MOLECULAR MECHANISMS AND FUNCTIONS OF ESTROGEN RECEPTOR ENHANCERS IN HORMONE-DEPENDENT GENE EXPRESSION

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MOLECULAR MECHANISMS AND FUNCTIONS OF ESTROGEN RECEPTOR ENHANCERS IN HORMONE-DEPENDENT GENE EXPRESSION

by

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BIOGRAPHICAL SKETCH

Shino Murakami was born and raised in the prefecture of Ehime, Japan. After graduation from high school, she started her undergraduate education at the University of Alabama in Huntsville, where she learned fundamentals in chemistry and biology covering a range of topics including inorganic and organic chemistry, analytical chemistry, biochemistry and biophysics, molecular and cellular biology, and microbiology and immunology. Beside her course work, she joined the laboratory of Dr. L. Rogelio Cruz-Vera to study the amino acid-mediated regulation on prokaryotic translation. During this time, she also gained experiences in the laboratory of Dr. Carmen Scholz as an undergraduate research assistance to synthesize and study physical properties of clickable polymer polyhydroxyoctanoate and its derivatives. In 2009, she received a B.S. degree with a double major in chemistry and biology with magna cum laude. The following year, she started her graduate study in Genes, Development, and Disease program at the University of Texas Southwestern Medical Center at Dallas. In 2011, she joined the laboratory of Dr. W. Lee Kraus for her dissertation study on the hormone-dependent gene regulation. Using genomic, molecular, and cell-based approaches, her work in the Kraus lab provided a better understanding on the molecular mechanisms and functions of hormone-dependent transcription enhancers for gene regulation. In 2017, she completed her dissertation study and received Ph.D. in biomedical sciences.

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Shino Murakami, Ph.D.

The University of Texas Southwestern Medical Center at Dallas, 2017

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Transcription is a fundamental regulatory mechanism of biological processes in a range of physiological and pathological conditions. Transcription enhancers are DNA regulatory elements that regulate the expression of the target genes by accommodating transcription factor (TF) binding through sequence specificity. Estrogen receptor alpha (ER α) belongs to ligand-dependent nuclear receptor superfamily. Upon activation by estrogenic ligands, ER α binds to specific sites on chromatin, and assembles and activates enhancer complexes, which in turn lead to the transcription of target genes. Various molecular events have been associated with enhancer function, including coregulator

recruitment, induction of enhancer-enriched histone modifications, nucleosome remodeling, enhancer-promoter chromatin interactions, and transcription activation at the enhancer, as well as the target gene promoter. However, we lack a clear understanding of the order of events, the specific roles of each coregulator and enhancer-enriched chromatin features, and the functional relationships among them. Using ER α in estrogen (E2)-regulated gene transcription as a model in combination with molecular and cellular biology, as well as genomic and computational approaches, my dissertation herein describes a series of studies elucidating the molecular mechanisms and functions of these evens that lead to ER α enhancer activation. Collectively, it demonstrates that (1) ER α enhancer assembly and activation is a dynamic process, (2) the temporally-defined recruitment and activation of key coregulators are required for successful activation of ER α enhancers, and (3) enhancer transcripts (eRNA) mark active enhancers.

Lastly, I delineate the development of a new technology, single-cell Global Run-on Sequencing (scGRO-seq), to uncover the link between enhancer activity and target gene transcription at the single-cell level. Single-cell imaging and sequencing technologies have demonstrated the heterogeneous nature of gene expression and enhancer activity in a wide range of biological systems, including clonally-expanded populations of cultured cells. However, our understanding on the molecular basis of heterogeneous gene expression is limited because of a lack of technologies that allow us to simultaneously examine enhancer activity and target gene transcription at the single-cell level. scGRO-seq will overcome this problem by capturing active transcription at the enhancers, which is an indicative of enhancer activity, and at the target gene in the same cells.

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LIST OF ABBREVIATIONS

- 3C Chromosome conformation capture
- AF-1/2 Activation domain 1/2
- CE Core enhancer
- ChIA-PET Chromatin interaction analysis by paired-end tag sequencing
- ChIP-exo -Chromatinimmunoprecipitaion with exonuclease treatment
- ChIP-seq Chromatinimmunoprecipitation and sequencing
- DBD DNA binding domain
- DRRs Distal regulatory regions
- DNase-seq –DNase I hypersensitive sites sequencing
- $E2 17\beta$ -estradiol
- ERα Estrogen receptor alpha
- $ER\beta$ Estrogen receptor beta
- ERBS Estrogen receptor binding site
- eRNA Enhancer transcript, enhancer RNA
- FP Flavopiridol
- GRO-seq Global nuclear run-on sequencing
- GTF General transcription factor
- H3.3 Histone 3 variant 3
- H3K4me1 Histone 3 lysine 4 monomethylation
- H3K4me2 Histone 3 lysine 4 dimethylation
- H3K9me3 Histone 3 lysine 9 trimethylation

- H3K27ac Histone 3 lysine 27 acetylation
- H3K27me3 Histone 3 lysine 27 trimethylation
- H12 α -helix 12 of AF-2 in ER α
- Hi-C High-throughput genome-wide chromosome conformation capture
- kb Kilobases pairs of nucleotides
- LBD Ligand binding domain
- LCR locus control region
- Mb Megabases pairs of nucleotides
- NELF Negative elongation factor
- NFR Nucleosome free region
- PID p300-interaction domain of SRC2
- Pol II RNA polymerases II
- qPCR quantitative PCR
- RNA-a activating RNA
- RNA FISH RNA fluorescent in situ hybridization
- RRD RNA recognition domain
- scGRO-seq Single-cell global run-con sequencing
- SERM Selective estrogen receptor modulator
- TCGA The cancer genome atlas
- TF Transcription factor
- TSS Transcription start site

CHAPTER ONE

Introduction

1.1 Summary

Transcription is a tightly regulated biological process that is critical in a wide range of physiological conditions. Transcription factor (TF) binding to the genome triggers formation of the active enhancer complex that involves numbers of coregulators. Dynamic enhancer complex formation causes various changes at the enhancer as well as the target gene promoters, including alteration in the chromatin environment and histone modifications and formation of enhancer-promoter chromatin interactions. Although many features and coregulators in the enhancer complex have been identified, the order of assembly and functions of dynamic enhancer complex formation is not well characterized. Using estrogen receptor alpha (ER α) in estrogen (E2)-regulated gene transcription as a model system, I ought to investigate the molecular mechanisms and functions of enhancer complex formation.

1.2 Transcription Enhancers

Gene transcription is a fundamental regulatory step in a wide array of biological processes. Various extracellular as well as intracellular stimuli provoke transcription responses by controlling multi-layered transcription regulatory steps involving numbers of coregulators including activators and repressors on the chromatin (Lee and Young, 2013). For transcription activation, transcription factors bind to the DNA regulatory elements called enhancers across the genome to nucleate de novo formation of enhancer complexes (a.k.a. enhanceosomes) by recruiting numbers of coregulators (Carey, 1998). TF and coregulators collectively cause changes in histone codes, nucleosome occupancies, and chromatin accessibility. To this end, the newly formed enhancer complex induces chromatin interaction between the enhancer and the target gene promoter, and activates transcription both at the enhancer and at the target gene prompter (Ong and Corces, 2011). The active transcription occurring at the enhancer generates non-coding RNAs, named enhancer transcripts or eRNAs (Hah et al., 2011; Kim et al., 2010; Lam et al., 2013; Li et al., 2013). Through genome-wide TF binding and enhancer formation, a set of thousands of target gene expression is induced by a given TF under a given stimulus (Hah et al., 2011; Lam et al., 2013; Mahat et al., 2016). Many key players required between TF binding to the productive transcription activation have been identified; however, the precise mechanisms and the functions of each activation step are still not well understood. Although various principles are often conserved across eukaryotes, there are also distinct aspects in transcription regulation across species. This chapter will describe the transcription regulation in mammalian cells unless otherwise stated.

1.2.1 Chromatin Environment at Enhancers

Beside TF binding, enhancers exhibit several unique features. First, they tend to adopt open chromatin states by bearing fragile nucleosomes by incorporation of unique histone variants such as H3.3 and H2A.Z (Calo and Wysocka, 2013; Struhl and Segal, 2013). In addition, nucleosomes at enhancers are well positions as compared to the non-regulatory intergenic regions in the genome (Gaffney et al., 2012; Ho et al., 2006). While well positioned nucleosomes are biased on the transcription directionality at promoters, nucleosomes are similarly well positioned on the both sides of enhancers, which might be due to bidirectional nature of enhancer transcription as mentioned below. When TFs bind to enhancers, they recruit nucleosome remodelers along with other coregulators that further contribute to the TF-dependent changes of the chromatin environment at enhancers that eventually modulate activator-dependent gene transcription (He et al., 2010; Voss and Hager, 2014).

In addition to nucleosome occupancy and remodeling, enhancers are enriched with many specific histone modifications. Vigorous studies using chromatin immunoprecipitation and sequencing (ChIP-seq) assays for histone modifications in the last decade have provided opportunities to discover the genomic nature of enhancers. Based on these observations, histone modification ChIP-seq data became widely used to annotate enhancers genome-wide and to identify and group different types of enhancers (Heintzman et al., 2009; Heintzman et al., 2007; Rada-Iglesias et al., 2011). For instance, enhancer marks such as H3 lysine 27 trimethylation (H3K27me3) and H3 lysine 9 trimethylation (H3K9me3) are enriched for inactive enhancers, while other modifications, including many acetylation marks such as H3

lsyine 4 mono- and dimethylation (H3K4me1/2) and H3 lysine 27 acetylation (H3K27ac), are associated with active enhancers (Calo and Wysocka, 2013; Ong and Corces, 2011). Furthermore H3K4me1 is often enriched at closed and poised enhancers prior to TF binding, which are enhancers that are not currently active but awaiting for future activation. On the other hand, some modifications including H3K27ac and many acetylation on other histone lysines are dynamically controlled for some extent after TF binding and enhancer activation (Creyghton et al., 2010; Heintzman et al., 2009; Pradeepa et al., 2016; Taylor et al., 2013). Despite of our knowledge on the large repertoire of enhancer-enriched histone modifications, we have relatively limited knowledge regarding their mechanisms and functions; what enzymes are responsible for deposition and erase those modifications? How is the information as histone modification transmitted to regulate transcription? Further mechanistic studies beyond genomic correlations will be needed to fully understand the nature of enhancer in relation to histone codes.

1.2.2 Transcription Coregulators

Upon TF binding to the chromatin, it recruits numbers of transcription coregulators for formation of enhancer complexes to orchestrate proper transcription responses. Functions of these coregulators include regulation of the chromatin environment, TF activity, and activities of coregulators each other as well as transcription machineries (Carey, 1998; Cosma, 2002). Mediator is a multicomponent coregulator complex that is evolutionally conserved from yeast to human and is required for virtually all transcription driven by RNA polymerase II (Pol II) in eukaryotes. For activator-dependent transcription, Mediator is considered to bridge between TF and the general transcription factors (GTFs) and to stabilize Pol II to assist transcription activation (Kagey et al., 2010; Malik and Roeder, 2010; Sainsbury et al., 2015). Beside Mediator, a given TF requires numbers of additional coregulators to induce transcription (Carey, 1995; Lewis and Reinberg, 2003; Li et al., 2007; Li et al., 2016; Xu and Li, 2003). Although many coregulators are shared by various TFs, it requires systematic approaches to fully understand the commonality and specificity of each coregulator in a repertoire of components in enhancer complexes nucleated by each TF. In addition, our knowledge on the order of assembly and operation among coregulators in dynamic enhancer complex formation is limited. Effects of each coregulator to other coregulators within a enhancer complex leave another layer of complexity to fully understand the mechanisms and functions of transcription enhancer complexes.

1.2.3 Enhancer-promoter Chromatin Looping

Transcription enhancers function independent of its location and orientation respect to their target genes. In some cases, enhancers are located more than Mb away or on other chromosome from their target genes (Lam et al., 2014; Ong and Corces, 2011; Zhao et al., 2006). In some cases, enhancers control their target genes that are located beyond non-target genes, implying an active mechanism for target gene selection and specificity. The distally located enhancers are considered to communicate with their target genes through enhancer-promoter chromatin looping (Fullwood et al., 2009; Levine et al., 2014). Development of chromatin conformation capture technique (3C) and its derivative methods combined with deep sequencing (e.g. HiC, 4C, and ChIA-PET) enabled us to take a snapshot of global or

locus-specific enhancer-promoter chromatin looping (Bonev and Cavalli, 2016; Nagano et al., 2013; Simonis et al., 2006). A series of studies using these technologies provided the evidence of highly dynamic and specific nature of enhancer-promoter chromatin interactions. In addition, parallel studies have identified few proteins, including Mediator and CTCFindependent Cohesin, that are involved in enhancer-promoter chromatin looping formation (Apostolou et al., 2013; Kagey et al., 2010; Phillips-Cremins et al., 2013; Schmidt et al., 2010; Zuin et al., 2014). Furthermore, Lai et al. identified a non-coding transcript that is required for the neighboring gene expression, namely activating RNA or RNA-a, as a link between Mediator and enhancer-promoter chromatin looping formation (Lai et al., 2013). In their study, RNA-a was shown to interact with a Mediator component Med12, which in tern stimulates the kinase activity of the Mediator and induces chromatin interactions (Lai et al., 2013). All together, accumulative reports in the literature have provided interesting aspects of enhancer-promoter interactions. However, there are many unanswered questions still remained to be uncovered. What is the mechanisms of enhancer-promoter chromatin looping formation? Is enhancer-promoter communication unidirectional from the enhancer to the promoter or bidirectional between them? How is the target gene assigned to a given enhancer without affecting non-target gene expression in the same locus? For what extent does each enhancer contribute to the target gene expression when multiple enhancers control a given gene.

Collectively, successful enhancer complex formation eventually results in active transcription of the target genes. In addition, active transcription occurs at the vicinity of the TF binding sites, leading to the production of enhancer transcripts. The nature of sequence-

specific TF binding to DNA is well characterized by numerous studies involving structural, biochemical, biophysical, and genomic assays. In addition, number of coregulators have been identified and characterized in stabilized enhancer complexes with various TFs. However, there still are number of questions remain to be answered in relation to the dynamic mechanisms of enhancer complex formation involving coregulators, enhancer-enriched chromatin environment, enhancer-promoter chromatin looping, and enhancer transcripts.

1.3 Enhancer Transcription

1.3.1 Discoveries of Enhancer Transcripts

Few studies using loci-specific assays in early 2000s documented the recruitment of PNA polymerase II and GTFs not only at the activated promoter but also at the enhancer regions, where there was no annotated protein-coding or regulatory RNA genes (Johnson et al., 2001; Johnson et al., 2003; Spicuglia et al., 2002). Following studies provided evidence that those RNA polymerase at the enhancers are indeed engaged in active transcription to produce intergenic transcripts, which later named eRNAs (Ho et al., 2006; Kim et al., 2007). Finally, studies using genomic techniques uncovered genome-wide distribution of Pol II and active transcription in intergenic regions that overlap with intergenic enhancers (Brodsky et al., 2005; Carroll et al., 2006; De Santa et al., 2010 ; Kim et al., 2010). Together, these studies characterized eRNAs as unstable, non-polyadenylated and often bidirectionally

transcribed short non-coding transcripts (often < 1-2 kb) that arise from intergenic enhancers proceeding activation of the adjacent coding genes (Lai and Shiekhattar, 2014; Natoli and Andrau, 2012).

1.3.2 Transcription Regulation of eRNAs

Many enhancer features described above are also shared by active promoters. Both have relatively open chromatin states surrounded by well-positioned nucleosomes, enrichment of selective histone modifications (e.g. H3K4me2, H3K27ac, and H3K9ac) (Ernst and Kellis, 2012), enrichment of coregulators, GTFs, and RNA PolII, and active transcription (Andersson et al., 2015; Core et al., 2014; Kim and Shiekhattar, 2015). The correlation between the transcription levels at the enhancer and the target gene promoter with extensive enhancer-promoter chromatin looping brought up a question if and how those transcription events are coordinated (Hah et al., 2013).

Using transcription elongation inhibitors, Johnson *et al.* and we showed that the inhibition of enhancer transcription did not affect histone modifications or Pol II recruitment at the enhancers using β -globin and estrogen-regulated gene loci, respectively (Hah et al., 2013; Johnson et al., 2003). Johnson *et al.* further proposed that the role of the enhancer activated by p45/NF-E2 as a site of assembly for the transcription machinery, which in tern be translocated to the target gene promoter at the β -globin locus in erythroleukemia cells. Their model is developed from the observation where the deletion of p45/NF-E2 reduced histone acetylation and Pol II occupancies only at promoter without affecting their enrichment at the enhancer. Their results indicate the TF-independent Pol II recruitment at

the enhancer and the function of the TFs as a link between the Pol II loaded enhancer to the target gene promoter (Johnson et al., 2001). The Pol II translocation and tracking model from enhancers to the promoter was also supported by Wang *et al.* in androgen receptormediated gene transcription in androgen-sensitive prostate cancers using tiling ChIP-PCR assays (Wang et al., 2005). On the other hand, in the case of K^+ -stimulated transcription in neuron, Kim *et al.* reported that the production of eRNA, but not Pol II recruitment to the enhancer, is dependent on the target gene promoter using the Arc prompter deleted mouse neuron (Kim et al., 2010). Theses studies highlighted the hierarchical recruitment of transcription machineries and transcription control at the enhancer and the target gene promoter. However, the complicated relationship between the Pol II recruitment and enhancer transcription, enhancer-promoter chromatin looping, and the target gene induction still remained unclear.

In contrast to the hierarchical or dependency models of the relationship between an enhancer and the target gene promoter, other studies proposed independency in transcription events at the two distinct genomic sites. For instance, Kim *et al.* introduced mutations at TATA box in the target gene promoter on an exogenous reporter DNA containing a DNase high sensitive enhancer (a.k.a. locus control region, LCR) and the target gene ε -globin to test the relationship between the enhancer and the target gene transcription. Using the reporter construct, they demonstrated that the disruption of TATA box at the target gene promoter reduces Pol II recruitment and transcription only at the target gene without affecting either histone modifications or transcription at the enhancer, suggesting that enhancer transcription as an intrinsic nature of the enhancer. In addition, they pointed out that the TF-dependent

increase of histone acetylation and transcription observed at the enhancer is not sufficient for induction of the gene transcription, leading to the econclusion that the enhancer transcription and the target gene transcription occur as independent entities (Kim et al., 2007).

Core *et al.* further supported the notion of transcription control at enhancers and the target gene promoters using genomic strategies. Based on ChIP-exo datasets, a modified chromatin immunoprecipitation method combined with exonuclease treatment to precisely map the location of DAN binding proteins at nucleotide resolution, they showed bimodal distribution of GTFs and Pol II within the nucleosome free regions (NFRs) at distally located enhancers similar to coding gene promoters, indicating enhancer-intrinsic transcription initiation independent of, but in similar mechanisms as compared to, the target gene promoters (Core et al., 2014). Using lipopolysaccharide (LPS)-stimulated macrophages, promoter-independent recruitment of GTFs and Pol II at promoter-proximal enhancer-like regions, that are bound by context-specific TFs and enriched for H3K4me1 and H3K27ac, was also demonstrated in another independent study by Scruggs et al. (Scruggs et al., 2015). Rather, the study by Core et al. and others uncovered the drastic differences in transcript stability between enhancer transcripts and mRNAs (Andersson et al., 2014; Andersson et al., 2015; Core et al., 2014; Kim and Shiekhattar, 2015; Lubas et al., 2015; Pefanis et al., 2015; Sigova et al., 2015).

Vast majority of studies on transcription regulation using molecular biology and genomic assays including those stated above were conducted with populations of cells or nuclei. While these techniques have their own advantages, use of bulk cells may cloud key observations to understand regulatory mechanisms and functions of enhancer transcription, including whether enhancer transcription occurs in conjunction with the target gene promoter-driven transcription. For instance, the transcription at the two sites must occur in the same cells, presumably at the same allele, if the transcription control at enhancer and the target gene is coordinated or transcription of one of the two sites influences the other in cis. Rahman et al. addressed this issue using single molecular RNA FISH assays for estrogeninduced enhancer transcripts with the nascent gene transcripts. Interestingly, they reported that only less than 30% of cells expressing E2-induced gene transcripts also express eRNAs from the enhancer on either strands. These results indicate that transcription from the enhancer and the target gene promoters controlled independently. In addition, the transcription output for mRNAs in the cells that co-express eRNA and mRNA was at the equivalent level to that in the cells express the target gene transcripts without eRNA expression (Rahman et al., 2016). Since they tested only few pairs of a single enhancer to a single target gene, we cannot disregard the possibly of other enhancers controlling the target gene under the same condition. However, their study provides an important aspect for exploration of eRNA regulation and function. With recent rapid development of single-cell sequencing technologies and high-resolution and high-throughput imaging technologies (Boettiger et al., 2016; Buenrostro et al., 2015; Coleman et al., 2015; Cusanovich et al., 2015; Klein et al., 2015; Macosko et al., 2015; Nagano et al., 2013; Rotem et al., 2015), application of new experimental methods may lead us to better understanding of the functions and mechanisms of enhancer-dependent transcription.

1.3.3 Mechanisms and Functions of Enhancer Transcripts

Once eRNA production was accepted as a general feature of mammalian transcription using a number of genomic datasets in various biological contexts, the focus of the exploration moved to the functions and the mechanisms of enhancer transcripts. The correlation between TF binding, eRNA production, and the nearby gene induction observed in genomic assays suggested the functions of eRNAs as an activator for the target gene transcription (Franco et al., 2015; Hah et al., 2013; Kim et al., 2010). In deed, several studies demonstrated the functions on eRNAs in the target gene expression in a range of biological settings (Hsieh et al., 2014; Lam et al., 2013; Li et al., 2013; Melo et al., 2013; Mousavi et al., 2013; Schaukowitch et al., 2014; Sigova et al., 2015). However, the reported mechanisms vary in a great extent, with which conflict each other in some cases, including control of chromatin accessibilities, control of recruitment of TF or coregulator proteins, and induction of enhancer-promoter chromatin looping. Whether eRNAs as stable transcripts or the active transcription at the enhancers per se is critical for their functions was also debated to differentiate the modes of actions. It is also important to note that some hypothesized the enhancer transcription being noise or pervasive transcription (Koch et al., 2008; Natoli and Andrau, 2012). Few selected studies on functions and mechanisms of eRNAs are highlighted below.

Using siRNA-mediated knockdown of eRNAs, Mousavi *et al.* studied the function of myogenic transcription factor MyoD and MyoG-induced eRNAs in the myogenic target gene expression. In particular, they focused on two enhancers, the distal regulatory regions (DRRs) and the core enhancer (CE) upstream of MyoD gene. They reported that eRNA

arising from CE region acts in *cis* to regulate MyoD gene downstream of the two enhancers. Interestingly, on the other hand, eRNA transcribed from DRR is required to upregulate the expression of MyoG, which is located on another chromosome by functioning as a *trans* regulatory element, without affecting the expression of MyoD which is in the same locus with the two enhancers. In this case, eRNAs mediate the chromatin accessibility and Pol II recruitment at the genes that they act on regardless of the *cis* or *trans* mode of action (Mousavi et al., 2013). The molecular mechanisms that determine the mode of actions in *cis* or *trans* and the specificity of eRNAs to the target gene promoters, especially located in *trans*, might involve chromatin looping since interchromosomal interactions are rear but observed in other biological settings (Fullwood et al., 2009). It is also important to conduct follow-up studies to evaluate if the proposed mechanism is generalized as an eRNA function in broad biological contexts.

