl aspartate (NAA), a neuronal marker, is significantly reduced in MS CNS (369), especially as patients progress through disease stages (370), supporting that a loss of neurons is associated with progressive neurodegeneration. Furthermore, extensive subpial demyelination with a gradient of neuronal loss outwards from the meninges is associated with ectopic B cell aggregates (131), which are found in patients with a more severe disease course and are adjacent to cortical lesions (130). Since the rhAbs described in the present study were cloned from B cells in the CSF, which is in close contact with the meninges, these AGS-enriched B cells may be strategically located to contribute to GM neuronal damage. Neurofilaments comprise the axonal/neuronal cytoskeleton and have also been identified as autoantigen targets from MS CSF (288), and titers are correlated with atrophy, axonal damage, as well as clinical disability (290). Immunizing mice with neurofilament induces GM damage as well as deposition of IgG within neuronal cell bodies and axons (293), highlighting the ability of

an intracellular neuronal target to elicit disease. Additionally, the myelin sheaths contained degenerating or dead axons (4) supporting the notion that the classical demyelination seen in MS can also occur secondary to axonal and neuronal damage, as axonal transection is a common feature in MS lesions (3).

The integrity of the blood brain barrier (BBB) is maintained by astrocytes and could be disrupted by rhAbs targeting them for damage or altering their functionality. It is well accepted that the BBB is compromised in CIS and MS patients (18), and any damage that perpetuates this could allow for further influx of inflammatory cells and mediators into the already inflamed CNS. Brain targeting antibodies can be detected in healthy human sera (371) and could gain access to the CNS once the BBB is compromised. The binding of three rhAbs in human and mouse GM clearly shows that these rhAbs can bind to astrocytes by colocalizing with GFAP. Additionally, four rhAbs displayed both astrocyte and neuronal nuclei targeting. IFC on MS-GM showed rhAbs targeting architecture surrounding vessels, which includes astrocyte endfeet as well as endothelial cells, and could contribute to MS pathology by disturbing the BBB. Serum IgG from active MS demonstrates recognition of brain microvascular endothelial cells, which also correlated with BBB disruption (315). The difference in appearance of GFAP positive astrocyte immunostaining between mouse and human brain in this study could be due to the planer orientation of the sectioning with the mouse coronal sections having abundant processes and rare astrocytes cell bodies and the human GM having more immunostained cell bodies and rarer processes (372). There is a gaining appreciation of the extensive functions of astrocytes in health and disease beyond maintaining the BBB (302), and thus pathology could arise if the bound antibody alters the astrocytes' functions.

Another critical role for astrocytes is to support remyelination of lesions by providing support and signals to oligodendrocyte precursor cells (303), which could potentially be hindered by antibody targeting. In fact, failure to remyelinate lesions is a feature of progression in CDMS (373). In the well-studied neurological autoimmune disease neuromyelitis optica (NMO), pathology is attributed to antibodies targeting aquaporin-4 on astrocytes, which leads to both cell death and altered functionality (374) resulting in BBB disruption, cellular dysfunction, and loss of support to neurons. Anti-astrocyte potassium channel antibodies have been found in approximately half of tested MS patient sera (314), which could lead to a decreased ability of astrocytes to maintain proper extracellular ion levels, thereby promoting cellular damage. More recently, the intracellular astrocyte protein contactin-2/TAG-1 was identified as an autoantigen recognized by MS CSF antibodies and the induced disease in mice exhibited inflammation in both WM and GM regions (299). These findings highlight the importance of an immune reaction directed at intracellular and extracellular astrocyte GM antigens in the pathogenesis and symptoms of MS that predominantly contributes to the AGS enriched B cell pool of these patients.

It is unclear from the current study if these antibodies are pathogenic since several of the rhAbs recognize antigen(s) in the nuclei of neurons rather than an easily accessible cell surface antigen. Furthermore, we do not know if the astrocyte binding rhAbs recognize an extracellular or intracellular target. Intracellular targets are associated with many autoimmune diseases, especially systemic lupus erythematosus (SLE) and Sjogren's. Antibodies to intracellular and intranuclear antigens of neurons can be endocytosed and gain access to their cognate antigens within the cell or nucleus (375) and may contribute to disease pathology (376). Antibodies targeting aquaporin-4 on

astrocytes contribute to NMO pathology and bind to extracellular targets (308), though more recently a group found that a majority of serum tested from NMO patients recognize intracellular portions of the molecule (377) which overlap with the dominant T cell epitope (378). The B cells that recognize the intracellular portions can acquire and present this epitope to T cells and receive cognate help and cytokines to drive a concerted cellular and humoral immune response to this antigen. Recognition and immune responses towards both intracellular and extracellular antigens are present in various autoimmune diseases and is a shared feature with MS.

In testing our panel of AGS-enriched rhAbs, we discovered that the rhAbs had similar staining patterns in both human and mouse brain tissue. This species-preserved homology of important CNS elements provides promise in addressing the pathogenic potential of these rhAbs, particularly in the context of GM pathology, which is gaining appreciation for its pathological significance in addition to the traditional WM focus. It is unknown whether these rhAbs harbor the ability to initiate pathology or if they perpetuate damage due to exposed antigens. Regardless, either mechanism could contribute significantly to both neuron and astrocyte viability and functionality. Since this AGS-enrichment within the B cell pool and shared targeting to both neurons and astrocytes are present at both presentations of initial disease, ON<sub>CIS</sub> and TM<sub>CIS</sub>, this highlights the importance of elucidating the targets that drive the mutational signature as it is an early biomarker of MS disease and conversion.

### *Acknowledgements*

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B1 and G11 expression vectors and Dr. Michel Nussenzweig for providing the backbone expression vector constructs for the rhAb cloning. Brain tissue was kindly provided by the Human Brain and Spinal Fluid Resource Center (West Los Angeles Healthcare Center, Los Angeles, CA). The UTSWMC Live Cell Imaging Facility is thanked for confocal microscope training and usage. This study was supported by Grants from the National Multiple Sclerosis Society (NMSS) to NLM (RG3267 and RG4653) and DioGenix, Inc. to NLM. AJL and WHR were supported by Grant no. NIH NRSA5 T32 A1 005284-28 from NIAID.



## **FIGURE LEGENDS FOR CHAPTER FIVE: RESULTS**

**Figure 5-1.** *AGS-enriched rhAbs bind to mouse brain.* DAB images are shown at 20x magnification of the cortex and corpus callosum. The rhAbs in each row are grouped as follows: controls (A), CDMS (B), ON<sub>CIS</sub> (C), and TM<sub>CIS</sub> (D). The rhAb designation, patient type, and patient number are shown in the upper right corner of each image. Data are representative of three coronal sections per rhAb. Scale bar represents 100  $\mu$ m.

**Figure 5-2.** *AGS-enriched rhAbs bind to mouse brain.* The remaining 22 rhAbs not in Figure 3-10 are shown here. DAB images are shown at 20x magnification of the cortex and corpus callosum. The rhAbs in each section are grouped as follows: CDMS (A), ON<sub>CIS</sub> (B), and TM<sub>CIS</sub> (C). The rhAb designation, patient type, and patient number are shown in the upper right corner of each image. Data are representative of three coronal sections per rhAb. Scale bar represents 100  $\mu$ m.

**Figure 5-3.** *AGS-enriched rhAbs bind to human MS-GM brain.* DAB images are shown at 20x magnification of MS-GM. The rhAbs in each row are grouped as follows: controls (A), CDMS (B), ON<sub>CIS</sub> (C), and TM<sub>CIS</sub> (D). The rhAb designation, patient type, and patient number are shown in the upper right corner of each panel. Data are representative of three MS-GM sections per rhAb. Scale bar represents 100  $\mu$ m.

**Figure 5-4.** *AGS-enriched rhAb DAB staining on human MS-WM brain.* DAB images are shown at 20x magnification of MS-WM. The rhAbs in each row are grouped as follows: controls (A), CDMS (B), ON<sub>CIS</sub> (C), and TM<sub>CIS</sub> (D). The rhAb designation,

patient type, and patient number are shown in the upper right corner of each panel. Data are representative of three MS-WM sections per rhAb. Scale bar represents 100  $\mu$ m.

**Figure 5-5.** *AGS-enriched rhAb DAB staining on human MS-P plaque.* DAB images are shown at 20x magnification of MS-P. The rhAbs in each row are grouped as follows: controls (A), CDMS (B), ON<sub>CIS</sub> (C), and TM<sub>CIS</sub> (D). The rhAb designation, patient type, and patient number are shown in the upper right corner of each panel. Data are representative of three MS-P sections per rhAb. Scale bar represents 100  $\mu$ m.

**Figure 5-6.** *AGS-enriched rhAb DAB staining on healthy human HC-WM brain.* DAB images are shown at 20x magnification of HC-WM. The rhAbs in each row are grouped as follows: controls (A), CDMS (B), ON<sub>CIS</sub> (C), and TM<sub>CIS</sub> (D). The rhAb designation, patient type, and patient number are shown in the upper right corner of each panel. Data are representative of three HC-WM sections per rhAb. Scale bar represents 100  $\mu$ m.

**Figure 5-7.** *IFC of AGS-enriched rhAbs targeting neuronal nuclei in both mouse and human MS-GM brain: AJL03, AJL10, and AJL15.* Confocal images are shown at 63x magnification and 126x magnification for human tissue with the colocalization marker for NeuN (for neuronal nuclei) shown as red (Alexa Fluor 594). The primary rhAb is shown as green (Alexa Fluor 488) and nuclei are counterstained blue (DAPI). The images are shown as independent red and green channels above the overlay including DAPI. B1 (SLE-neg) and G11 (SLE-pos) negative and positive controls respectively on mouse brain tissue are shown in panels A and B. Mouse and human (MS-GM) brain tissue IFC are shown for each rhAb labeled above the column: AJL03 (CDMS1) (C, D), AJL10

(ON<sub>CIS</sub>2) (E, F), and AJL15 (TM<sub>CIS</sub>5) (G, H). Data are representative of six coronal sections per rhAb on mouse tissue and three sections per rhAb on MS-GM tissue. Scale bar represents 10µm.

**Figure 5-8. IFC of AGS-enriched rhAbs targeting astrocytes in both mouse and human**

***MS-GM brain: WR12, WR13, and AJL01.*** Confocal images are shown at 63x magnification and 126x magnification for human tissue with the colocalization marker for GFAP (for astrocytes) shown as red (Alexa Fluor 594). The primary rhAb is shown as green (Alexa Fluor 488) and nuclei are counterstained blue (DAPI). The images are shown as independent red and green channels above the overlay including DAPI. B1 (SLE-neg) and G11 (SLE-pos) negative and positive controls respectively on mouse brain tissue are shown in panels A and B. Mouse and human (MS-GM) brain tissue IFC are shown for each rhAb labeled above the column: WR12 (ON<sub>CIS</sub>2) (C, D), WR13 (ON<sub>CIS</sub>2) (E, F), and AJL01 (TM<sub>CIS</sub>4) (G, H). Data are representative of six coronal sections per rhAb on mouse tissue and three sections per rhAb on MS-GM tissue. Scale bar represents 10µm.

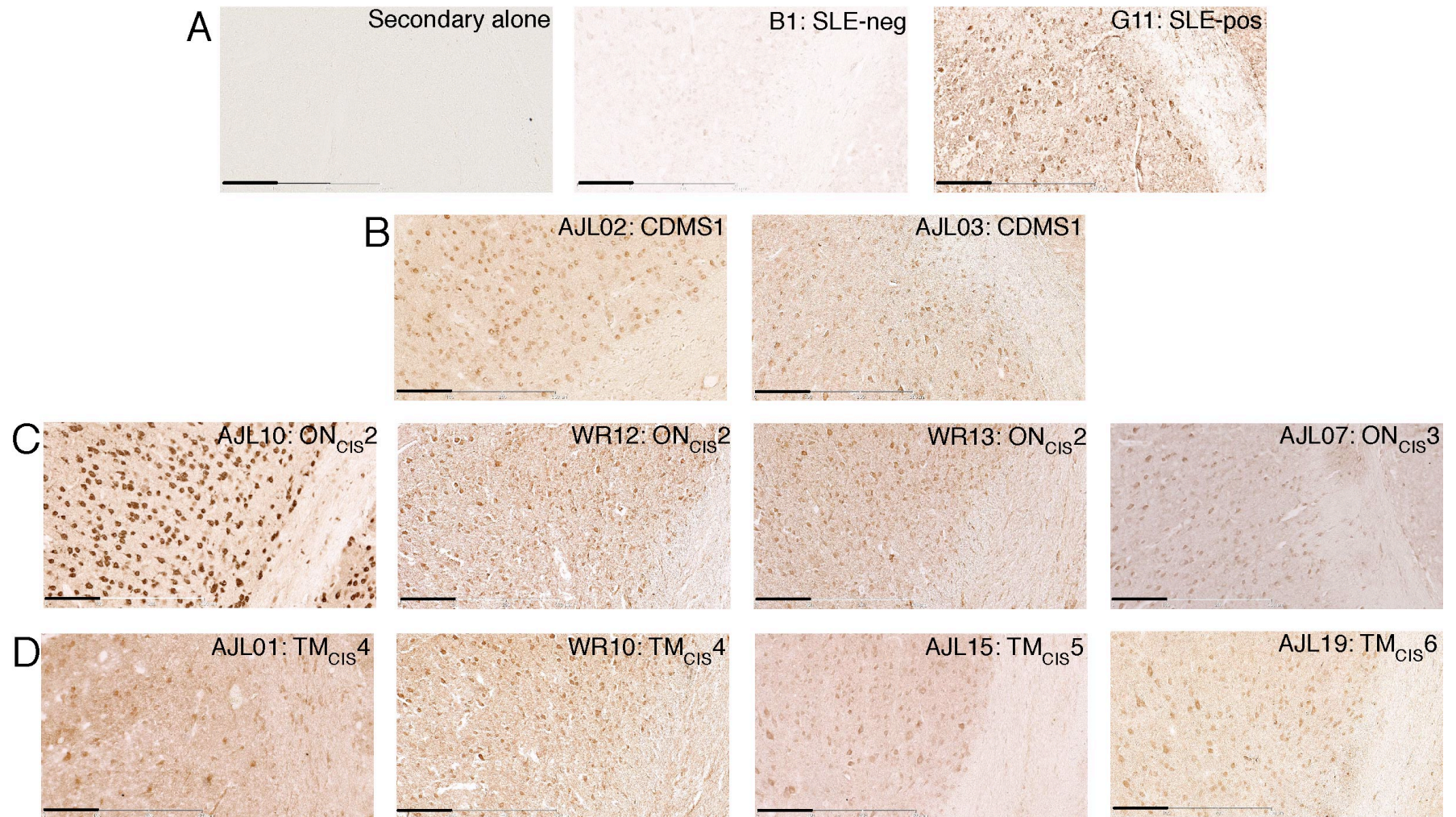
**Figure 5-9. IFC of control rhAbs on human MS-GM brain: B1 and G11.**

Confocal images are shown at 63x magnification with the colocalization marker for NeuN (for neuronal nuclei) shown as red (Alexa Fluor 594), the primary rhAb as green (Alexa Fluor 488), and nuclei counterstained blue (DAPI). The images are shown as independent red and green channels above the overlay including DAPI with the rhAb label above each panel. Data are representative of three MS-GM sections per rhAb. Scale bar represents 10µm.

**Figure 5-10. IFC of AGS-enriched rhAbs targeting both neuronal nuclei and astrocytes in both mouse and human MS-GM brain: AJL02, AJL07, WR10 and AJL19.**

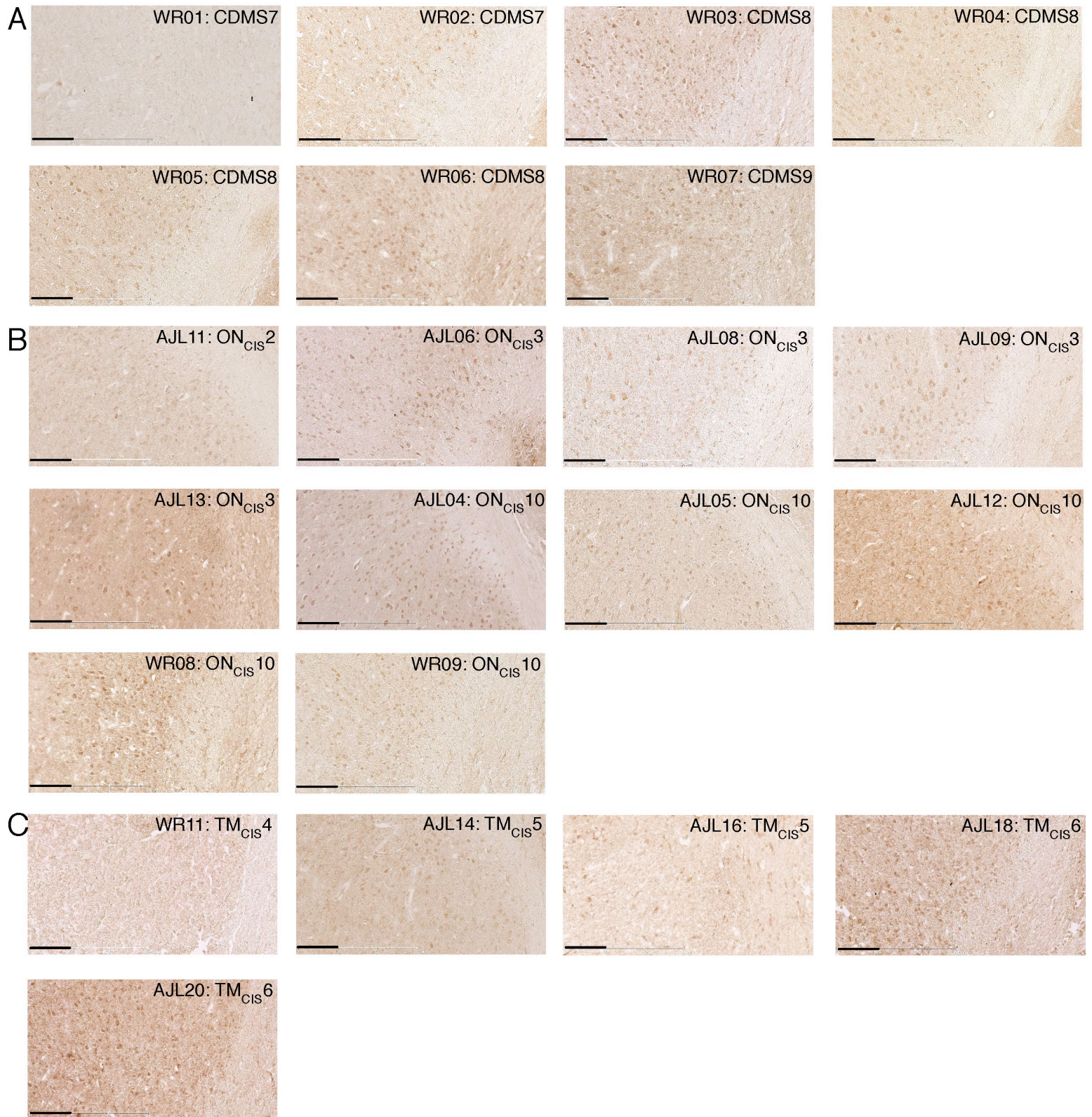
Confocal images are shown at 63x magnification for mouse tissue and 126x magnification for human tissue with the colocalization marker for NeuN (for neuronal nuclei) across the first row and GFAP (for astrocytes) across the second row shown as red (Alexa Fluor 594). The primary rhAb is shown as green (Alexa Fluor 488) and nuclei are counterstained blue (DAPI). The images are shown as independent red and green channels above the overlay including DAPI. The top two rows are NeuN and GFAP staining on mouse brain tissue with the bottom row showing human brain tissue (MS-GM) with labels of the colocalization marker above the red panel. Each rhAb is labeled above the column: AJL02 (CDMS1) (A-C), AJL07 (ON<sub>CIS</sub>3) (D-F), WR10 (TM<sub>CIS</sub>4) (G-I), and AJL19 (TM<sub>CIS</sub>6) (J-L). Data are representative of six coronal sections per rhAb on mouse tissue and three sections per rhAb on MS-GM tissue. Scale bar represents 10µm.

**Figure 5-1**



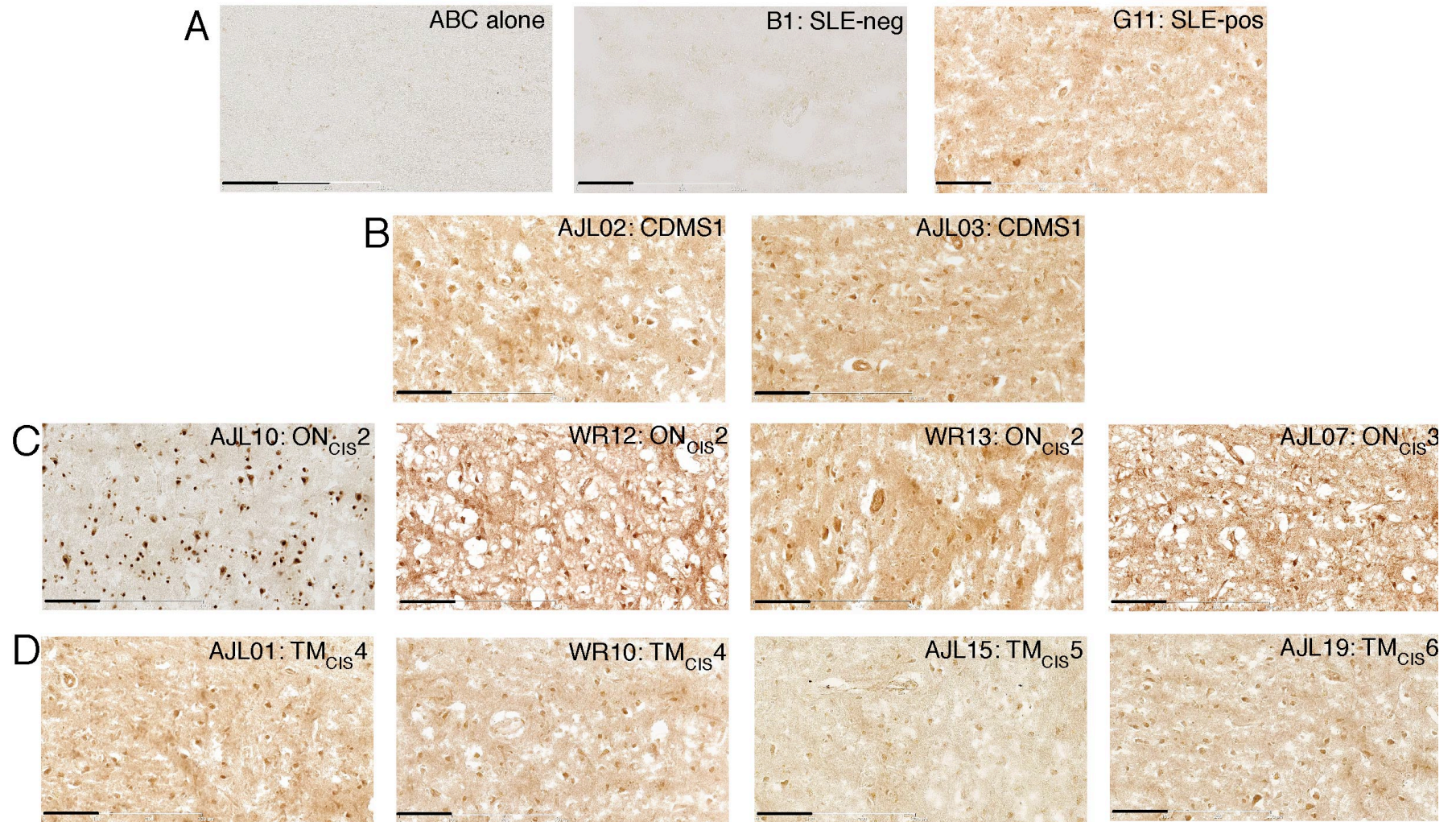


**Figure 5-2**



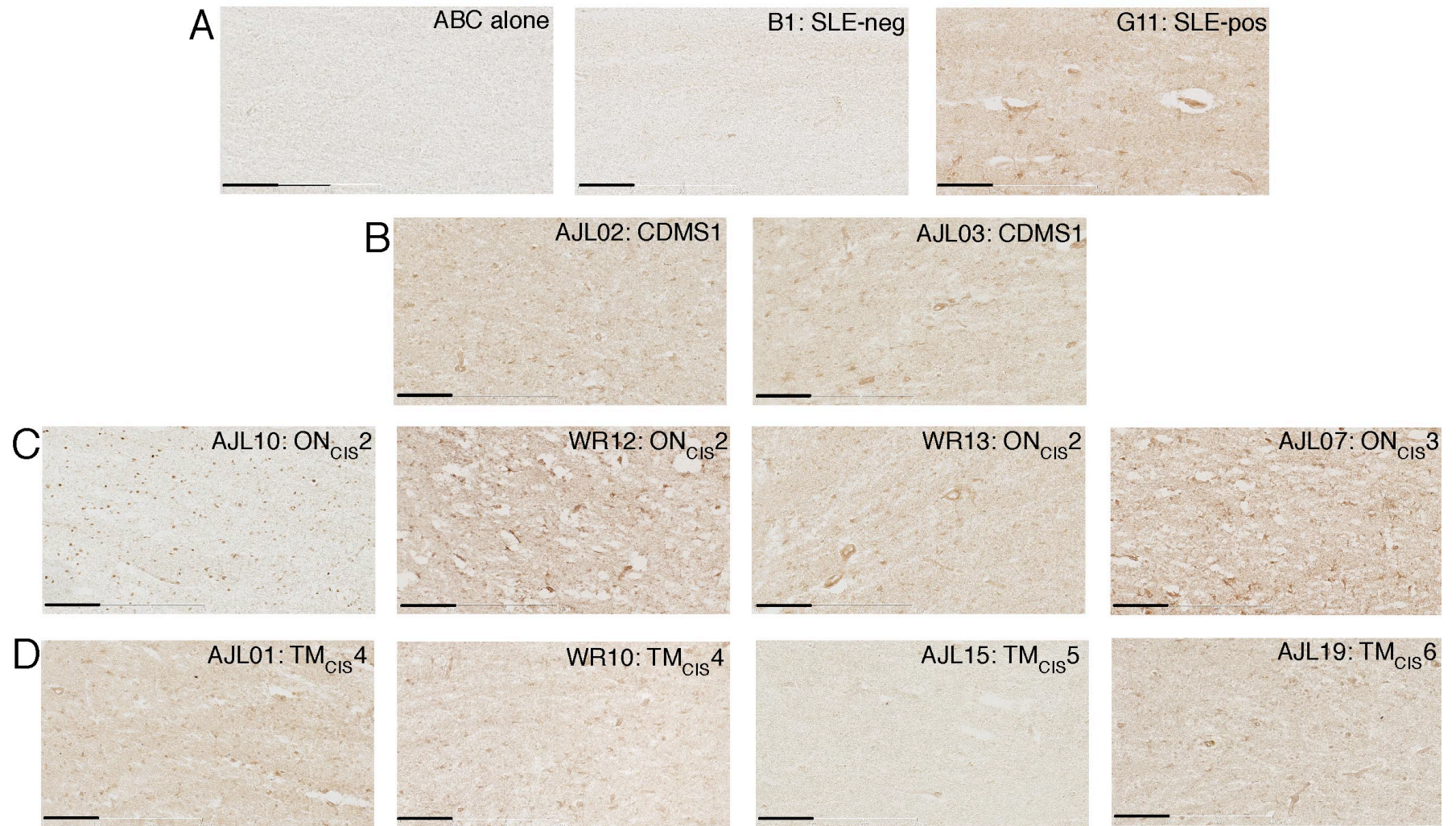


**Figure 5-3**



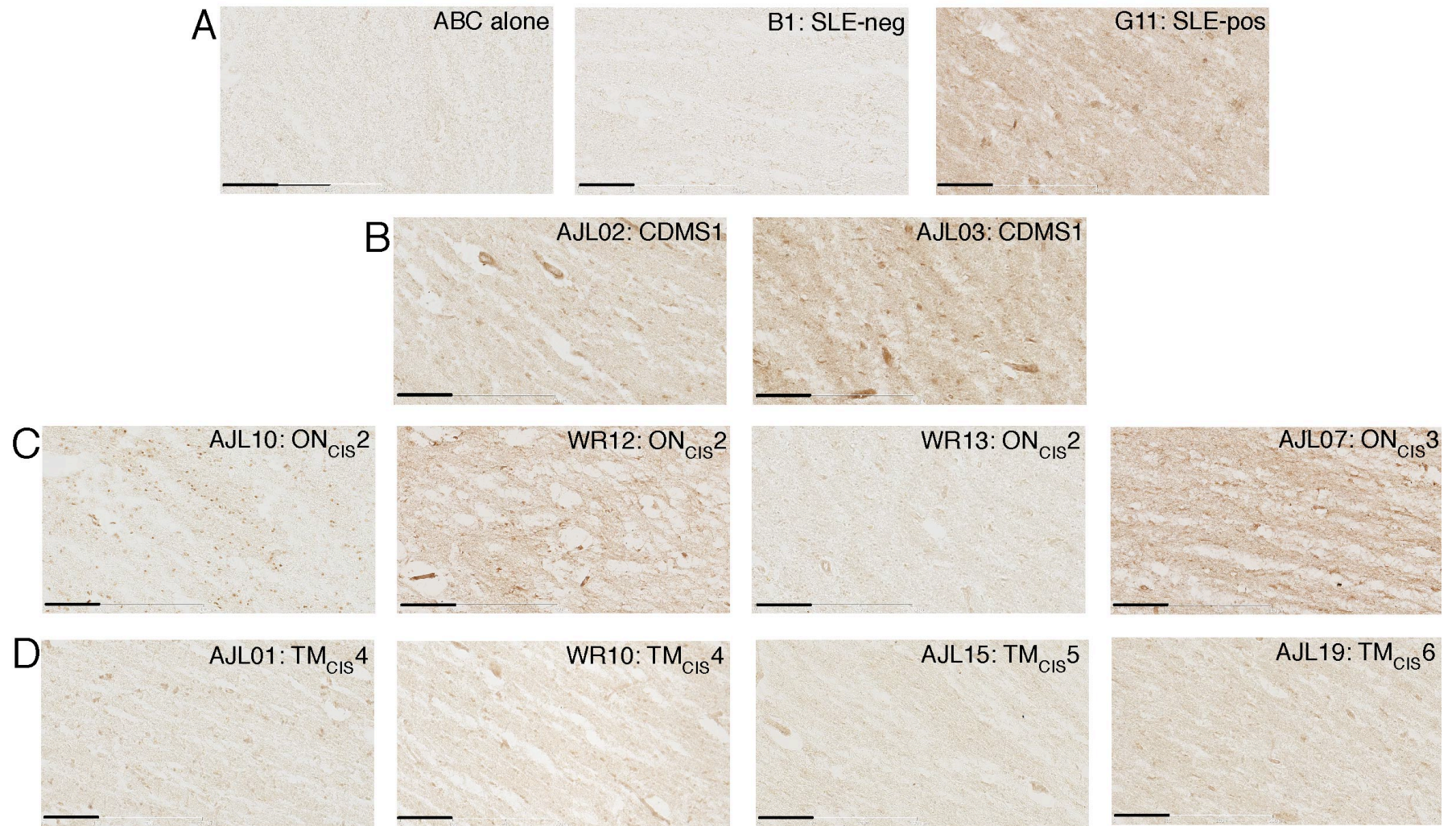


**Figure 5-4**



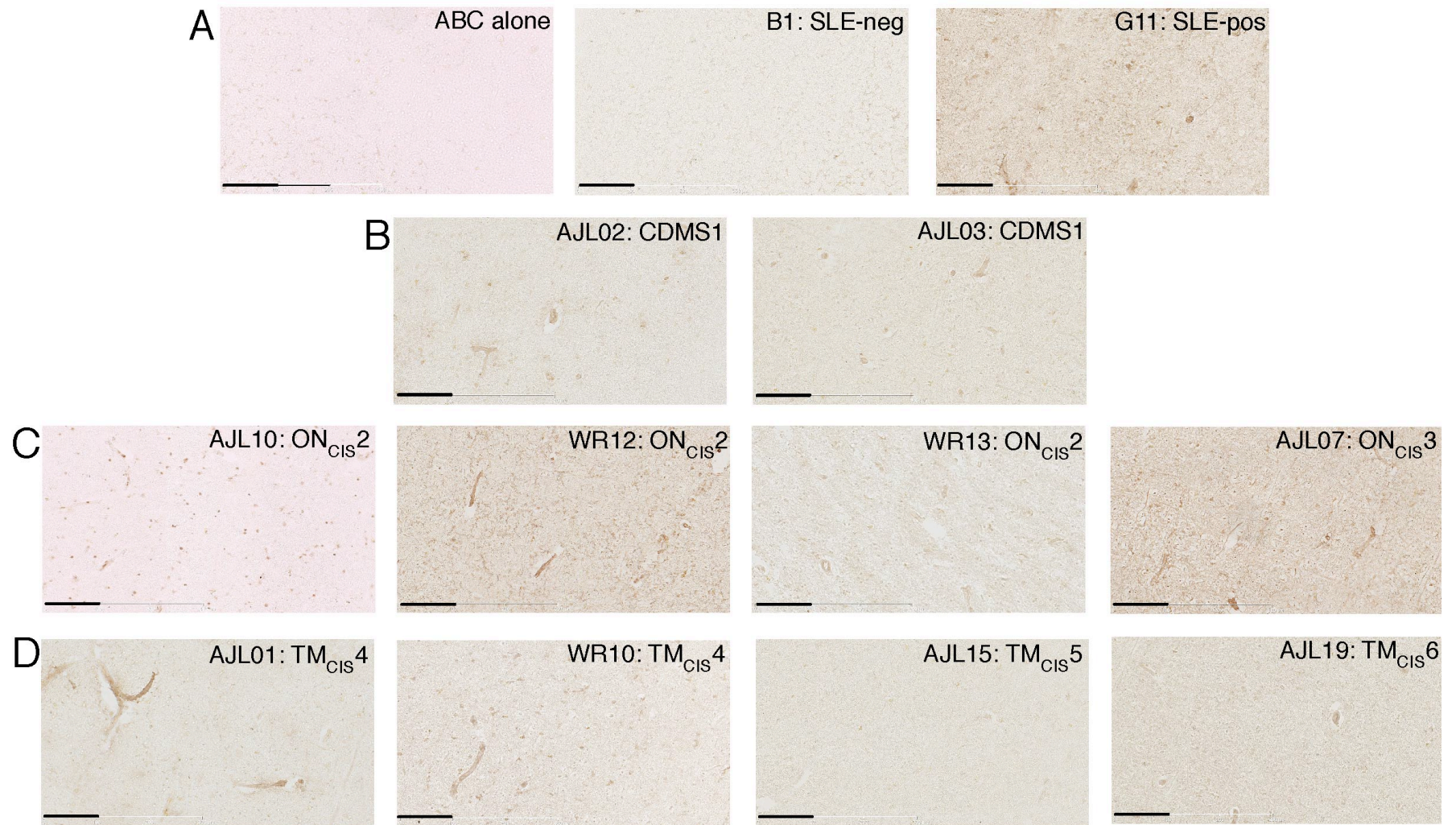


**Figure 5-5**





**Figure 5-6**





**Figure 5-7**

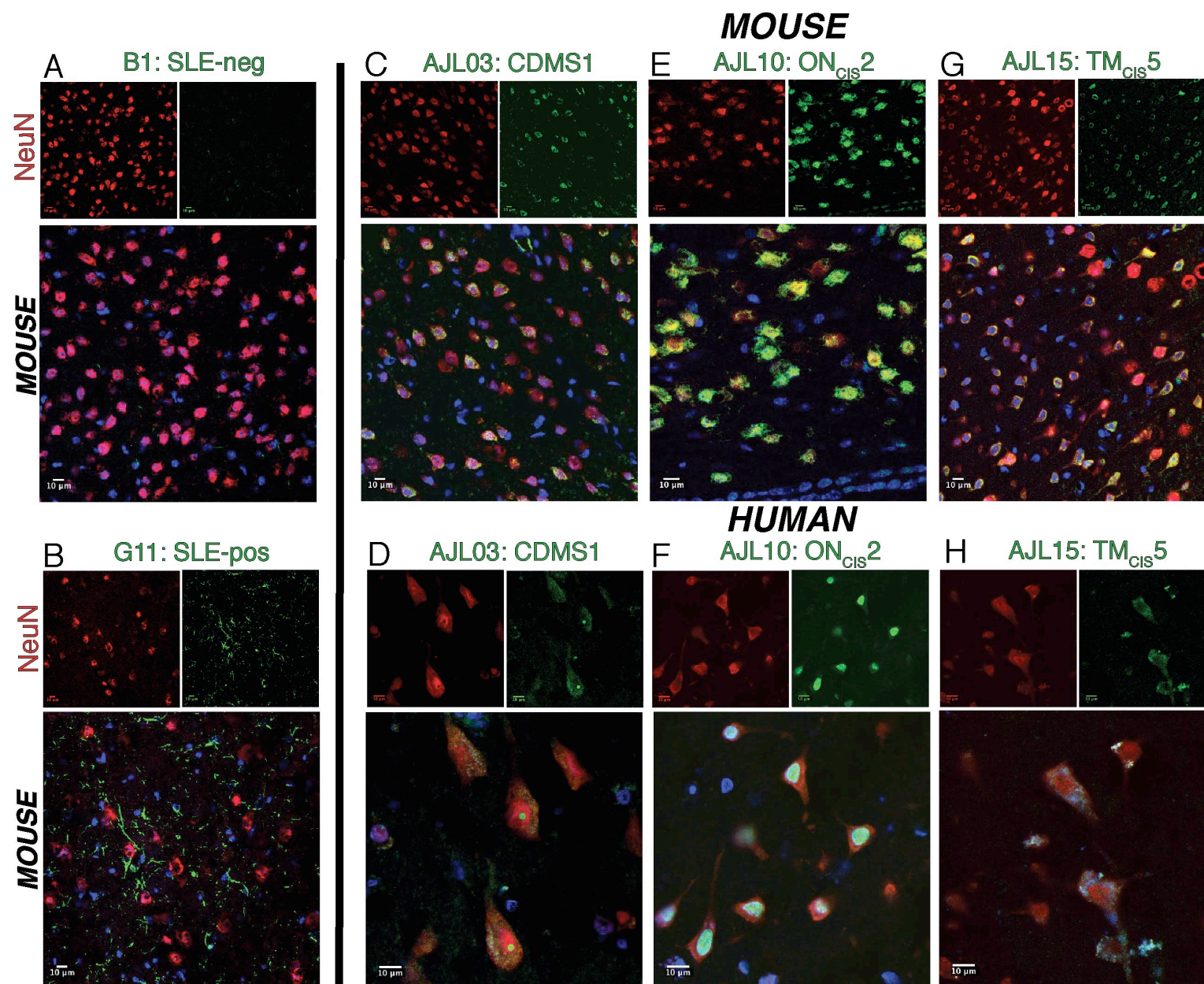




Figure 5-8

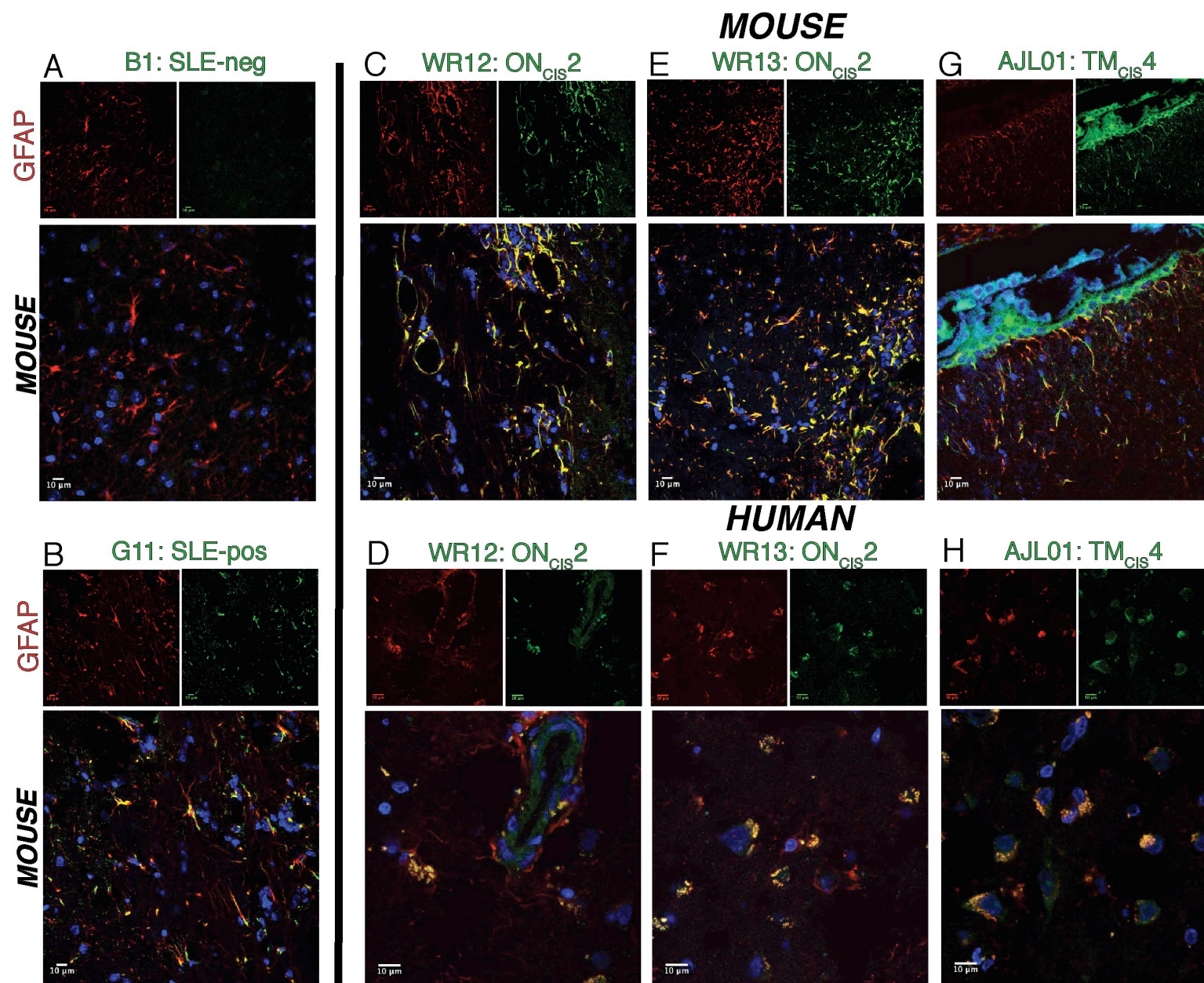


Figure 5-9

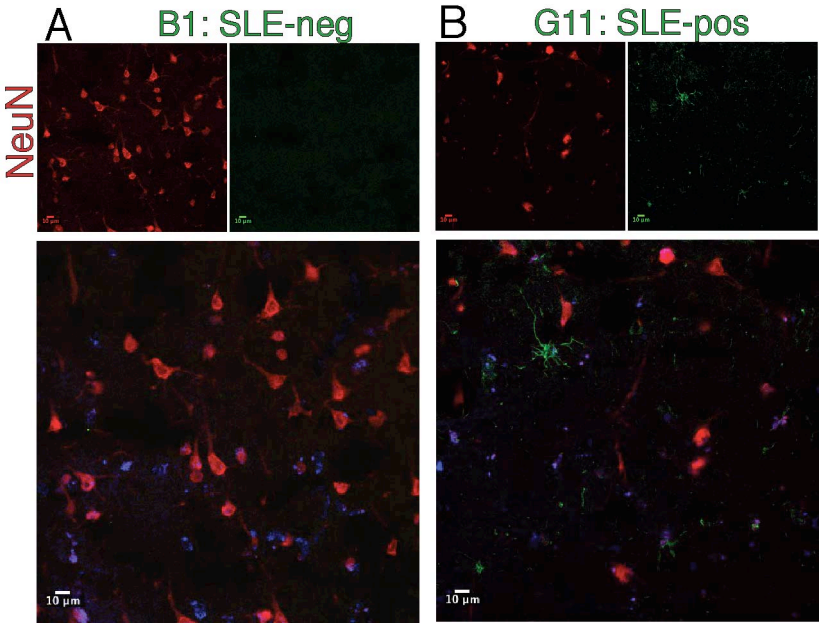
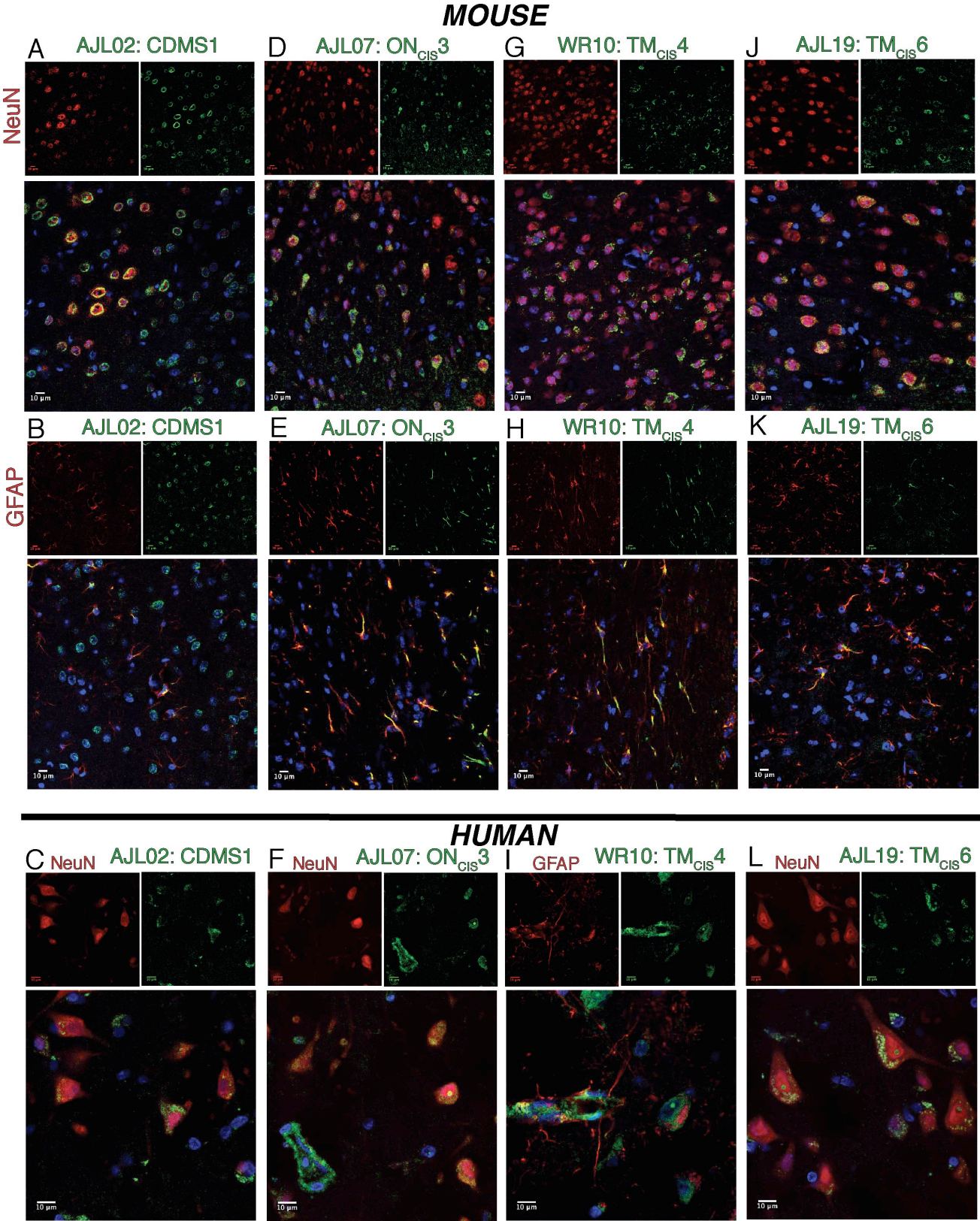




Figure 5-10



## TABLES FOR CHAPTER FIVE: RESULTS

<b>Table 5-1. Patient, gene, and staining overview of the 10 rhAbs used for DAB and IFC</b>						
Patient #	Diagnosis	rhAb	Clone	# AGS <sup>1</sup>	NeuN <sup>2</sup>	GFAP <sup>3</sup>
1	CDMS	AJL02	no	2	+	+
		AJL03	no	2	+	-
2	ON <sub>CIS</sub>	AJL10	no	4	+	-
		WR12	yes	2	-	+
		WR13	yes	2	-	+
3	ON <sub>CIS</sub>	AJL07	yes	3	+	+
4	TM <sub>CIS</sub>	AJL01	yes	3	-	+
		WR10	yes	2	+	+
5	TM <sub>CIS</sub>	AJL15	yes	4	+	-
6	TM <sub>CIS</sub>	AJL19	yes	3	+	+
<p>Abbreviations: CDMS: clinically definite multiple sclerosis, ON<sub>CIS</sub>: clinically isolated syndrome- optic neuritis, TM<sub>CIS</sub>: clinically isolated syndrome- transverse myelitis, rhAb: recombinant human antibody, AGS: antibody gene signature, NeuN: neuronal nuclei, GFAP: glial fibrillary acid protein</p> <p><sup>1</sup> Number of mutated AGS codons (6 total possible) in the V<sub>H</sub>4 gene of the rhAb.</p> <p><sup>2,3</sup> Positive (+) or negative (-) colocalization as determined by the mouse brain tissue IFC experiments. See Figures 5-7, 5-8, and 5-10 for images.</p>						

Table 5-2. Patient, gene, and staining overview of the 22 rhAbs used only for mouse brain tissue DAB. <sup>1</sup>				
Patient #	Diagnosis	rhAb	Clone	# AGS <sup>2</sup>
2	ON <sub>CIS</sub>	AJL11	no	3
3	ON <sub>CIS</sub>	AJL06	no	3
		AJL08	yes	3
		AJL09	yes	2
		AJL13	yes	3
4	TM <sub>CIS</sub>	WR11	yes	2
5	TM <sub>CIS</sub>	AJL14	no	3
		AJL16	yes	3
6	TM <sub>CIS</sub>	AJL18	yes	5
		AJL20	no	3
7	CDMS	WR01	yes	2
		WR02	yes	2
8	CDMS	WR03	no	3
		WR04	no	3
		WR05	no	4
		WR06	no	3
9	CDMS	WR07	no	4
10	ON <sub>CIS</sub>	AJL04	yes	3
		AJL05	yes	4
		AJL12	no	3
		WR08	yes	2
		WR09	yes	2

Abbreviations: CDMS: clinically definite multiple sclerosis, ON<sub>CIS</sub>: clinically isolated syndrome- optic neuritis, TM<sub>CIS</sub>: clinically isolated syndrome- transverse myelitis, rhAb: recombinant human antibody, AGS: antibody gene signature

<sup>1</sup> See DAB images of the rhAbs listed here in Figure 5-2.

<sup>2</sup> Number of mutated AGS codons (6 total possible) in the V<sub>H</sub>4 gene of the rhAb.



## CHAPTER SIX

### UNPUBLISHED RESULTS

#### **AIM I: AGS POSITIVE B CELLS ARE ENRICHED IN MS DISEASE**

##### *AGS-enriched B cells are present in MS CNS tissue from different laboratory sources*

In collaboration with Drs. Hafler and O'Connor (Yale University), we received V<sub>H</sub> repertoires from 4 postmortem MS CNS specimens using laser capture microdissection (LCM) to isolate B cells and PCR their V<sub>H</sub> regions. The average AGS score of the 4 LCM-CNS repertoires did not differ from CDMS CSF (Figure 6-1, Table 6-1). Interestingly, one of the repertoires was isolated from NAWM (MS-4) and still displayed AGS enrichment.

Post publication, in collaboration with Drs. Fire and Boyd (Stanford University) we received V<sub>H</sub> repertoires from 4 postmortem MS CNS specimens using NGS to obtain the antibody sequences. In this data set using a different PCR platform, we were still able to detect an enrichment of AGS in all 4 NGS-CNS repertoires. The average AGS score of the NGS-CNS repertoires was 18.07 and did not differ from CDMS CSF ( $p=0.29$ ) or LCM-CNS ( $p=0.34$ ) (Figure 6-1, Table 6-1). Two of the samples were from lesions, MS-5 from an acute lesion and MS-6 from a chronic active lesion. Both had similar enrichment of the AGS. Two of the samples (MS-7 and MS-8) were obtained from NAWM and also displayed similar AGS enrichment. These data demonstrate that the AGS is not unique to the CSF but is also present in MS CNS tissue antibody repertoires. This genomic marker was also confirmed using three different PCR techniques among three different laboratories.

AGS-enriched B cells are present in the CSF of TM<sub>CIS</sub> patients

The average AGS score for the initial cohort of 12 ON<sub>CIS</sub> patients from Drs. Owens and Bennett was 14.47 after their sequences were re-anchored to match the current methodology (Figure 6-2). A small test group of 4 ON<sub>CIS</sub> patients were recruited at UTSWMC to verify similar AGS enrichment. In fact, the average AGS score for these 4 patients was 10.35, which did not differ from the original cohort ( $p=0.09$ ) (Figure 6-2, Table 6-2). 10 TM<sub>CIS</sub> patients had an average AGS score of 15.20 that did not differ from the 4 ON<sub>CIS</sub> patients ( $p=0.22$ ) (Figure 6-2, Table 6-2). Regardless of initial presentation, CIS patients at high risk to convert to CDMS harbor an enrichment of AGS B cells within their CSF repertoire.

## **AIM II: MUTATION CHARACTERISTICS AND B CELL SUBPOPULATIONS**

### **DIFFER BETWEEN CIS PATIENTS PRESENTING WITH ON<sub>CIS</sub> vs TM<sub>CIS</sub>**

#### *Positive selection of $V_H1^+J_H6^+$ usage in TM<sub>CIS</sub>A PB memory B cells*

The  $V_H1^+$  B cells from MS patients utilized  $J_H6$  segments more often than  $J_H4$  segments in comparison to HCPB (Figure 4-5C). Additionally, MSPB  $V_H1^+J_H6^+$  B cells accumulated higher levels of SHM and thus were selected over  $V_H1^+J_H4^+$  B cell (Figure 4-5D). Despite  $V_H1^+$  B cells from TM<sub>CIS</sub>A memory B cells having similar usage of  $J_H4$  and  $J_H6$  segments,  $J_H6$  was positively selected with higher levels of SHM compared to  $J_H4$  (Figure 4-D). This is similar to what was seen in the PB of established MS patients (Figure 4-5D) and CSF of TM<sub>CIS</sub>A patients (Figure 4-3D). No selection was observed for  $V_H1^+J_H6^+$  peripheral CD27<sup>high</sup> plasmablasts from the TM<sub>CIS</sub>A patients with regards to usage or mutation accumulation (Figure 4-5C,D).

#### *$V_H1^+$ CDR3 charge is increased only in the PB of MS patients*

The PB  $V_H1^+$  B cell pool of HC, TM<sub>CIS</sub>A-CD27<sup>high</sup>, and TM<sub>CIS</sub>A-CD27<sup>+</sup> groups had similar CDR3 charges and lengths (Figure 4-5E). In contrast, the PB  $V_H1^+$  B cells from MS patients had a significant increase in positive charge (Figure 4-5E). This difference in charge was only observed as a slight trend in the  $V_H4^+$  B cell pool from the same MS patients (Figure 4-5E). The CDR3 length of these  $V_H1^+$  B cells did not differ from the other PB repertoire groups (Figure 4-5F).

*TM<sub>CIS</sub>A and TM<sub>CIS</sub>B patients are equally enriched for AGS*

The AGS has been detected in CSF B cells from MS and ON<sub>CIS</sub> patients (228), and also in B cells isolated at the site of disease in MS brain tissue (340). The AGS is also enriched in CSF B cells from TM<sub>CIS</sub> patients. However, it remained unknown whether it would differ in TM<sub>CIS</sub>A patients with an expansion of CD27<sup>high</sup> plasmablasts in their CSF. The average AGS score calculated for the MS group was 14.87 (Figure 6-3). The ON<sub>CIS</sub>, TM<sub>CIS</sub>A and TM<sub>CIS</sub>B subgroups had average AGS scores that were statistically similar to the MS group and amongst the subgroups (ON<sub>CIS</sub>, 12.06; TM<sub>CIS</sub>A, 14.30; TM<sub>CIS</sub>B, 16.56) (Figure 6-3). Thus, despite an enrichment of CSF plasmablasts in the TM<sub>CIS</sub>A group, all patients at high risk to develop MS displayed similar AGS scores.