Others studies identified the role or eRNAs as a modulator of chromatin looping. Li *et al.* and Hsieh *et al.* investigated the role of estrogen and androgen-dependent eRNAs on estrogen and androgen-regulated gene induction. Under siRNA-mediated eRNA depletion, they showed impaired enhancer-promoter looping formation that results in the reduced hormone-dependent nearby gene expression. In these studies, eRNA functioned on the gene expression only in the same loci (Hsieh et al., 2014; Li et al., 2013). Along with these lines, Lai *et al.* exhibited the role of a non-codling RNA (a.k.a.RNA-a) in chromatin looping formation and expression of the neighboring gene by interacting and recruiting Mediator at the RAN-a transcribing loci and the target gene promoter (Lai et al., 2013). On the contrary, in other studies by Schaukowitch *et al.* and us, eRNA depletion using siRNA or transcription
inhibitor, respectively, did not present significant changes in enhancer-promoter chromatin looping or the recruitment of transcription machineries at the enhancers. (Hah et al., 2013; Schaukowitch et al., 2014). Comparisons of our previous study and the study by Li *et al.* are in particular interest as both use some overlapping genes and enhancers in the same cell line in similar conditions. One important difference in these two studies is that, while we depleted eRNAs using a transcription inhibitor, Li *et al.* used siRNA-mediated eRNA knockdown (Hah et al., 2013; Li et al., 2013). A possible explanation of the differences in the two findings may rise from a possibility that enhancer transcription as an act of transcription and eRNAs as a stable transcript may take two distinctive roles in some biological contexts such as estrogen-dependent gene expression.

Some suggested mechanisms of eRNA functions beyond the stage of transcription initiation. It has been well recognized that transcription is tightly controlled by multiple regulatory steps ranging from initiation, elongation, termination, as well as co-transcription RNA processing, which in tern regulates transcription. Thus, it may not be surprising for eRNAs to act on any of these regulatory steps. One study by Schaukowitch *et al.* using K⁺-induced transcription responses in mouse neuron demonstrated that eRNAs decoys NELF-E, a component of negative elongation factor (NELF), from the target gene promoter to allow PolII to escape from promoter-proximal pausing to proceed productive transcription. In deed, NELF-E is also know to interact with RNA *in vivo* through its RNA recognition domain (RRD) (Pagano et al., 2014; Rao et al., 2006; Rao et al., 2008; Vos et al., 2016; Yamaguchi et al., 2002). Their study expanded the boundaries of eRNA functions in gene expression.

Despite that the majority of studies on eRNA functions have reported its function in the neighboring gene regulation (Lam et al., 2013; Li et al., 2013; Schaukowitch et al., 2014), at least one eRNA regulated by myogenic TF was shown to act in *trans (Mousavi et al., 2013)*. In either case, further studies are required to answer numbers of additional questions. If they have any function to control target gene transcription, how does an eRNA determine the specificity to the target gene? In addition, as exemplified above, the modes of action in each study are distinctive. We will come to see whether the mechanisms of eRNA function are highly context-specific or there is a unifying model that could apply to wide range of biological settings.

1.3.4 Enhancer Transcription Marks Active Enhancers

Although functions and mechanisms of enhancer transcripts are still in an active debate, our previous study and others show that the production of eRNAs differentiate active enhancers from unfunctional TF binding events (Hah et al., 2013; Lai and Shiekhattar, 2014; Shlyueva et al., 2014; Wu et al., 2014; Zhu et al., 2013). In our previous study, we examined the functional relationship between enhancer transcription and the target gene expression controlled by ER α enhancers. Using ChIP-seq datasets for ER α , coregulators, and histone marks combined with datasets of GRO-seq, global run-on and sequencing which captures snapshots of the genomic localization and orientation of actively transcribing RNA polymerases, we grouped ER α binding sites (ERBSs) based on the levels of enhancer transcription in an E2-treated ER-positive breast cancer cell line. To our surprise, we found that the only ~50% of ERBSs produce enhancer transcripts. Furthermore, the group of

ERBSs with eRNA production is significantly more enriched for other active enhancer marks including the coregulator recruitment, the H3K27ac levels, and the target gene induction compared to the group of ERBSs without eRNA production regardless of ERα binding intensities. Despite numerous studies using TF ChIP-seq and ChIP-on-chip describes TF binding sites as interchangeable to active enhancer, our results highlight that TF binding does not necessarily result in active transcription. Although we have limited understanding on the mechanisms on TF-depended enhancer transcription and the target gene expression, perhaps eRNAs are produced as the end product of enhancer activation, thus distinguish active enhancer compared to inactive TF binding sites (Hah et al., 2013). Furthermore, our study underscores the importance of steps that occurs after TF binding to enhancer transcription. Further studies are required to fully understand the functions of each steps, which eventually allow us to understand how enhancer transcription discriminate active enhancers from unfunctional TF binding sites.

With the recognition that eRNAs mark active enhancers and they often exhibit unique shot bidirectional transcription units, we also demonstrated in our previous study the use of eRNAs to annotate active enhancers without knowing the TFs that drive the transcription of the enhancer. Once active enhancers were identified based on enhancer transcripts, we determined the TFs that drive the transcription of eRNAs by performing motif search at those enhancers (Hah et al., 2013). Now several studies are underway to annotate active enhancers and key TFs using this principle in various biological systems where key TFs that control biological processes are unknown (Danko et al., 2015; Fang et al., 2014; Magnuson et al.,

2015; Nagari et al., 2017, Franco et al., unpublished; Zhu et al., 2013). As such, enhancer transcripts provide a vigorous means to annotate active enhancers.

1.4 Estrogen Receptor-dependent Enhancers

1.4.1 Estrogen Receptors

Estrogen signaling presents a broad range of physiological roles in various reproductive and non-reproductive tissues including regulation of estrous cycles, the balance between osteoclast and osteoblast, and metabolism (Burns and Korach, 2012; Simpson and Santen, 2015). Also, its pro-proliferative effect plays a mutagenic role in pathological conditions including hormone-dependent breast and uterus cancers. The vast majority of the effects of estrogen in the mammary grand and the uterus are mediated by nuclear signaling and gene regulation through evolutionally conserved hormone-dependent DNA binding TF, estrogen receptor alpha (ER α) (Couse et al., 1997; Nilsson et al., 2001). Once activated, the receptors bind on the chromatin to rapidly and transiently regulate the expression of thousands of genes to exert proper physiological reactions (Hah et al., 2011; Shao and Brown, 2004).

Estrogen receptors bind to various ligands in a relatively wide structural range that is not strictly limited to steroids (Nilsson et al., 2001). The structural variation of the ligands suggests considerable flexibility in its ligand biding domain (Figure 1.1) (Shiau et al., 1998). 17-β-estradiol (E2) is a naturally circulating estrogen in mammals with high potency to ERs (Turner et al., 2007). In addition, numbers of synthetic ligands, namely selective estrogen receptor modulators (SERMs), bind to the receptors to modulate the receptor activities. Widely employed SERMs in the clinics include tamoxifen and raloxifene, which are partial agonist for ER α , and a full agonist fulvestrant (Katzenellenbogen and Katzenellenbogen, 2000). It is important to note that the term "selective" in SERMs represent the context-specific effects of these compounds. The determinant factor of their context-specific effects are still not clear, however, it is speculated that the combination of coregulators that interact with ERs in context-specific manners at least in part paly a role in excreting the tissue-specific outcomes of SERMs (Katzenellenbogen and Katzenellenbogen, 2002).

ER α adopts a classical structure of steroid hormone nuclear receptors (Figure 1.1). The N-terminal domain contain activation domain 1 (AF-1), which exhibits weak ligandindependent transactivation function. Following to the N-terminal domain, ER α harbors DNA binding domain (DBD) and hinge domain. DBD of ER α with two zinc-finger binding structures adopts the domain structure suitable for binding to sequence-specific doublestranded DNA (Nilsson et al., 2001). The C-terminal of ER α consists of the ligand binding domain (LBD) and the main activation domain, AF-2, which is secluded within LBD. LBD is composed of 12 α -helices with two β -sheets connected by linker coils. 11 α -helices (H1-11) together form a hydrophobic cliff to provide a ligand binding pocket, and the two β sheets sit on one side of the ligand binding pocket. Full agonistic ligand such as E2 binding to this domain causes structural changes where the last α -helix H12 shifts over to cover the ligand binding pocket on the opposite site from the two β -sheets. The positional shift of H12 then creates another hydrophobic cliff consist of H3-5 and H12, which serves as an interaction surfaces for coregulators. On the other hand, partial agonist such as tamoxifen or raloxifene displace the H12 from the position adjacent to the ligand binding pocket, disrupting the canonical hydrophobic surfaces required for coregulator interactions (Brzozowski et al., 1997; Shiau et al., 1998).

Upon ligand binding, ER α homo-dimerizes and bind to chromatin across numerous genomic locations. ER α binding sties are often predetermined by other chromatin-binding proteins including FoxA1 and AP2 γ (Jozwik and Carroll, 2012). Also, like enhancers controlled by other TFs, the pre-programmed ER α binding sites have other characteristics including relatively open chromatin states and enhancer-enriched histone marks such as H3K4me1 and H3K27ac (Calo and Wysocka, 2013; Hon et al., 2013). Chromatin bound ER α in turn recruits various coregulators to regulate the chromatin environment, ER α activity, and the activity of coregulators each other. Formation of the active enhancer complex stimulates establishment of additional active enhancer features including the elevation of the H3K27ac level, enhancer-promoter chromatin looping formation, and the active transcription at the enhancer as well as the target genes (Shao and Brown, 2004) (Figure 1.2).

1.4.2 Estrogen Receptor Coregulators

For ER α to execute the proper gene activation in response to estrogen, they require a number of transcription coregulators (Lonard and O'Malley, 2005; Nilsson et al., 2001). Mediator and SRCs are the two major coregulators for ER α , both of which directly interact with ER α through α -helix 12 in the main transactivation domain AF-2 of the LBD (Kang et

al., 2002; Malik and Roeder, 2005, 2010; Shiau et al., 1998). Mediator complex, interacting with ER α through its subunit Med1, is considered to bridge ER α to the general transcription factors to stabilize their interaction with Pol II and to assist transcription initiation (Chen and Roeder, 2011). On the other hand, SRCs serve as scaffold proteins to recruit many additional coregulators to ER α binding sites including bromodomain-containing lysine acetyltranscferase p300/CBP (Gojis et al., 2010; Paul et al., 2007; Yi et al., 2015).

p300/CBP is required for transcription activation controlled by various TFs by acetylating histones, TFs, and other coregulators, and thus widely used as a mark of active enhancers (Visel et al., 2009). p300/CBP are highly homologous proteins and considered to paly almost, but not exactly, redundant roles in many biological contexts (Goodman and Smolik, 2000; Vo and Goodman, 2001). The mechanisms of their recruitment vary depending on transcription factors that they work with, but it has shown to form a stable complex with ER α through SRCs (Dancy and Cole, 2015; Kim et al., 2001; Yi et al., 2015). In addition, a recent study reported that CBP interacts with eRNAs to stimulate the catalytic activity *in vitro*, expanding its role in enhancer activation (Bose et al., 2017). Furthermore, aberrant functions of p300/CBP have been implicated to play important roles in several types of cancers. Indeed, p300 is one of the most commonly mutated genes across cancer types including breast cancers (Chang et al., 2016; Farria et al., 2015; Kandoth et al., 2013).

Lysine acetylation that catalyzed by various acetyltransferases including p300/CBP performs critical roles in transcription activation. Negatively charged acetyl group neutralize the positive charge on lysine, which may affect the structure of the acetylated protein or the interactions with negatively charged molecules such as DNA. Besides influencing charges

on amino acids, acetylated lysine serves as an interaction surface for bromodomains contained in 46 distinct genes in human genome (Filippakopoulos and Knapp, 2012). BET family is a bromodomain-containing protein family that consists of four family members, BRD2, BRD3, BRD4, and BRDt (Belkina and Denis, 2012; Filippakopoulos and Knapp, 2012). During transcription activation, BRDs are recruited to acetylated histones at promoters through their bromodomains. They in tern recruit pTEFb, a positive elongation factor, which then phosphorylates the negative elongation factor to allow paused polymerases to proceed to productive transcription (Filippakopoulos and Knapp, 2012; Kanno et al., 2014; Wang and Filippakopoulos, 2015; Zeng and Zhou, 2002). Using an estrogen-treated breast cancer cell line, Nagarajan *et al.* reported an important role of BRD4 in E2-depednet gene expression (Nagarajan et al., 2014). However, the mechanisms and functions of the BRD family members at ER α enhancer complex are still not fully understood.

ER α binding to chromatin nucleates *de novo* formation of the active enhancer complex, involving coregulator recruitment and establishment of unique chromatin environments (Lonard and O'Malley, 2005). A series of studies highlighted coregulator functions on E2-dependent enhancer formation and transcription activation by observing stably formed enhancer complexes (Voegel et al., 1998; Yang et al., 2000; Yi et al., 2015). However, our knowledge on the precise molecular mechanisms of the kinetics of the assembly and functions of ER α enhancer complex formation are yet to be fully elucidated.



Figure 1.1. Domain structures of estrogen receptors.

Domain structures of ER α and ER β (top) and examples of their ligands (bottom). Both receptors consist of the activation domain 1 (AF-1), DNA binding domain (DBD), followed by the ligand binding domain (LBD), which contains the second and predominant transactivation domain 2 (AF-2). The key α -helix, H12 in the AF-2 is indicated as a red box.



Figure 1.2. ERa enhancers are associated with active enhancer features.

Upon activation, ER α binds to thousands of sites across the genome. These sites are often bound by pioneering factors such as FoxA1 and AP2 γ prior to ER α binding. The chromatin bound ER α recruits various coregulators and RNA polymerases. Enhancer activation leads to enhancer RNA (eRNA) production and enhancer-promoter chromatin interaction, that collectively regulate the target gene transcription.

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CHAPTER TWO

Enhancer Transcripts Mark Active Estrogen Receptor Binding Sites

The study described in this chapter is selected sections from the prior publication in Hah *et al.*, Genome Research, 2013. This chapter highlights the study with the sections with my contributions. The study was conducted in collaboration with Nasun Hah, Ph.D., Anusha Nagari, M.S., and Charles Dnako, Ph.D.. N.H. initiated the study, performed ChIP-qPCR, and prepared the manuscript. I designed and performed molecular biology assays except ChIP-qPCR. A.N. performed bioinformatics analysis on the GRO-seq dataset prepared by N.H. for previous publications and other genomic datasets obtained from public domains. C.D. performed preliminary genomic analysis.

2.1 Summary

We have integrated and analyzed a large number of data sets from a variety of genomic assays (e.g., GRO-seq, ChIP-seq, and DNase-seq) using a novel computational pipeline to provide a comprehensive and global view of estrogen receptor alpha (ER α) enhancers in MCF-7 human breast cancer cells. Using this approach, we have defined a class of primary transcripts (eRNAs) that are transcribed uni- or bidirectionally from ERa binding sites (ERBSs) with an average transcription unit length of ~3 to 5 kb. The majority is upregulated by short treatments with estradiol (i.e., 10, 25, or 40 min.) with kinetics that generally precede or match the induction of the target genes. The production of eRNAs at ERBSs is strongly correlated with the enrichment of a number of genomic features that have been shown to be associated with enhancers (e.g., H3K4me1, p300/CBP, and an open chromatin architecture). In the absence of eRNA production, strong enrichment of these features is not observed, even though ER α binding is evident. We find that flavopiridol, a cdk9 inhibitor that blocks transcription elongation, inhibits eRNA production, but does not affect other molecular indicators of enhancer activity (e.g., RNA pol II binding, H3K4me1 levels, enhancer looping). These results indicate that the assembly of enhancer complexes can be dissociated from eRNA production, suggesting that eRNA production occurs after the assembly of active enhancers. Together, our studies have shed new light on the activity of $ER\alpha$ at its enhancer sites and provide new insights about enhancer function in general.

2.2 Introduction

The steroid hormone estrogen plays critical roles in a variety of developmental and physiological processes, as well as many disease states (Couse and Korach, 1999; Deroo and Korach, 2006). The actions of estrogen are mediated through two estrogen receptor (ER) proteins, ER α and ER β , which display distinct, tissue-specific biological functions (Couse and Korach, 1999; Deroo and Korach, 2006; Nilsson et al., 2001). ERs function as liganddependent nuclear transcription factors that bind to cis-acting DNA regulatory elements (i.e., enhancers) to regulate gene expression programs upon binding 17β -estradiol (E2), the predominant naturally occurring ligand (Nilsson et al., 2001). The catalog of ERα binding sites ("cistrome") has been mapped across the genome in a number of cell lines and mouse tissues (reviewed in (Cheung and Kraus, 2010)), with estimates ranging from ~10,000 to \sim 30,000 sites (depending on the methods and cutoffs used) in the ER α -positive MCF-7 human breast cancer cell line, a commonly used model of estrogen action (Cheung and Kraus, 2010; Hurtado et al., 2011; Lin et al., 2007; Welboren et al., 2009). The majority of these ERa binding sites are located in genomic regions distal from transcription start sites (TSSs) (Carroll et al., 2006; Lin et al., 2007; Welboren et al., 2009). Although these studies have been provided many new insights about ERa action at enhancers across the genome, many key questions about the functions and mechanisms of action of ER α enhancers remain.

Enhancers were first characterized as regulatory elements that (1) carry sequence information for transcription factor binding, (2) are generally located far from TSSs, (3) regulate gene expression regardless of location and orientation, and (4) play key roles in

controlling tissue-specific gene expression (Bulger and Groudine, 2011; Ong and Corces, 2011). Current models posit that enhancers function by promoting communication with target gene promoters through chromatin loops or by tracking of enhancer-bound transcription factors through intervening chromatin to target gene promoters (Bulger and Groudine, 2011; Kolovos et al., 2012; Ong and Corces, 2011). Recent studies have focused intense interest on the properties of enhancers, beyond the binding of sequence-specific transcription factors, which might give clues to their mechanisms of action and aid in their identification. In this regard, histone modifications (e.g., H3 lysine 4 monomethyl, H3K4me1; H3 lysine 27 acetyl, H3K27ac), histone variants (e.g., H2A.Z), coactivators (e.g., p300, CBP, Mediator), and an open chromatin architecture (e.g., DNase I hypersensitivity) have been identified as genomic features that mark or identify enhancers (Melgar et al., 2011; Natoli and Andrau, 2012). Differential association of these features with enhancers in a given cell may define distinct classes of enhancers that specify distinct gene regulatory mechanisms and biological outcomes (Crevghton et al., 2010; Ghisletti et al., 2010; Pham et al., 2012; Rada-Iglesias et al., 2011; Shen et al., 2012; Wang et al., 2011; Whyte et al., 2012; Zentner et al., 2011). Enhancer profiles may even provide useful clinical signatures for cancer diagnosis and prognosis (Akhtar-Zaidi et al., 2012; Ross-Innes et al., 2012).

More recently, a number of studies have shown that many enhancers overlap with sites of RNA pol II binding, active RNA pol II transcription, and the production of enhancer RNAs ("eRNAs") (De Santa et al., 2010; Djebali et al., 2012; Hah et al., 2011; Kim et al., 2010; Wang et al., 2011). A common signature of enhancer transcription is the production of short (i.e., ~1 to 2 kb) eRNAs that are transcribed bidirectionally (Kim et al., 2010). We and

others have recently shown that the genomic binding sites for ER α and other steroid receptors overlap with sites of transcription (Hah et al., 2011; Wang et al., 2011). The role of transcription in enhancer function is unknown, but the act of transcription may help to create an open chromatin environment that promotes enhancer function (Natoli and Andrau, 2012). Alternatively, the stable accumulation of eRNAs may play a functional, perhaps even structural, role and may facilitate gene looping (Natoli and Andrau, 2012; Orom et al., 2010; Orom and Shiekhattar, 2011).

eRNA production, as well as the other enhancer features described above, has been used in a number of studies to identify or predict enhancers on a genome-wide basis (Fernandez and Miranda-Saavedra, 2012; Maston et al., 2012; Melgar et al., 2011; Pennacchio et al., 2007; Visel et al., 2009; Won et al., 2008). In spite of our ability to identify enhancers based on chromatin features, detect steady-state eRNA production, and monitor gene looping events, we still know little about the molecular mechanisms of enhancer function. In addition, we lack a comprehensive and integrated view of enhancer chromatin features, eRNA production, and gene looping events in a signal-regulated gene regulatory system. This information is needed to understand the molecular mechanisms of action of signal-regulated enhancers and their cell type-specific functions.

In the studies described herein, we used Global Run-on Sequencing (GRO-seq), a method that assays the location and orientation of all active RNA polymerases genome-wide (Core et al., 2008), to generate a global profile of active transcription at ER α binding sites (ERBSs) in MCF-7 human breast cancer cells in response to a short time course of E2 treatment. GRO-seq allows the detection of active or ongoing transcription at enhancers, in

contrast to the detection of steady state accumulation of eRNAs by RNA-seq. We integrated the data from our GRO-seq assays with data from a variety of other genomic assays (e.g., ChIP-seq and DNase-seq) using a novel computational pipeline to provide a comprehensive and global view of ER α enhancers and their regulation by E2 in MCF-7 cells. In addition, we used a series of locus-specific molecular assays to reveal new insights about the molecular mechanisms of ER α enhancer function. Together, our studies have shed new light on the activity of ER α at its enhancer sites and provide new insights about enhancer function in general, including the potential roles of enhancer transcription.

2.3 Results

ERa Enhancers Are Sites of Estrogen-induced Transcription

In a previous study using GRO-seq to characterize the estrogen-regulated transcriptome in MCF-7 cells, we identified hundreds of transcribed regions in the genome generating primary transcripts that overlap estrogen receptor alpha (ER α) binding sites (ERBSs) (Hah et al., 2011). In this paper, we have undertaken a comprehensive identification and analysis of ER α enhancer transcription in MCF-7 human breast cancer cells by integrating a wide array of genomic data sets with locus-specific molecular analyses. Multiple examples of transcribed ER α enhancers located upstream of estrogen-regulated target genes are shown in browser track representation in Fig. 2.1A and Fig. 2.2. These include an ERBS, which we refer to as ERBS1, located ~20 kb upstream of the promoter of

the *P2RY2* gene, as well as these additional enhancer/gene pairs: ERBS2/*GREB1*, ERBS3/*SBNO2*, ERBS4/*SMAD7*, and ERBS5/*PGR*. As shown in the GRO-seq browser tracks in Fig. 2.1A, transcription of the *P2RY2* gene and a region around ERBS1 is upregulated rapidly in a short time course of treatment with 17 β -estradiol. The transcripts from ERBS1 (Fig. 2.1A), as well as ERBSs 2 through 5 (Fig. 2.2), are produced bidirectionally from both strands of DNA, reminiscent of the enhancer RNAs (eRNAs) described previously (Kim et al., 2010), and the transcribed regions are associated with RNA pol II and previously identified transcription start sites (TSSs) (Yamashita et al., 2011).