### **AIM III: AGS-ENRICHED B CELLS MEDIATE MS PATHOLOGY BY PRODUCING ANTIBODIES THAT TARGET THE BRAIN**

#### **Validation of tissue preservation**

The preservation of CNS tissues were confirmed using cresyl violet staining to visualize the nissl bodies present in neurons and other basophilic molecules in glia as purple. This was especially necessary for the human brain samples, due to the time gap between death and processing in addition to the absence of perfusion to clear fluids and preserve the brain tissue. Three sources of human brain were processed. Normal appearing MS-white matter (WM) and MS-gray matter (GM) were present in a single sample. From this same MS patient, a WM- plaque (P) was also sampled. Lastly, healthy control (HC)-WM was sampled as a non-diseased control.

A mouse brain was extracted and snap-frozen to loosely simulate the initial state of the human brain samples. The cresyl violet stain shown in Figure 6-4A demonstrates that the tissue was preserved using this methodology. The MS-WM, MS-GM, MS-P, and HC-WM also stained positive using cresyl violet (Figure 6-4B-E). The staining was sparser in WM samples than GM samples, which is to be expected given the lower density of neurons and glia present in WM (Figure 6-4C,E). The MS-GM showed large neuronal nuclei indicating that they were preserved (Figure 6-4B). All of these data suggest that the tissues were preserved for IHC and IFC binding experiments of cellular components.

#### Additional IHC and IFC controls

IgG deposits can be found in healthy brain (Figure 6-5A) and as an artifact of post-mortem tissue preparation (106). Therefore, a set of 10 rhAbs and the 2 control rhAbs were biotinylated to eliminate the need for a species specific secondary antibody.

In addition to the secondary alone or ABC alone, and B1 results, a third negative control was run. Effective blocking of the tissue was tested using a commercial Fc blocking agent (Innovex) to verify if the same staining patterns were seen as with the previous blocking methodology (normal goat serum). There were no differences in the staining patterns as previously shown of either G11 or AJL10 when using the commercial Fc blocking agent on mouse, MS-GM, or MS-WM tissue sources (Figure 6-6). Blocking with normal goat serum is effective in abrogating non-specific binding through endogenous tissue Fc receptors.

Due to the rhAbs being visualized by a secondary Alexa-Fluor 488, possible autofluorescence in this channel could occur when working with brain tissue. The tissue was incubated alone with Alexa-Fluor 488 secondary antibody alone to determine the background autofluorescence. This did not produce a signal in either mouse or human brain tissue (Figure 6-7). This in conjunction with no signal when incubated with the rhAb B1 indicated that staining in the 488-channel was positive in subsequent IFC experiments.

#### AGS-enriched rhAbs targeting a single cell type

Three rhAbs, one from each patient type, colocalized with neuronal nuclei identified by NeuN in both mouse and human brain: AJL03, AJL10, and AJL15 (Figure

5-7). These three AGS-enriched rhAbs targeted neuronal nuclei and did not colocalize with astrocytes (Figure 6-8).

Three rhAbs, from ON<sub>CIS</sub> and TM<sub>CIS</sub> patients, colocalized with astrocytes identified by GFAP in both mouse and human brain: WR12, WR13, and AJL01 (Figure 5-8). These three AGS-enriched rhAbs targeted astrocytes and did not colocalize with neuronal nuclei (Figure 6-9).

#### Receptor edited AGS-enriched rhAbs

The rhAb cohort also included 5 sets of receptor edited pairs. One pair had an edited heavy chain, WR01 and WR02. The remaining had edited light chains, WR12 and WR13, AJL13 and AJL07, WR11 and WR10, WR08 and WR09. Three out of the five pairs of receptor-edited clones have similar staining patterns (Figure 5-1 and Figure 5-2) suggesting a failure in receptor editing in reducing auto-reactivity in these pairs. The pair with an edited heavy chain, WR01 and the edited WR02, displayed a slight difference in DAB intensity with WR02 appearing darker than WR01 (Figure 5-2A). This is an example of a potential reduction in affinity for the target or maybe a change in antigenic recognition. One of the light chain receptor edited pairs, WR10 and WR11 with a light chain allele replacement, displayed a strikingly different pattern with WR10 showing clear binding to brain tissue (Figure 5-1D) and WR11 not staining to brain (Figure 5-2C). This is an example of successful receptor editing in utilizing a second light chain allele and eliminating auto-reactivity to brain tissue.

From patient ON<sub>CIS</sub>2, a pair of light chain receptor edited clones were tested utilizing IFC: WR13 and its edited clone WR12. Both colocalized with astrocytes and their processes in mouse tissue (Figure 5-8C,E) as well as human tissue (Figure 5-8D,F).

Receptor editing failed to eliminate auto-reactivity since intense colocalization with astrocytes and their endfeet along the corpus callosum remained intact in both the original clone (WR13) and its receptor-edited progeny (WR12).

#### *Titration of dual-targeting AGS-enriched rhAbs*

Four AGS-enriched rhAbs (AJL02, AJL07, AJL19, and WR10) colocalized with both neuronal nuclei and astrocytes. However, it is possible that one of the cell types is a preferential target for the rhAb. The amount of rhAb incubated overnight on the brain slice was titrated down (original: 1  $\mu$ g, 3 titrations: 800 ng, 600 ng, and 400 ng) and colocalization with GFAP was evaluated. Two of the rhAbs, AJL02 and WR10, no longer stained astrocytes below 1  $\mu$ g of rhAb (Figure 6-10 and Figure 6-11). AJL02 still retained a prominent nuclear ringing staining pattern even at the lowest amount of rhAb used (400 ng) (Figure 6-10D). On the other hand, there was no staining visible above background for WR10 at any amount lower than 1  $\mu$ g of rhAb (Figure 6-11B-D). AJL07 showed minor GFAP colocalization with 800 ng of rhAb, and no staining was visible above background at either 600 ng or 400 ng of rhAb (Figure 6-13B-D). AJL19 retained colocalization with astrocytes at all concentrations of rhAb used (Figure 6-13A-D). There was also minor staining still visible in some neuronal nuclei (Figure 6-13E-G). A summary of the staining seen at different rhAb concentrations is shown in Table 6-3.

#### *Varied nuclear patterns of neuronal nuclei targeting AGS-enriched rhAbs*

7 rhAbs (AJL02, AJL03, AJL07, AJL10, AJL15, AJL19, and WR10) that colocalized with neuronal nuclei were further evaluated to identify where in or around the nucleus they were targeting. Lamin A was used as a colocalization marker for the nuclear



membrane. IFC was done on mouse brains obtained from EAE immunized with full-length recombinant human MOG. All previous mouse IFC was conducted on brains from mice with transient stroke as a model of neuroinflammation (339). The 7 rhAbs bound to the EAE mouse brain with the same patterns as the previously demonstrated utilizing stroke mouse brain as a tissue source (Figure 6-14).

Two rhAbs from patient CDMS1, AJL02 and AJL03, both stained nuclei in a different pattern. AJL02 retained the characteristic ring-like stain within the nuclei, seen as the independent green stain within the red lamin A membrane (Figure 6-14A). AJL03 colocalized with lamin A targeting the nuclear membrane itself (Figure 6-14B). AJL10 from ON<sub>CIS</sub>2 targeted the center of the nucleus more intensely than the edges of the lamin A membrane. There was also an area of staining closely ringing the exterior of lamin A (Figure 6-14C), which corroborates the colocalization pattern seen with NeuN (Figure 5-7E). AJL07 from patient ON<sub>CIS</sub>3 colocalized with lamin A (Figure 6-14D), resembling AJL03. WR10, from TM<sub>CIS</sub>4, displayed another pattern of binding with colocalization only on the edges of lamin A (Figure 6-14E), much like the edged pattern seen in the stroke mouse brain (Figure 5-10G). The staining of AJL15, from TM<sub>CIS</sub>5, shared the same colocalization pattern seen with AJL10 (Figure 6-14F). Lastly, AJL19 from TM<sub>CIS</sub>6 colocalized with lamin A across the entire nuclear membrane similarly to AJL03 and AJL07 (Figure 6-14G). All of these AGS-enriched rhAbs bind to neuronal nuclei but display a varied pattern in nuclear targeting.