As expected, these ERBSs are also associated with previously characterized enhancer features, including the pioneer transcription factor FoxA1, histone H3 lysine 4 monomethylation (H3K4me1), the histone acetyltransferases p300 and CBP, p160 steroid receptor coactivator proteins (SRC1, 2, and 3) (Fig. 2.1A). Like the ERBS eRNAs, many of these enhancer features are induced by treatment with E2. These ERBSs are also involved in chromatin looping events that promote physical interactions with their target genes, as defined by chromatin interaction analysis by paired-end tag sequencing (ChIA-PET; (Fullwood et al., 2009)) (Fig. 2.1A). Locus-specific molecular assays, including ChIP-qPCR, RT-qPCR, and 3C-PCR, confirm the localization of RNA pol II and H3K4me1 at these ERBSs (Fig. 2.1, B and C; Figs. 2.3A and 2.3B), as well as the steady-state production of the eRNAs (Fig. 2.1D; Fig. 2.3C; and Fig. 2.5, A through E) and enhancer looping events (Fig. 2.1E; Fig. 2.3D) as the genes are induced by E2. Interestingly, an ERBS not associated with eRNA production lacks many of the enhancer features described above (Fig. 2.2; Fig. 2.7).

Collectively, these genomic and locus-specific analyses illustrate quite clearly the range of features associated with ER α enhancers, including the production of eRNAs.

Global Identification and Characterization of Estrogen-regulated ERa Enhancer Transcripts

To obtain a global view of ER α enhancer transcripts, we developed a computational pipeline that allowed us to identify eRNAs overlapping with intergenic ERBSs (Fig. 2.4A). Starting from a set of all ERBSs (~10,000) defined previously (Welboren et al., 2009), we narrowed the list to those that are intergenic (i.e., >10 kb away from the beginning or end of an annotated RefSeq gene; ~ 3000). We then separated them in to those that have ($\sim 1,500$) and those that do not have (~ 1.500) an overlapping transcript, as defined by GRO-seq. Next, we classified those with overlapping transcripts based on the production of transcripts from both strands of DNA ("Paired"; 715) or from one strand of DNA ("Unpaired"; 882). Finally, we applied a length filter for the transcription unit/primary transcript, defining those <9 kb as "short" and those ≥ 9 kb as "long". The average length of the primary transcripts in each category, as well as the average length of the overlap for the paired transcripts, are shown schematically in Fig. 2.4B. The position and orientation of the transcripts relative to the ERBSs are shown schematically in Fig. 2.4C. For the purposes of the remaining studies shown herein, we focused on two classes of enhancer transcripts: (1) short unpaired (S-U) and (2) short-short paired (S-S), which we call eRNAs. Many of the transcripts in the remaining classes (i.e., long unpaired, long-short paired, and long-long paired) are likely to

represent long non-coding RNAs and their associated antisense RNAs. They are beyond the scope of the current study and are not considered further herein.

Production of the short unpaired and short-short paired ER α enhancer transcripts is regulated by E2 over a short time course of treatment (0, 10, 40, and 160 min.) (Fig. 2.4D). About 70 percent of the transcripts are E2 upregulated, with maximum effects for most upregulated transcripts occurring at 40 min. and for most downregulated transcripts occurring at 160 min. (Fig. 2.4D). The upregulation is evident in metagenes of the GRO-seq data (Fig. 2.2E) and corresponds to the levels of RNA pol II at the ERBS, as expected (Fig. 2.4, F and G).

The Production of eRNAs from ERBSs Positively Correlates with a Wide Variety of Enhancer Properties

To better understand how the production of eRNAs from ERBSs may relate to enhancer function, we mined a large number of existing genomic data sets from MCF-7 cells (see Materials and Methods, Genomic Datasets). Although all three classes of ERBSs that we examined (i.e., those with S-S paired eRNAs, short unpaired eRNAs, and no eRNAs) have similar mean and median levels of ER α binding by ChIP-seq (Fig. 2.5A), considerable differences were observed among these groups with respect to other enhancer properties. For example, ERBSs producing short bidirectional eRNAs (i.e., S-S paired transcripts) have considerably higher mean and median levels of pioneer factors (e.g., FoxA1, AP2 γ ; (Carroll et al., 2005; Hurtado et al., 2011; Tan et al., 2011)), ER α coregulators (e.g., CBP and SRC3), enhancer histone modifications (e.g., H3K4me1), as well as the most accessible chromatin structures (defined by DNase-seq), than ERBS producing no transcripts (Fig. 2.5). Together, these data indicate that the production of eRNAs at ERBS correlates with properties that are generally associated with active enhancers.

Inhibition of eRNA Production by Flavopiridol Does Not Inhibit Enhancer Complex Assembly or Looping to Target Gene Promoters

Our results have shown that the production of eRNAs from ERBSs correlates with many indicators of "active" enhancers (e.g., RNA pol II, coregulators, H3K4me1, looping to target gene promoters), but the precise role of eRNAs in enhancer function are unknown. The act of enhancer transcription may serve to promote the formation of an open chromatin structure required for enhancer function. Alternatively, stably accumulated eRNAs may play a structural role that promotes enhancer loop formation. To address the role of E2-induced eRNAs in ER α enhancer function, we used the small molecule drug flavopiridol (FP), an inhibitor of the cdk9 kinase of the P-TEFb complex (Chao et al., 2000), to block the production and stable, steady-state accumulation of eRNAs originating from ERBSs. MCF-7 cells were pretreated with FP for 1 hour prior to treatment with E2 for the times indicated in the figures. In locus-specific assays using RT-qPCR, FP efficiently blocked the production and accumulation of eRNAs produced from all five of the ERBSs that we examined, as well as the production and accumulation of mRNAs from the E2-regulated target genes controlled by these enhancers (Fig. 2.6, B and D; Fig. 2.7). This experimental system gave us the opportunity to examine the assembly of ER α enhancer complexes in the absence of eRNAs.

Treatment of MCF-7 cells with FP did not affect the E2-dependent binding of ER α or RNA pol II at the ERBSs (Fig. 2.6, A and C), which occurred normally in the presence of the drug. Likewise, treatment with FP did not dramatically affect the recruitment of coregulators to the ERBSs (Fig. 2.6, E and F). Thus, although the production of eRNAs correlates well with markers of active enhancers, the production and stable accumulation of eRNAs are not required for the assembly of ER α enhancer complexes at ERBSs. Furthermore, treatment with FP did not affect E2-dependent looping between ERBSs and E2-regulated target genes (Fig. 2.6, G and H), indicating that the production and stable accumulation of eRNAs are not required enhancer looping, at least under the conditions that we tested herein.

2.4 Discussion

In the studies described herein, we integrated and analyzed a large number of genomic data sets using a novel computational pipeline to provide a comprehensive and global view of ER α enhancers in the MCF-7 human breast cancer cell line. The data sets that we analyzed included (1) GRO-seq from a short time course of E2 treatment, which allowed us to monitor active transcription at ERBSs, (2) ChIP-seq data ± E2, which allowed us to monitor histone modifications (e.g., H3K4 me1 and me3), the binding of pioneer factor (FoxA1) and the binding of coregulators (e.g. CBP and SRC3), and (3) DNase-seq ± E2, which allowed us to monitor the chromatin state at ERBS. From our analyses, we have made a number of observations that shed new light on the activity of ER α at its enhancer sites and

provide new insights about enhancer function in general. These aspects of our studies are discussed below.

ERBS Are Actively Transcribed in an Estrogen-dependent Manner

The results from our GRO-seq analysis indicate that about half of all intergenic ERBSs overlap an actively transcribed region (Fig. 2.4A). By our definition, this excludes transcribed annotated protein coding genes, but may include transcribed lncRNAs and their associated antisense RNAs. By applying a length filter, as described in Fig. 2.4B, we have defined a class of primary (i.e., unprocessed) ER α enhancer transcripts that are similar to the eRNAs described previously (Kim et al., 2010) and have the following properties. First, they originate from one or both strands of DNA, with an average transcription unit (i.e., primary transcript) length of \sim 3 to 5 kb and, for the bidirectional transcripts, an overlap of \sim 3 kb (Fig. 2.2A). Second, the majority are upregulated by short treatments with E2 (i.e., 10, 25, or 40 min.) (Fig. 2.4, D and E) with kinetics that, in many cases, precede or match the induction of the target gene (Fig. 2.1D; Fig. 2.3C; Fig. 2.7). Third, steady-state products of the enhancer transcripts are detectable by RT-qPCR using either random hexamer or oligo(dT) primers for RT (Fig. 2.1D; Fig. 2.3C; Fig. 2.7). The signals obtained from the oligo(dT) primers suggest that these transcripts may be polyadenylated, but per perhaps minimally so since they do not give strong signals in poly(A) RNA-seq data sets from MCF-7 cells (data not shown). Finally, many are 5' 7-methylguanosine capped based on TSS-seq (Yamashita et al., 2011) and locus-specific capping assays (data not shown). These results, which are consistent with previous reports of enhancer transcription and eRNAs (De Santa et al., 2010; Djebali et al.,

2012; Hah et al., 2011; Kim et al., 2010; Wang et al., 2011), provide new information by characterizing the active transcription of enhancers and, for specific loci, relating it to the steady-state levels of eRNAs.

About half of the intergenic ERBSs that overlap an actively transcribed region are associated with the production of short eRNA transcripts with the aforementioned properties. Interestingly, half of ERBSs do not overlap an actively transcribed region. Our hypothesis, which we tested in a number of different ways herein, is that the production or absence of eRNAs may functionally distinguish different classes of ERBSs. In this regard, we note that although all ERBSs are enriched for ERE motifs, those associated with eRNAs show a different enrichment of transcription factor motifs than those not associated with eRNAs. Distinctions between ERBSs with and without eRNAs are discussed in more detail below.

eRNA Production Positively Correlates with Features of Active Enhancers

The enrichment of a number of genomic features have been proposed to be marks of active enhancers, including H3K4me1, H3K27ac, p300/CBP, RNA pol II, and an open chromatin architecture (reviewed in (Maston et al., 2012; Natoli and Andrau, 2012)). Our results indicate that the production of eRNAs at ERBSs strongly correlates with the enrichment of these features (Fig. 2.5). In the absence of eRNA production, strong enrichment of these features is not observed, even though ER α binding is evident. In addition, we found that the E2-dependent production of eRNAs at ERBSs also strongly correlates with the binding of the p160 steroid receptor coregulators SRC3 (Fig. 2.5D). SRC proteins interact with ER α in an E2-dependent manner through a hydrophobic cleft on the

ligand binding domain of the receptor (Heery et al., 1997; Torchia et al., 1997). In addition, SRC proteins interact directly with p300 and CBP, thus allowing them to promote the indirect binding of p300 and CBP to DNA-bound ER α (Kim et al., 2001; Torchia et al., 1997). Thus, E2-dependent formation of the DNA-ER α -SRC-p300/CBP complex is likely to represent an initial step in the formation of an active enhancer at ERBSs.

Collectively, our results demonstrate that active transcription at ERBSs, as determined by GRO-seq, tracks with genomic features thought to be marks of active enhancers. From these results, we can begin to understand the order of events that lead to the assembly of an active enhancer complex at ERBSs. The process is initiated by E2-bound ER α , which binds to direct (i.e., ERE-mediated) or indirect (i.e., tethered) sites across the genome and then nucleates the formation of enhancer complexes containing coregulators, some of which function as histone-modifying enzymes, as well as RNA pol II and perhaps looping factors, such as Mediator and cohesin. Histone modification, such as H3K4me1, looping, and eRNA production then follows.

The Assembly of Enhancer Complexes can be Dissociated from eRNA Production

The function of enhancer transcription and the stable, steady-state accumulation of eRNAs are unknown. Some have suggested that the active of transcription helps to create an open chromatin environment that promote enhancer function, while others have suggested that the stable accumulation of eRNAs may play a functional, perhaps even structural, role (Bulger and Groudine, 2011; Maston et al., 2012; Natoli and Andrau, 2012; Orom and Shiekhattar, 2011). These are challenging questions that require continued study. Two

aspects of our results have shed some light on these questions, as well as the order of operations at signal-regulated enhancers. First, using the drug FP, we showed that many features of enhancers, including the assembly of enhancer complexes and the modification of histones, can be dissociated from eRNA production. FP efficiently blocks enhancer transcription and the stable, steady-state accumulation of eRNAs at ERBSs, but had no effect on any of the E2-dependent enhancer features that we examined, including enhancer-promoter looping (Fig. 2.6; Fig. 2.7). Together, these results clearly show that the assembly of enhancer complexes can be dissociated from eRNA production, suggesting that eRNA production occurs after the assembly of active enhancers. These results, however, do not suggest that eRNA production is unnecessary for enhancer function or target gene activation. In fact, FP also inhibits target gene activation (Fig. 2.6, B and D; Fig. 2.7), so the potential role of eRNA production in that aspect of enhancer function could not be assayed in our studies. Further studies will be required to resolve these issues.

2.5 Methods

Cell Culture and Treatments

MCF-7 human breast adenocarcinoma cells were kindly provided by Dr. Benita Katzenellenbogen (University of Illinois, Urbana-Champaign). The cells were maintained in minimal essential medium (MEM) supplemented with Hank's salts (Sigma) and 5% calf serum. The cells were plated for experiments in phenol red-free MEM (Sigma)

supplemented with 5% charcoal-dextran treated calf serum (CDCS) for at least three days prior to hormone or drug treatment. As indicated for the different experiments, the cells were treated with 100 nM E2 for the times specified. For the transcription inhibition experiments, the cells were pretreated with or without 1 μ M flavopiridol (Sigma) for 1 hour prior to treatment with E2.

Antibodies

The antibodies used for chromatin immunoprecipitation (ChIP) assays are as follows: ERα (rabbit polyclonal generated in Kraus lab); Pol II (sc-899), SRC2 (sc-343), SRC3 (sc-9119), p300 (sc-585), CBP (sc-369), and non-immune IgG (rabbit polyclonal from Santa Cruz Biotech); H3K4me1 (ab8895), H3K4me3 (ab8580), H3K27ac (ab4729), and H3 (ab1791) (rabbit polyclonal from Abcam).

Chromatin Immunoprecipitation-quantitative PCR (ChIP-qPCR)

MCF-7 cells were grown in estrogen free medium to ~80% confluence and then treated with 100 nM E2 for the indicated time, with or without 1 μ M Flavopiridol (Sigma) for 1 h prior to E2 treatment. ChIP analyses were conducted as described previously (Kininis et al., 2007), with a few modifications. Treated MCF-7 cells were crosslinked with 1% formaldehyde for 10 min. at 37°C, followed by quenching with 125 mM glycine for 5 min. at 4°C. The crosslinked cells were washed with PBS, harvested, lysed with lysis buffer [Tris•HCl (pH 7.9), 0.5% SDS, 10 mM EDTA, 1 mM DTT, 1x protease inhibitor cocktail (Roche)] and subjected to sonication for 7 cycles of 20 seconds each at setting high using a
Diagenode Bioruptor to obtain ~500 bp DNA fragments. The lysate was incubated with the antibodies indicated along with a rabbit IgG control after input material was removed, followed by incubation with protein A-agarose beads for 1.5 hours. The immunoprecipitates were collected and washed with wash buffer [20 mM Tris•HCl (pH 7.9), 0.25% NP-40, 0.05% SDS, 2 mM EDTA, 250 mM NaCl, 1x protease inhibitor cocktail (Roche)] at 4°C and eluted by incubating overnight in elution buffer [100 mM NaHCO₃, 1 % SDS] at 65°C to reverse the crosslinks, followed by digestion with proteinase K. The ChIP'ed DNA was subjected to phenol:chloroform extraction and analyzed by qPCR using gene-specific primers as below and a 384-well real-time PCR thermocycler with SYBR Green detection. Each experiment was performed a minimum of three times with independent biological samples to ensure reproducibility.

Gene	<u>Name</u>	Sequence (5' to 3')
• P2RY2	ERBS1-Fwd	CCATCAAAGCTGTTGCTTCT
	ERBS1-Rev	CCAGGATAGTGCCAGTGAAC
• GREB1	ERBS2-Fwd	TAGGCTTCAAGAGGACCACA
	ERBS2-Rev	AGCAGCAAAACTGCATAGGA
	ERBS3-Rev	TCCCAGTCCATCTATCCTCA

Analysis of eRNAs and mRNAs by Reverse Transcription-quantitative PCR (RT-qPCR)

MCF-7 cells were grown in estrogen free medium to \sim 80% confluence and then treated with 100 nM E2 for the indicated time, with or without 1 μ M Flavopiridol (Sigma)

for 1 h prior to E2 treatment. RT-qPCR detection of eRNAs and mRNAs were performed as described previously (Sun et al.), with some minor modifications. Total RNA was isolated from the treated cells using TRIzol reagent (Invitrogen) and subjected to RT using random hexamers and M-MLV Reverse transcriptase (Promega). The cDNA was then subjected to qPCR analysis using a Roche LightCycler 480 system with SYBR Green detection and gene-specific primers asfollows. Each experiment was performed a minimum of three times with independent biological samples to ensure reproducibility.

Gene/ERBS	<u>Name</u>	Sequence (5' to 3')
• <i>P2RY2</i> /ERBS1	eRNA-Fwd	AGGCAAATCCATTGTCATCC
	eRNA-Rev	AACTGGCTGGATCTTGAAGC
	mRNA-Fwd	CGGTGGACTTAGCTCTGAGG
	mRNA-Rev	GCCTCCAGATGGGTCTATGA
• GREB1/ERBS2	eRNA-Fwd	GGGAATAGAGCCCTGAGCTT
	eRNA-Rev	TTGATCTGCTCTTGCCTGAA
	mRNA-Fwd	CCTATTTTGGAATAAAAACTGACC
	mRNA-Rev	GGGGAGAATGACACAAAAGC
• SBNO2/ERBS3	eRNA-Fwd	CCTGTATTCTGGGGGGCACTA
	eRNA-Rev	CTCACCCCATCCAGTACACC
	mRNA-Fwd	GACTGGGCACCCACAAGGGC
	mRNA-Rev	GGAAGGGCTGGGGGGAGGGAG
• SMAD7/ERBS4	eRNA-Fwd	GGCATAGCTAGGACCTCACC

	eRNA-Rev	GAGGGAGGAAAGTGGCTTCT
	mRNA-Fwd	AAGAGAAGCATTCTCATTGGAAA
	mRNA-Rev	TCAGGAGTCCTTTCTCTCTCAAA
• PGR/ERBS5	eRNA –Fwd	ATGCAGAGCCATTGCAAAAT
	eRNA –Rev	ATCAGCAAGATGCAAACACG
	mRNA-Fwd	TTGCCAAGAAGGTGAAACTG
	mRNA-Rev	CTTTGCATTGTCACCCCATC
• ERBS6	ERBS6-Fwd	TGTGGAAGCTGCATTCTTTG
	ERBS6-Rev	TCAGAACCATGCAGAACCTG

Chromosome Conformation Capture (3C)

Chromosome conformation capture was conducted as previously described (Pan et al., 2008), with the following modifications.

Treatment and Crosslinking of Cells. MCF-7 cells were grown in estrogen free medium to ~80% confluence and then treated with 100 nM E2 for 40 min., with or without 1 μ M Flavopiridol (Sigma) for 1 h prior to E2 treatment. They were then fixed with 1% formaldehyde for 10 min. After quenching with 200 mM glycine for 5 min, the cells were lysed by douncing in lysis buffer [10 mM Tris•HCl (pH 7.5), 10 mM NaCl, 0.2% Triton-X, 1x protease inhibitor cocktail (Roche)] and incubating with gentle mixing at 4°C for 30 min. The nuclei were collected by centrifugation.

DNA Digestion. The isolated nuclei were dispersed in 1.2x restriction enzyme buffer supplied by the manufacturer (NEB) with 0.3% SDS, followed by gentle mixing at 37°C for

one hour. Triton-X was then added at 2% final concentration and incubated with gentle mixing at 37°C for one hour. The DNA was digested with gentle mixing at 37°C overnight with 400 U of restriction enzyme (NEB). The enzymes used were *BglII* for *P2RY2* and *BtgI* for *GREB1*.

DNA Ligation. The digestion reaction was terminated by the addition of 1.6% SDS with incubation at 65°C for 20 min. with gentle mixing. The digested nuclei were then transferred to a 50 mL conical tube containing 6.125 mL ligation buffer [1.15x T4 DNA ligase buffer (NEB) and 1% Triton-X100] and incubated at 37°C for one hour with gentle mixing. Ligation was performed by the addition of 2000 U of T4 DNA Ligase (NEB) with incubation at 16°C for 4 hours, followed by incubation at 25°C for 30 min. As a control, similar samples were incubated without ligase. After digestion with Proteinase K, the DNA was de-crosslinked incubating at 65°C overnight and then purified using phenol-chloroform extraction, followed by ethanol precipitation.

Loop Detection. Nested PCR to detect chromatin interactions was performed using Taq DNA polymerase (NEB). Each primer set follows was designed unidirectionally upstream of the restriction enzyme digestion sites such that PCR amplifies any DNA resulting from ligation between the hub and a test site. Digested and ligated bacterial artificial chromosome (BAC) DNA spanning the entire locus for each gene analyzed was used as a PCR control. Each experiment was performed three times with independent biological samples to ensure reproducibility.

GREB1

Na	me	Outer Primer Sequence (5' to 3')	Inner Primer Sequence (5' to 3')
L	Fwd	ACTCCATTCTTACTCCAGTT	GAGAATGTTTGACACTGCTA
	Rev	AGACCCCTTACACAGTCA	GACATGTCTTTGATGTTTTC
a	Fwd	ACTCCATTCTTACTCCAGTT	GAGAATGTTTGACACTGCTA
	Rev	GTTCAAGCAGTCCGAGTA	AGGTGATCTGCCTATCTCT
b	Fwd	ACTCCATTCTTACTCCAGTT	GAGAATGTTTGACACTGCTA
	Rev	CATGATTTGTTTTATCTTCC	GGAATTGTTCATCTTCTTTC
c	Fwd	ACTCCATTCTTACTCCAGTT	GAGAATGTTTGACACTGCTA
	Rev	ACCTGAACCTTCTAAGTAGC	CACAGCCAGTTAATTTTTAT
d	Fwd	ACTCCATTCTTACTCCAGTT	GAGAATGTTTGACACTGCTA
	Rev	AACAGTAGATGCTCTGTGAG	CGAGTAGCTGGGATTACA
e	Fwd	ACTCCATTCTTACTCCAGTT	GAGAATGTTTGACACTGCTA
	Rev	GACTCATTTGAGGTTCGT	GAATCTTCCTTTTCCTCTC

P2RY2

Na	me	Outer Primer Sequence (5' to 3')	Inner Primer Sequence (5' to 3')
L	Fwd	GCAGGAGGATTTCAAGTA	AGCAACAAGAGGTAGAGC
	Rev	AGCAAATGTTTACTCAGAAG	GGAGATGCTTATGTGGTG
a	Fwd	GCAGGAGGATTTCAAGTA	AGCAACAAGAGGTAGAGC
	Rev	AGGACAGTTAAGCCTCTG	GGTAGAAAGGGTCAGTCA
b	Fwd	GCAGGAGGATTTCAAGTA	AGCAACAAGAGGTAGAGC

	Rev	CAGAAATGTTGTGAGAACTAA	ACATACACAGAGTGCTGTTC
c	Fwd	GCAGGAGGATTTCAAGTA	AGCAACAAGAGGTAGAGC
	Rev	CTGGTTTACCAACAATGATA	ATAGCAACCAGAACAGAGA
d	Fwd	GCAGGAGGATTTCAAGTA	AGCAACAAGAGGTAGAGC
	Rev	GACTAAGCTCCAGAGTGTTT	CTCCACCTCCCTTATCTAC

Analysis of GRO-seq Data

GRO-seq data were analyzed using software described previously (Hah et al., 2011) and the approaches described below. Software, scripts, and other information can be obtained by contacting W. Lee Kraus.