## **FIGURE LEGENDS FOR CHAPTER SIX: UNPUBLISHED RESULTS**

**Figure 6-1.** *AGS scores are enriched in MS CNS B cell repertoires.* CDMS from CSF (N=6), laser capture microdissection (LCM)-CNS (N=4), and next generation sequencing (NGS)-CNS (N=4) AGS scores are shown. The mean and s.e.m. are plotted on the graph and are shown below each respective group. No significant differences were found among the groups using the student's t-test.

**Figure 6-2.** *AGS scores are present in both ON<sub>CIS</sub> and TM<sub>CIS</sub> system CSF CD19<sup>+</sup> B cell repertoires.* ON<sub>CIS</sub> (N=12), new ON<sub>CIS</sub> (N=4), and new TM<sub>CIS</sub> (N= 10) AGS scores are shown. The mean and s.e.m. are plotted on the graph and are shown below each respective group. No significant differences were found among the groups using the student's t-test.

**Figure 6-3.** *AGS scores of CSF CD19<sup>+</sup> B cell repertoires are similar among CIS subtypes.* MS (N=6), ON<sub>CIS</sub> (N=4), TM<sub>CIS</sub>A (N=6), and TM<sub>CIS</sub>B (N=4) AGS scores are shown. The mean and s.e.m. are plotted on the graph and are shown below each respective group. No significant differences were found among the groups using the student's t-test.

**Figure 6-4.** *Cresyl violet staining of preserved and processed mouse and human brain.* Cresyl violet images are shown at 20x magnification. The tissues are: mouse (A), MS-GM (B), MS-WM (C), MS-P (D), and HC-WM (E). The tissue type is shown in the upper right corner of each image. Data are representative of three sections. Scale bar represents 100  $\mu$ m.

**Figure 6-5.** *Normal human brain contains endogenous deposits of IgG.* HC-WM was tested using the same secondary detection antibody used for mouse brain binding in Figures 3-10 and 3-11. Naturally deposited IgG is present in HC-WM (A) that is not seen with incubation with the ABC reagent alone (B). The treatment of the tissue is shown in the upper right corner of each image. Data are representative of three sections. Scale bar represents 100  $\mu$ m.

**Figure 6-6.** *Similar DAB staining of G11 and AJL10 with commercial FcBlock agent.* DAB images using FcBlock in place of normal goat serum as a blocking agent are shown at 20x magnification. AJL10 and G11 staining is shown in mouse (A), MS-GM (B), and MS-WM (C) tissue sources. The rhAb designation, patient type, and patient number are shown in the upper right corner of each image. Data are representative of three sections per rhAb. Scale bar represents 100  $\mu$ m.

**Figure 6-7.** *IFC of secondary alone Alexa Fluor 488 controls on mouse and human MS-GM brain.* Confocal images are shown at 63x magnification with the Alexa Fluor 594 channel empty, Alexa Fluor 488 as the secondary alone, and nuclei counterstained blue (DAPI). Goat x human Fc Alexa Fluor 488 on mouse tissue is shown in panel A and streptavidin Alexa Fluor 488 is shown in panel B. The images are shown as independent red and green channels above the overlay including DAPI with the 488 detection antibody label above each panel. Data are representative of three sections per rhAb. Scale bar represents 10 $\mu$ m.

**Figure 6-8.** *IFC of AGS-enriched rhAbs that do not target astrocytes: AJL03, AJL10, and AJL15.* Confocal images are shown at 63x magnification with the colocalization marker for GFAP (for astrocytes) shown as red (Alexa Fluor 594). The primary rhAb is shown as green (Alexa Fluor 488) and nuclei are counterstained blue (DAPI). The images are shown as independent red and green channels above the overlay including DAPI. Mouse brain tissue IFC are shown for each rhAb that colocalized with neuronal nuclei in Figure 3-18: AJL03 (CDMS1) (A), AJL10 (ON<sub>CIS</sub>2) (B), and AJL15 (TM<sub>CIS</sub>5) (C). Data are representative of three coronal sections per rhAb. Scale bar represents 10µm.

**Figure 6-9.** *IFC of AGS-enriched rhAbs that do not target neuronal nuclei: WR12, WR13, and AJL01.* Confocal images are shown at 63x magnification with the colocalization marker for NeuN (for neuronal nuclei) shown as red (Alexa Fluor 594). The primary rhAb is shown as green (Alexa Fluor 488) and nuclei are counterstained blue (DAPI). The images are shown as independent red and green channels above the overlay including DAPI. Mouse brain tissue IFC are shown for each rhAb that colocalized with astrocytes in Figure 3-19: WR12 (ON<sub>CIS</sub>2) (A), WR13 (ON<sub>CIS</sub>2) (B), and AJL01 (TM<sub>CIS</sub>4) (C). Data are representative of three coronal sections per rhAb. Scale bar represents 10µm.

**Figure 6-10.** *IFC of dual-cell targeting AJL02 (CDMS1) rhAb titrations on mouse brain.* Confocal images are shown at 63x magnification with the colocalization marker for GFAP (for astrocytes) shown as red (Alexa Fluor 594). The primary rhAb is shown as green (Alexa Fluor 488) and nuclei are counterstained blue (DAPI). The images are shown as independent red and green channels above the overlay including DAPI. Mouse

brain tissue IFC are shown for each rhAb titration: 1  $\mu$ g (A), 800 ng (B), 600 ng (C), and 400 ng (D). Green ovals are drawn to highlight areas of faint rhAb staining independent of GFAP. Data are representative of three coronal sections per rhAb. Scale bar represents 10 $\mu$ m.

**Figure 6-11. IFC of dual-cell targeting WR10 (*TM<sub>CIS4</sub>*) rhAb titrations on mouse brain.**

Confocal images are shown at 63x magnification with the colocalization marker for GFAP (for astrocytes) shown as red (Alexa Fluor 594). The primary rhAb is shown as green (Alexa Fluor 488) and nuclei are counterstained blue (DAPI). The images are shown as independent red and green channels above the overlay including DAPI. Mouse brain tissue IFC are shown for each rhAb titration: 1  $\mu$ g (A), 800 ng (B), 600 ng (C), and 400 ng (D). Data are representative of three coronal sections per rhAb. Scale bar represents 10 $\mu$ m.

**Figure 6-12. IFC of dual-cell targeting AJL07 (*ON<sub>CIS3</sub>*) rhAb titrations on mouse brain.** Confocal images are shown at 63x magnification with the colocalization marker for GFAP (for astrocytes) shown as red (Alexa Fluor 594). The primary rhAb is shown as green (Alexa Fluor 488) and nuclei are counterstained blue (DAPI). The images are shown as independent red and green channels above the overlay including DAPI. Mouse brain tissue IFC are shown for each rhAb titration: 1  $\mu$ g (A), 800 ng (B), 600 ng (C), and 400 ng (D). Yellow ovals are drawn to highlight areas of faint rhAb colocalization with GFAP. Data are representative of three coronal sections per rhAb. Scale bar represents 10 $\mu$ m.

**Figure 6-13. IFC of dual-cell targeting AJL19 (TM<sub>CIS6</sub>) rhAb titrations on mouse**

**brain.** Confocal images are shown at 63x magnification with the colocalization marker for GFAP (for astrocytes) shown as red (Alexa Fluor 594). The primary rhAb is shown as green (Alexa Fluor 488) and nuclei are counterstained blue (DAPI). The images are shown as independent red and green channels above the overlay including DAPI. Mouse brain tissue IFC are shown for each rhAb titration: 1 µg (A), 800 ng (B,E), 600 ng (C,F), and 400 ng (D,G). Yellow ovals are drawn to highlight areas of faint rhAb colocalization with GFAP. Green ovals are drawn to highlight areas of faint rhAb staining independent of GFAP. Data are representative of three coronal sections per rhAb. Scale bar represents 10µm.

**Figure 6-14. IFC of 7 neuronal nuclei targeting AGS-enriched rhAbs targeting**

**colocalization with lamin A on EAE mouse brain.** Confocal images are shown at 126x magnification with the colocalization marker for lamin-A (for nuclear membrane) shown as red (Alexa Fluor 594). The primary rhAb is shown as green (Alexa Fluor 488) and nuclei are counterstained blue (DAPI). The images are shown as independent red and green channels above the overlay including DAPI. EAE mouse brain tissue IFC are shown for each rhAb labeled above the column: AJL02 (CDMS1) (A), AJL03 (CDMS1) (B), AJL10 (ON<sub>CIS2</sub>) (C), AJL07 (ON<sub>CIS3</sub>) (D), WR10 (TM<sub>CIS4</sub>) (E), AJL15 (TM<sub>CIS5</sub>) (F), and AJL19 (TM<sub>CIS6</sub>) (G). Data are representative of three coronal sections per rhAb. Scale bar represents 10µm.

**Figure 6-1**

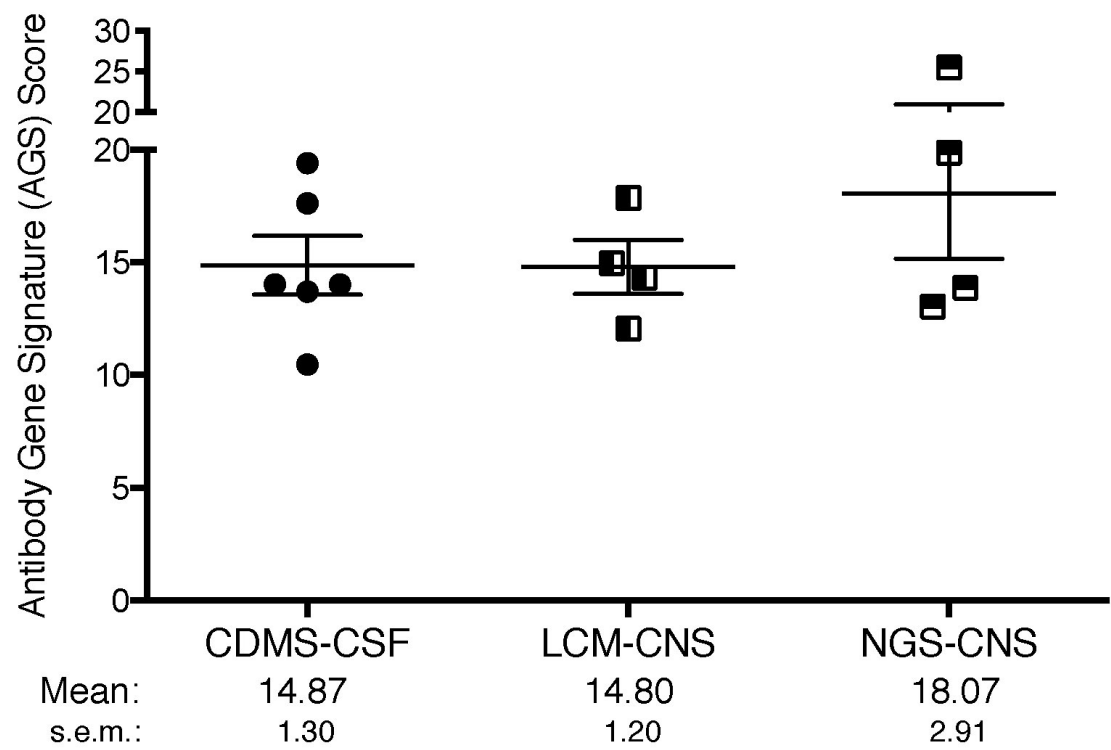
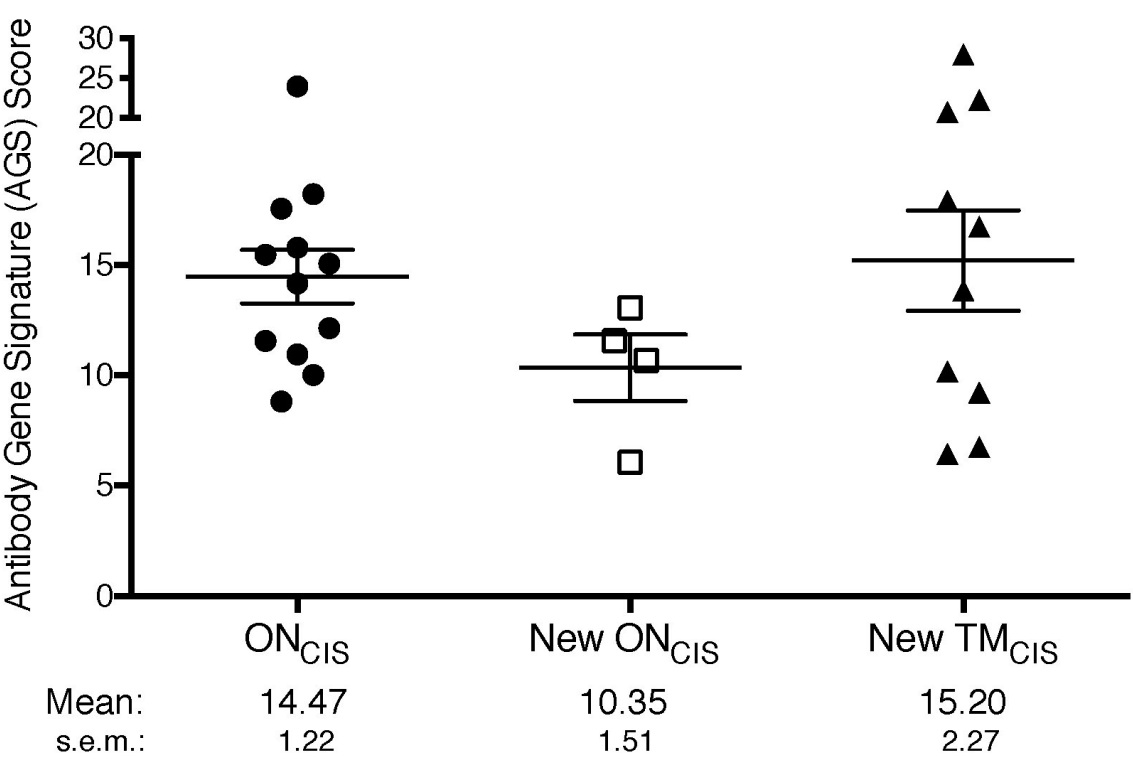
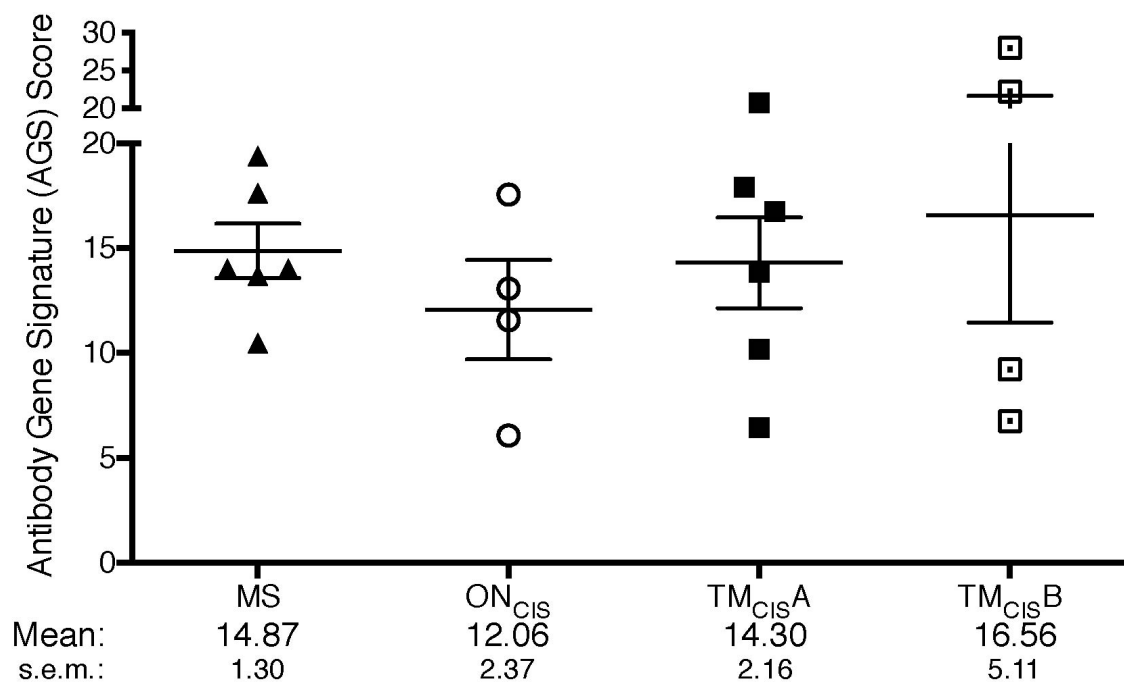