Read Alignment. GRO-seq reads were aligned to human reference genome (hg18), including autosomes, X chromosome, and one complete copy of an rDNA repeat (GenBank ID: U13369.1). The SOAP.2.21 software package (Li et al., 2009) was used to align the reads using the following parameters: (1) all n mappings were removed (-r 0); (2) three mismatches were allowed in each mapped read (-v 3); (3) low-quality reads with more than 10 ambiguous bases were removed (-N 10); and (4) for reads failing to align over the entire length of the read, the first 32 bp was used (-I 32).

Transcript Calling. Transcript calling was performed using a two-state hidden Markov model using the GRO-seq data analysis package described in Hah *et al.* (2011). We used a shape setting parameter of 5 and -log transition probability of 200 to predict the transcription units. The predicted transcripts were assigned into six classes as described in

Hah et al. (2011) using annotations from RefSeq, ENSEMBL, and UCSC Known Gene databases.

Defining Classes of ERa Enhancer Transcripts (eRNAs). The repertoire of genomic ER α binding sites (ERBSs) was extracted from ChIP-seq data provided in Welboren *et al.*, (2009) (GEO accession number GSM365926). Those ERBSs >10 kb away from the 5' or 3' ends of annotated genes were defined as "Intergenic ERBSs." They were divided into three classes based on the presence, location, and orientation of GRO-seq-defined transcripts: (1) those overlapping transcripts originating from both strands of DNA, running in opposite directions as a divergent pair; (2) those overlapping a transcript originating from one strand of DNA only ("Unpaired); and (3) those not overlapping a transcript. The transcripts in classes 1 and 2 were further categorized based on the length of the transcript unit/primary transcript as 'short' (length < 9 kb; eRNAs, by our definition) and 'long' (length >9 kb; which likely represent other classes of non-coding RNAs, such as lncRNAs).

Transcript Maps. Individual eRNAs were visualized in the transcript map shown in Fig. 2.2C at genomic positions relative to the associated intergenic ERBS using custom PERL scripts and R. The transcript maps were centered on the ERBSs and the relative positions of each eRNA with respect to the corresponding ERBS was plotted (shorter and longer eRNAs in the pair: blue and red, respectively; unpaired eRNA: red). The transcript maps were ordered based on the length of the shorter eRNA in the pair or on the length of the unpaired eRNA.

Determining Estrogen Regulation of Transcripts and Generating Heat Maps. The effects of E2 treatment on the expression of the eRNAs were analyzed using edgeR

(Robinson et al., 2010), as described previously (Hah et al., 2011). We used the 10 min., 40 min., and 160 min. E2 treatment time points (two biological replicates for each time point) to determine the E2-dependent regulation of eRNAs. The results were plotted as a heat map using Java TreeView (Saldanha, 2004), ordered based on the magnitude of expression at the 40 min. time point.

Analysis of ChIP-seq Data

ChIP-Seq datasets from MCF-7 cells were obtained from the NCBI Gene Expression Omnibus (GEO) (<u>http://www.ncbi.nlm.nih.gov/geo/</u>) and the ArrayExpress (<u>http://www.ebi.ac.uk/arrayexpress/</u>) on-line databases. The data sets are listed in Supplemental Table S2. The raw files were aligned to hg18 using BOWTIE (Langmead et al., 2009). Uniquely mappable reads were converted into bigWig files using BEDTools (Quinlan and Hall, 2010) for visualization in the UCSC genome browser.

Genomic Data Analysis and Visualization

We used the following approaches to summarize and visualize genomic data from GRO-seq, ChIP-seq, and ChIA-PET.

Metagenes. Metagenes were used to illustrate the distribution of GRO-seq, ChIP-seq, and ChIA-PET reads around ER α peak maxima (or other genomic features) using the metagene function in our GRO-seq package (Hah et al., 2011).

Boxplots. Boxplot representations were used to minimize the bias caused by outliers in the data, which can overly influence metagene representations. The boxplots allowed for

accurate comparisons across ERBSs with S-S paired eRNAs, S-unpaired eRNAs, and without eRNA. The read distribution in a 2 kb window (\pm 1 kb) around the ERBSs was calculated and plotted using the boxplot function in R. The inter-quartile regions (IQRs) of the boxplots were used to plot metagenes centered on the ERBSs. All the metagenes and boxplots were scaled to a library size of 15 million reads to normalize against different read densities.

2.6 Genomic Datasets

ChIP-seq

- Pol II (-E2, +E2) GSM365929, GSM365930
- ERα (-E2, +E2) GSM365925, GSM365926
- FOXA1 (-E2, +E2) GSM588929, GSM588930
- CBP (-E2, +E2) ERR045723, ERR045724
- SRC3 (-E2, +E2) ERR045716, ERR045715, ERR045719, ERR045720
- H3K4me1 (-E2, +E2) GSM588569, GSM588568
- H3K4me3 (-E2, +E2) GSM588571, GSM588570
- ChIA-PET (+E2) ChIA-PET visualization browser (<u>http://cms1.gis.a-star.edu.sg</u>)*
- DNase1 (-E2, +E2) GSM822389, GSM822390
- TSS SRA003625 (GenBank/DDBJ)*

GRO-seq

• MCF-7 (-E2, +E2) SE43836

2.7 References

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Figure 2.1. The ERa enhancer of the estrogen-responsive P2RY2 gene produces bidirectional transcripts in MCF-7 cells.

(A) Browser tracks of GRO-seq, ChIP-seq (Pol II, ER α , FoxA1, and H3K4me1), ChIA-PET, TSS locations, and gene annotation for *P2RY2* and its distal ER α binding sites (ERBS1). The data are from MCF-7 cells treated with a time course of E2 (GRO-seq) or a single time point of E2 (45 or 60 min.). Previously identified TSSs based on a previously published data set from MCF-7 cells (Yamashita et al. 2011) are located as indicated. The locations of primers used for 3C assays are indicated by orange arrows. The black bars shown for the ChIA-PET data indicate the "head" and "tail" making contact in the gene loops, which are indicated by the dotted black lines. Scale bars show the length of the indicated region.

(**B** and C) ChIP-qPCR analyses showing recruitment of ER α and Pol II (B) or levels of H3K4me1, me3, and H3 (C) at ERBS1 in response to a time course of E2 treatment. Each bar represents the mean + the SEM for three or more independent biological replicates.

(D) RT-qPCR analyses showing the expression of ERBS1 eRNA and P2RY2 mRNA in response to a time course of E2 treatment. Each bar represents the mean + the SEM for three or more independent biological replicates.

(E) 3C-PCR assay showing E2-induced looping between ERBS1 and the P2RY2 gene. The lower case letters correspond to the primers denoted by orange arrows shown in panel A. The assays were conducted in the presence (experimental) or absence (control) of DNA ligase, as indicated. Digested and ligated bacterial artificial chromosome (BAC) DNA spanning the entire P2YR2 locus was used as a PCR control. The size of the PCR fragments in bp is shown. One representative experiment from three conducted is shown.

[Figure 2.1 is on the next page]



65

-600 bp

100 bp

-600 bp

100 bp

Figure 2.2. Schematics of genomic loci examined in detail in this study.

Genome browser tracks showing gene annotations and ER α ChIP-seq data. Red arrows indicated the ERBSs that were examined by ChIP-qPCR. Orange arrows indicated the location of primer sets that were designed for the 3C analyses. The black arrows above the gene annotations indicate the direction of transcription for the annotated genes. Scale bars show the length of the indicated region. (A) ERBS1 and the *P2RY2* gene. (B) ERBS2 and the *GREB1* gene. (C) ERBS3 and the *SBNO2* gene. (D) ERBS4 and the *SMAD7* gene. (E) ERBS5 and the *PGR* gene. (F) ERBS6, which was used as a control enhancer that does not produce eRNAs.

[Figure 2.2 is on the next page]



Figure 2.3. Dynamics of ER α enhancer activity for the E2-responsive GREB1 gene in MCF-7 cells.

Locus-specific molecular assays for E2-responsive enhancers. Each bar represents the mean + the SEM for three or more independent biological replicates.

(A) ChIP-qPCR analyses for ER α and Pol II at a distal enhancer of the GREB1 gene (ERBS2) in response to a time course of E2 treatment.

(B) ChIP-qPCR analyses for H3K4me1, H3K4me3, and bulk H3 at ERBS2 in response to a time course of E2 treatment.

(C) RT-qPCR analyses for ERBS2 eRNA and *GREB1* mRNA in response to a time course of E2 treatment.

(D) 3C-PCR assays for E2-induced looping between ERBS2 and the *GREB1* gene. The lower case letters correspond to the primers denoted by orange arrows shown in Supplemental Figure 2.2. The assays were conducted in the presence (experimental) or absence (control) of DNA ligase, as indicated. Digested and ligated bacterial artificial chromosome (BAC) DNA spanning the entire *GREB1* locus was used as a PCR control. The size of the PCR fragments in bp is shown. One representative experiment from three conducted is shown.

[Figure 2.3 is on the next page]



Figure 2.4. Genome-wide identification of ER α enhancer transcripts in MCF-7 cells using GRO-seq.

(A) Flowchart of ERBS classification in MCF-7 cells based on genomic location, eRNA production, and length of the transcribed region based on ChIP-seq and GRO-seq.

(B) Schematics of average transcribed regions overlapping ERBSs in MCF-7 cells in five classes: (a) short unpaired, (b) long unpaired, (c) short-short paired, (d) short-long unpaired, and (e) long-long, paired. "Short" and "Long" indicates a transcribed region <9 kb or ≥ 9 kb, respectively. Red and blue boxes indicate transcription from opposite strands.

(C) Graphical representation of the positions and orientations of eRNAs (indicated by red and blue lines) relative to $ER\alpha$ binding sites (indicated by yellow oval and line) for unpaired and paired eRNAs in MCF-7 cells. The position relative to the ERBS is indicated in kb. a through e correspond to the categories shown in panel B. Red and blue lines indicate transcription from opposite strands.

(D) Heat map showing the expression of E2-regulated short unpaired (S-U) and short/short paired (S-S) eRNAs over a time course of E2 treatment in MCF-7 cells based on GRO-seq data. The data were median centered and scaled to the 0 min. time point. Yellow and blue indicate upregulated and downregulated transcripts, respectively. Only unique transcripts are shown (i.e., those transcripts that overlap more than one ERBS are represented once).

(E) Metagene analyses of GRO-seq reads surrounding ERBS associated with short/short paired transcripts, short unpaired transcripts, or no transcripts in MCF-7 cells \pm E2 treatment. (F) Metagene analyses of Pol II ChIP-seq reads surrounding ERBS associated with short/short paired transcripts, short unpaired transcripts, or no transcripts in MCF-7 cells \pm E2 treatment.

(G) Box plot representation of GRO-seq and Pol II ChIP-seq reads associated with short/short paired transcripts (S-S), short unpaired transcripts (S-U), or no transcripts in MCF-7 cells \pm E2 treatment.

[Figure 2.4 is on the next page]



Figure 2.5. The production of eRNAs from ERBSs positively correlates with the recruitment of coactivators, the levels of histone modifications, and the chromatin state in MCF-7 cells.

Browser tracks, metagenes, and boxblots showing a positive correlation between eRNA production at ERBS with known markers of enhancer function. *(Left two panels)* Browser track representations of coactivator or histone modification ChIP-seq data, or DNase-seq data, as indicated on the y-axis for ERBS1 and ERBS6. *(Middle three panels)* Metagene analyses of ChIP-seq or DNase-seq read counts for sets of ERBSs with short-short paired, short unpaired, or no transcripts in the presence *(green line)* or absence *(black line)* of E2 treatment. *(Right panel)* Box plot representations of ChIP-seq or DNase-seq data for sets of ERBSs with short-short paired *(blue boxes)*, short unpaired *(maroon boxes)*, or no transcripts *(yellow boxes)* in the presence (+) or absence (-) of E2 treatment.

(A) ERα ChIP-seq.
(B) FOXA1 ChIP-seq.
(C) CBP ChIP-seq.
(D) SRC3 ChIP-seq.
(E) H3K4me1 ChIP-seq.
(F) H3K4me3 ChIP-seq.
(G) DNase-seq.

[Figure 2.5 is on the next page]



Figure 2.6. Inhibition of eRNA production by flavopiridol does not inhibit ERα, Pol II, or coregulator binding, alter H3K4me1 or H3K27ac levels, or prevent enhancer looping at ERBSs in MCF-7 cells.

Locus-specific assays for E2-responsive enhancers showing the effects of a 1 hour pretreatment with flavopiridol (FP) on various molecular outcomes in MCF-7 cells . Each bar represents the mean + the SEM for three or more independent biological replicates.

(A and B) Treatment with flavopiridol inhibits the E2-dependent production and steady-state accumulation of eRNAs and target gene mRNAs. RT-qPCR analyses for selected eRNAs and mRNAs in response to E2 treatment. (A) ERBS1 eRNA/*P2RY2* mRNA and (B) *ERBS2* eRNA/GREB1 mRNA.

(C and D) ChIP-qPCR analyses for ER α (*left*) and Pol II (*right*) for (C) ERBS1 and (D) ERBS2 in the absence or presence of E2 and flavopiridol, as indicated.

(E and F) ChIP-qPCR analyses for CBP *(left)*, p300 *(middle)*, and Pol II *(right)* for (E) ERBS1 and (F) ERBS2 in the absence or presence of E2 and flavopiridol, as indicated.

(G and H) ChIP-qPCR analyses for H3K4me1 *(left)*, H3K27ac *(middle)*, and H3 *(right)* for (G) ERBS1 and (H) ERBS2 in the absence or presence of E2 and flavopiridol, as indicated.

(I and J) 3C-PCR analyses showing that looping between distal ERBSs and target genes in the presence of E2 is not blocked by flavopiridol (FP). (I) ERBS1/P2RY2 and (J) *ERBS2*/GREB1. The lower case letters correspond to the primers denoted by orange arrows shown in Fig. 2.1A. The assays were conducted in the presence (experimental) or absence (control) of DNA ligase, as indicated. The size of the PCR fragments in bp is shown. One representative experiment from three conducted is shown.

[Figure 2.6 is on the next page]





Figure 2.7. Expression of E2-responsive eRNAs and mRNAs in response to a time course of E2 treatment in MCF-7 cells.

RT-qPCR assays for eRNAs and mRNAs from enhancer/gene pairs in response to a time course of E2 treatment in MCF-7 cells with (+) or without (-) a 1 hour pretreatment with flavopiridol (FP). Each bar represents the mean + the SEM for three or more independent biological replicates. (A) ERBS1 and the *P2RY2* gene. (B) ERBS2 and the *GREB1* gene. (C) ERBS3 and the *SBNO2* gene. (D) ERBS4 and the *SMAD7* gene. (E) ERBS5 and the *PGR* gene. (F) ERBS6, which was used as a control enhancer that does not have eRNA transcripts.

CHAPTER THREE

Dynamic Assembly and Activation of Estrogen Receptor a

Through Coregulator Switching

The study described in this chapter is from the prior publication in Murakami *et al.*, Genes and Development, 2017. The study was conducted in collaboration with Anusha Nagari, M.S. I initiated the study, designed and performed the experiments, and prepared the manuscript with W.L.K. A.N. performed analysis on the genomic datasets.

3.1 Summary

Although many features of active transcriptional enhancers have been defined by genomic assays, we lack a clear understanding of the order of events leading to enhancer formation and activation, as well as the dynamics of coregulator interactions within the enhancer complex. Herein, we used selective loss- or gain-of-function mutants of estrogen receptor alpha (ERa) to define two distinct phases of ligand-dependent enhancer formation. In the first phase (0 to 20 min.), p300 is recruited to ER α by Mediator, as well as p300's acetylhistone-binding bromodomain, to promote initial enhancer formation, which is not competent for sustained activation. In the second phase (20 to 45 min.), p300 is recruited to ERa by steroid receptor coregulators (SRCs) for enhancer maturation and maintenance. Successful transition between these two phases ('coregulator switching') is required for proper enhancer function. Failure to recruit p300 during either phase leads to abortive enhancer formation and a lack of target gene expression. Our results reveal an ordered and cooperative assembly of ER α enhancers requiring functional interplay among p300, Mediator, and SRCs, which has implications for hormone-dependent gene regulation in breast cancers. More broadly, our results demonstrate the unexpectedly dynamic nature of coregulator interactions within enhancer complexes, which are likely to be a defining feature of all enhancers.

3.2 Introduction

Transcription factors (TFs) control cell type-specific gene transcription by binding to their cognate DNA motifs in chromatin and nucleating enhancer formation by recruiting a set of transcriptional coregulators (Reiter et al., 2017). In many cases, enhancer formation and activation occur as an endpoint of cellular signaling pathways, allowing integration of extracellular cues with intracellular responses (Heinz et al., 2015). Despite wide variation in the types of TFs that may be expressed in a given cell, the enhancers that they form share several common features (Heinz et al., 2015; Shlyueva et al., 2014). For example, enhancers are enriched with a common set of histone modifications or 'marks,' including histone H3 lysine 4 monomethylation (H3K4me1) and histone H3 lysine 27 acetylation (H3K27ac) (Heintzman et al., 2009). Enhancers are also enriched with a common set of coregulators, such as the bromodomain-containing lysine acetyltransferases p300 and CBP (referred to collectively as p300/CBP), the RNA polymerase II (Pol II)-binding Mediator complex, and the ATP-dependent chromatin-remodeling complexes Swi/Snf (Heintzman et al., 2009; Reiter et al., 2017; Visel et al., 2009). While some of these features may be found at enhancers prior to full activation, others are further enriched or occur only in response to cellular signaling events (Heinz et al., 2015).

Recent studies have focused on additional enhancer features that generally correlate with enhancer activity, such as enhancer transcription and looping to target gene promoters. Genomic assays have revealed that many enhancers bound by RNA polymerase II (Pol II) are actively transcribed, producing enhancer RNAs ('eRNAs') (De Santa et al., 2010; Hah et al., 2011; Kim et al., 2010) and loop to nearby target genes (Kulaeva et al., 2012). While looping may provide a logical framework for enhancer-promoter communication, the function of enhancer transcription is less clear. Three models have been proposed: (1) the act of enhancer transcription may help to open the chromatin and allow enhancer formation, (2) the eRNAs may act in *cis* at the enhancer from which they were transcribed to regulate enhancer function, or (3) they may act in trans as regulatory RNAs to control promoter function (Kim and Shiekhattar, 2015). Regardless of what the function is, enhancer transcription represents a robust mark of active enhancers that can be used to track enhancer activity (Hah et al., 2013; Kim and Shiekhattar, 2015; Wang et al., 2011). Although the features noted above (i.e., TF binding, enrichment of histone marks, coregulator recruitment, enhancer transcription, and looping) are readily identifiable, they provide only cryptic clues to enhancer biology. We lack a clear and detailed understanding of (1) the order of events leading to enhancer formation and activation, (2) the specific roles of each coregulator and feature enriched at an enhancer, and (3) the functional interrelationships among them.

Estrogen receptor alpha (ER α), a member of the nuclear receptor superfamily, is a ligand-regulated TF that is activated by the binding to estrogenic ligands, including 17 β -estradiol (E2) (Burns and Korach, 2012; Nilsson et al., 2001). Within minutes of ligand-dependent activation, ER α dimerizes, binds to genomic DNA in chromatin, and promotes the formation of enhancers at the ER α binding sites to rapidly and transiently induce the transcription of target genes (Hah et al., 2011; Nilsson et al., 2001). Like the enhancers formed by other TFs, ER α enhancers are associated with the shared enhancer features noted above, including coregulators that associate with ER α in response to estrogen (Dasgupta et

al., 2014; Hah et al., 2013). Mediator and the steroid receptor coregulators (SRCs) are two of the best studied ER α coregulators, both of which interact directly with ER α through α -helix 12 in the major transactivation domain (i.e., activation function 2, or AF-2) of the ligand binding domain (LBD) (Dasgupta et al., 2014; Kang et al., 2002; Malik and Roeder, 2010; Shiau et al., 1998).

The Mediator complex, which binds to ER α through the Med1 subunit, interacts with RNA polymerase II (Pol II) and may interact with regulatory RNAs to promote enhancerpromoter looping (Chen and Roeder, 2011; Lai et al., 2013; Malik and Roeder, 2010). In contrast, the SRCs serve as scaffold proteins to recruit additional coregulators, many of which possess protein-modifying activities, to ER α binding sites, including p300/CBP (Dasgupta et al., 2014; Yi et al., 2015). The interactions of p300/CBP with ER α are mediated through the SRC proteins (Dancy and Cole, 2015; Kim et al., 2001; Yi et al., 2015). p300/CBP acetylate histones, TFs, and other coregulators, and have also been used as a mark of active enhancers (Dancy and Cole, 2015; Dasgupta et al., 2014; Visel et al., 2009). Amplifications, mutations, and aberrant functions of Mediator, SRCs, and p300/CBP have been implicated in some types of cancers, including hormone-dependent cancers (Anzick et al., 1997; Barbieri et al., 2012; Dasgupta et al., 2014). Indeed, p300 is one of the most commonly mutated genes across cancer types, including breast cancers (Chang et al., 2016; Kandoth et al., 2013).

Understanding the dynamics of enhancer formation and function is a critical component of overall understanding of enhancer biology. In the studies described herein, we have used selective loss- or gain-of-function $ER\alpha$ mutants, combined with genomic and

molecular biology assays, to dissect the order of assembly and activation of ER α enhancers, as well as the downstream biology.

3.3 Results

ERa L540Q Selectively Recruits Mediator, but Not SRCs, to ERa Binding Sites

To gain a better understanding of the molecular mechanisms that lead to the assembly and activation of enhancers at TF binding sites across the genome, we used ER α as a model signal-regulated TF. We applied a set of complementary sequencing-based genomic assays and qPCR-based locus-specific molecular assays in time course experiments designed to explore the kinetics of ER α enhancer assembly. We hypothesized that a selective loss-offunction ER α mutant defective in one activity, but not others, might provide unique insights into the order of enhancer assembly. ER α L540Q (ER α LQ) is one such mutant, which retains ligand, DNA, and Mediator binding, but is impaired in SRC binding, as determined by biochemical and cell-based assays (Acevedo et al., 2004; Ince et al., 1993; Schodin et al., 2004; Ince et al., 1993; Schodin et al., 1995).