Figure 6-2

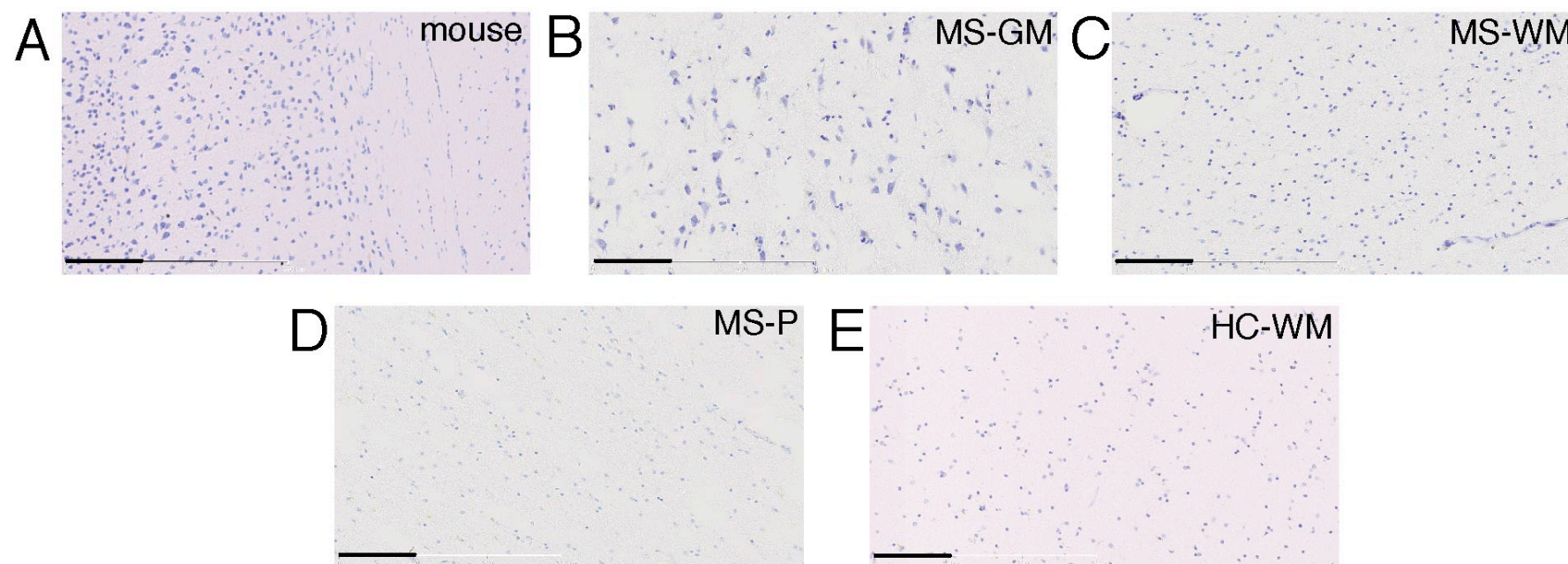




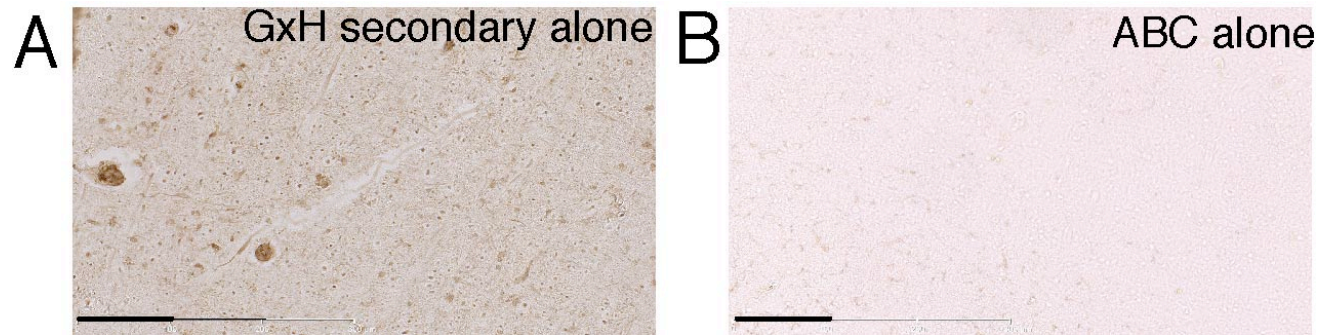
**Figure 6-3**



**Figure 6-4**



**Figure 6-5**



**Figure 6-6**

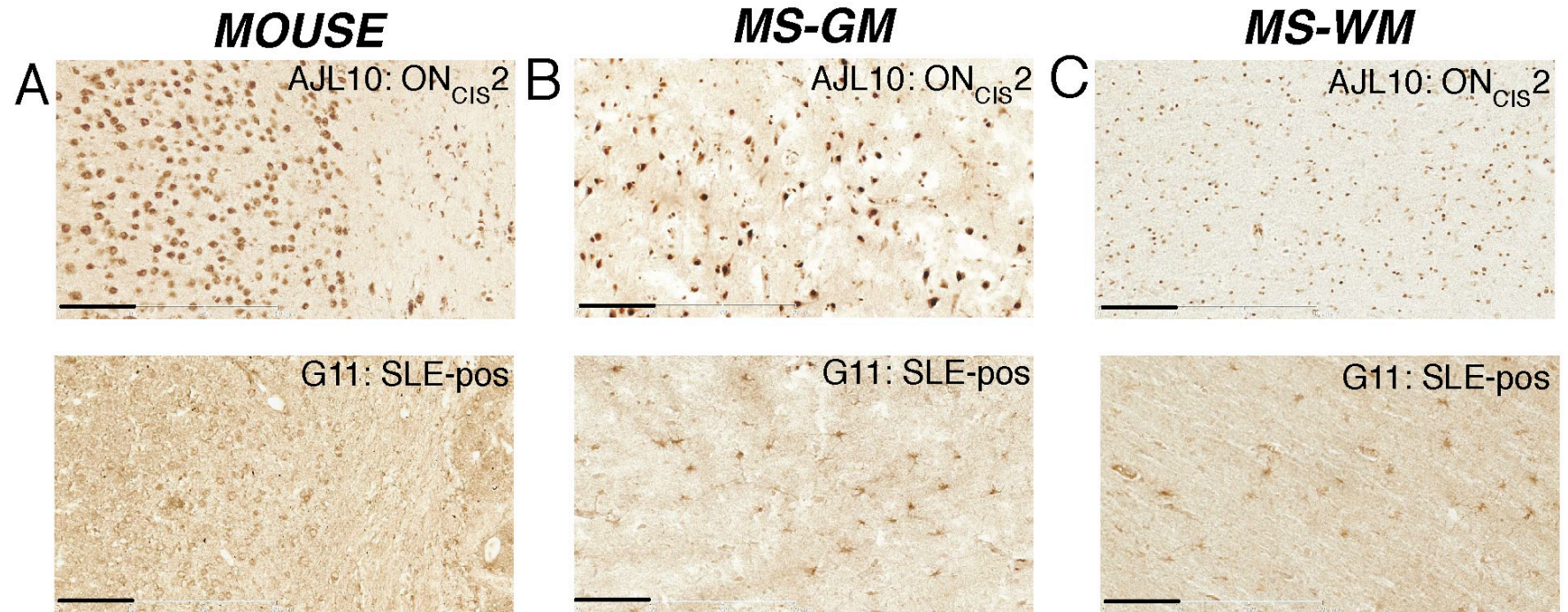




Figure 6-7

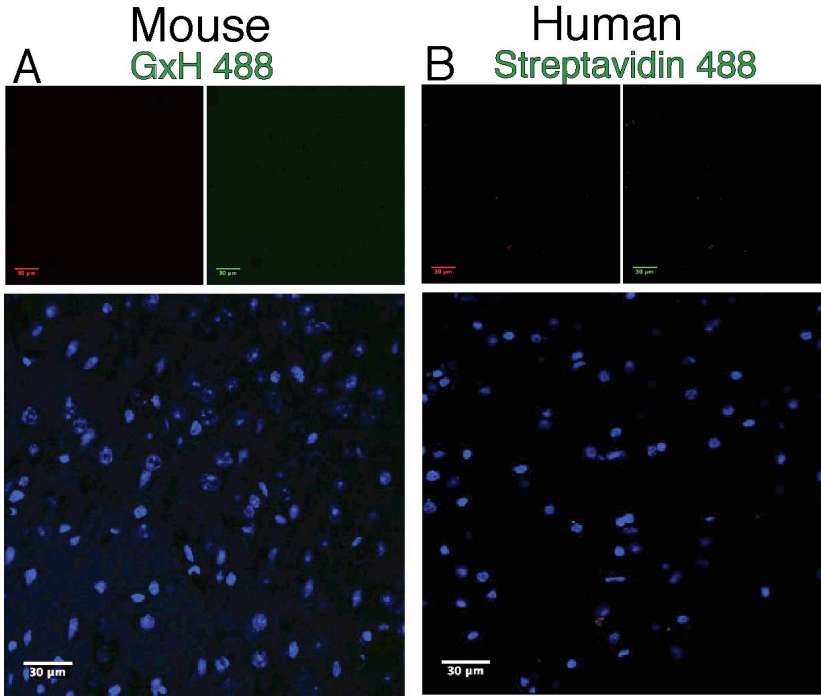


Figure 6-8

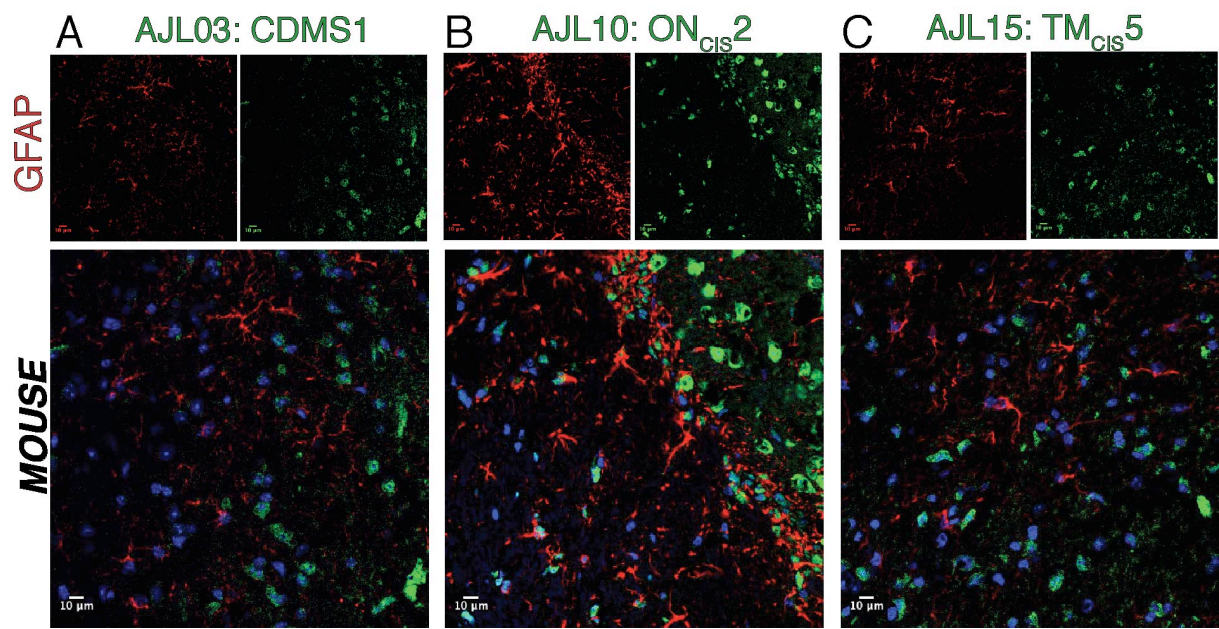


Figure 6-9

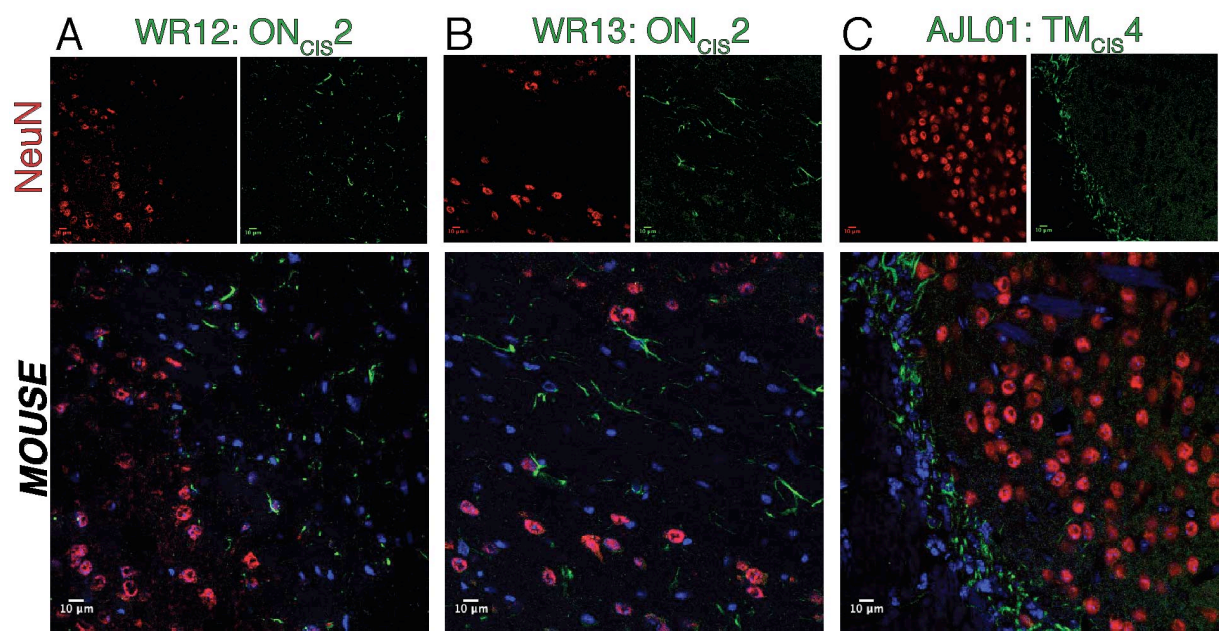




Figure 6-10

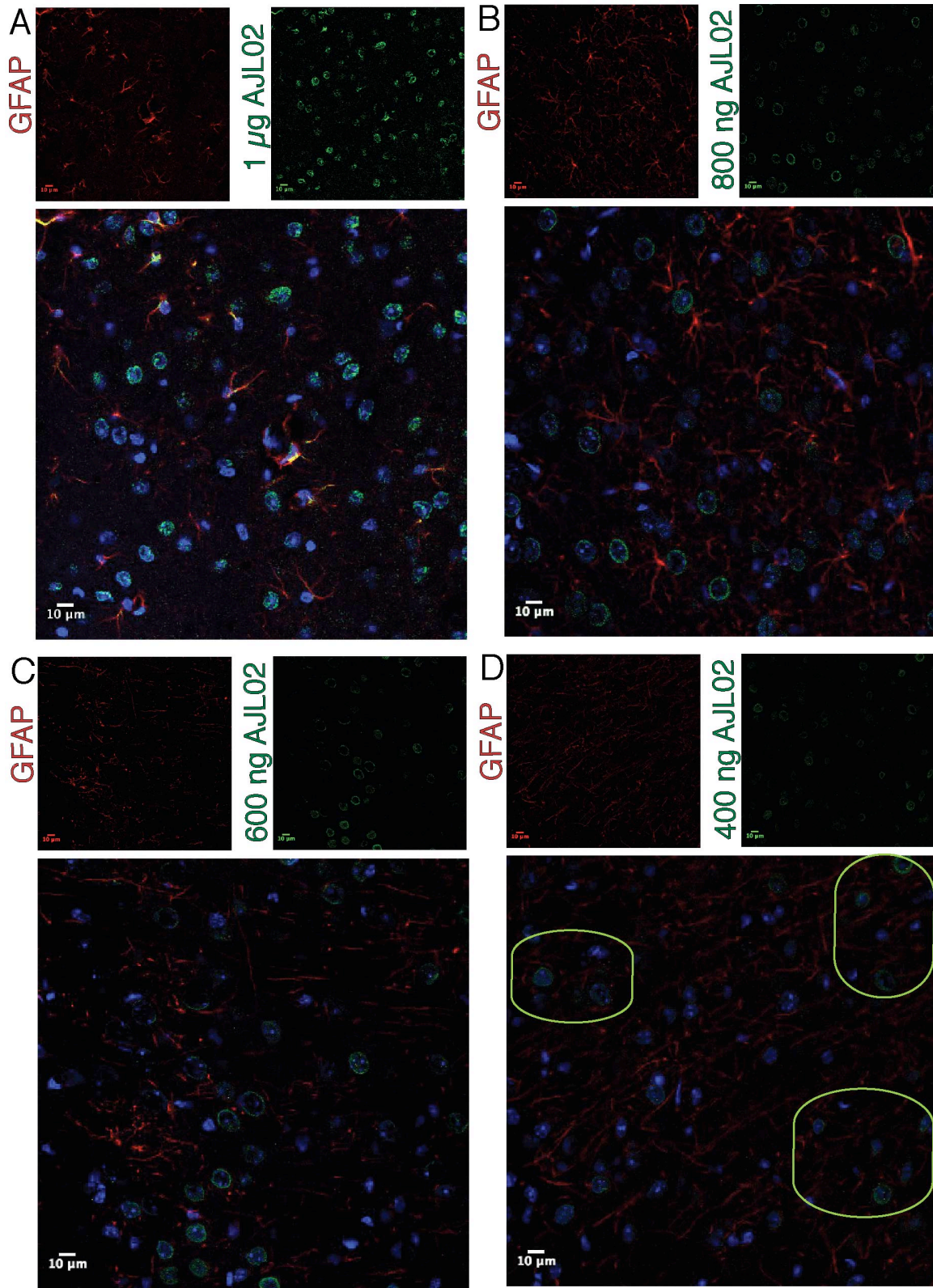




Figure 6-11

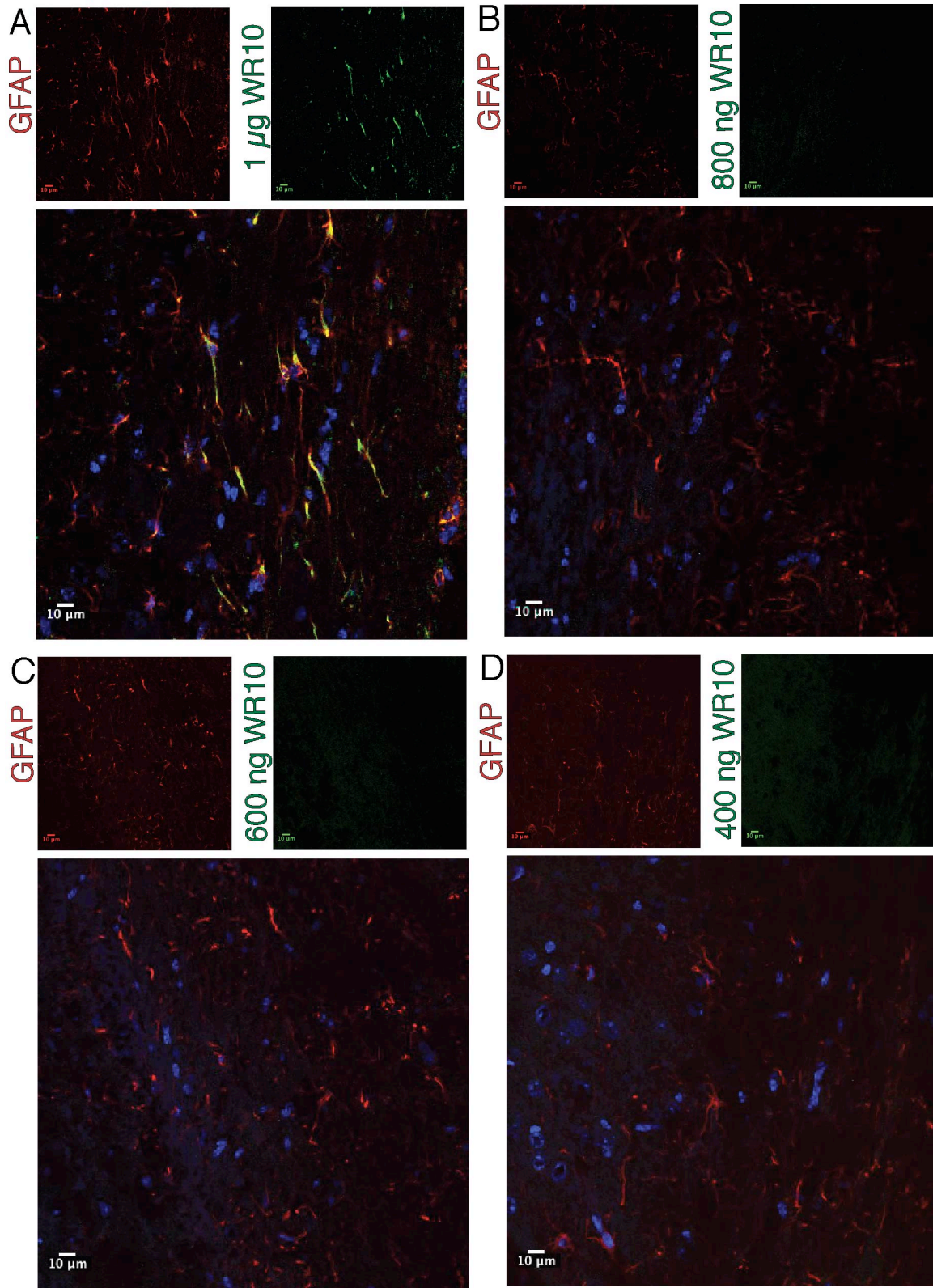


Figure 6-12

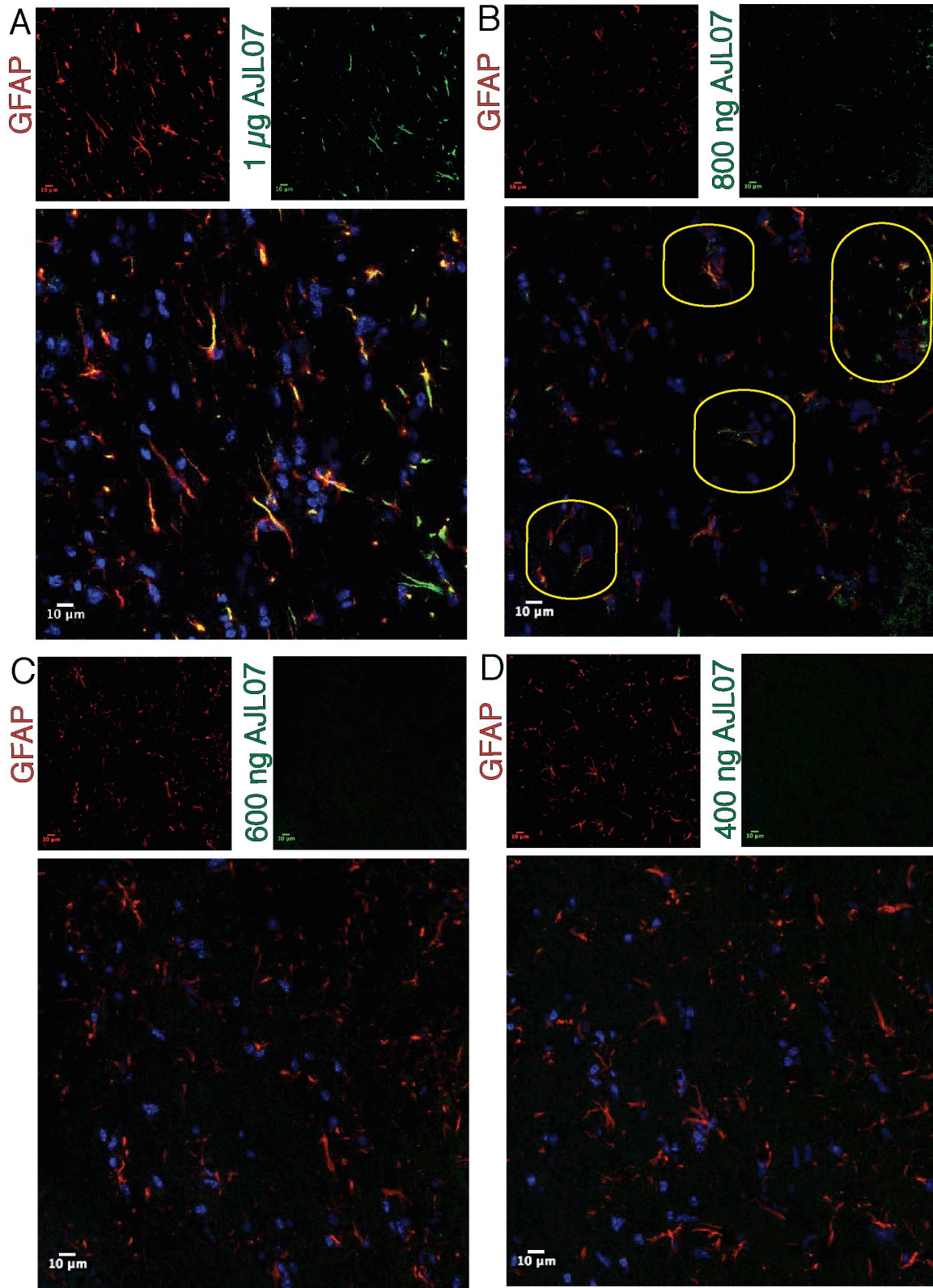




Figure 6-13

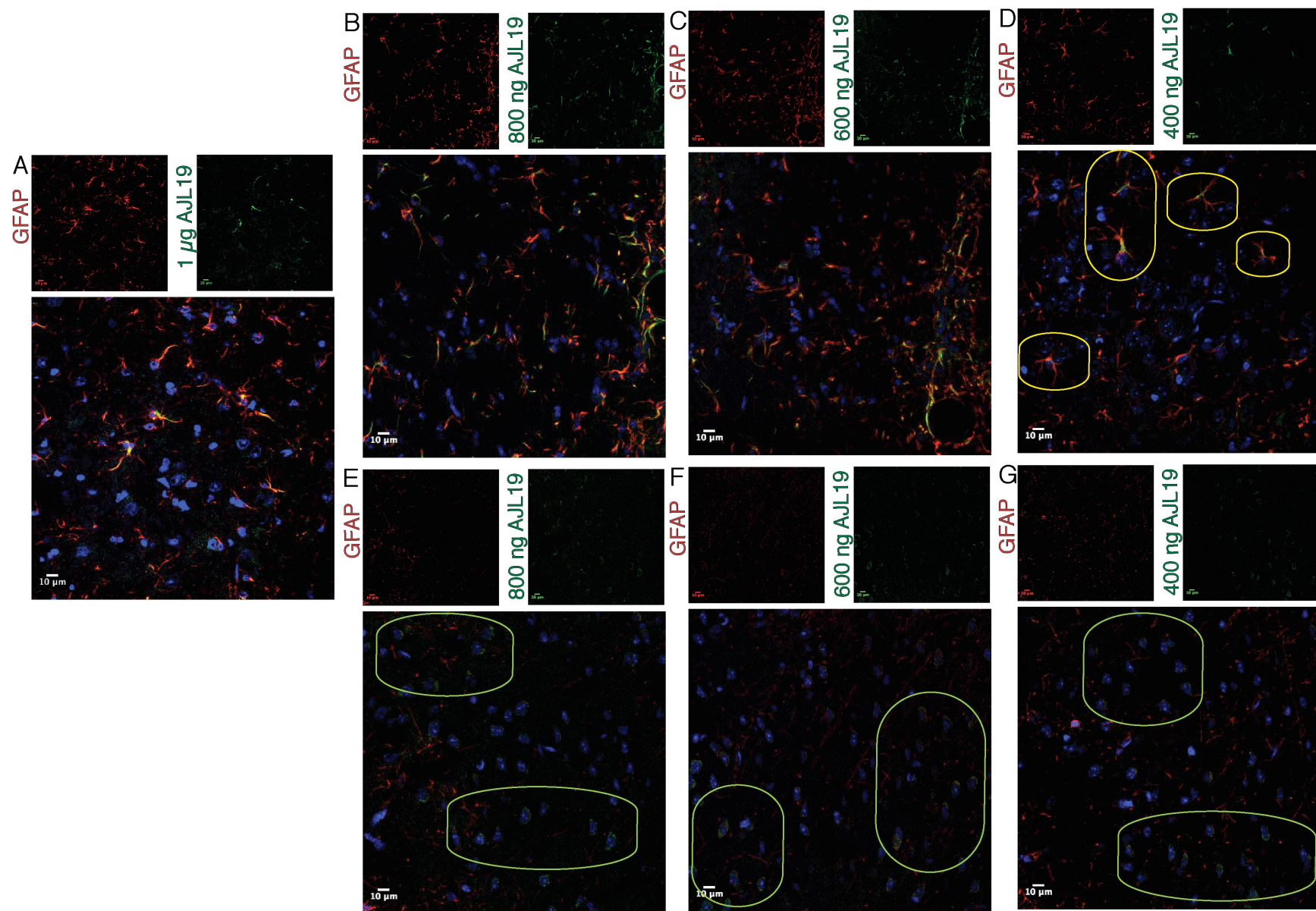
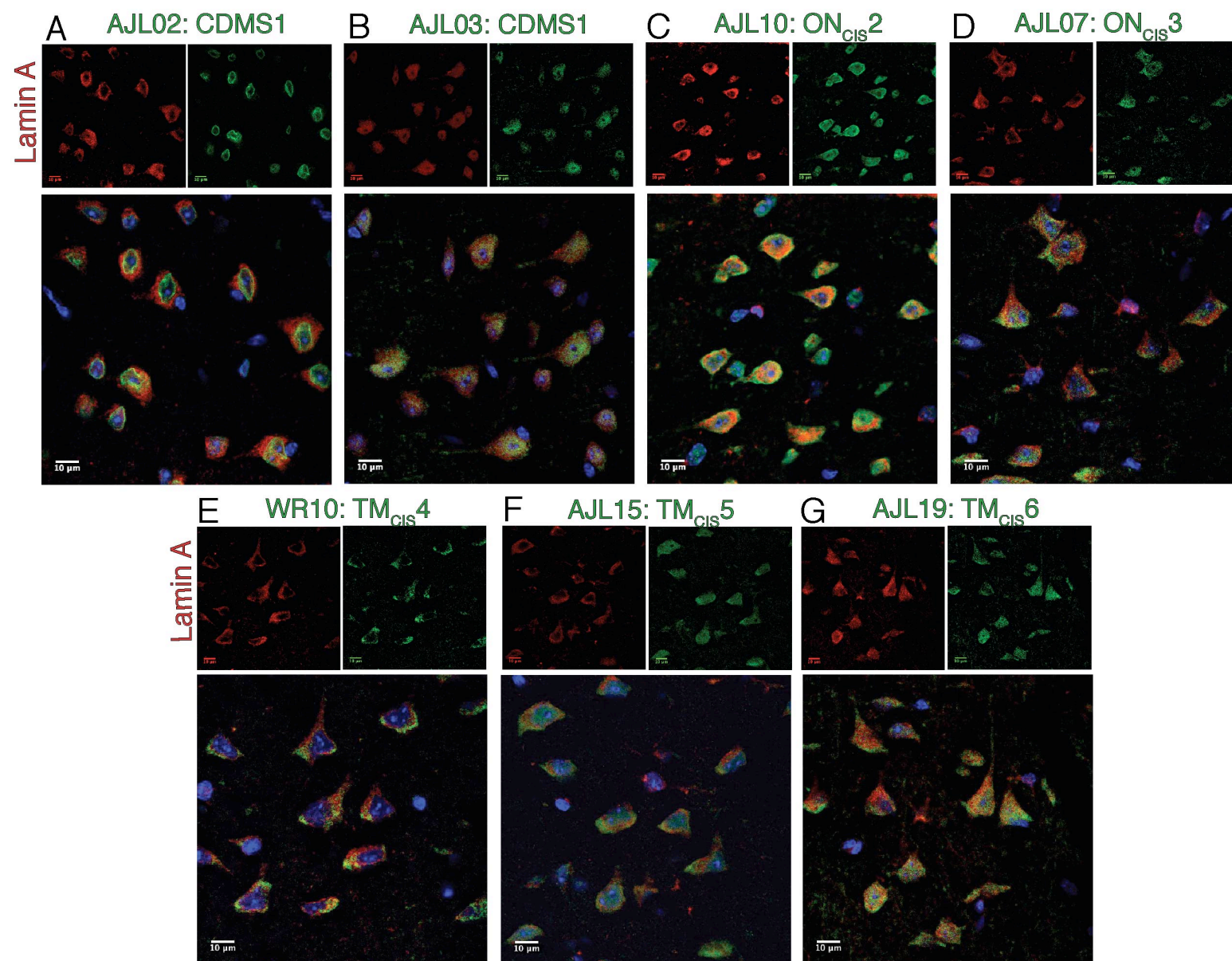


Figure 6-14



## **TABLES FOR CHAPTER SIX: UNPUBLISHED RESULTS**

<b>Table 6-1. CNS specimen details and AGS scores for NGS-CNS<sup>1</sup> samples</b>				
Subject	MS course	Anatomical location	AGS score	#V <sub>H</sub> 4 sequences with RM
MS-5	acute MS	Plaque	13.03	32
MS-6	RRMS	Plaque	13.87	163
MS-7	RRMS	NAWM	19.86	27
MS-8	acute MS	NAWM	25.51	14
Abbreviations: NGS-CNS: next generation sequencing CNS, RM: replacement mutation, n.a.: not available, RRMS: relapsing remitting MS, NAWM: normal appearing white matter				
<sup>1</sup> NGS-CNS V <sub>H</sub> sequences provided by Drs. Fire and Boyd				

<b>Table 6-2. New ON<sub>CIS</sub> and TM<sub>CIS</sub> patient details and AGS scores</b>			
<b><i>ON<sub>CIS</sub></i></b>			
Patient	Age/gender	AGS score	#V <sub>H</sub> 4 sequences with RM
CIS348	34/F	13.07	41
CIS831	39/F	10.68	58
CIS426	30/M	11.56	5
CIS563	40/F	6.07	20
<b><i>TM<sub>CIS</sub></i></b>			
Patient	Age/gender	AGS score	#V <sub>H</sub> 4 sequences with RM
CIS431	27/F	17.90	14
CIS683	39/F	6.76	4
CIS287	45/F	6.43	5
CIS873	19/F	16.73	21
CIS335	34/F	10.17	57
CIS787	33/M	22.26	14
CIS834	57/F	13.84	22
CIS353	58/F	20.73	7
CIS527	43/F	27.98	5
CIS371	56/F	9.22	19
Abbreviations: ON <sub>CIS</sub> : clinically isolated syndrome optic neuritis, TM <sub>CIS</sub> : clinically isolated syndrome transverse myelitis, AGS: antibody gene signature			



<b>Table 6-3. Summary of cellular targeting of the 4 dual-cell reactive rhAbs<sup>1</sup> incubated at titrations of lowering concentrations.</b>			
rhAb	Amount on each brain	Astrocyte targeting <sup>2</sup>	Neuronal nuclei targeting <sup>3</sup>
AJL02 <sup>4</sup>	1 µg	+	+
	800 ng	-	+
	600 ng	-	+
	400 ng	-	+
AJL07 <sup>5</sup>	1 µg	+	+
	800 ng	+	-
	600 ng	-	-
	400 ng	-	-
AJL19 <sup>6</sup>	1 µg	+	+
	800 ng	+	+
	600 ng	+	+
	400 ng	+	+
WR10 <sup>7</sup>	1 µg	+	+
	800 ng	-	-
	600 ng	-	-
	400 ng	-	-
<sup>1</sup> Dual-reactive rhAbs were those with staining that colocalized with NeuN and GFAP. <sup>2</sup> Positive colocalization with GFAP shown as a “+” <sup>3</sup> Positive staining around DAPI similar to previous NeuN colocalization experiments shown as a “+” <sup>4</sup> Staining panels are shown for AJL02 in Figure 6-10 <sup>5</sup> Staining panels are shown for AJL07 in Figure 6-11 <sup>6</sup> Staining panels are shown for AJL19 in Figure 6-13 <sup>7</sup> Staining panels are shown for WR10 in Figure 6-12			

## **CHAPTER SEVEN**

### **DISCUSSION**

The historical and more recent studies of Ig and altered B cell activity implicate involvement in MS pathology. Additionally, dysregulation is already evident in CIS patients suggesting that B cells and their antibodies may play a role in the initiating phases of the disease. All levels of B cell development and function involve binding of cognate antigen to the BCR. Thus, studies of the BCR can offer clues regarding the auto-antigen(s) associated with CNS damage or symptoms. Therefore, scientists have been pursuing research of the altered antibody genomics found in MS and CIS patients. Several groups, including our own, have demonstrated that CSF and CNS B cells are clonally expanded, mutated, and favor V<sub>H</sub>4 gene usage. Our laboratory's discovery of a shared mutational pattern, the AGS, in the CSF of both MS and CIS patients suggests that disease-relevant antigen(s) in the CNS is driving them (Figure 7-1). Surveying B cells and antibodies within the CSF is relevant to CNS disease because there are shared B cell clones between the same MS patient's CSF and CNS (232), as well as between the meninges and CNS (234). Additionally, the most common form of MS lesion is characterized by deposition of antibodies and complement (6). Plasmapheresis treatment of patients harboring these lesions leads to improvement of symptoms (98, 99) suggesting that the antibodies in the CSF can deposit within the CNS tissue.

The work presented in this thesis demonstrates that the AGS is found in CSF derived B cells from both presentations of CIS: ON<sub>CIS</sub> and TM<sub>CIS</sub>. Not only is this AGS found in the CSF, it is also found at the site of MS disease pathology within the CNS tissue. Furthermore, the first assessments of the biological relevance of the AGS shows



that this shared mutation signature imparts binding to GM neuronal nuclei and astrocytes. Collectively, these data could lead to identifying a greater portion of patients at high risk for developing MS and those that may benefit from treatments to preserve GM integrity.

We found similar AGS enrichment from different tissue sources (CSF and CNS), different laboratories, and different PCR methodologies (single cell Sanger sequencing, LCM, and NGS). This lends confidence to the presence of this MS disease signature discovered in our laboratory, and lends support to its utilization as a potential diagnostic in a third party clinical laboratory setting.

A surprising finding from this current CNS study is that B cells present in NAWM are also enriched for the AGS. It is accepted that there are global CNS inflammation and cellular stress present in MS patients that is not readily detected via traditional MRI or IHC methodologies (9, 370, 379, 380). While antibody is present at a higher level in lesions, adaptive cellular immune responses can be equally detected in both lesions and NAWM (379, 381). This, in conjunction with our finding of AGS enrichment in both lesions and NAWM, implies that B cells may play an early or initiating role in CNS damage apart from perpetuating already present tissue damage. The presence of a strong AGS score in MS CNS tissue antibody repertoires corroborates the hypothesis that B cells in the CSF are also present at the site of the MS disease process. This also supports the clinical relevance of testing and evaluating B cell genomics and phenotypes from the CSF, as it is reflective of what is present in the CNS pool. AGS enrichment both in the CSF and CNS B cells supports the notion that a restricted population of B cells is involved in the biological etiology of the MS disease process.

The initial study of the predictive ability of the AGS on conversion from CIS to MS was done on a cohort of ON<sub>CIS</sub> patients from a collaborator to blind the laboratory to

the conversion data. Initially, we validated the presence of the AGS in ON<sub>CIS</sub> patients recruited at UTSWMC and found there to be no difference between these and the original cohort from our collaborator. This reproducibility lends further confidence to the presence of AGS enrichment in the CSF of ON<sub>CIS</sub> patients. Despite having different lesion locations and prognoses, the TM<sub>CIS</sub> patients also displayed a similar enrichment of AGS in the CSF. Regardless of initial CIS presentation, patients at a high risk to convert to CDMS harbor an enrichment of AGS B cells within their CSF repertoire. This broadens the utility of the AGS as a diagnostic since it could potentially be applied to both ON<sub>CIS</sub> and TM<sub>CIS</sub> patients. These data also suggest that there are shared B cell selective pressures present in both ON<sub>CIS</sub> and TM<sub>CIS</sub> patients. It remains unknown why the initial anatomical locations vary but they share generalized CNS targeting of damage. Both patient groups are at higher risk to convert if they have additional abnormal MRI scans of the brain (63). Since all these patients are at high risk for MS, this shared B cell dysregulation implicates a shared underlying pathoetiology among the patients.