For our studies with this mutant ER α , we used previously described ER α -negative MDA-MB-231 breast cancer cells expressing either ER α wild-type (ER α Wt) or ER α LQ (231/ER α Wt and 231/ER α LQ cells, respectively) (Acevedo et al., 2004). To examine the selective loss of function of ER α LQ at ER binding sites genome-wide, we performed ChIP-

seq for ER α , Med1, SRCs (using a 'pan' SRC antibody), and p300 in both 231/ER α Wt and 231/ER α LQ cells after 45 min of E2 treatment (note: Med1, SRCs, and p300 are expressed to similar levels in both cell types; Appendix S1). As expected, we observed E2-dependent binding of both ER α Wt and ER α LQ to genomic loci, with considerable overlap between the sites of ER α binding between the two cell lines (Fig. 3.1, A and B; Appendix S2A, S2B, S3A, and S3B). In addition, we observed E2-dependent recruitment of Mediator (i.e., Med1) by both ER α Wt and ER α LQ when filtered for common sites with similar levels of ER α binding (Fig. 3.1, A and B; Appendix S3, A and B). ER α Wt also exhibited robust E2-dependent recruitment of SRC and p300, whereas ER α LQ exhibited significantly reduced recruitment of SRC and p300 (Fig. 3.1, A and B; Appendix. S3, A and B). Similar results were observed for individual SRC proteins (i.e., SRC1, 2, and 3) and CBP in ChIP-qPCR assays (Appendix S4 and S5). Thus, ER α LQ is a selective- loss-of-function mutant genome-wide.

Impaired SRC Recruitment Results in Impaired E2-dependent Transcription

Although previously characterized as a transcriptionally dead dominantnegative mutant in cell-based reporter gene assays (Ince et al., 1993; Schodin et al., 1995), ERαLQ has not been examined genome-wide in sequencing-based transcription assays. To determine how the impaired recruitment of SRC-p300/CBP observed with ERαLQ affects E2-dependent gene transcription, we used global run-on sequencing (GRO-seq), a genomic assay that detects sites of active transcription across the genome (Core et al., 2008). We treated 231/ERαWt and 231/ERαLQ cells with E2 for 0, 20, and 45 min and then subjected them to GRO-seq. We identified 1,240 genes with significant E2-dependent regulation (up or down) in 231/ERαWt cells after 45 min. of treatment (Fig. 3.1C). In total, 747 genes were uniquely regulated by E2 in 231/ERαWt cells versus 231/ERαLQ cells, of which about half were up-regulated (Fig. 3.1, C and D).

Surprisingly, we also identified 788 genes with significant E2-dependent regulation in 231/ER α LQ cells (Fig. 3.1C; Appendix S3C). The majority of these genes were a subset of the genes regulated in 231/ER α Wt cells, although we observed some uniquely regulated genes as well (Fig. 3.1, C and D; Appendix S3, C and D). We considered two sets of ER α LQ-regulated genes: (1) those regulated by both ER α Wt and ER α LQ and (2) those regulated by ER α LQ only (Appendix S3D). In ChIP-seq assays, we observed impaired recruitment of SRC at ER α binding sites located near the genes regulated by ER α LQ only (Appendix S3D). These regulated near the genes regulated by ER α LQ only (Appendix S3D). These regulated by ER α LQ only (Appendix S6). These results suggest that the ER α enhancers regulated by ER α LQ only (Appendix S6). These results suggest that the ER α enhancers regulating the expression of these genes may have an alternate mechanism for recruiting SRC. They also suggest that SRC is not sufficient for the activation of these genes because ER α Wt recruits SRC to these binding sites, but does not promote E2-dependent gene transcription.

In spite of the transcriptional activity of ER α LQ with some genes, the extent of upregulation was significantly less than the extent of up-regulation with ER α Wt, especially for the 45 min. time point (Fig. 3.1D). Thus, the selective loss SRC-p300 binding by ER α LQ results in impaired E2-dependent transcription for a major subset of ER α target genes. For the purposes of this study, we focused on the genes up-regulated by E2 treatment only in the 231/ER α Wt cells to compare enhancer formation by ER α Wt versus ER α LQ.

ERa L540Q Supports a Subset of Active Enhancer Features In Spite of Impaired SRC Recruitment

The selective recruitment of Mediator versus SRCs with ER α LQ presents an interesting opportunity to dissect the molecular mechanisms of enhancer complex formation, perhaps by producing incompletely formed enhancers. The initial indications of this were manifest in reduced enhancer transcription with ER α LQ, as determined by GRO-seq, at a number of ER α enhancers (Fig. 3.1E; Appendix S3, A and B). To explore this in more detail, we surveyed the status of features thought to be indicative of active enhancers, such as Pol II recruitment, enhancer-promoter looping, and H3K27 acetylation. In ChIP-qPCR assays with five different ER α enhancers, we found that Pol II recruitment by ER α LQ was significantly reduced compared to ER α Wt in most cases (Fig. 3.2A; Appendix S7), suggesting that the initiation of enhancer transcription is impaired with ER α LQ (Appendix S8). Thus, we examined additional enhancer features likely to occur before the initiation of enhancer transcription.

Enhancer-promoter looping is a mechanism by which distal enhancers communicate with their target gene promoters (Dekker et al., 2002; Ong and Corces, 2011). We used chromatin conformation capture (3C)-qPCR assays with five different enhancer-promoter pairs to determine if ER α LQ is capable of promoting enhancer-promoter looping. Interestingly, ER α LQ promoted E2-dependent looping similar to, or even better than, ER α Wt (Fig. 3.2B; Appendix S9). Likewise, the enrichment of H3K27ac, a histone modification mediated by p300 and used as a mark of active enhancers (Jin et al., 2011; Tang et al., 2013),

was similar for ER α Wt and ER α LQ as determined by ChIP-seq (Fig. 3.2, C and D; Appendix S3, A and B). H3K27ac enrichment was maintained with ER α LQ despite reduced p300 recruitment (Fig. 3.1, A and B; Appendix S3, A and B). H3K27ac enrichment was, however, reduced in the presence of the p300 acetyltransferase inhibitor C646 (Bowers et al., 2010) (Fig. 3.2E; Appendix S10), suggesting p300 activity at an earlier point during ER α enhancer formation. Collectively, these initial studies indicate that ER α LQ is a selective loss-of-function mutant that still supports some aspects of enhancer formation and function (Fig. 3.2F).

Impaired SRC-p300 Recruitment Leads to Abortive E2-dependent Transcription at Enhancers and Promoters

We showed previously that only a subset of ER α binding sites are transcribed, indicating that ER α binding, per se, is not sufficient to establish an active enhancer or promote target gene transcription (Hah et al., 2013). To link impaired enhancer formation with ER α LQ to the transcriptional outcomes of target genes, we mined our ER α ChIP-seq and GRO-seq datasets from 231/ER α Wt and 231/ER α LQ cells. We started with the 367 genes up-regulated by E2 with ER α Wt, but not ER α LQ (i.e., ER α Wt only), and determined the nearest common ER α binding site in the regulatory region, assuming that it would control the expression of the neighboring gene (Fig. 3.3A). We then determined the levels of transcription at the ER α binding sites and the corresponding target genes in 231/ER α Wt and 231/ER α LQ cells (Fig. 3.3A). Interestingly, the E2-dependent transcription of the enhancer and the nearest gene were similar for ER α Wt and ER α LQ at 20 min. of treatment (Fig. 3.3B).
However, continued up-regulation of enhancer and gene transcription at 45 min. of treatment was only observed with ER α Wt (Fig. 3.3B). These results indicate a two-component response, with impaired ER α LQ activity only evident during the second component.

To explore the molecular underpinnings of the abortive transcriptional response at enhancers and promoters with ERaLQ in more detail, we monitored SRC and p300 recruitment by ChIP-qPCR for five different enhancers in 231/ERaWt and 231/ERaLQ cells during the same time course of E2 treatment. As expected, the kinetics of ER α LQ binding to chromatin was comparable to ERaWt (Fig. 3.3C, *left*; Appendix S11A and S11, B-E, *left*). Also as expected, SRC recruitment by ER α LQ was impaired at both time points of E2 treatment (20 and 45 min.) (Fig. 3.3C, middle; Appendix S11, B-E, middle). In contrast, p300 was recruited to similar levels by both ERaWt and ERaLQ after 20 min. of treatment. However, p300 recruitment by ERaLQ was significantly impaired at 45 min. of treatment compared to ERaWt (Fig. 3.3C, right; Appendix S11, B-E, right), coinciding with the twocomponent transcriptional response described above (Fig. 3.3B). These temporal effects with p300 recruitment were observed genome-wide in 231/ERa cells (Appendix S12), as well as in ERa knockdown MCF-7 cells re-expressing either ERaWt or ERaLQ (Appendix S13). Collectively, these results suggest that the first phase of ER α enhancer formation ('enhancer priming'), which is supported by ERaLQ, requires the recruitment of p300 through an SRCindependent mechanism. In contrast, the second phase ('enhancer maintenance'), which is not supported by ERaLQ, requires the recruitment of p300 through an SRC-dependent mechanism. Interestingly, these ER α enhancer events do not require the pioneer factor FoxA1, since the temporal effects with p300 were observed in both $231/ER\alpha$ cells, which do

not express FoxA1, and MCF-7 cells, which do express FoxA1 (Appendix S14).

SRC-independent Enhancer Priming Requires Mediator and the Bromodomain of p300

The experiments in Fig. 3.3 suggest that p300 is recruited to liganded ER α in an SRCindependent manner during the first phase of enhancer formation (Fig. 3.4A). How might this occur? Black *et al.* showed that p300 is recruited by Mediator to GAL4-VP16-activated promoters to activate transcription (Black et al., 2006). Since Mediator and p300 are both recruited to enhancers, as well as promoters (Heintzman et al., 2009; Stumpf et al., 2006; Visel et al., 2009), we considered the possibility that p300 is recruited by Mediator to ER α enhancers during the first phase of activation. We found that E2-dependent recruitment of p300 was dramatically reduced upon knockdown of the ER α -interacting Mediator subunit, Med1, in 231/ER α Wt cells (Fig. 3.4, B and C; Appendix S15, A-E). These results indicate that Mediator plays a role in p300 recruitment in the initial phase of enhancer formation. In addition, E2-dependent transcription of the enhancers and the corresponding target genes was significantly reduced by Med1 knockdown, but perhaps to a lesser extent than might be expected from given the dramatic reduction in p300 recruitment (Fig. 3.4D; Appendix S15, F-I).

p300 contains a bromodomain and a PHD domain, which allow p300 to bind to chromatin through acetylated and methylated histones, respectively (Dancy and Cole, 2015). Thus, we asked if these domains might also facilitate p300 enrichment at ER α enhancers, as well as p300-dependent enhancer priming and target gene transcription. We explored these questions using MCF-7 breast cancer cells, which express endogenous ER α . Treatment of

the cells with the p300/CBP bromodomain inhibitor, SGC-CBP30 (Hay et al., 2014), did not affect ER α binding to native ER α binding sites, as expected, as determined on multiple ER α enhancers (Fig. 3.5A, *left*; Appendix S16, A-D, *left*). In contrast, SGC-CBP30 reduced p300 recruitment to ER α binding sites throughout the E2 treatment time course (Fig. 3.5A, *middle*; Appendix S16, A-D, *middle*), indicating that the p300 bromodomain is also required for p300 recruitment during enhancer priming. Interestingly, we also observed reduced recruitment of Mediator upon treatment with SGC-CBP30 (Fig. 3.5A, *right*; Appendix S16, A-D, *right*), suggesting a cooperative recruitment of p300 and Mediator to the ER α binding sites.

To further investigate the role of the p300 bromodomain in the recruitment of p300 during E2-dependent enhancer priming, we expressed a fragment of p300 that contains the bromodomain (BRP) (Delvecchio et al., 2013) fused to the Fc region of rabbit IgG (BRP-Fc) in MCF-7 cells (Fig. 3.5B). We performed ChIP using the Fc region for immunoprecipitation and examined the E2-dependent recruitment of BRP-Fc to native ER α binding sites in MCF-7 cells. BRP-Fc was enriched at ER α enhancers at 20 min. of E2 treatment, but was depleted by 45 min. (Fig. 3.5C; Appendix S16E), consistent with the time course of p300 recruitment and SRC-dependence determined in the experiments described above. In follow-up experiments in MCF-7 cells, we found that inhibition of the p300 bromodomain (with SGC-CBP30) and the p300 acetyltransferase activity (with C646) both significantly reduced E2-dependent gene expression (Fig. 3.5D; Appendix S16, F-I), demonstrating the critical role of bromodomain-dependent/SRC-independent recruitment of p300, as well as p300 acetyltransferase activity, in ER α -mediated gene expression. Taken together, these studies have revealed a cooperative recruitment of p300 and Mediator at ER α

enhancers for enhancer priming, prior to the formation of a mature and fully active $ER\alpha$ enhancer complex.

Forced Recruitment of p300 to Inactive ERa Binding Sites Increases Mediator Recruitment and Induces E2-dependent Gene Expression

The experiments in Figs. 3.1 through 3.5 identified critical roles for p300 recruitment in two phases of enhancer formation (priming and maintenance) by at least three distinct mechanisms (Mediator-, bromodomain-, and SRC-dependent recruitment). To fully test and explore this hypothesis, we engineered an experimental system to examine the function of p300 at ER α enhancers independent of recruitment by other ER α coregulators. First, we deleted a part of α -helix 12 (Glu 542 through His 547) in the AF-2 domain of ER α to generate a ligand binding- and DNA binding-competent, but transcriptionally impaired ER α (ER $\alpha\Delta$ H12) (Brzozowski et al., 1997; Shiau et al., 1998). Next, we fused the p300/CBP binding domain (PID) of SRC2 (Li and Chen, 1998; Sheppard et al., 2001; Voegel et al., 1998) to ER $\alpha\Delta$ H12 to generate ER $\alpha\Delta$ H12-PID (Fig. 3.6A). Note that SRC2(PID) functions as a potent activation domain by recruiting p300/CBP (Acevedo and Kraus, 2003; Kim et al., 2001). We expressed ER α Wt, ER $\alpha\Delta$ H12, or ER $\alpha\Delta$ H12-PID in MBA-MB-231 cells using a Dox-inducible system to generate 231/ER α Wt, 231/ER $\alpha\Delta$ H12, and 231/ER $\alpha\Delta$ H12-PID cells, respectively (Fig. 3.6A).

As expected, all three ER α s were similarly recruited to chromatin after E2 treatment (Fig. 3.6B, *left*; Appendix S17, A and B, *left*). p300 was robustly recruited in an E2-dependent manner by chromatin-bound ER α Wt and ER α AH12-PID, but exhibited impaired recruitment

by ER $\alpha\Delta$ H12, at three different ER α enhancers (Fig. 3.6B, *right*; Appendix S17, A and B, *left middle*). Med1 recruitment and H3K27ac enrichment occurred effectively with ER $\alpha\Delta$ H12-PID, but were impaired with ER $\alpha\Delta$ H12 (Fig. 3.6C; Appendix S17, A and B, *right panels*). Likewise, enhancer-promoter looping and target gene activation occurred effectively with ER $\alpha\Delta$ H12-PID, but were impaired with ER $\alpha\Delta$ H12 (Fig. 3.6, D and E; Appendix S17, C-F). Together, these results with forced recruitment of p300 to an otherwise inactive ER α binding site demonstrate that p300 recruitment is sufficient for enhancer formation (e.g., Med1 recruitment, H3K27ac, looping) and target gene activation.

The Mediator- and SRC-dependent Functions of p300 Link ERa Enhancer Activity to Clinical Outcomes in ER-positive Breast Cancer Patients

Our results have identified ordered and cooperative interactions among p300, Mediator, and SRCs leading to the formation, activation, and maintenance of ER α enhancers. To explore the biological outcomes of these events, we used the p300 bromodomain inhibitor SGC-CBP30, the p300 acetyltransferase inhibitor C646, and siRNAs targeting Med1 in MCF-7 proliferation assays. Each treatment alone effectively inhibited the growth of MCF-7 cells over a 6 day time course of E2 treatment (Fig. 3.7A; Appendix S18, A and B). Moreover, combination treatments with Med1 siRNAs and either p300 inhibitor were even more effective than the treatments alone (Fig. 3.7A; Appendix S18, A and B). These studies link the functions of Mediator and p300 in ER α -dependent transcription to downstream biological outcomes.

Our results using ERaL540Q indicate an important role for SRCs in the maintenance of ERa enhancer activity. SRC3 is frequently amplified in ER-positive breast cancers (Anzick et al., 1997; Gojis et al., 2010). An increased dosage of SRCs are likely to support E2-mediated mitogenic growth of breast cancers by promoting ERa enhancer maintenance. Using datasets from The Cancer Genome Atlas (TCGA), we found that 15% and 5% of ERpositive breast tumors contain amplifications of SRC2 and SRC3, respectively (Fig. 3.7B). These gene amplifications correlate with the overexpression of the cognate mRNAs (Fig. 3.7C). Next, we examined the clinical outcomes of patients with ER-positive breast cancers, with or without SRC amplification. In this analysis, the gene expression profiles for the patient samples with or without SRC amplification were compared to generate a list of genes more highly expressed in the group with SRC amplification relative to the group without SRC amplification, which we called the "SRC signature" (Appendix S18C). The expression of each SRC signature gene was then used to segregate ER-positive luminal breast cancers into groups with "high" or "low" expression of the signature genes using curated microarray data with associated clinical outcome data that are independent of the TCGA data. The collective clinical outcomes stratified according to each SRC signature gene expression were represented in meta-Kaplan-Meier plots (Fig. 3.7D and S18D) (Mihaly et al., 2013; Pongor et al., 2015). Importantly, elevated expression of both the SRC2 and SRC3 signature genes correlates with unfavorable outcomes of patients with luminal breast cancers. Collectively, these cell growth and clinical data analyses link the Mediator- and SRC-dependent functions of p300 at ER α enhancers to clinical outcomes in ER-positive breast cancer patients.

3.4 Discussion

In the current study, we identified a critical functional interplay among p300, Mediator, and SRCs that recruits p300 and determines its activity throughout the time course of ER α enhancer formation and activation. Importantly, we identified two distinct mechanistic phases of ER α enhancer assembly and function, which are defined by the mode of p300 recruitment. Successful transition between these two phases ('coregulator switching') is required for proper enhancer function. Collectively, our studies have revealed the detailed molecular and temporal mechanisms of signal-regulated enhancer assembly and activity that are likely to be applicable to a wide variety of signal-regulated enhancers. Furthermore, our results link p300, Mediator, and SRCs function at ER α enhancers to hormone-dependent gene regulation in ER-positive breast cancers.

An Ordered and Cooperative Assembly and Function of ERa Enhancers

Previous results have suggested a critical role for SRCs, which bind directly to ER α in a ligand-dependent manner, in recruiting p300 to ER α binding sites. Our results using a selective loss-of-function ER α mutant (i.e., L540Q), however, suggest a more intricate and varied set of mechanisms for recruiting p300 to ER α binding sites in two distinct phases. In the first phase (0 to 20 min.), p300 is recruited through a Mediator-dependent mechanism, perhaps through direct interactions, as well as the bromodomain of p300, which binds to acetylated histones like other bromodomains (Zeng and Zhou, 2002). In the second phase, p300 is recruited though an SRC-dependent mechanism, which is also likely to occur through

direct interactions. The former is required for initial enhancer formation and enhancer priming, while the latter is required for enhancer maturation and the maintenance of enhancer activity (Fig. 3.7F). We refer to the dynamic changes in the mode of p300 recruitment as 'coregulator switching." Failure to recruit p300 during either phase leads to abortive enhancer formation and a lack of target gene expression. These results suggest a critical role of SRCs in the later stages of ER α enhancer function, but not in the initiation of enhancer formation.

A number of independent lines of investigation support the conclusions stated above. For example, siRNA-mediated knockdown of Med1, the ER α -interacting component of the Mediator complex, reduces the E2-dependent recruitment of p300 to ER α binding sites (Fig. 3.4C). Furthermore, a fragment of p300 containing the acetyllysine-binding bromodomain, but lacking the SRC binding domain, is recruited to ER α binding sites within the first 20 minutes following E2 treatment (Fig. 3.5C). Conversely, the competitive p300 bromodomain inhibitor, SGC-CBP-30, blocks p300 recruitment to ER α binding sites (Fig. 3.5A).

Additional experiments using loss- or gain-of-function ER α mutants also support the conclusions stated above. For example, the selective loss-of-function ER α mutant ER α L540Q, which recruits Mediator, but not SRCs, supports enhancer formation in the first phase, but is impaired in enhancer maturation and maintenance in the second phase (Figs. 3.1B and 3.3). Interestingly, the abortive enhancer formation with ER α L540Q results in a dramatic reduction of enhancer transcription (Fig. 3.3B), but maintains a subset of active enhancer features, including enrichment of H3K27ac (Fig. 3.2D) and enhancer-promoter chromatin looping (Fig. 3.2B). In contrast, forced recruitment of p300 to an inactive ER α

binding site using the selective gain-of-function ER α mutant ER $\alpha\Delta$ H12-PID promotes enhancer activation, enhancer-promoter looping, and target gene transcription (Fig. 3.6). Interestingly, ER $\alpha\Delta$ H12-PID also promotes the recruitment of Mediator (Fig. 3.6C), while SGC-CBP-30 inhibits the recruitment of Mediator (Fig. 3.5A), illustrating a functional interplay between these two coregulators at enhancers, which has been demonstrated previously at promoters (Black et al., 2006).

Recruitment of p300 is Necessary and Sufficient for Enhancer Formation at ERa Binding Sites

Since p300 and CBP were identified in the 1980s, they have been shown to function as key coregulators for many TFs through dual roles as scaffolding proteins for the recruitment of other coregulators, and as enzymes for the modification of histones and other transcription-related proteins (Dancy and Cole, 2015; Wang et al., 2013). Genome-wide analyses have shown that p300 and CBP are associated with active enhancers and, as such, are good markers of active enhancers (Heintzman et al., 2009; Visel et al., 2009). Our results with the p300 catalytic inhibitor, C646, and the p300 bromodomain inhibitor, SGC-CBP-30, indicate that p300 is required for enhancer formation at ER α binding sites (Fig. 3.5D). Furthermore, our results with the selective gain-of-function ER α mutant, ER $\alpha\Delta$ H12-PID, which recruits p300 to a transcriptionally impaired ER α , suggest that p300 recruitment is sufficient for enhancer formation at ER α binding sites (Fig. 3.6). Although we cannot rule out cooperative effects with other coregulators that may be partially or fully recruited to ER $\alpha\Delta$ H12, our results are consistent with previous studies using the yeast Gal4 DNA binding domain to recruit the CBP acetyltransferase domain to activate a reporter gene (Bannister and Kouzarides, 1996). Collectively, our results indicate an essential role for p300 in ER α enhancer formation and function. In this regard, it makes sense that nature has devised and exploited multiple modes for p300 recruitment to TFs, such as ER α (i.e., via Mediator, SRCs, and the p300 bromodomain).

Successful transition between the two phases of ER α enhancer formation, reflected in the different modes of p300 recruitment, is required for proper enhancer function. Failure to transition leads to abortive enhancer formation and reduced E2-dependent gene activation. Experimentally, this can be demonstrated by inhibiting each of the modes of p300 recruitment, using Med1 knockdown or a p300 bromodomain inhibitor in the first phase (Figs. 3.4, 3.5A, and 3.5D), or the SRC-binding mutant ER α L540Q in the second phase (Fig. 3.3). These results suggest that the enhancer complex is dynamic, with different proteinprotein interaction surfaces used to accomplish distinct outcomes at specific times. This observation is consistent with a number studies showing rapid and dynamic binding and exchange of coregulators at nuclear receptor binding sites (Stenoien et al., 2001; Voss and Hager, 2014).