We found that a subset of TM<sub>CIS</sub> patients, stratified as the TM<sub>CIS</sub>A patient subgroup, had a unique expansion of CD27<sup>high</sup> plasmablasts in the CSF. The majority of these TM<sub>CIS</sub>A patients also demonstrated an expansion of plasmablasts in the periphery, which was not seen in either compartment in the ON<sub>CIS</sub> cohort. The frequency of CD27<sup>high</sup> plasmablasts is also elevated in several autoimmune diseases and occurs in the diseased tissues where the putative auto-antigens are present (142, 198, 203, 204). Clinical evidence of plasmablast activity has been found in patients with NMO (186), RA (198, 361), and SLE (192, 353, 354, 362) who receive the B cell depleting agent rituximab and are more likely to relapse early if their memory B cells, and more importantly their CD27<sup>high</sup> plasmablasts return earlier implicating the role of these cells in

the re-emergence of symptoms in autoimmune diseases. Since TM<sub>CIS</sub> patients typically have a worse prognosis than ON<sub>CIS</sub> patients (58, 60), this expansion of plasmablasts may contribute to this enhanced disease activity.

Treatment with natalizumab, which blocks the entry of cells into the CNS, was effective in MS patients if they had lower levels of CSF plasmablasts before treatment and maintained low levels post-treatment (177). Other studies have found that during a MS relapse, memory B cells are readily recruited to the CSF with the decrease of these cells in the periphery (119, 120), possibly due the increase in CXCL13, the B cell attractant chemokine, observed in active MS and CIS patients (136, 382). Another factor involved may be due to migration through a very late antigen 4 (VLA-4) dependent mechanism, since memory B cells (116) and plasmablasts (116, 174) express high levels of VLA-4. Trafficking of B cells may be an early disease step, as CIS patients have higher levels of VLA-4 on their transitional B cells than is observed in MS patients (363). Such an initial step would enable B cells to enter the CNS, encounter their auto-antigen and undergo germinal center-like reactions as evidenced by SHM accumulation and altered selection of V<sub>H</sub> genes. This matches the current conceptualization of MS pathogenesis with the migration of brain-reactive B cells from the periphery into the brain tissue via the surrounding CSF (9, 10, 125, 129, 346-348). While we do not yet know the prevalence of the AGS in the periphery, work is currently being done using NGS technology in order to sample a large amount of the repertoire in contrast to the single-cell methodology. However, we have shown early B cell disturbances in the periphery of a subset of TM<sub>CIS</sub> patients that harbor heavily mutated V<sub>H</sub>4<sup>+</sup>J<sub>H</sub>6<sup>+</sup> plasmablasts. A proposed model of the cellular movements is shown in Figure 7-2.

Even though increases in plasmablasts have been correlated with clinical markers of disease in other autoimmune diseases, we found no significant correlation in either the CSF or PB compartments with CSF immunoglobulin synthesis or CSF Ig index. However, we found that the percentage of CD27<sup>high</sup> plasmablasts in the periphery of the TM<sub>CIS</sub>A group increased the longer the patient had been untreated. This may reflect an accumulation of chronically activated B cells, which develop into plasmablasts in a state of extended neuroinflammation. Presumably, lack of treatment can prolong the immune response time to the triggering antigen(s), and the resulting potent inflammatory milieu may promote aberrant cell activation. This correlation was not seen in the CSF compartment, possibly due to plasmablasts entering niches in the inflamed tissue (364) or exiting back into the periphery over time after expanding in the CSF. Plasmablasts are quite motile (364), but their travel direction in the TM<sub>CIS</sub> patients is unknown from this current study. The ability to find this signature of expanded CD27<sup>high</sup> B cells may help diagnostically to indentify TM<sub>CIS</sub> with a worse prognostic risk, or potentially be used as a biomarker for response to B cell depleting agents or to block cellular entry into the CNS using natalizumab. At this current point, we do not know the impact of presenting with the TM<sub>CIS</sub>A phenotype on the EDSS once a patient converts to CDMS.

Aberrant selection of V<sub>H</sub>4<sup>+</sup> B cells in the CSF measured by elevated levels of mutation accumulation was also observed at the early stages of disease represented by the ON<sub>CIS</sub>, TM<sub>CIS</sub>A and TM<sub>CIS</sub>B patient subgroups. SHM accumulation in peripheral V<sub>H</sub>4<sup>+</sup> plasmablasts was extensive in comparison to V<sub>H</sub>3<sup>+</sup> plasmablasts in the TM<sub>CIS</sub>A patients. Furthermore, the V<sub>H</sub>4<sup>+</sup> plasmablasts using J<sub>H</sub>6 segments accounted for this depth of SHM accumulation. V<sub>H</sub>1<sup>+</sup> plasmablasts from the same TM<sub>CIS</sub>A patients did not demonstrate this level of selection. Taken together, these data suggest that within the peripheral

CD27<sup>high</sup> plasmablast pool of TM<sub>CIS</sub>A patients, there is a subgroup of V<sub>H</sub>4<sup>+</sup> plasmablasts enriched for J<sub>H</sub>6 segment use that are undergoing affinity maturation at a faster rate than their V<sub>H</sub>4<sup>+</sup> counterparts that utilize J<sub>H</sub>4 segments. Interestingly, the memory B cells from the same TM<sub>CIS</sub>A patients did not display evidence of receptor editing in the V<sub>H</sub>4<sup>+</sup> B cells, but rather in the V<sub>H</sub>1<sup>+</sup>J<sub>H</sub>6<sup>+</sup> B cells. This suggests that the V<sub>H</sub>4<sup>+</sup>J<sub>H</sub>6<sup>+</sup> B cells could be chronically stimulated and develop into plasmablasts compared to their V<sub>H</sub>1<sup>+</sup> counterparts. Therefore it would be informative to the disease pathoetiology to identify the driving auto-antigens of these peripheral TM<sub>CIS</sub>A plasmablasts.

The estimated percentage of edited V<sub>H</sub> rearrangements increases in patients with autoimmune diseases, such as RA (30%), Sjogren's syndrome (22%), and anti-dsDNA encoding antibodies from SLE (21%) (383). We were particularly interested in whether V<sub>H</sub>4<sup>+</sup> B cells from the diseased PB and CSF repertoires demonstrated characteristics of receptor editing since V<sub>H</sub>4 genes more likely to receptor edit than V<sub>H</sub>3<sup>+</sup> B cells (384). This in combination with evidence that V<sub>H</sub> replacements can occur during GC reactions in association with active SHM (366, 385, 386) suggests that antigen driven (mutated) V<sub>H</sub>4 genes in MS could show signs of V<sub>H</sub> replacements. Interestingly, in addition to the V<sub>H</sub>4<sup>+</sup>J<sub>H</sub>6<sup>+</sup> peripheral plasmablasts, there is also evidence of V<sub>H</sub> receptor editing in V<sub>H</sub>4<sup>+</sup> and V<sub>H</sub>1<sup>+</sup> B cell pools from the periphery of established MS patients. Peripheral B cells from MS patients had a skewed ratio of J<sub>H</sub>4:J<sub>H</sub>6 in both the V<sub>H</sub>4<sup>+</sup> and V<sub>H</sub>1<sup>+</sup> B cell pools and accumulation of SHM in V<sub>H</sub>1<sup>+</sup>J<sub>H</sub>6<sup>+</sup> B cells. The V<sub>H</sub>4<sup>+</sup>J<sub>H</sub>6<sup>+</sup> B cells from MSPB lack the intensity of SHM selection and receptor editing demonstrated only in the plasmablasts from TM<sub>CIS</sub>A patients. Collectively, only the PB B cells display significant evidence of V<sub>H</sub> receptor editing compared to CSF B cells. This suggests that the signals for editing are still present in the periphery of these patients, but this mechanism is lost in the

diseased CSF compartment. In fact, earlier evidence from our laboratory suggests that despite SHM and intraclonal diversity, some of the CSF B cells are not undergoing typical GC selection as expected from a B cell emerging from a GC in the periphery (214, 220). In MS and CIS CSF, the accumulation of negative residues in the CDR3s may reflect selection in targeting to positively charged antigens. In fact, one of the putative auto-antigens classically associated with MS is MBP, which is positively charged. The available driving antigens in the GC may be different in the MS periphery versus the CSF, which is localized around the site of pathology. This could explain why the B cells in the PB do not strongly select against positive charges after GC reactions and editing whereas the B cells in the CSF maintain a negative charge.

Determining the target specificity of AGS-enriched antibodies from CSF B cells of MS patients (early CIS and established CDMS) is the first step towards dissecting the potential role of AGS-enriched antibodies in the pathogenesis of MS. Regardless of initial presentation of disease (ON<sub>CIS</sub> vs TM<sub>CIS</sub>), early or established disease (CIS vs CDMS), these rhAbs are recognizing neuronal nuclei and/or astrocytes in both mouse and human GM brain tissue. The rhAbs also recognized cellular targets in two models of mouse CNS inflammation, stroke and EAE, suggesting that the GM antigens were available in various states of neuroinflammation. This AGS is present at all initial disease presentations and durations indicating that the stage of disease does not significantly alter the cellular targeting of the AGS-enriched B cell repertoire. Significant immune system activation antecedes the initial clinical presentation of disease and complements the finding that rhAbs cloned from ON<sub>CIS</sub> and TM<sub>CIS</sub> patients already display recognition to brain targets. Further analyses of these rhAbs could offer the field what the early auto-

antigens are in the CIS stages and if these expand or are maintained throughout the disease course.

Surprisingly the rhAbs showed targeting to cells in the cortex and midbrain with only mild/rare staining along the corpus callosum in mouse tissue. This targeting to GM, which has gained appreciation for involvement in CDMS symptoms and disease progression (31, 32, 36, 367), may contribute to MS damage. WM lesions are readily detected with gadolinium enhancement on MRI and luxol fast blue staining of postmortem tissue, whereas GM lesions are more difficult to detect via traditional MRI and IHC, explaining why GM pathology was historically under-recognized (368). Cortical lesions are correlated with MS disease severity and progression as opposed to the more easily detected white matter lesions (32, 36, 367). Progressive GM loss over time occurs at both CIS and MS stages (35, 37, 38, 387), which suggest that the underlying pathology responsible for the loss is not restricted to later disease stages.

In addition to the genomic evaluation of receptor editing, we also determined the efficacy of the editing on the targeting of 5 pairs of edited AGS-enriched rhAbs. Receptor editing in human B cell repertoires has been studied at the antibody sequence level from naturally auto-reactive emerging B cells (72). What is demonstrated in this present study is an example of a partial reduction in targeting between WR01 and WR02 and a complete abrogation of brain tissue staining between WR10 and WR11. WR01 displayed a stronger DAB intensity than its edited heavy chain member WR02, which corroborates previous findings in the laboratory using the same variable regions in a Fab construct for IPs with MBP followed by western blotting (255). WR10 and its light chain allele replacement counterpart WR11 displayed strikingly different staining patterns. WR10 displayed clear binding to tissue via DAB and also with colocalization to both astrocytes

and neurons visualized via IFC. WR11 did not stain brain via DAB suggesting that the usage of the second light chain allele eliminated auto-reactivity to brain tissue of this B cell clone. This successful editing was not a common feature in the AGS-enriched rhAb panel. WR12 and WR13 displayed the same reactivity to brain tissue in IHC and IFC experiments. This could be that the light chain editing was not as drastic as the light chain replacement between WR10 and WR11. Rather than using another available light chain allele to completely replace the light chain like in WR10/WR11, WR12 is closely related to WR13 with only an edit to a downstream J $\kappa$  gene in WR12. Therefore, there was not enough change to modify the targeting of this pair. AJ07/AJL13 and WR08/WR09 are also light chain receptor edited pairs with out any obvious changes in their antibody targeting.

Seven of the AGS-enriched rhAbs displayed targeting of neuronal nuclei. Targeting of neurons fits well with findings of neuronal loss and degeneration present in MS. Proton magnetic resonance spectroscopy (MRS) measurement of N-acetyl aspartate (NAA), a neuronal marker, is significantly reduced in MS CNS (369), especially as patients progress through disease stages and those with an EDSS over 3 (370, 388, 389), supporting that a loss of neurons is associated with progressive neurodegeneration. Additionally an in vitro experiment found that the level of apoptosis in a neuronal culture incubated with MS CSF was correlated with lesion load (280). Neurofilaments comprise the axonal/neuronal cytoskeleton and have also been identified as auto-antigen targets from MS CSF (288, 291), and titers are correlated with atrophy, axonal damage, as well as clinical disability (289, 290). Immunizing mice with neurofilament induces GM damage as well as deposition of IgG within neuronal cell bodies and axons (293), highlighting the ability of an intracellular neuronal target to elicit disease. Additionally,



the myelin sheaths contained degenerating or dead axons (4) supporting the notion that the classical demyelination seen in MS can also occur secondary to axonal and neuronal damage. Collectively these findings in conjunction with the rhAb targeting suggest that neuronal preservation should be a clinical focus starting at the CIS stage to help patients preserve cognitive functions and keep the EDSS low.

Further characterization of the 7 rhAbs that displayed neuronal nuclei targeting was conducted using lamin A as a marker for the nuclear membrane. Several patterns of nuclear staining were observed as opposed to a singular uniform pattern. The patterns ranged from edging the exterior, overlapping the entire membrane, or ringing the interior. These varied patterns suggest that even though all these AGS-enriched rhAbs bind to neuronal nuclei, they may recognize different targets. From these IFC experiments, it is not known whether the rhAbs are polyreactive to multiple cell types in the brain or whether they are recognizing the same antigen(s) present in both neurons and astrocytes. Therefore, titration experiments on the 4 dual-cell targeting rhAbs were performed to identify if there was a preferential cellular target for the rhAbs. These titrations demonstrated that some rhAbs while being dual-cell targeting at higher concentrations lost some binding at lower concentrations. While the AGS-enriched rhAbs shared a targeting for the two major CNS cellular types, this suggests that their recognition is more complex than a single targeted antigen.

The integrity of the BBB is maintained by astrocytes and could be disrupted by rhAbs targeting them for damage or altering their functionality. It is well accepted that the BBB is compromised in CIS and MS patients (18), and any damage that perpetuates this could allow for further influx of inflammatory cells and mediators into the already inflamed CNS. Brain targeting antibodies can be detected in sera from healthy humans

(371) and could gain access to the CNS once the BBB is compromised. Seven of the AGS-enriched rhAbs displayed targeting to astrocytes. The binding of three rhAbs (those that only bound to astrocytes) in human and mouse GM clearly shows that these rhAbs can bind to astrocytes by colocalizing with GFAP. IFC on MS-GM showed rhAbs targeting architecture surrounding vessels, which includes astrocyte endfeet as well as endothelial cells, and could contribute to MS pathology by disturbing the BBB. Serum IgG from active MS demonstrates recognition of brain microvascular endothelial cells, which also correlated with BBB disruption (315, 317). The intracellular astrocyte protein contactin-2/TAG-1 was identified as an auto-antigen recognized by MS CSF antibodies and the induced disease in mice exhibited inflammation in both WM and GM regions (299, 390). The difference in appearance of GFAP positive astrocyte immunostaining between mouse and human brain in this study could be due to the planer orientation of the sectioning with the mouse coronal sections having abundant processes and rare astrocytes cell bodies and the human GM having more immunostained cell bodies and rarer processes (372). Another critical role for astrocytes is to support remyelination of lesions by providing support and signals to oligodendrocyte precursor cells (303-305), which could potentially be hindered by antibody targeting. In fact, failure to remyelinate lesions is a feature of progression in CDMS (373).

It is unclear from the current study if these antibodies are pathogenic since several of the rhAbs recognize antigen(s) in the nuclei of neurons rather than an easily accessible cell surface antigen. Furthermore, we do not know if the astrocyte binding rhAbs recognize an extracellular or intracellular target. A proposed model of the effects of rhAb binding to either cell type is shown in Figure 7-3. Intracellular targets are associated with many autoimmune diseases, especially SLE and Sjogren's syndrome (391). Antibodies

targeting AQP4 on astrocytes contribute to NMO pathology and bind to extracellular targets (308), though more recently a group found that a majority of sera (377, 392) tested from NMO patients recognize intracellular portions of the molecule which overlap with the dominant TCR epitope (378). The B cells that recognize the intracellular portions could acquire and present this epitope to T cells and receive cognate help and cytokines to drive a concerted cellular and humoral immune response against this antigen. Recognition and immune responses towards both intracellular and extracellular antigens are present in various autoimmune diseases and is a shared feature with MS.

Identifying that a genomic marker shared by MS patients, the AGS, is present in a pool of antibodies with the ability to bind to brain targets could provide direction for novel therapeutic approaches. Additionally, it provides a tool for identifying relevant auto-antigens that has been and continues to be a difficult goal in the field. In evaluating the pool of antibodies with a shared MS genomic pattern, the driving auto-antigens could potentially offer novel future hypotheses for the pathology of disease and CNS damage. Since this GM targeting occurs at the first stage of disease and continues onto CDMS, potential novel treatment targets could be assessed with regards to neurological preservation. This corroborates findings that earlier damage contributes heavily to future prognosis and disability (28, 57, 64-67) and thus highlights the need for early and effective treatments to preserve CNS function. Loss of neurons and axons is associated with irreparable damage and once a threshold of damage is reached, these patients' disease progresses. Treatments that preserve astrocyte functions to promote remyelination and reestablish a tight BBB could counteract antibody assaults to astrocytes and their endfeet. Maintaining neuronal and axonal integrity would be critical in preventing neurodegeneration. Now that we have identified that the diagnostic AGS could not only

identify CIS patients most likely to convert to CDMS but also that this pool of B cells may be contributing to GM damage, there is now a more focused therapeutic target(s) to study that may help patients early in the disease.

## **FIGURE LEGENDS FOR CHAPTER SEVEN: DISCUSSION**

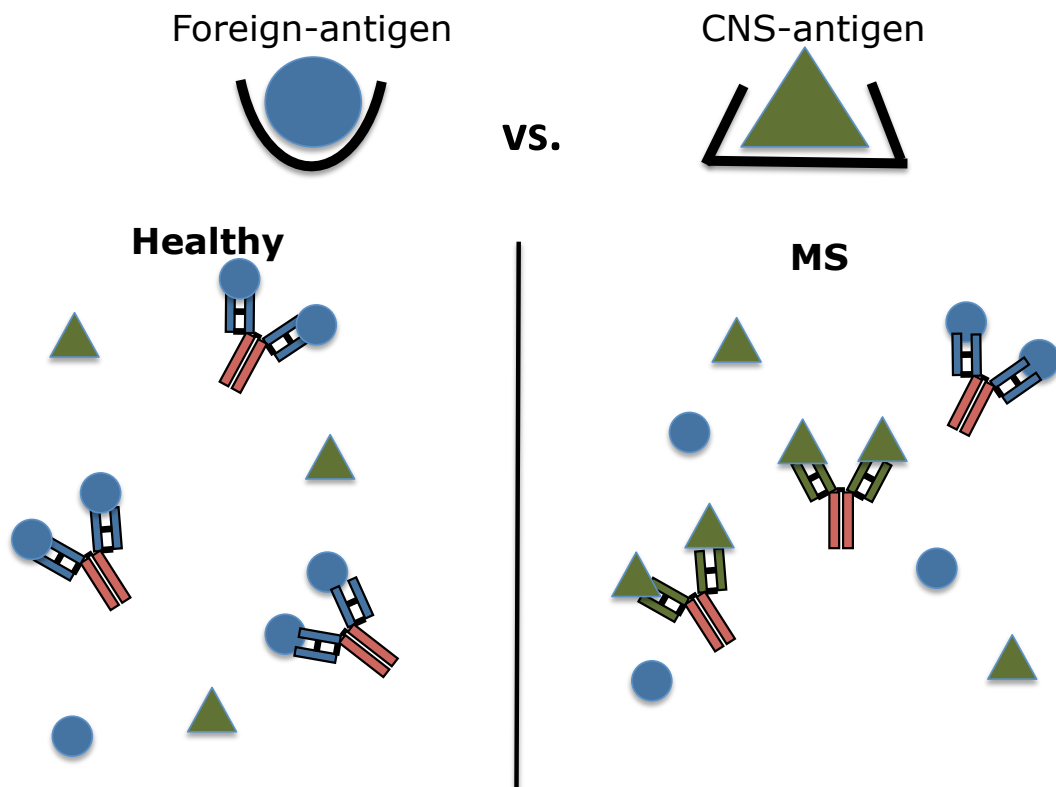
**Figure 7-1.** *B cell responses against CNS antigens in MS patients and not healthy donors could lead to AGS enrichment.* The AGS is a genomic marker of an antigen driven response and it is present in CNS and CSF B cells from MS patients and not the blood of healthy donors. This could be due to the aberrant B cell responses and activation to CNS-antigens in MS patients and the AGS may be a measure of this.

**Figure 7-2.** *Proposed direction of B cell movement in CIS patients.* Peripheral B cells responding to CNS antigens in the context of a GC undergo SHM and clonal expansion. Both memory B cells and plasmablasts are produced. Memory B cells can re-enter the GC and undergo further SHM, produce plasmablasts or migrate to the CNS. Plasmablasts could produce antibodies peripherally or migrate to the CNS and then produce antibodies. Migration to the CNS of both cell types is facilitated by VLA-4, CXCR5, and a permissive BBB. Once these cells are in the CSF, they could migrate to the meninges to initiate ectopic germinal centers, enter the parenchyma, or produce antibodies locally that then could enter the parenchyma. Alternatively they could also exit back into the periphery.

**Figure 7-3.** *Potential pathological results from AGS-enriched antibodies targeting neuronal nuclei, astrocytes, or the BBB.* Neuronal nuclei targeting could lead to a decrease in neuronal functionality potentially followed by cell death. Demyelination could occur by “inside-out” axonal degeneration leaving the myelin sheath empty to degrade. This could increase GM lesions that lead to cognitive symptoms and irreversible

neurodegeneration. Astrocyte targeting could lead to a decrease in astrocyte functionality potentially followed by cell death. This loss of astrocytes, or their functions, decreases the support for remyelination and increases the permeability of the BBB. This in turn could promote the migration of additional immune cells into the CNS that would further increase inflammation and damage.

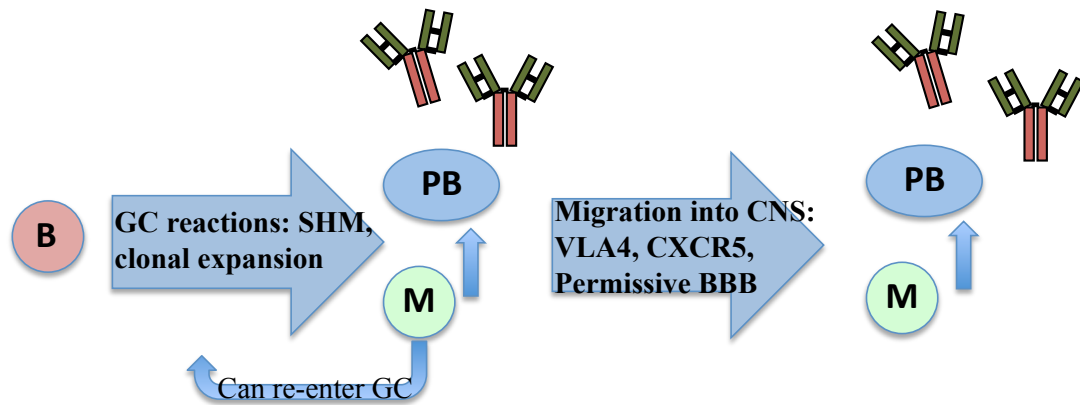
**Figure 7-1**



***AGS-enriched B cells in MS:***

- Responses to CNS antigens not present in HC
- Responses to CNS antigens in MS patients leads to accumulation of shared mutation hotspots
- If these antibodies or the B cells reach the CNS, they could initiate or perpetuate MS damage

**Figure 7-2**



***Periphery:***

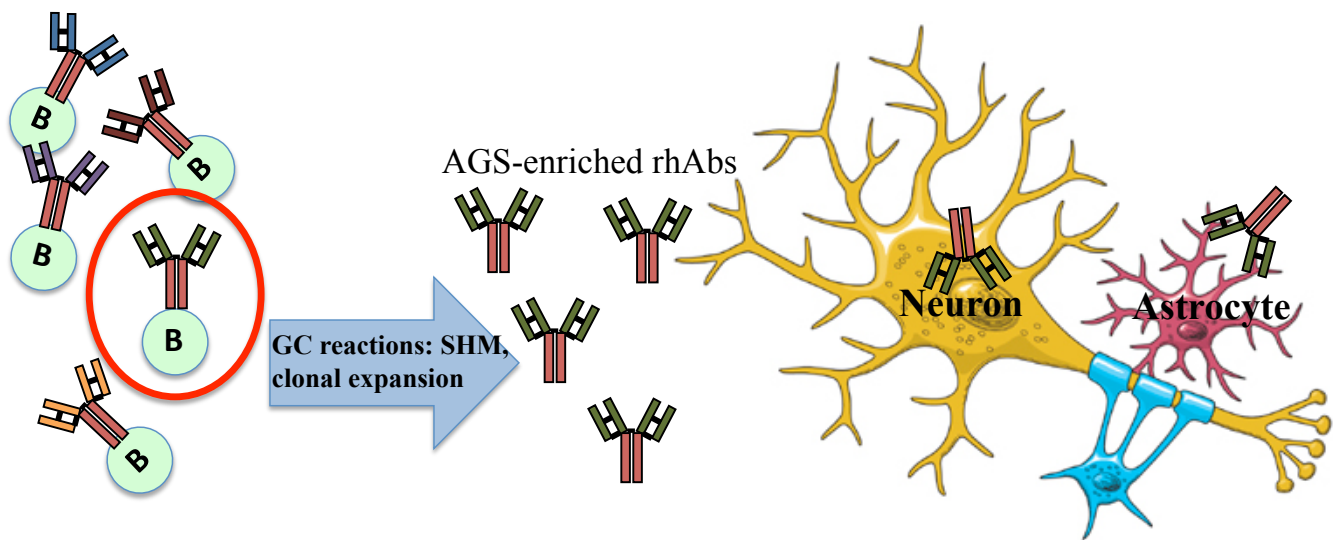
- Responses to CNS antigens driving SHM and clonal expansion
- Production of antigen-driven memory (M) and plasmablasts (B)
- Chronic activation and inflammation leads to more plasmablasts
- Production of antibodies
- Migration to CNS

***CNS:***

- Production of ectopic germinal centers
- Production of antigen-driven memory (M) and plasmablasts (B)
- Chronic activation and inflammation leads to more plasmablasts
- Production of antibodies
- Memory B cells and plasmablasts now centrally located and could induce damage



**Figure 7-3**



***Neuronal nuclei targeting:***

- 1) ↓ Neuronal functionality
- 2) ↑ Neuronal death
- 3) ↑ "inside-out" axonal degeneration
- 4) ↑ GM lesions
- 5) ↑ Cognitive symptoms and irreversible neurodegeneration

***Astrocyte & BBB targeting:***

- 1) ↓ Astrocyte functionality
- 2) ↑ Astrocyte death
- 3) ↓ Astrocyte support for remyelination
- 4) ↑ BBB permeability
- 5) ↑ Migration of immune cells into CNS
- 6) ↑ Inflammation and damage

CNS cell image modified from <http://blog.targethealth.com/?m=201001>

## **CHAPTER EIGHT**

### **FUTURE DIRECTIONS AND CAVEATS**

#### **Aim I: AGS positive B cells are enriched in MS disease**

##### *AGS-enriched B cells are present in MS CNS tissue*

While the number of CNS samples was relatively low, it is difficult to obtain large numbers of post-mortem tissue. All the samples were isolated from CDMS patients. Therefore, it is unknown from this study if these AGS-enriched B cells are present in the CNS only at later stages of the disease or if they could also be found at the first clinical event. Both relapsing and chronic phases displayed enrichment of the AGS in the NAWM and plaques. Finding the signature within the NAWM lends support to the hypothesis that these B cells could contribute to early events in localized tissue damage. If possible, early presence of AGS-enriched B cells in the parenchyma could be addressed by testing CNS tissue from CIS samples, but these would be more difficult to acquire.

It would also be of interest to isolate B cells present in lesions from other neuroimmunological autoimmune diseases, such as NMO, and identify whether the AGS is present at levels similar to MS or if a different antibody signature can be detected. Preliminary work in the laboratory using NMO CSF B cells suggests that a novel AGS can be measured in NMO patients. Work is also currently underway to analyze the repertoires of OND patients utilizing a NGS platform.

AGS-enriched B cells are present in the CSF of TM<sub>CIS</sub> patients

The presence of AGS enriched B cells in the CSF of TM<sub>CIS</sub> patients in addition to the previously determined ON<sub>CIS</sub> patients suggests that this signature is associated with both presentations that are at high risk to convert to CDMS. Some of the patients had low V<sub>H</sub>4 sequence numbers, but the average of all the TM<sub>CIS</sub> patients was similar to the ON<sub>CIS</sub> patients. Therefore, while it is possible that some of the individual TM<sub>CIS</sub> patients with lower sequence numbers may not have an accurate AGS, the cohort as a whole provides support that the signature is indeed enriched in this patient group. Furthermore, there is no correlation with the number of sequences and the AGS score.