As noted above, p300 and CBP have an intrinsic acetyltransferase activity that allows acetylation of lysine residues in substrate proteins, which include histones, TFs, and coregulators (Dancy and Cole, 2015). In fact, p300 acetylates ER α , which can enhance the DNA binding activity of ER α (Kim et al., 2006). Studies with the p300 catalytic inhibitor, C646, indicate that p300 acetyltransferase activity is required for both phases of ER α assembly and function (Figs. 3.2E and 3.5D). Although the relevant substrates within the

enhancer complex are, at present, unknown, it is possible that they may differ from one phase of enhancer activation to the next. In our assays, p300 recruitment and activity track with enhancer transcription, and impaired recruitment of p300 by ER α L540Q correlates with reduced enhancer transcription (Fig. 3.3, B and C). In this regard, Bose et al. have recently shown that non-coding RNAs, including eRNAs, can stimulate CBP (and presumably p300) histone acetyltransferase activity (Bose et al., 2017). It is interesting to speculate that p300dependent enhancer transcription in the first phase may have a feed-forward effect to stimulate p300 acetyltransferase activity in the second phase through eRNA production.

p300, Mediator, and SRCs: Links Between ERa Enhancer Activity, Breast Cancer Cell Growth, and Clinical Outcomes in Breast Cancers

E2 signaling, which promotes p300, Mediator, and SRC recruitment, ER α enhancer formation, and target gene activation, has potent mitogenic effects on the proliferation of ERpositive breast cancer cells. In this regard, p300, Mediator, and SRCs have all been linked to human diseases, including cancers (Anzick et al., 1997; Gojis et al., 2010; Spaeth et al., 2011; Wang et al., 2013). In cell proliferation assays, we observed a dramatic effect of p300 inhibitors on the growth of ER-positive breast cancer cells, which was further enhanced by the knockdown of Med1 (Fig. 3.7A). These cell-based results mirror the molecular interplay between p300 and Mediator that we observed during ER α enhancer formation, providing a molecular link between ER α enhancer activity, E2-dependent gene expression, and breast cancer cell growth.

The genes encoding SRC2 and SRC3 are up-regulated in a subset of ER-positive luminal breast cancers (Fig. 3.7C; (Anzick et al., 1997; Gojis et al., 2010)). In addition, SRC2- and SRC3-dependent gene signatures track with clinical outcomes in breast cancer patients (i.e., lower expression, better outcomes) (Fig. 3.7D). These clinical results mirror the molecular interplay between p300 and SRC that we observed during ERa enhancer formation, providing yet another molecular link between ERa enhancer activity, E2dependent gene expression, and breast cancer cell growth. Interestingly, amplification of SRCs in breast cancer cells may act to cause the aberrant recruitment of p300 to ER α binding sites, which may drive spurious enhancer formation or activation. Given the role of SRCs in ERa enhancer maintenance described above, amplification of SRCs may promote sustained enhancer activation resulting in prolonged mitogenic responses. Such effect would justify the on-going efforts to develop drugs that inhibit the interactions between ER α and SRCs (Rodriguez et al., 2004; Song et al., 2016), which can be a potent way of inhibiting SRC-, p300-, and Mediator-dependent transcription by ERa (Acevedo and Kraus, 2003; Kim et al., 2001).

3.5 Materials and Methods

Antibodies

The antibodies used were as follows: $ER\alpha$ (custom rabbit polyclonal antiserum generated in the Kraus Laboratory against the first 113 amino acids of human $ER\alpha$ (Kraus

and Kadonaga, 1998)); ER α (Enzo Biochem, ADI-SRA-1000-F); pan-SRC (rabbit polyclonal antiserum generated in the Kraus Laboratory against amino acids 624-1130 of mouse SRC2 (Acevedo et al., 2004)); p300 (Bethyl Laboratories, A300-358A); p300 (Santa Cruz Biotechnology, sc-584x); Med1 (Bethyl Laboratories, A300-793A); Med1 (Santa Cruz Biotechnology, sc-5334x); Pol II (Santa Cruz Biotechnology, sc-899x); H3K27ac (Abcam, ab4729); SRC1 (Santa Cruz Biotechnology, sc-8995); SRC2 (Santa Cruz Biotechnology, sc-8996); SRC3 (Santa Cruz Biotechnology, sc-9119); CBP (Santa Cruz Biotechnology, sc-369); β -actin (Cell Signaling, 3700S); snRNP70 (Abcam, ab83306); β -tubulin (Abcam, ab6046); and PARP-1 (custom rabbit polyclonal antiserum now available from Active Motif; cat. no. 39559).

Cell Culture and Treatments

MDA-MB-231 cells were obtained from the American Type Culture Collection (ATCC). Parental MDA-MB-231 cells, or MDA-MB-231 expressing ER α wild-type, L540Q, Δ H12, or Δ H12-PID, generated as described below, were maintained in phenol red-free Dulbecco's modified Eagle's medium/nutrient mixture F-12 Ham (DMEM/F-12; Sigma, D2906) supplemented with 10% charcoal-dextran treated calf serum (Sigma, C8056), 6 ng/mL human recombinant insulin (Sigma, I5500), 3.75 ng/mL hydrocortisone (Sigma, H0888), 16 µg/mL glutathione (Sigma, G6013), 100 units/mL penicillin/streptomycin (Gibco, 15140122), and 25 µg/mL gentamicin (Gibco, 15710064). The same conditions were used for experiments.

MCF-7 cells, kindly provided by Dr. Benita Katzenellenbogen (University of Illinois at Urbana-Champaign, IL), were maintained in minimal essential medium (MEM; Sigma, M1018) supplemented with 5% calf serum (Sigma, C8056), 100 units/mL penicillin-streptomycin (Gibco, 15140122), and 25 μ g/mL gentamicin (Gibco, 15710064). Three days prior to experiments, the medium was switched to minimal essential medium Eagle (Sigma, M3024) supplemented with 5% charcoal-dextran treated calf serum (Sigma, C8056).

293T cells were obtained from the ATCC. There were maintained in Dulbecco's modified Eagle medium/high glucose (Sigma, D7777) supplemented with 10% FBS (Sigma, F2442), 100 units/mL penicillin-streptomycin (Gibco, 15140122), and 25 μg/mL gentamicin (Gibco, 15710064).

For experiments, the cells were treated with vehicle (DMSO) or 100 nM 17 β estradiol (E2) (Sigma, E8875) for the specified amount of time. Where indicated, the cells were treated with vehicle (DMSO), 25 μ M of the p300/CBP acetyltransferase inhibitor C646 (Sigma, SML0002) (Bowers et al., 2010), or 50 μ M the p300/CBP bromodomain inhibitor SGC-CBP30 (Sigma, SML1133) (Hay et al., 2014) for 30 min. prior to E2 treatment unless noted otherwise.

Preparation of Transgenic Cells with Ectopic Protein Expression

MDA-MB-231 cells with constitutive expression of ER α wild-type or L540Q were prepared as described previously (Acevedo et al., 2004). MDA-MB-231 cells with doxycycline-inducible expression of ER α wild-type, L540Q, Δ H12, or Δ H12-SRC2(PID) were generated by lentivirus-mediated transduction using the pINDUCER20 vector, kindly provided by Dr. Thomas Westbrook (Baylor College of Medicine, Houston, TX) (Meerbrey et al., 2011). Before use, pINDUCER20 was modified to replace the Gateway® cloning sites with restriction enzyme sites suitable for conventional or Gibson cloning. cDNAs encoding the wild-type or variant ERαs noted above were constructed and cloned into the modified pINDUCER20 vector. A cDNA fragment encoding amino acids 1010-1130 of human SRC2 containing the p300-interacting domain (PID) (Acevedo and Kraus, 2003; Kim et al., 2001) was cloned into the ERαΔH12 cDNA-containing vector to generate a C-terminal fusion. A cDNA fragment encoding the p300 Bromodomain-Ring-PHD domain (p300 BRP) (Delvecchio et al., 2013) was ligated to a cDNA fragment encoding the rabbit immunoglobulin G (IgG) Fc region and cloned into the modified pINDUCER20 vector. The protein expressed from this construct functions as an antibody-like p300 chromatin binding domain.

The pINDUCER20-based vectors described above were transfected into 293T cells using GeneJuice (Millipore, 70967) along with pCMV-VSV-G, pCMV-GAG-pol-Rev, and pAdVantage (Promega, E1711) for recombinant lentivirus production. The resulting viruses were filtered through a 0.44 μ m filter and used to infect target cells. Infected cells were selected using 600 μ g/mL G481 (Life Technologies, 11811031) to generate a pool of resistant cells stably harboring the transgene. After selection, ectopic expression of the transgenes was induced by treating the cells with 25 to 500 ng/mL doxycycline (Dox; titrated for each cell line to achieve similar expression levels) for 24 hours prior to experiments.

MCF-7 cells with simultaneous Dox-dependent shRNA-mediated knockdown of endogenous ER α and ectopic expression of ER α was prepared by sequential transduction of

MCF-7 cells as follows. pTRIPZ-shRNA vectors were purchased from Dharmacon: shNegativeControl (RHS4743) and shERa targeting the ESR1 3'UTR (RHS4740-EG2099, V3THS 405935). The pTRIPZ-shRNA vectors were transfected into 293T cells using GeneJuice (Millipore, 70967) along with psPAX2 and pMD2.G for recombinant lentivirus production. The resulting viruses were filtered through a 0.44 µm filter, concentrated using Lenti-XTM concentrators (Clontech, 631232) according the manufacturer's protocol, and used to infect target cells. Infected cells were selected using 1 µg/mL Puromycin (Sigma, P8833) to generate a pool of resistant cells stably harboring the transgene. After selection, shRNA expression was induced by treating the cells with 200 to 500 ng/mL Dox for 72 hours prior to experiments. The knockdown efficiency was determined by Western blotting as described below. After confirming efficient knockdown, the MCF-7-pTRIPZ-shERa cells were transduced with the pINDUCER20-based vectors described above. After selection, the cells were treated with 10-500 ng/mL Dox for 72 hours to determine the Dox concentration that promotes efficient knockdown of endogenous ERa and re-expression of the ectopic ERa (Wt and L540Q mutant) from the transgenes at a level similar to endogenous ERa in MCF-7 cells.

siRNA-mediated Knockdown

siRNA-mediated knockdown was performed using Lipofectamine RNAiMax reagent (Invitrogen, 13778150) following the manufacturer's instructions using the following siRNAs: siControl (MISSION universal negative control #1; Sigma, SIC001); siMed1 (Sigma, SASI_Hs01_00089551); and siERα (Sigma, SASI_Hs01_00078598). The siRNAs

were diluted to 100 nM in antibiotic-free, serum-free MEM and mixed by vortex in a tube. Lipofectamine RNAiMAX reagent was added to the diluted siRNAs and mixed by pipetting. The transfection complexes were transferred onto each well or plate by pipetting. The cells were seeded over the siRNA/lipofectamine transfection complex (typically 5 x 10^4 cells per well in a 6-well plate) to a final siRNA concentration of 10 nM and incubated at 37° C, 5% CO₂ for 48 to 72 hours before experiments.

Western Blotting

The cells were collected by scraping in PBS containing complete protease inhibitor cocktail (Roche, 11697498001) and pelleted by gentle centrifugation. To prepare whole cell lysates, the cell pellets were resuspended in Lysis Buffer [20 mM HEPES pH 7.5, 420 mM NaCl, 1.5 mM MgCl₂, 0.2 mM EDTA, 25% glycerol, 0.5% NP-40, 1 mM DTT, and 1x complete protease inhibitor cocktail (Roche, 11697498001)] and mixed by occasional gentle vortexing for 15 min at 4°C. The lysates were clarified by centrifugation at full speed in a microcentrifuge at 4°C. The supernatants were collected as whole cell lysates, snap frozen, and stored at -80°C until use.

Where indicated, the cells were separated into cytoplasmic and nuclear fractions. Briefly, the cells were lysed in Isotonic Buffer [10 mM Tris•HCl pH7.5, 300 mM sucrose, 2 mM MgCl₂, 3 mM CaCl₂, 0.5% NP-40, 1 mM DTT, and 1x complete protease inhibitor cocktail (Roche, 11697498001)] by repeated pipetting. The nuclei were pelleted by gentle centrifugation and the supernatant was collected as cytoplasmic lysate, snap frozen, and stored at -80°C until used. The nuclear fraction was prepared by extracting the nuclei in Lysis Buffer with occasional gentle vortexing for 15 min at 4°C. The nuclear extracts were clarified by centrifugation at full speed in a microcentrifuge at 4°C, snap frozen, and stored at -80°C until used.

For assays, the cell lysates were thawed, and the protein concentration was measured by Bradford assay (BioRad, 5000006). The lysates were mixed with water and 5x SDS loading buffer, run on SDS-PAGE gels, and subjected to Western blotting.

Analysis of mRNA and eRNA Expression by RT-qPCR

RNA expression analysis by RT-qPCR was performed essentially as previously described (Hah et al., 2013). Total RNA was isolated using TRI Reagent (Sigma, T9424) and treated with RQ-1 DNase (Promega, M6101). 1 μg of total RNA was reverse transcribed using random hexamers (Roche. 11034731001) and M-MLV reverse transcriptase (Promega, M1705). mRNA and eRNA expression was analyzed by quantitative PCR (qPCR) with SYBR Green (Lonza, 50512) using a LightCycler 480 (Roche) and the following primers:

MDA-MB-231 cells

- *OTUB2* eRNA Forward 5'-GGAATTCCCAAAGAGCAAA-3'
- *OTUB2* eRNA Reverse 5'-TCTCGCCTGTGATGACTCAG-3'
- *OTUB2* mRNA Forward 5'-TCAGCAAAAGGTTCACCGC-3'
- *OTUB2* mRNA Reverse 5'-GTAGGAATAGCCCAAGGCCC-3'
- *TGFA* eRNA Forward 5'-TTTCTGTTCCTGGCTTGGCA-3'
- *TGFA* eRNA Reverse 5'-AGCCAGGTGACCTAGTGGTA-3'

- *TGFA* mRNA Forward 5'-GACTGGTCCCCCTTTCATGG-3'
- *TGFA* mRNA Reverse 5'-TCGTGAGCCCTCGGTAAGTA-3'
- CR595588 eRNA Forward 5'-GCTCCAGGCAGTGTAGGAAG-3'
- CR595588 eRNA Reverse 5'-AGACTCTGTTGGCCCTGTTG-3'
- CR595588 mRNA Forward 5'-AGTCGGTGGGGTGTGAGTTA-3'
- CR595588 mRNA Reverse 5'-TTGGGAAGCGTGGGTTATGT-3'
- *HK1* eRNA Forward 5'-AATTTCAGGGGAAGCCTGGG-3'
- *HK1* eRNA Reverse 5'-GACTCTCTGGCAGTCACACC-3'
- *HK1* mRNA Forward 5'-ACGTGTCCTTCCTCCTGTCT-3'
- *HK1* mRNA Reverse 5'-GATCCCGGACTCTTAGCTGC-3'
- C2orf18 eRNA Forward 5'-TCCACATGGTTGTCTCTGCC-3'
- *C2orf18* eRNA Reverse 5'-TGCCTGAAGCTTGACCTCTG-3'
- C2orf18 mRNA Forward 5'-ACCTGCCTGCCTAGAGAACT-3'
- C2orf18 mRNA Reverse 5'-CAACGCCCAGGATACCAGAA-3'

MCF-7 cells

- *GREB1* eRNA Forward 5'-TGCTGGCTGCTTAAAAACCT-3'
- *GREB1* eRNA Reverse 5'-TGAAAACCCACACTTCCAAA-3'
- GREB1 mRNA Forward 5'-CCTATTTTGGAATAAAAACTGACC-3'
- *GREB1* mRNA Reverse 5'-GGGGAGAATGACACAAAAGC-3'
- *P2RY2* eRNA Forward 5'-AAGGGCTAATGTTTGGCACA-3'
- *P2RY2* eRNA Reverse 5'-AGGGAGGTCCAGGAGGTCTA-3'
- *P2RY2* mRNA Forward 5'-CGGTGGACTTAGCTCTGAGG-3'



5'-CATTGAGTCATGGCCTTTGAT-3'

• *PGR* eRNA Forward

• *PGR* eRNA Reverse 5'-CCTTTCAGATGGGAGCTAGG-3' • PGR mRNA Forward 5'-TTGCCAAGAAGGTGAAACTG-3' • *PGR* mRNA Reverse 5'-CTTTGCATTGTCACCCCATC-3' • SBNO2 eRNA Forward 5'-CCTGTATTCTGGGGGGCACTA-3' • *SBNO2* eRNA Reverse 5'-CTCACCCCATCCAGTACACC-3' • SBNO2 mRNA Forward 5'-GACTGGGCACCCACAAGGGC-3' • SBNO2 mRNA Reverse 5'-GGAAGGGCTGGGGGGGGGGGGG-3' • SMAD7 eRNA Forward 5'-GGCATAGCTAGGACCTCACC-3' • SMAD7 eRNA Reverse 5'-GAGGGAGGAAAGTGGCTTCT-3' • SMAD7 mRNA Forward 5'-AAGAGAAGCATTCTCATTGGAAA-3' • *SMAD7* mRNA Reverse 5'- TCAGGAGTCCTTTCTCTCTCAAA-3'

Chromatin Immunoprecipitation (ChIP)

Chromatin immunoprecipitation (ChIP) was performed as previously described with some modifications (Hah et al., 2013). Cells were grown to ~80% confluence and were crosslinked with 1% formaldehyde in PBS at 37°C for 10 min. The crosslinking reaction was quenched by adding glycine to a final concentration of 125 mM. The cells were collected by scraping in 1x PBS containing 1x complete protease inhibitor cocktail (Roche, 11697498001). The cells were pelleted by brief centrifugation in a microcentrifuge and lysed by pipetting in Farnham Lysis Buffer (5 mM PIPES pH 8.0, 85 mM KCl, 0.5% NP-40, 1 mM

DTT, 1x complete protease inhibitor cocktail). The nuclei were collected by brief centrifugation in a microcentrifuge and resuspended in SDS Lysis Buffer (Tris•HCl pH 7.9, 1% SDS, 10 mM EDTA, 50 mM, 1 mM DTT, 1x complete protease inhibitor cocktail) by pipetting and incubation on ice for 10 min. The chromatin was then sheared to ~200-500 bp DNA fragments by sonication using a Bioruptor Plus sonicator (Diagenode) for 25-30 cycles of 30 seconds on and 30 seconds off. Protein concentrations in the solubilized chromatin were determined using the BCA Protein Assay Kit (Pierce, 23225), and a normalized amount of soluble chromatin was precleared with Protein A or Protein G Dynabeads (Invitrogen, 10001D and 10003D, respectively) or Protein A or Protein G agarose beads (Millipore, 16-125 and Invitrogen, 15920010, respectively), and incubated overnight with 8 µL of polyclonal antiserum or 2.5 to 5.0 µg of commercial antibody.

The immune complexes from the ChIP were precipitated by the addition of Protein A or Protein G Dynabeads or agarose beads (depending on the antibody used) and washed once with each of the following wash buffer in sequence: (1) Low Salt Wash Buffer (20 mM Tris•HCl pH 7.9, 2 mM EDTA, 125 mM NaCl, 0.05% SDS, 1% Triton X-100, 1x complete protease inhibitor cocktail); (2) High Salt Wash Buffer (20 mM Tris•HCl pH 7.9, 2 mM EDTA, 500 mM NaCl, 0.05% SDS, 1% Triton X-100, 1x complete protease inhibitor cocktail); (3) LiCl Wash Buffer (10 mM Tris•HCl pH 7.9, 1 mM EDTA, 250 mM LiCl, 1% NP-40, 1% sodium deoxycholate, 1x complete protease inhibitor cocktail); and (4) 1x TrisEDTA (TE) containing 1x complete protease inhibitor cocktail. The precipitated immune complexes were transferred to a new tube in 1x TE/complete protease inhibitor cocktail before elution of the genomic DNA fragments in Elution Buffer [40 mM Tris•HCl pH 7.9, 10

mM EDTA, 100 mM NaCl, 100 mM NaHCO₃, 1% SDS, and 50 µg Proteinase K (Life Technologies, 2542)] for 2 hours at 55°C. H3K27ac ChIP assays were performed in the presence of the deacetylase inhibitors nicotinamide (5 mM) and sodium butyrate (10 mM) until the elution of the ChIPed DNA. The crosslinks were reversed by incubating overnight at 65 °C, and the genomic DNA was purified using phenol:chloroform:isoamyl acid extraction (Sigma, P2069) followed by ethanol precipitation. The ChIPed DNA was (1) analyzed by qPCR with SYBR Green (Lonza, 50512) using a LightCycler 480 (Roche) and the primers listed below or (2) subjected to ChIP-seq library preparation as described below. Non-specific background signals in all ChIP assays were determined using IgG (for purified antibodies) or no antibody control (for antiserum). The data were expressed as the percent of input or relative enrichment (fold change).

ChIP using the p300 BRP-Fc fusion protein was performed using the standard ChIPseq protocol described above except that the antibody addition was omitted. Instead, chromatin-associated p300 BRP-Fc was directly precipitated with Protein A Dynabeads using the rabbit Fc tag.

Primers for ChIPing non-histone proteins

• OTUB2 enhancer Forward	5'-GGAATTCCCAACAGAGCAAA-3'
• <i>OTUB2</i> enhancer Reverse	5'-TCTCGCCTGTGATGACTCAG-3'
• TGFA enhancer Forward	5'-GGAGAAAGGAGGTGGAACGG-3'
• TGFA enhancer Reverse	5'-GACTCAAAGTGACAGGGGGCA-3'
• CR595588 enhancer Forward	5'-GTCACTTGTTCTCCTGCGTG-3'

• CR595588 enhancer Reverse	5'-GGGAAGCAGTGCTCATCCAG-3'
• <i>HK1</i> enhancer Forward	5'-CCCTCCTGAATGACAGATGG-3'
• <i>HK1</i> enhancer Reverse	5'-CTGCCTGACTCACACTGGAA-3'
• C2orf18 enhancer Forward	5'-CATGTGACCCCAAAGAGGAG-3'
• C2orf18 enhancer Reverse	5'-CATCCAGGCTTAACCAGAGG-3'
• GREB1 enhancer Forward	5'-TGCTGGCTGCTTAAAAACCT-3'
• GREB1 enhancer Reverse	5'-TGAAAACCCACACTTCCAAA-3'
• <i>P2RY2</i> enhancer Forward	5'-AAGGGCTAATGTTTGGCACA-3'
• <i>P2RY2</i> enhancer Reverse	5'-AGGGAGGTCCAGGAGGTCTA-3'
• PGR enhancer Forward	5'-ATGCAGAGCCATTGCAAAAT-3'
• <i>PGR</i> enhancer Reverse	5'-ATGCAGAGCCATTGCAAAAT-3'
• SBNO2 enhancer Forward	5'-CCTGTATTCTGGGGGGCACTA-3'
• SBNO2 enhancer Reverse	5'-CTCACCCCATCCAGTACACC-3'
• SMAD7 enhancer Forward	5'-GGCATAGCTAGGACCTCACC-3'
• SMAD7 enhancer Reverse	5'-GAGGGAGGAAAGTGGCTTCT-3'

Primers for ChIPing H3K27ac

• OTUB2 enhancer Forward	5'-CCGAGCCTCTCCTCATTTCC-3'
• OTUB2 enhancer Reverse	5'-CCATCAATGGTGGCAGGAGA-3'
• <i>TGFA</i> enhancer Forward	5'-TTTCTGTTCCTGGCTTGGCA-3'
• <i>TGFA</i> enhancer Reverse	5'-AGCCAGGTGACCTAGTGGTA-3'
• CR595588 enhancer Forward	5'-ACAGGGCCAACAGAGTCTTG-3'

• CR595588 enhancer Reverse	5'-CATGCTGCACACAGATCACG-3'
• <i>HK1</i> enhancer Forward	5'-TGCTGACAATCCAGCAAGGAA-3'
• <i>HK1</i> enhancer Reverse	5'-GATTTACTCGGAGAGTGCCCC-3'
• C2orf18 enhancer Forward	5'-AACACAGGACAAGGGAGCAG-3'
• C2orf18 enhancer Reverse	5'-GGGGTCAGGCAGACACATAC-3'

ChIP-seq Library Preparation

ChIP-seq libraries were prepared as previously described with some slight modifications (Luo et al., 2014). Briefly, input DNA or ChIPed DNA was subjected to additional purification using Agencourt AMPure XP beads (Beckman Coulter, A63881). Two and a half to 10 ng of purified genomic DNA was subjected to end repair using an endrepair mix (Enzymatics, Y9140-LC-L) and 0.1 mM dNTPs. A single dA base was added to the end repaired DNA using Klenow $3' \rightarrow 5'$ Exo-minus (Enzymatics, P7010-HC-L), 1x Blue Buffer (Enzymatics, B0110), and 0.2 mM dATP to facilitate adaptor ligation. TruSeq DNA Sample Prep Kit adaptors (custom synthesized by IDT and HPLC purified) were partially annealed to form double-stranded adaptors on one terminus, and annealed to add DNA through double-stranded DNA-DNA ligation using T4 DNA ligase (Enzymatics, L6030-HC-L) in DNA Rapid Ligase Buffer (Enzymatics, B1010). The adaptor-ligated DNA was amplified by PCR for 6 to 11 cycles and purified by electrophoresis on a 1% agarose gel containing SYBR Gold (Invitrogen, S11494). The DNA was excised from the agarose gel and eluted using a QIAquick Gel Extraction Kit (Qiagen, 28706). The quality of the library was assessed using a D1000 ScreenTape (Agilent, 5067-5582) on a 2200 TapeStation

(Agilent) and quantified using a Qubit dsDNA HS Assay Kit (Thermo Fisher Scientific, Q32851). The libraries with unique adaptor barcodes were multiplexed and sequenced on an Illumina HiSeq 2000 (single-end, 50 base reads).

Analysis of ChIP-seq Data

Quality Control and Alignment. Quality of the ChIP-seq datasets was assessed using the FastQC tool (http://www.bioinformatics.babraham.ac.uk/projects/fastqc/). The ChIP-seq reads were aligned to the human reference genome (hg19) using BOWTIE (version 0.12.7) with the default parameters (Langmead et al., 2009). Uniquely mapped reads were visualized on the UCSC genome browser as bigWig files prepared using BEDTools (Quinlan and Hall, 2010) and custom R scripts (available on request).

Peak Calling. ER α peak calling from a total of four replicates per condition in two sequencing runs was performed using MACS software (Zhang et al., 2008) using the default p-value and input condition as a control. Peak calling for wild-type (Wt) and L540Q (LQ) ER α was performed separately. The peak calling was performed separately for each sequencing run, and the peak calls from the two runs were compared to yield a set of common peaks. The final universe of ER α peaks from Wt and LQ were then compared using the mergePeaks function in HOMER (Heinz et al., 2010) to identify ER α peaks shared between the Wt and LQ conditions. The read counts \pm 500 bp around the summit of the common ER α peaks were used to calculate the fold change (FC) where,

FC = Wt/LQ

In the subsequent analyses, a set of common ER α peaks with approximately equivalent binding strength in Wt and LQ was selected using the cut off 0.75 < FC < 1.25. This set of ER α peaks was used to determine differences between Wt and LQ in the recruitment of coregulators or the enrichment of H3K27ac. For boxplot representations of ChIP-seq data, read counts ± 500 bp surrounding the summit of the common ER α peaks were counted and visualized.

Comparing Called Peaks. Peaks for wild-type (Wt) and L540Q (LQ) ER α were rank ordered separately by the number of read counts in a fixed 1 kb window (± 500 bp) surrounding each ER α peaks. The genomic coordinates of the top 6500 peaks for each ER α (i.e., ER α Wt or ER α LQ) were compared. The overlap of the ER α Wt and ER α LQ peaks were then visualized in a Venn diagram.

Chromosome Conformation Capture (3C) Assays

Chromosome conformation capture (3C) assays were performed as previously described with some modifications (Dekker et al., 2002; Wang et al., 2009). The cells in one 10 cm diameter plate at ~80% confluence (~4 x 10^6 cells) were crosslinked in 1% formaldehyde in PBS at 37°C for 10 min. Crosslinking was quenched by the addition of glycine to a final concentration of 125 mM. The cells were scraped and collected in PBS containing 1x complete protease inhibitor cocktail (Roche, 11697498001), and then pelleted by centrifugation in a microfuge at 4°C. The cells were resuspended in 3C Lysis Buffer (10 mM Tris•HCl pH7.5, 10 mM NaCl, 0.2% Triton X-100, 1 mM DTT, 1x complete protease inhibitor cocktail) and lysed by pipetting and gentle mixing at 4°C for 30 min. to release the

nuclei, which were collected by centrifugation in a microcentrifuge at 500 x g for 5 min. The pelleted nuclei were resuspended in 3C Digestion Buffer [1.2x NEB Restriction Enzyme Buffer 3 (NEB, B7003S), 0.3% SDS] and incubated at 37°C for 1 hour with gentle mixing. Triton X-100 was then added to the nuclear suspension to a final concentration of 2%. After continued incubation at 37°C for 1 hour with gentle mixing, 400 units *BglII* restriction enzyme (NEB, R0144S) were added and the chromatin was digested overnight at 37°C with gentle mixing. SDS was added to the *BglII*-digested chromatin to a final concentration of 1.6% and the mixture was incubated in 65°C for 20 min. to quench the digestion. The digested chromatin was then transferred to a 15 mL conical tube, diluted with 6.5 mL of 3C Ligation Buffer (50 mM Tris•HCl pH 7.5, 10 mM MgCl₂, 1 mM ATP, 1% Triton X-100, 10 mM DTT), and incubated at 37°C for 1 hour with gentle mixing.

The digested chromatin was then ligated with 2000 units of T4 DNA Ligase (NEB, M0202L) at 16°C for 4 hours, followed by 30 min. at room temperature. The ligated chromatin was deproteinized by treatment with 300 µg Proteinase K (Sigma, P2308) at 37°C for 1 hour, reverse crosslinked at 65°C overnight, purified by phenol:chloroform:isoamyl acid (Sigma, P2069) extraction, and precipitated with ethanol. The 3C DNA was collected by centrifugation, resuspended in 1x TE, and further purified using a NucleoSpin Gel and PCR Clean-Up Kit (Takara, 740609.250) with buffer NTB (Takara, 740595.150) following the manufacturer's instructions. Bacterial artificial chromosomes (BAC) spanning the loci to be tested were subjected to digestion with *BglII*, ligation, phenol:chloroform:isoamyl acid purification, and ethanol precipitation to generate a standard curve to normalize the amplification efficiency for each primer set.

The purified 3C DNA was quantified using a Qubit dsDNA HS Assay Kit (Thermo Fisher Scientific, Q32851) and then normalized to (1) 2.5 ng/ μ L for qPCR assays with SYBR Green (Lonza, 50512) or (2) 25 ng/ μ L for qPCR assays with TaqMan probes (Thermo Fisher Scientific) using a QuantiTech Probe PCR Kit (Qiagen, 204343) with the primers and probes listed below. qPCR assays with SYBR Green were performed on the 3C DNA to quantify: (1) the input of the locus to be tested using the loading primer mix, (2) the input of the GAPDH locus using the GAPDH loading primer mix, and (3) the interaction frequencies of the GAPDH locus for normalization using the GAPDH interaction primer mix. A serial dilution of the 3C DNA was used to generate a standard curve for each primer set. qPCR assays with TaqMan probes were performed to quantify the interaction frequencies in the locus to be tested using the hub and each test primer. A standard curve for the TaqMan qPCR assay was generated using a serial dilution of the digested/ligated BAC control DNA. The interaction frequencies determined by the TaqMan qPCR assay were normalized to the input of the test locus, the input of the GAPDH locus, and the interaction frequencies of the GAPDH locus.

BAC templates:

Locus	BAC Clone ID
• OTUB2	RP11-666E24
• TGFA	RP11-771E16, RP11-36L20
• CR595588	CTD-2363K16
• <i>HK1</i>	RP11-652D17

• C2orf18

TaqMan probes:

<u>Locus</u>	Sequence (5' to 3')
• OTUB2	TTCCTCTCCGGGCCTGACCT
• TGFA	ATCTAGGAAACCTCCGTGGGGGCTAGTCT
• CR595588	CTCGTGAGGCTTATTCACTACCATGAGAACAGG
• <i>HK1</i>	TCTAGGATCACAGCTTGGATCTGTGAGTC
• C2orf18	ATCTTCAGTGTCCAGGAAGAAGGTACGG

Primers:

<u>Locus</u>	Name	Sequence (5' to 3')
• GAPDH	Interaction Forward (Wang et al., 2009) CCTTCTCCCCATTCCGTCTT	
	Interaction Reverse (Wang et al., 2009)) TGTGCGGTGTGGGGATTGTC
	Loading Forward (Wang et al., 2009)	ACAGTCCATGCCATCACTGCC
	Loading Reverse (Wang et al., 2009)	GCCTGCTTCACCACCTTCTTG
• OTUB2	Loading Forward	CAGTAATGTTCTCAGACTTC
	Loading Reverse	CTAGAGCTCTGACTCCAC
	Hub	AGTCAGAGCTCTAGGGA
	Test 1	AGTCAGGCAGGGAGAT
	Test 2	GGGATTCACACCCAGAT
	Test 3	AAAGGAGGTGTCGTCTAG

	Test 4	ATGGGTTTGGAGCAGAT
	Test5	CGAAGAAAGAAGCCCTTTATAA
	Test 6	CTGGGGGGCTTCTTAGAT
• TGFA	Loading Forward	CAATGCTCAGGTTCCAAGTA
	Loading Reverse	CTGTTAGGAGTCTCGGTTAATG
	Hub	AGACTCCTAACAGCCAGTT
	Test 1	CAGAGAGAAGGTGCTGTG
	Test 2	TGGATTCAAATCCAGGATCC
	Test3	TGAATCAGTAGTCGGAATATAGA
• CR595588	Loading Forward	ACAAAAGAGGAATGATGGCT
	Loading Reverse	CCAGGAAAGATGAGAAGCAT
	Hub	TGAATCATGGGGGACAGTTTC
	Test 1	GCGACCTCTTTCTACCA
	Test 2	CCGCCTGGGATAAAAGTT
	Test 3	CATCTTTGGCCTTTCCAGA
	Test 4	GACCTTCTGCTCTTAAGAAAAC
• <i>HK1</i>	Loading Forward	GCATCTAAGCTCCTCCTTTT
	Loading Reverse	CTCTACCCTAGCTCTTGACT
	Hub	TCTATACAACTGGGACCAC
	Test1	ATAGCTTCTCTTGAAAGATTTAGA
	Test2	GGTAGTAGACACTTCTAAACAAC
	Test 3	CCTTGCATGAGCCACAC

	Test 4	CTCACTGTCAAGTTATCAAGAA
• C2orf18	Loading Forward	TCTTCTGTGTCCTTTCTGTG
	Loading Reverse	ACTCTACTACACTGTCCTCC
	Hub	TGTAAAATGGACTTGGTGAT
	Test 1	ATTCTGTGCCTGCAAAGAA
	Test 2	GAAACTACGGAGTGTGTTTG
	Test 3	ATAGGATGGAAACCACCAGT
	Test 4	TCTTTGATCAGGGTCAGGT
	Test 5	CCCTGCTCACATCTCCTAA

Preparation of Global Run-on Sequencing (GRO-seq) Libraries

GRO-seq libraries were prepared as previously described with some modifications (Luo et al., 2014). Approximately 2 x 10⁶ cells were seeded on a 15 cm² plate and grown to ~80% confluence. The cells were washed twice with ice-cold PBS and collected by scraping in Swelling Buffer [10 mM Tris•HCl pH 8.0, 2 mM MgOAc, 3 mM CaCl₂, 0.5 mM DTT, 1x complete protease inhibitor cocktail, and SUPERase•In (Ambion)] on ice. The cells were pelleted by centrifugation and resuspended in Lysis Buffer [10 mM Tris•HCl pH 8.0, 2 mM MgOAc, 3 mM CaCl₂, 10 mM NaCl, 0.5% NP-40, 300 mM sucrose, 0.5 mM DTT, 1x complete protease inhibitor cocktail, and SUPERase•In (Ambion)]. To isolate the nuclei, the swollen cells were lysed by pipetting up and down 60 times through a narrow bore tip. The nuclei were pelleted by brief centrifugation, equilibrated, and dispersed in Freezing Buffer to

5 x 10^6 nuclei/100 µL [50 mM Tris•HCl pH 8.3, 5 mM MgCl₂, 40% glycerol, 0.1 mM EDTA, and SUPERase•In (Ambion)], aliquoted, and stored at -80°C.

Run-on was performed as previously described with some modifications (Luo et al., 2014). The nuclei were incubated in the presence of 5'-bromo-UTP and α -³²P radiolabeled-CTP for 5 min., with subsequent quenching by the addition of RQ-1 DNase (Promega, M6101). RNA was isolated from the run-on reaction mixture and hydrolyzed by incubating with 0.2 N NaOH on ice for 15 min. The fragmented RNA was treated with T4 PNK (NEB, M0201S) in the absence of ATP to dephosphorylate the 3'-terminus. Nascent transcripts were isolated from the total RNA with two rounds of affinity purification using anti-BrdU antibody-conjugated agarose beads (Santa Cruz Biotechnology, sc-32323). PolyA tails were added to the nascent transcripts using 1 mM ATP and 0.5 U/µL E. coli Poly(A) Polymerase (NEB, M0276S) at 37°C for 8 min. cDNA was generated using the oNTI223HIseq primer (custom synthesized by IDT and HPLC purified) (Ingolia et al., 2009) and purified on an 8% polyacrylamide TBE-urea gel. The purified cDNA was then circularized using CircLigase (Epicentre, CL4111K), relinerized using APE1 (NEB, M0282S), and amplified using TruSeq small RNA-seq PCR primers (custom synthesized by IDT and HPLC purified) to generate the GRO-seq library. The library quality was assessed using a D1000 ScreenTape (Agilent, 5067-5582) on a 2200 TapeStation (Agilent) and quantified using a Qubit dsDNA HS Assay Kit (Thermo Fisher Scientific, Q32851). The libraries with unique adaptor barcodes were multiplexed and sequenced on an Illumina HiSeq 2000 (single-end, 50 bp reads) for a total of ~47 million raw reads per biological replicate. The experiment has two biological and two

technical replicates. The technical replicates were merged into a single data file before further analysis after confirming strong positive correlations between them.

Analysis of GRO-seq Data and Integration with ChIP-seq Data

GRO-seq data analyses were performed as previously described (Hah et al., 2013; Nagari et al., 2017) using the groHMM software package available from Bioconductor (http://bioconductor.org/packages/release/bioc/html/groHMM.html) (Chae et al., 2015; Danko et al., 2014).

Quality Control. Quality of the GRO-seq datasets was assessed using the FastQC tool (http://www.bioinformatics.babraham.ac.uk/projects/fastqc/). The GRO-seq reads were subjected to trimming using Cutadapt (Martin, 2011) to remove the polyA tails and adapter sequences to maximize the mappability.

Alignment and Gene Annotation. The trimmed GRO-seq reads were aligned to the human reference genome (hg19) and one complete copy of an rDNA repeat (GenBank ID: U13369.1) using the BWA aligner (Li and Durbin, 2010). The mapped reads were visualized as UCSC genome browser tracks after conversion to bigWig files using the groHMM package (Chae et al., 2015; Danko et al., 2014). A complete set of annotated coding genes was assembled from the RefSeq, UCSC, and Gencode databases. Overlapping annotations were removed from the assembled set of genes to avoid multiple counting. The set of annotated long non-coding RNA (lncRNA) genes was downloaded from the LNCipedia 2.0 database. The lists of coding and lncRNA gene annotations were combined and used in the subsequent analysis.

Calling Differential Gene Expression. Differential gene expression between experimental conditions was determined using edgeR (Robinson et al., 2010). Expression levels in a given condition were determined using the number of read counts between +1 to +13 kb from the 5' end of the gene annotations (Hah et al., 2011). Significantly regulated genes were determined using a false discovery rate (FDR) cutoff of 5%. The read counts +1 to +13 kb from the 5' end of each gene were visualized in boxplots as Reads Per Kilobase of gene per Million mapped reads (RPKM).

Calculation of Pausing Indexes. The read counts in windows from the TSS to +1 kb and from +1 kb to the 3' end to the end of the gene were collected as "TSS" and "gene body," respectively, and represented in boxplots as Reads Per Kilobase of gene per Million mapped reads (RPKM). Pausing indexes were calculated using the pausing index function in the groHMM software package (Chae et al., 2015).

Box Plots. For ChIP-seq, box plot representations were used to quantitatively represent the read distribution in a fixed 1 kb window (\pm 500 bp) surrounding each ER α binding site using the box plot function in R. Wilcoxon rank sum tests were performed to determine the statistical significance of all comparisons.

Metaplots. Metaplots of GRO-seq data were generated using the metagene function in the groHMM software package (Chae et al., 2015)

Analysis of ERa Binding Sites Nearest to Regulated Genes. The ER α peaks from the ChIP-seq analyses that were (1) present in both the Wt and LQ conditions and (2) located nearest to the genes up-regulated in the Wt 45 min. E2 condition were obtained using custom Perl scripts (available on request). The GRO-seq RPKM values of the gene (i.e., gene transcription) and the ER α peaks (i.e., enhancer transcription) were represented as box plots and line plots (average RPKM).

Cell Proliferation Assays

On day -2 (minus 2), MCF-7 cells were seeded at 4,000 cells per well in 24-well plates in minimal essential medium Eagle (Sigma, M3024) supplemented with 5% charcoal-dextran treated calf serum (Sigma, C8056) and allowed to rest at 37°C, 5% CO₂ for 16 hours. On day -1 (minus 1), the specified siRNAs were transfected using Lipofectamine RNAiMax (Thermo Fisher Scientific, 13778150) according to the manufacturer's protocol. On day 0, the cells were treated with control vehicle (DMSO), 4 μ M C646, or 10 μ M SGC-CBP-30 \pm 100 nM E2. The cells were collected every two days, fixed in 10% formaldehyde for 10 min., and stored at 4°C. After the final time point was collected, all samples were stained with 0.1% crystal violet in 75 mM phosphoric acid for 30 min. After washing with a large volume of water, the crystal violet was extracted from the cells using 10% acetic acid and measured as absorbance at 562 nm.

Analysis of Somatic Mutations, Copy Number Alterations, and Gene Expression Using TCGA Data Sets

Relevant gene sets and expression data were accessed from The Cancer Genome Atlas (TCGA) (http://www.cbioportal.org) (Cerami et al., 2012; Gao et al., 2013; Network, 2012). Bar graphs showing the somatic mutations and copy number alterations in the *NCOA1* (SRC1), *NCOA2* (SRC2), and *NCOA3* (SRC3) genes were generated using the

OncoPrint tool on cBioPortal (<u>http://www.cbioportal.org/index.do</u>) and the METABRIC breast cancer cohort of TCGA datasets (Network, 2012; Pereira et al., 2016). The ERpositive dataset was selected for ER status in the clinical attributes on the study summary page, the genes of interest were specified in the query window, and OncoPrint was generated in response to the query (Cerami et al., 2012; Gao et al., 2013; Network, 2012).

For mRNA expression analysis in relation to copy number alterations, the clinical attributes, copy number alterations, and mRNA expression data from the METABRIC breast cancer cohort of TCGA (Network, 2012; Pereira et al., 2016) were downloaded from cBioPortal (Cerami et al., 2012; Gao et al., 2013) as separate files. The following analysis was performed using custom R scripts (available on request). The datasets on separate files were merged based on patient IDs, and ER status in the clinical attributes was used to sort and extract the data for ER-positive samples. The datasets of the ER-positive breast cancer samples were binned based on the copy number of the *NCOA2* (SRC2) or *NCOA3* (SRC3) genes, and the z-score for the expression of the corresponding mRNA were visualized in boxplots. Due to the low number of samples with *NCOA1* (SRC1) copy number alterations, the mRNA expression analysis for *NCOA1* based on the copy number alteration was omitted.

Kaplan-Meier Analyses

Kaplan-Meier analyses were performed using the Genotype 2 Outcome (G-2-O) online tool (<u>http://www.g-2-o.com/</u>) (Pongor et al., 2015). First, a list of genes more highly expressed in Luminal A or B breast cancer patient samples with amplification of *SRC2* or *SRC3*, compared to samples without amplification of *SRC2* or *SRC3*, was prepared using
TCGA datasets, namely *SRC2* or *SRC3* signature gene sets. Next, the expression of the genes in the *SRC2* or *SRC3* signature gene sets were determined using curated publicly available microarray gene expression datasets linked to clinical outcomes (Pongor et al., 2015). The patients were stratified based on the top 50% (high) or the bottom 50% (low) of expression for each of the *SRC2* or *SRC3* signature genes, and the survival rates were calculated for each group of patients for each signature gene. The overall survival rates were plotted as a metagene (average) of all the signature genes to give higher statistical power in the analysis (Pongor et al., 2015).

Genomic Data Sets

The ChIP-seq and GRO-seq datasets generated from MDA-MB-231-ERα Wt and MDA-MB-231-ERα L540Q cells for the current study were deposited in the NCBI's Gene Expression Omnibus (GEO) database under the super series accession number GSE95123. The ChIP-seq and GRO-seq datasets can be found under subseries GSE95121 and GSE95122, respectively.

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Figure 3.1. Reduced SRC recruitment at ERa L540Q binding sites impairs enhancer formation and target gene transcription.

(A) SRC and p300 recruitment is impaired at ER α L540Q binding sites. ChIP-seq browser tracks for ER α , Med1, SRC (pan), and p300 at the *OTUB2* locus in MBA-MB-231 cells expressing ER α wild-type or ER α L540Q (231/ER α Wt and 231/ER α LQ cells, respectively) ± E2 for 45 min.

(B) Box plots of ER α , Med1, SRC (pan), and p300 ChIP-seq read counts ± 45 min. of E2 treatment at ER α binding sites shared between 231/ER α Wt and 231/ER α LQ cells. Box plots marked with different letters (*a*, *b*, *c*) are significantly different from each other (p < 2.2 x 10⁻¹⁶; Wilcoxon rank-sum test).