The ultimate goal for the AGS is to diagnose patients at the time of the initial event to help both the doctors and the patients develop the most optimal treatment plan. Early and accurate diagnosis is important in light of data suggesting that earlier treatment delays the progression of disease leading to increases in disability (28, 57, 64-67). The aim is to prevent as many relapses as possible during the first two years to preventing future disability (28, 60, 68). In fact, DioGenix Inc is currently testing the AGS as a diagnostic tool using the NGS platform. While we see an enrichment of the AGS in this cohort of TM<sub>CIS</sub> patients, it is unknown what the predicative accuracy of conversion will be. Therefore it is important for the laboratory to continue following this cohort while continuing to sample more patients.

In addition, the EDSS, relapse rate, and conversion time of all CIS patients could be examined to ascertain if there is a correlation with the AGS score and these downstream clinical measures. From another clinical standpoint, assessing whether the AGS scores change after patients progress to CDMS and receive various immunomodulatory therapies could offer information on the clinical significance of the

AGS. Calculating the AGS score after B cell reconstitution following rituximab therapy could offer insights into a mechanism of action. If the AGS-enriched B cells are contributing to damage, it may be possible that the score would fall or that the levels of AGS positive B cells diminish. In RA, it has been found the repopulating B cells exhibit genomic differences in the antibodies with a “resetting” of the B cell repertoire (393-395). Due to the inherent difficulties of following a patient cohort for longitudinal studies, it would be beneficial to include more patients in the group.

It would also be advantageous to assess if the AGS could be detected in the PB compartment utilizing NGS methodology to capture a larger sampling of the B cell repertoire. This is also a far less invasive procedure for sampling cells and would be favored by both the patients and clinicians. With single cell PCR, the sequence signal for the AGS enriched B cells among the entire PB repertoire is too low and the signature is not enriched due to a small sampling size. Currently, work in the laboratory is being done in collaboration with Drs. Fire and Boyd to address this question with matched PB B cell repertoires acquired utilizing the same NGS method as the MS CNS repertoires. These PB B cells were isolated at the same sampling time that the CSF single cell sorts were conducted. Therefore, we have both compartments sampled and sequenced for 10 patients with CSF repertoires presented in this current thesis. Work is underway to optimize filtering and analysis of the NGS data in order to compare it to the paired CSF repertoires. Then the prevalence of the AGS in the PB can be determined and compared to that of the CSF. Currently, CSF samples are only obtained during or shortly after a clinical event and the patient is not sampled again. If the signature could be detected using the blood, patients could be more easily tested before and after therapy to see how various therapeutics affect the AGS score and if it is linked to clinical response.

**Aim II: Mutation characteristics and B cell subpopulations differ between CIS patients presenting with ON<sub>CIS</sub> vs TM<sub>CIS</sub>**

***Elevated levels of plasmablasts in a subset of TM<sub>CIS</sub> patients***

It is interesting to posit a unique state of immunopathology supporting this expansion of plasmablasts in patients with TM<sub>CIS</sub> and not ON<sub>CIS</sub>. This may be due to a finding that IL-6 is significantly increased in the CSF of TM<sub>CIS</sub> patients (396). IL-6 is a cytokine that is critical in the development of plasmablasts and plasma cells (123). Additionally, CD27<sup>high</sup> cells produce high levels of IL-6 post TLR9 stimulation which may perpetuate the cycle of increased plasmablast numbers (397). IL-6 promotes anti-AQP4 autoantibody production in NMO patient plasmablasts (202), so it would be interesting to assess what auto-antigens the antibodies from CD27<sup>high</sup> plasmablasts recognize. Work is currently underway in the laboratory to address the potential CNS targets of the antibodies from these peripheral plasmablasts utilizing the same cloning techniques described in Aim III. The sera from SLE patients also have increased IL-6 levels (398), which could support expansions of plasmablasts in this disease as well. However, our lab has found that in some cases, naïve B cells from established MS patients have the potential to produce more IL-6 than memory B cells from the same patient (161). Preliminary data have also shown no difference in IL-6 levels from the CSF of ON<sub>CIS</sub> and TM<sub>CIS</sub> patients. This suggests that the expansion of plasmablasts in the TM<sub>CIS</sub> patients may occur through a different yet unknown mechanism than NMO and SLE. ELISA or flow cytometry methodologies on the archived serum and CSF

supernatants could aid in identification of possible cytokines that differ between TM<sub>CIS</sub>A and TM<sub>CIS</sub>B/ON<sub>CIS</sub> patients.

Detection of plasmablasts in the periphery at this early stage of disease may be due to the activation of B cells in the periphery prior to migration into the CNS or visa versa. Unlike plasma cells, plasmablasts are quite motile (364), but their travel direction in the TM<sub>CIS</sub> patients is unknown from this current study. It would be interesting to track the progression of some of the currently described TM<sub>CIS</sub> patients and re-sample both their CSF and PB to determine if those with elevated peripheral plasmablasts retain these cells in the blood or if they migrate to the CSF as the disease progresses. All four TM<sub>CIS</sub> patients re-sampled for this current study had lower levels of plasmablasts in their PB at the re-sample point. There are several factors that could explain this finding. The plasmablasts may have migrated to the inflamed CSF due to VLA-4 being highly expressed on plasmablasts (116, 174). Or they may have migrated to the CNS due the increase in CXCL13, the B cell attractant chemokine, observed in active MS and CIS patients (136, 382). While it is possible that the plasmablasts may dissipate following an attack, the finding that the levels increase in correlation with increased time from initial event to sampling does not support this. Another possibility is that the four patients may have responded favorably to treatment since the first sampling date.

The ability of these CD27<sup>high</sup> plasmablasts to expand may help to indentify TM<sub>CIS</sub> with a worse prognostic risk, or be used as a biomarker for response to B cell depleting agents. Also, the TM<sub>CIS</sub> patients with elevated levels of plasmablasts in the periphery could be candidates for natalizumab therapy to prevent the entry of these cells into the CNS. At this current point, we do not know the impact of presenting with the TM<sub>CIS</sub>A phenotype on the EDSS once a patient converts to CDMS. This would be an interesting

aspect to follow with this cohort to determine whether the percentage of CD27<sup>high</sup> cells can be used as a prognostic for future disease.

#### Altered B cell repertoires in diseased compartments

In addition to the expansion of V<sub>H</sub>4 genes in the CSF of MS and CIS patients, there was evidence of positive selection *via* accumulation of SHM in these V<sub>H</sub>4<sup>+</sup> B cells. In contrast, V<sub>H</sub>4<sup>+</sup> gene usage was not increased in peripheral CD27<sup>high</sup> plasmablasts from the TM<sub>CIS</sub>A patients. The normal distribution of V<sub>H</sub> gene usage in the TM<sub>CIS</sub>A-CD27<sup>high</sup> plasmablasts may reflect proper responses to other antigens that the immune system encounters in the periphery. SHM accumulation in peripheral V<sub>H</sub>4<sup>+</sup> plasmablasts was extensive in comparison to V<sub>H</sub>3<sup>+</sup> plasmablasts in the TM<sub>CIS</sub>A patients. The antigenic response could be addressed in future experiments by creating monoclonal antibodies and testing their targeting to CNS from the V<sub>H</sub>4<sup>+</sup> pool, using the V<sub>H</sub>3<sup>+</sup>/V<sub>H</sub>1<sup>+</sup> pool as a control.

This selection of V<sub>H</sub>4<sup>+</sup> B cells in the peripheral plasmablast pool mirrors the selection of V<sub>H</sub>4<sup>+</sup> B cells in the CSF compartments characteristic of antigen driven selection. It is unknown if these plasmablasts then seed the CSF and are early contributors to the V<sub>H</sub>4<sup>+</sup> skewing in CIS as disease progresses to MS. Patient CSF and PB could be re-sampled to generate a second time point. Identifying the antibody sequences of these plasmablasts could offer a clue as to the direction of the travel. This approach has been done using NGS and identified ~2% of shared clonal members between the PB and CSF of MS patients tested at a single time point (121). In analyzing the plasmablast antibody repertoire from a second sampling point, intraclonal diversification could be addressed in this cohort. Evidence of this occurring in CDMS has been previously established (218, 219).

### Impact of receptor editing on antibody targeting

The  $V_H4^+J_H6^+$  plasmablast B cell pool of  $TM_{CIS}A$  patients display evidence of being antigen driven and undergoing  $V_H$  receptor editing in the periphery of  $TM_{CIS}$  patients. This receptor editing could be a mechanism for these plasmablasts to attempt to reduce their auto-reactivity. Interestingly, the memory B cells from the same  $TM_{CIS}A$  patients did not display evidence of receptor editing in the  $V_H4^+$  B cells, but rather in the  $V_H1^+J_H6^+$  B cells. This suggests that as compared to the  $V_H1^+$  using B cells, the  $V_H4^+J_H6^+$  B cells could be chronically stimulated and develop into plasmablasts compared. Comparison of targeting by  $V_H4^+J_H6^+$ ,  $V_H4^+J_H4^+$ , and their  $V_H1^+$  counterparts could address whether the  $V_H4^+J_H6^+$  utilizing B cells are unique in their antigenic recognition explaining the receptor editing and high levels of SHM.

An increase in positive residues in the CDR3 is also associated with heavy chain editing (74, 399). This is attributed to the increase in K and R residues that are normally not encoded in the  $D_H$  segments but are inserted upon the imprecise joining of  $V_H$ ,  $D_H$ , and  $J_H$  segments in secondary rearrangements. In SLE, the accumulation of positive charges is characteristic of polyreactive antibodies that recognize several self-antigens. When comparing  $V_H4^+$  CDR3 net charges, all groups including HCPB did not show an accumulation of positive charges. This discrepancy may be due to the difference in target auto-antigens in SLE and MS. In SLE, autoantibodies are selected for positive residue enrichment in their CDR3s since they are reactive to negatively charged nucleic acids. In MS and CIS CSF, the accumulation of negative residues in the CDR3s may reflect selection in targeting to positively charged antigens. In fact, one of the putative auto-antigens classically associated with MS is MBP, which is positively charged. Therefore it would be informative to the disease pathoetiology to identify the driving auto-antigens of



these peripheral TM<sub>CIS</sub>A plasmablasts. As proposed previously, cloning the antibodies from these plasmablasts and addressing their targeting to CNS antigens could be done to address this question. Additionally, since these are peripheral plasmablasts, it would be interesting to see if plasmablasts isolated from the CSF of TM<sub>CIS</sub>A patients recognize the same antigens or if the targeting profiles differ depending on the compartment.

### **Aim III: AGS-enriched B cells mediate MS pathology by producing antibodies that target the brain**

#### *The impact of AGS mutations on rhAb targeting*

The current study demonstrates that both types of initial presentation, TM<sub>CIS</sub> and ON<sub>CIS</sub>, as well as established CDMS patients harbor AGS-enriched B cells that target GM neurons and astrocytes. It is likely that the AGS pattern, as a genomic marker of shared antigenic pressures, contributes to the targeting. To test this, all RMs at AGS codons would be reverted to germline while preserving all other mutations along the V<sub>H</sub> gene. Comparing the targeting ability and staining patterns of these AGS-revertants using the same IHC and IFC methodologies would offer insight into the impact of these specific AGS mutations. Additionally, this could also provide clues to the relative importance of a single codon in recognizing a target. Analysis of crystallography work on 140 antibodies against proteins, peptides, and haptens, confirmed that SHMs are less abundant at a position beyond the regions of antigen contact (205). Furthermore, in looking at AGS codons presented in that study, 31b has low interactions with peptides and both 56 and 57 have high interactions with peptide (205) suggesting that different AGS codons could contribute to binding of the various complex antigen types in the CNS. With regards to binding, 31b, 56, and 57 are associated with both affinity and specificity whereas 40, 81, and 89 are associated with affinity only (205). This also suggests that FR codons (40, 81, and 89) contribute to affinity by possibly altering the conformation of the antibody and the antigen binding regions. Therefore, some revertants may have the same targets with a lower staining intensity depending on the AGS codons utilized.

Entire revertants to germline (total-revertants) should also be tested to determine if the V<sub>H</sub>4 genes used in these B cells could react to either self-antigen or viral/bacterial antigens. It is possible that these AGS-enriched B cells were initially selected for expansion due to the recognition of the germline gene to a CNS cross-reactive viral/bacterial antigen. In fact, in NMO patients, the pathogenic anti-AQP4 antibodies can be cross-reactive to bacterial AQPZ (400). The potential of pathogen reactivity could be addressed using a protein array of common infectious agents for assessing whether AGS-enriched, AGS-revertant, or total-revertants differ in their binding to pathogens.

#### *Evaluation of binding patterns and their implications on rhAb targeting*

Three out of the five receptor edited pairs did not appear to have an impact on the GM targeting of the rhAbs. It is possible that using more refined molecular techniques, such as competitive ELISAs, would reveal variations in targeting between the edited pair members. Previous findings in the laboratory using the same variable regions in a Fab construct (WR01, WR02) for IPs with MBP followed by western blotting identified WM antigen targets (255). While this current study does not find staining of the heavily myelinated WM regions in the brain, it is possible that the correct conformation or post-translational modifications were not available. IHC is subjected to multiple vigorous washes and if these rhAbs have a weaker interaction with WM targets than GM then it would not be readily visualized via DAB.

Interestingly, it may be possible for a GM targeting antibody to have cross-reactivity with a WM target. Hybridomas from a PLP immunized mouse were characterized to define the specific regions of binding to PLP and the ability to bind to oligodendrocytes in brain sections (322). Antibody against the PLP 178-191 peptide

bound to various neuronal subtypes across a wide array of species including fish, rodent, and mammal brains (322). This peptide is relatively hydrophobic and buried in the cell membrane, and despite this causes a more severe EAE induction in SJL mice (401). A fusion of PLP 178-191 with MOG 35-55 (MP4) induces a heavily B cell and antibody dependent EAE course (257). This cross-reactivity of a myelin component with neurons arises from conserved pgf sequences, which could increase the potential number of antibody targets and promote cross-reactivity (402). In the current cohort of rhAbs, WR01 tested in Fab form recognized MBP via western blot (255) and AJL10 bound to nuclei that appeared to be oligodendrocytes including the neuronal nuclei shown. It is possible through further investigation for additional cross-reactive rhAbs with WM and neurons in the GM to be discovered in the cohort. Preliminary myelin-peptide array done in collaboration with Dr. Robinson's laboratory at Stanford revealed some rhAb binding to WM antigen peptides, included PLP. Peptide arrays can be difficult to interpret in the context of antibody binding in a biological setting due to the entire protein not being present and the loss of conformational epitopes. Protein based microarrays, such as the commercially available Protoarray (Invitrogen, Life Technologies) could be employed in the future to screen a large number of potential targets without the potential problems common in a peptide array.

Varied patterns of lamin A colocalization suggest that even though these AGS-enriched rhAbs bind to neuronal nuclei, they may recognize different targets. Future experiments on neuronal nuclear extractions may make it possible to elucidate putative targets. Titrations of dual-targeting rhAbs demonstrated that some rhAbs while being dual-cell targeting at higher concentrations lost some binding at lower concentrations. Additionally, it can be hypothesized that the relative binding strength of the rhAbs for

their cellular targets is different among the panel of AGS-enriched rhAbs. Future experiments could indentify the relative affinity of these rhAbs for cellular targets using competitive binding assays. While transcriptomes from brain samples reveal highly unique cellular expressions of proteins between astrocytes and neurons (403), it is still possible that there are some shared proteins in astrocytes and the nuclei of neurons. Moreover, there could be sequence or conformational-derived epitope similarities that allow for the targeting of both cell types.

#### *Pathogenic implications of shared targeting of AGS-enriched rhAbs*

The shared targeting across disease presentations and length suggests that these antibodies are potentially binding to disease relevant antigen(s). The pathogenic potential of antibodies has been assayed by their capability to bind to cell lines. Preliminary data with the rhAbs AJL02 and AJL10 show binding to human fetal neuronal progenitor cells. This suggests that the rhAbs may inhibit neuronal repair *in vivo* if they have the ability to target progenitor cells. Flow cytometry of MS serum binding to cultured human cell lines revealed a portion of patients' sera had reactivity against oligodendrocyte precursor cells and not their differentiated counterparts, as well as immature and mature neuronal cells (285). Furthermore, patients with a benign disease course did not display binding above background to the cell lines (285). However, another flow cytometry study did not find binding of MS CSF to a human neuronal cell line (404). A recent study employing an extensive array of *in vitro* techniques to address the antibody binding potential from monoclonal antibodies derived from human-MOG induced EAE. They determined that the most accurate predictor of the disease-exacerbating potential of the mAb injected into mice was the ability to bind to CNS by IFC, and that binding to a cell line did not fully

recapitulate the ability to exacerbate EAE (319). This was also reported using neurofascin isoforms, Nfasc155 and Nfasc186, where antibodies to both isoforms bound to a cell line expressing the protein but only immunization with Nfasc186 would exacerbate EAE and was physiologically relevant (297). A cell-based assay was unable to identify MOG, PLP, or MAG reactivity of an MS patient's sera that partially colocalized with oligodendrocytes in mice and exacerbated EAE demyelination (100). The CNS is a complex environment with tight cell-cell interactions that may reveal novel epitopes absent on a monotypic-cell line or even the lack of an essential post-translational modification could lead to target unavailability.

AGS-enriched B cells isolated from patient CSF produce antibodies that are able to bind to both mouse and, more importantly, human brain tissue. This species-preserved homology of important CNS elements provides promise for mouse-based experiments addressing the pathogenic potential of these rhAbs. In fact, 7 rhAbs were also tested on EAE brain from mice induced with full-length recombinant human MOG suggesting that these rhAbs could recognize their targets in the context of this mouse model of acute neuroinflammation. A caveat with the current panel of rhAbs is that it is unclear whether they can initiate damage, perpetuate damage, or are unable to find their targets *in vivo*. Mouse models could be utilized to address this question. A mild EAE could be induced by immunizing mice with hMOG 35-55 peptide, and rhAbs can be injected into the mice at the peak of disease to assess the ability of the rhAb to exacerbate disease (319). Using this methodology, Bansal and colleagues discovered that monoclonal antibodies binding to brain tissue *in vitro* possessed the ability to exacerbate disease. Another approach for testing the pathogenic potential of the rhAbs is to perform cranial injections in mice to directly deliver the antibody, which has been used to discover the neurotoxic potential of

SLE derived rhAbs (337). Either of these *in vivo* techniques could elucidate the disease-initiating potential of these rhAbs.

Another question is whether these AGS-enriched rhAbs could mediate damage through either Fc-mediated complement or antibody-mediated cellular cytotoxicity (ADCC) mechanisms. It is possible that the binding of the rhAbs alone, independent of the Fc, could mediate pathology through steric hindrance or antagonistic activities. In fact, antibodies to elements within the nodes of ranvier could lead to steric hindrance of myelination (390). Antibody cross-linking of MOG leads to altered protein and cell morphology with a disruption in MOG partitioning that can contribute to demyelination (405). Astrocyte activation and damage, in the absence of complement activation, has been reported in the spinal cord of mice injected with anti-AQP4 antibody (374). Also, G11 as studied by Dr. Diamond's laboratory has differing effects on neurons depending on the relative concentrations of the antibody: ranging from causing the NMDAR to remain open longer and increasing postsynaptic potentials to neuronal death at higher levels (406). In order to test if Fc independent mechanisms may contribute to AGS-enriched rhAb pathogenic potential, the rhAbs can be digested using pepsin to create a bivalent Fab fragment and independent Fc regions. These bivalent Fab fragments can be injected into mice to determine whether binding to the epitope alone can elicit pathology as compared to full-length rhAb injections.

#### *Clinical implications of AGS-enriched rhAb targeting*

The recognition of brain tissue is not restricted to a particular presentation of disease or even the length of disease and it is unknown whether the AGS correlates with disease severity or progression. Since the AGS-enriched rhAbs have a predilection for

GM targets, correlating GM damage may be of clinical interest. Detection of GM lesions *in vivo* can be complicated so alternatively, correlations with GM damage-induced symptoms, such as cognitive declines, could be associated with the AGS.

Identifying a genomic marker shared by MS patients, the AGS, in a pool of antibodies with the ability to bind to brain targets, could provide direction for novel therapeutic approaches. Since this GM targeting occurs at the first stage of disease and continues onto CDMS, potential novel treatment targets could be assessed with regard to neurological preservation. Loss of neurons and axons is associated with irreparable damage and once a threshold of damage is reached, these patients' disease progresses. Treatments that preserve astrocyte functions to promote remyelination and re-establish a tight BBB could counteract antibody assaults to astrocytes and their endfeet. Maintaining neuronal and axonal integrity would be critical in preventing neurodegeneration.

These rhAbs themselves could also become future novel therapeutics. Modifying monoclonal anti-AQP4 antibodies to inhibit Fc effector function has shown promise in mouse models in inhibiting NMO pathology (407, 408) and modifying a polyclonal IgG pool ameliorates EAE severity (409). Similar approaches have been done in mouse models of fetal-alloimmune thrombocytopenia (410), collagen induced arthritis (411), and bullous pemphigoid (412). These findings in different autoimmune diseases highlights the potential in developing mAb therapeutics aimed to block the binding of these AGS-enriched rhAbs to their cellular targets for the purpose of preventing antibody mediated damage to the GM.

There are many future approaches to better define the potential biological implications of the AGS found in a population of CSF B cells from MS and CIS patients. The AGS is already useful as a biomarker of disease conversion in ON<sub>CIS</sub> patients and is



being tested further to broaden its utility by DioGenix Inc. In addition to a conversion diagnostic, this AGS enrichment could identify patients that would benefit from therapies aimed to preserve GM integrity. As future experiments identify the specific targets recognized on either neuronal nuclei or astrocytes, directed therapies could be employed that are more effective because they are based on the underlying biology of these AGS-enriched B cells.

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