(C) ER α L540Q exhibits impaired transcriptional activity. Venn diagram showing the number of genes significantly regulated by 45 min. of E2 treatment in 231/ER α Wt and 231/ER α LQ cells as measured by GRO-seq. FDR = 0.05.

(D) Box plots showing the read counts for 367 genes up-regulated by wild-type ER α , but not by the L540Q mutant, upon E2 treatment as shown in panel (C). Box plots marked with different letters (*a*, *b*, *c*) are significantly different from each other (p < 1.54 x 10⁻⁰⁵; Wilcoxon rank-sum test).

(E) Browser tracks of the *OTUB2* locus in 231/ER α Wt (*left*) and 231/ER α LQ (*right*) cells showing ER α ChIP-seq after 45 min. of E2 treatment and GRO-seq with a time course E2 treatment.

[Figure 3.1 is on the next page]



Figure 3.2. Selective impairment of enhancer features with ERa L540Q.

(A) Pol II recruitment is impaired at ER α L540Q binding sites. ChIP-qPCR assays for Pol II in 231/ER α Wt and 231/ER α LQ cells treated ± E2 for 45 min. Each bar represents the mean + S.E.M. for at least three independent biological replicates. Bars marked with different letters (*a*, *b*, *c*, *d*) are significantly different from each other (p < 0.05; two-way ANOVA).

(B) E2-dependent enhancer-promoter chromatin loop formation is maintained with ER α L540Q, in spite of impaired SRC recruitment. *(Top)* Browser tracks for ER α ChIP-seq and GRO-seq in 231/ER α Wt cells after 45 min. of E2 treatment, shown with the location of the 3C primers. *(Bottom)* 3C-qPCR assays showing chromatin looping from a distal ER α binding site to the *OTUB2* promoter in 231/ER α Wt and 231/ER α LQ cells ± 45 min. of E2 treatment. Each point represents the mean ± S.E.M. for at least three independent biological replicates. Asterisks indicate significant differences compared to Wt at each genomic location in each condition (p < 0.05; two-way ANOVA).

(C) E2-stimulated H3K27ac levels are maintained with ER α L540Q, in spite of impaired SRC recruitment. ChIP-seq browser tracks for ER α and H3K27ac at the *OTUB2* locus in 231/ER α Wt and 231/ER α LQ cells treated with E2 for 45 min.

(D) Box plots of H3K27ac ChIP-seq read counts at ER α binding sites shared between 231/ER α Wt and 231/ER α LQ cells ± 45 min. of E2 treatment. Box plots marked with different letters (*a*, *b*) are significantly different from each other (p < 2.2 x 10⁻¹⁶; Wilcoxon rank-sum test).

(E) p300 HAT activity is required for E2-stimulated increases of H3K27ac levels. ChIPqPCR assays for H3K27ac in 231/ER α Wt cells treated ± E2 for 45 min. in the presence of the p300/CBP HAT inhibitor C646. Each bar represents the mean + S.E.M. for at least three independent biological replicates. Bars marked with different letters (*a*, *b*, *c*) are significantly different from each other (p < 0.05; two-way ANOVA).

(F) A table summarizing the features of ER α Wt and ER α L540Q binding sites upon 45 min. of E2 treatment.

[Figure 3.2 is on the next page]



Figure 3.3. Impaired SRC recruitment with ERa L540Q causes abortive enhancer formation and target gene transcription.

(A) Schematics of the selection pipeline for the genes and enhancers analyzed in panel (B). 367 genes up-regulated by E2 only in 231/ER α Wt cells *(right)* were associated with the nearest ER α Wt binding site *(left)*. GRO-seq data for the promoters of the genes and the nearest ER α binding sites were analyzed as described in panel (B).

(B) Box plots *(left and middle)* and line graphs *(right)* of GRO-seq normalized read counts for 367 genes up-regulated by ER α Wt, but not by ER α L540Q, upon E2 treatment *(top)* and the nearest ER α enhancers *(bottom)*. In the box plots *(left and middle)*, bars marked with different letters (*a*, *b*, *c*) are significantly different from each other (p < 6 x 10⁻⁰⁹; Wilcoxon rank-sum test). In the line graphs *(right)*, each point represents the average read counts. Asterisks indicate significant differences compared to Wt at each time point (p < 0.05; Student's t-Test).

(C) p300 is recruited in an SRC-independent manner during the initial phase of enhancer formation ("enhancer priming"). ChIP-qPCR assays for ER α , SRC (pan), and p300 in doxycycline (Dox)-inducible 231/ER α Wt and 231/ER α LQ cells treated with a time course of E2. Each point represents the mean \pm S.E.M. for at least three independent biological replicates. Asterisks indicate significant differences compared to Wt at each time point (*, p < 0.05; **, p < 0.0005; two-way ANOVA).

[Figure 3.3 is on the next page]



Α

В

С

Gene Transcription

RPKM

ERα Binding (ChIP-seq)

6 Wt

5

4

3

2

1

0

10 МУДХ

5

15 Wt

Ò



*

45

Figure 3.4. SRC-independent ERα enhancer priming requires Mediator.

(A) Schematic showing the distinct SRC-independent and SRC-dependent phases of p300 recruitment during enhancer formation.

(B) Western blot showing siRNA-mediated knockdown of Med1 in Dox-inducible $231/ER\alpha Wt$ cells.

(C) SRC-independent recruitment of p300 requires Mediator. ChIP-qPCR assays for Med1 and p300 in Med1-depleted Dox-inducible 231/ER α Wt cells treated with a time course of E2. Each point represents the mean \pm S.E.M. for at least three independent biological replicates. Asterisks indicate significant differences compared to the siRNA control at each time point (*, p < 0.005; **, p < 0.0001; two-way ANOVA).

(D) Mediator is required for E2-induced gene expression. RT-qPCR assays in Med1depleted 231/ER α Wt cells treated with a time course of E2. Each bar represents the mean + S.E.M. for at least three independent biological replicates. Asterisks indicate significant differences compared to the siRNA control at each time point (*, p < 0.05; **, p < 0.005; two-way ANOVA).

[Figure 3.4 is on the next page]



Figure 3.5. The p300 bromodomain is required for ERa enhancer priming.

(A) The p300 bromodomain is required for p300 recruitment during enhancer priming. ChIP-qPCR assays for ER α , p300, and Med1 in MCF-7 cells treated with a time course of E2 in the presence of the p300/CBP bromodomain inhibitor SGC-CBP-30 (CBP30). Each point represents the mean \pm S.E.M. for at least three independent biological replicates. Asterisks indicate significant differences compared to the DMSO control at each time point (*, p < 0.05; **, p < 0.005; two-way ANOVA).

(B) Ectopic expression of the p300 Bromo-RING-PHD (BRP) cassette in MCF-7 cells. (*Top*) Schematics of p300 and the p300 BRP cassette: KIX, CREB interaction domain; Bromo, bromodomain; RING, ring domain; PHD, plant homeodomain; HAT, histone acetyltransferase catalytic domain; SID, SRC interaction domain. (*Bottom*) Western blot showing doxycycline (Dox)-dependent expression of the rabbit IgG Fc-fused p300-BRP cassette in MCF-7 cells.

(C) The p300 bromodomain is recruited to ER α binding sites during enhancer priming. ChIP-qPCR assays for the IgG Fc-fused p300-BRP cassette in MCF-7 cells treated with a time course of E2. Each bar represents the mean + S.E.M. for at least three independent biological replicates. Asterisks indicate significant differences compared to 0 min. of E2 treatment (p < 0.005; one-way ANOVA).

(D) The p300 bromodomain and p300 acetyltransferase activity are required for E2-induced gene expression. RT-qPCR assays in MCF-7 cells treated with a time course of E2 in the presence of the p300 HAT inhibitor C646 or the bromodomain inhibitor SGC-CBP-30 (CBP30). Each bar represents the mean + S.E.M. for at least three independent biological replicates. Asterisks indicate significant differences compared to the DMSO control at each time point (*, p < 0.05; **, p < 0.005; two-way ANOVA).

[Figure 3.5 is on the next page]



Figure 3.6. Forced recruitment of p300 to ER α binding sites promotes Mediator recruitment, enhancer formation, and target gene expression.

(A) Ectopic expression of ER α wild-type (Wt), Δ H12, and Δ H12-PID in MDA-MB-231 cells (231/ER α Wt, 231/ER $\alpha\Delta$ H12, and 231/ER $\alpha\Delta$ H12-PID, respectively). *(Top)* Schematics of ER α Wt, Δ H12, and Δ H12-PID: AF-1, activation domain 1; DBD, DNA binding domain; LBD, ligand binding domain; AF-2, activation domain 2; PID, p300 interaction domain of SRC2. (*Bottom*) Western blot showing doxycycline (Dox)-dependent expression of ER α Wt, Δ H12, and Δ H12-PID in MDA-MB-231 cells.

(B) The SRC2 PID is sufficient to recruit p300 to chromatin. ChIP-qPCR assays for ER α and p300 in MDA-MB-231 cell lines expressing the ER α s described in panel (A) \pm E2 treatment for 45 min. Each bar represents the mean + S.E.M. for at least three independent biological replicates. Asterisks indicate significant differences compared to the vehicle control in each cell line (*, p < 0.005; two-way ANOVA).

(C) Forced recruitment of p300 to an inactive ER α binding site restores Mediator recruitment and H3K27ac enrichment. ChIP-qPCR assays for Med1 and H3K27ac in the MDA-MB-231 cell lines expressing the ER α s described in panel (A) ± E2 treatment for 45 min. Each bar represents the mean + S.E.M. for at least three independent biological replicates. Asterisks indicate significant differences compared to the vehicle control in each cell line (*, p < 0.05; **, p < 0.0001; two-way ANOVA).

(D) Forced recruitment of p300 to an inactive ER α binding site restores enhancer-promoter chromatin looping. *(Top)* Browser tracks for ER α ChIP-seq and GRO-seq in 231/ER α Wt cells after 45 min. of E2 treatment, shown with the location of the 3C primers. *(Bottom)* 3C-qPCR assays showing chromatin looping from a distal ER α binding site to the *OTUB2* promoter to in 231/ER α AH12 or 231/ER α AH12-PID cells. Each point represents the mean \pm S.E.M. for at least three independent biological replicates. Asterisks indicate significant differences compared to 231/ER α AH12 cells at each genomic location in each condition (p < 0.0001; two-way ANOVA).

(E) Forced recruitment of p300 to an inactive ER α binding site restores E2-responsive eRNA production and gene expression. RT-qPCR assays in MDA-MB-231 cells expressing the ER α s described in panel (A) over a time course of E2 treatment. Each bar represents the mean + S.E.M. for at least three independent biological replicates. Asterisks indicate significant differences compared to the vehicle control in each cell line (*, p < 0.05; **, p < 0.005; two-way ANOVA).

[Figure 3.6 is on the next page]



0.045

0.030

0.015

94400000

0





Α

В

С

ERα AF-1

AF-1

AF-1

Wt

+

 $ER\alpha \Delta H12$

ERα ΔH12-SRC2(PID)

Dox

ERα

β-Actin

DBD

DBD

DBD

26302

180







141

Hub

3C-qPCR

Figure 3.7. p300, Mediator, and SRCs link ERa enhancer function to cell growth and clinical outcomes for ER-positive breast cancers.

(A) Growth curve showing the combinatorial effects of p300 inhibition and Med1 depletion on E2-dependent MCF-7 cell proliferation. Proliferation was measured after siRNAmediated Med1-depletion \pm the p300/CBP HAT inhibitor C646 in the presence of E2. Each point represents the mean \pm S.E.M. for at least three independent biological replicates. Points marked with different letters (*a*, *b*, *c*) are significantly different from each other (p < 0.05; two-way ANOVA).

(B) *SRC2* and *SRC3* are frequently amplified in ER-positive breast tumors. Bar graphs of somatic mutations and copy number variations identified for the *SRC2* and *SRC3* genes in ER-positive breast tumors based on data from TCGA.

(C) Amplifications of the *SRC2* and *SRC3* genes lead to overexpression of their cognate mRNAs in ER-positive breast tumors. Box plots showing *SRC2* and *SRC3* mRNA expression in ER-positive breast tumors binned based on copy number variations of *SRC2* and *SRC3* genes, respectively. Box plots marked with different letters (a, b, c) are significantly different from each other (p < 0.005; Wilcoxon rank-sum test).

(D) Kaplan-Meier plots for Luminal A ER-positive breast cancer patients using a set of genes (signature genes) whose expression is up-regulated in samples with *SRC2* or *SRC3* amplification compared to samples without amplification based on data from TCGA. The expression levels (high or low) of the signature genes determined in curated microarray datasets stratify patients into two groups. Their overall survival rates are shown in the plots.

(E) A model for two-step ER α enhancer activation, showing two distinct p300-dependent phases: (1) a "priming" phase with SRC-independent recruitment of p300 and (2) a "maintenance" phase with SRC-dependent recruitment of p300. See the text for details.

[*Figure 3.7 is on the next page*]



Recruitment of p300

(20 min)

SRC-Dependent Recruitment of p300 (45 min)

143

CHAPTER FOUR

Role of BET Family Members in ERα Enhancer Function and Gene Regulation in Breast Cancer Cells

The study described in this chapter was conducted in collaboration with Rui L, Anusha Nagari, M.S., and Minho Chae, Ph.D. R.L. initiated the project, designed and performed the experiments. A.N. and M.C. executed the genomic analysis. I finalized the study, interpreted the data, and prepared the manuscript.

4.1 Summary

Estrogen (E2)-dependent gene regulation mediated by estrogen receptor alpha (ER α) plays a mitogenic role in ER-positive breast cancer cells. Although clinical applications of selective estrogen receptor modulators (SERMs), which directly interact with ERa to alter ERα activity, have been effective as a first line of treatment for breast cancer patients, a large subset of the patients will develop resistance after prolonged use of SERMs. Thus, there is a great need to develop alternative therapeutic strategies for SERM-resistant breast cancers. Here, we describe the potential use of the bromodomain family member protein (BRD) selective bromodomain inhibitor, JQ1, to alter E2-dependent gene expression program and inhibit E2-dependent growth of breast cancer cells. We show that each family member has partially redundant roles as ERa coregulators that are required for ERa-mediated gene transcription. Furthermore, we demonstrate the function of BRD3 as a molecular sensor of total BRD activity by the compensatory control of its protein levels. In addition, BRD3 colocalizes with a subset of ERa binding sites (ERBSs) that are enriched for active enhancer features and associated with highly E2-induced genes. Collectively, we illustrate a critical role of the BET family members in ER α dependent gene expression.

4.2 Introduction

Estrogen signaling plays a wide array of physiological roles in various reproductive and non-reproductive organs. Steroid hormone estrogen binds to its cognitive receptors, estrogen receptors (ERs) in the nucleus to exert its role through gene regulation (Burns and Korach, 2012; Nilsson et al., 2001; Simpson and Santen, 2015). Liganded ERs dimerize and bind to loci across the genome called transcription enhancers. A large part of ERBSs in the genome contain a specific DNA sequence motif called estrogen response element (ERE), which is often bound by other chromatin-associated proteins including FoxA1 prior to ER binding (Jozwik and Carroll, 2012; Nilsson et al., 2001; Welboren et al., 2009). Alternatively, activated ERs are recruited to the genome indirectly through other transcription factors (TF) such as activating protein-1 (AP-1) family proteins (Kushner et al., 2000). Upon binding to enhancers, ERa recruit additional transcription coregulators and chromatin remodelers to establish fully active transcription enhancers (Nilsson et al., 2001; Shao and Brown, 2004). Active ERα enhancers in turn recruit general transcription factors and RNA polymerase II (Pol II) by acting with a number of transcription coregulators to evoke transcriptional outputs (Nilsson et al., 2001).

Aside from its roles in normal physiology, diverse functions of estrogen signaling are implicated in pathological conditions including breast and uterine cancer, obesity, and osteoporosis (Burns and Korach, 2012; Simpson and Santen, 2015). In particular, estrogen signaling via ER α exhibits a tumorigenic role in breast cancer. Indeed, approximately 60 - 70 % of breast cancers express ERs, and SERMs, including partial agonists such as

tamoxifen and raloxifen as well as antagonist fulvestrant, are widely used as a first line of treatment in ER-positive breast cancer patients. Although the treatments targeting estrogen receptors are effective in many cases, prolonged use of SERMs, such as tamoxifen, ultimately promote the development of resistance in nearly all patients (Alluri et al., 2014b; Chang, 2012; Shao and Brown, 2004). The mechanisms of resistance includes acquisition of E2 signaling-independent cancer cell growth and adapting gain-of-function mutations on ER α (Alluri et al., 2014a; Chang, 2012). To achieve the latter mechanism, Several mutations in ER α found in SERM-resistant breast cancers are shown to cause ligand-independent activation of ER α by adapting an active conformation that allows unliganded mutant ER α to interact with transcriptional coregulators including steroid receptor coactivators (a.k.a. SRC1/2/3 or p160) (Herynk and Fuqua, 2004; Jeselsohn et al., 2015; Thomas and Gustafsson, 2015; Toy et al., 2013). Thus, there is an urging necessity to develop new clinical approaches to control ER-dependent gene expression in SERM-resistant breast cancer patients.

The BET family proteins are transcription coregulators that cooperate with a wide variety of transcription factors for proper transcription activation, including ER α (Nagarajan et al., 2014; Sanchez et al., 2014). The BET family is composed of four family members, BRD2, BRD3, BRD4, and BRDt, each of which contains two bromodomains that bind to acetylated lysine residues on histones (Filippakopoulos et al., 2012; Shi and Vakoc, 2014). BRD2, BRD3, and BRD4 are expressed in a wide range of tissues, while BRDt expression is limited to testis (Belkina and Denis, 2012; Filippakopoulos et al., 2012). Upon activation of transcription factors, histones are hyperacetylated by histone acetyltransferases recruited by

transcription factors, which in turn recruit BET family proteins to execute a transcription response. The BET family members recruited at enhancers are required for recruitment of p-TEFb that harbors CDK9, a kinase that phosphorylates NELF, allowing the release of Pol II from promoter-proximal pausing and transcription progression (Jang et al., 2005; Kanno et al., 2014; Wang and Filippakopoulos, 2015).

JQ1 and iBET are recently developed inhibitors for the BET family proteins that bind to the bromodomains to inhibit their recruitment to acetylated histones (Asangani et al., 2014; Dawson et al., 2011; Filippakopoulos et al., 2010). A growing body of literature supports the efficacy of these inhibitors in various diseases including many types of cancers by modulating the transcriptional networks that are crucial for the context-specific growth of cancer cells (Alluri et al., 2014a; Baratta et al., 2015). A previous study on the role of BRD4 in ER α -dependent gene transcription by Nagaragan *et al.* showed that JQ1 inhibits E2dependent gene transcription in ER-positive breast cancer cells (Nagarajan et al., 2014). However, precise molecular mechanisms of BET family members in ER α -dependent transcription are not yet clear. Also, it is important to understand the functional relationships among the BET family members, to decipher through which family protein JQ1 modulates E2-dependent gene expression.

In this study, we investigate the role of the BET family proteins in ER α -mediated gene regulation. The inhibition of the BET family members by JQ1 attenuated E2-dependent growth of breast cancer cells. This effect of BET family inhibition was mediated by impaired E2-dependent gene transcription, supporting a critical role of the BET family proteins in ER α -dependent gene activation. Furthermore, we show that BRD3 plays a

prominent role by modulating the total activity of the family members. Collectively, our study demonstrates an important function of the BET family proteins in E2-dependent gene regulation.

4.3 Results

Expression of the BET Family Members Correlates With Breast Tumor Outcomes

The BET family protein members have been suggested as potent therapeutic targets in the treatment of various cancer types, including leukemia and prostate cancers (Asangani et al., 2014; Delmore et al., 2011; Zuber et al., 2011). We examined the potential roles of the BET family members in ER-positive breast cancers. We found that high expression of the family members correlates with poor overall survival rate for ER-positive breast tumor patients (Fig. 4.1A). In particular, BRD3 and BRD4 expression were retained in > 90 % and 100 % of breast tumor samples, respectively, while < 20 % of tumor samples exhibited detectable BRD2 levels (Fig. 4.1B and 4.1C) (Uhlen et al., 2005; Uhlen et al., 2015). We examined whether the BET family members play a role in ER-positive breast cancer cell growth. Consistent with previous studies, a potent BET family member inhibitor, JQ1, attenuated E2-dependent growth of MCF-7 cells (Fig. 4.1D) (Nagarajan et al., 2014).

BET Family Proteins Recruited to ERa Enhancers Are Required for E2-dependent Gene Expression

The BET family members recruited to active enhancers through acetylated histones further recruit CDK9-containing complex p-TEFb, which in turn phosphorylates NELF complex to release paused Pol II for productive elongation (Baratta et al., 2015; Kanno et al., 2014). We hypothesized that the effect of BET family inhibition on MCF-7 cell growth is due to dismissed? E2-dependent transcription regulation. Indeed, the presence of JQ1 impaired E2-induced gene expression in MCF-7 cells (Fig. 4.2A). Importantly, the protein levels of ER α and steroid receptor coactivators (SRCs) were comparable in the presence or absence of JQ1 (Fig. 4.8). Furthermore, JQ1 did not change the levels of ER α recruitment and histone acetylation at the enhancers for E2-induced genes (Fig. 4.2B). However, the E2dependent recruitment of the BET family proteins was significantly reduced by JQ1 (Fig. 4.2C). Collectively, our data support the mechanism of JQ1 as an inhibitor of BET family member recruitment to ER α enhancers.

It has been previously shown that in some Myc-dependent cancers, JQ1 inhibits tumor growth by repressing *Myc* transcription (Chapuy et al., 2013; Fowler et al., 2014; Loven et al., 2013). Since *Myc* expression is elevated by E2, we tested whether the attenuated E2-dependent growth of MCF-7 by JQ1 is due to reduced E2-dependent induction of Myc. Interestingly, JQ1 did not affect E2-induced Myc expression (Fig. 4.9), suggesting a Myc-independent mechanism of JQ1 action on E2-induced growth of MCF-7 cells.

Inhibition of the BET Family Proteins Results in Dysregulation the E2-dependent Gene Expression Program

Based on the significant growth inhibitory effect of JQ1, we hypothesized that the BET family proteins may have a more extensive genome-wide effect on E2-dependent gene expression. To explore this, we performed RNA-seq assays using MCF-7 cells treated with E2 in the presence or absence of JQ1. We found that 21 % of genes expressed in at least one condition in MCF-7 cells were affected by treatment with JQ1. However, the number of genes affected by JQ1 increased to 38% when examining E2-regulated genes, suggesting an important role of the BET family members in the E2-responsive gene expression program (Fig. 4.3A). The expression of 345 out of 706 E2-upregulated genes and 178 out of 659 E2-downregulated genes were affected greater than 1.5 fold by JQ1 (Fig. 4.3B and 4.3C). Furthermore, gene ontology analysis identified *response to endogenous stimulus* and *hormones* as the top ontology terms among gene groups whose E2-induced gene activation was suppressed by JQ1. This supports the hypothesis that the inhibitory effect of JQ1 in E2-stimulated growth of MCF-7 cells was mediated through regulation of the E2-dependent gene expression program (Table 1).

BRD3 Is a Critical Coregulator for ERa-dependent Gene Expression in MCF-7 cells

The BET family bromodomain inhibitor JQ1 exhibits a range of affinities to each BET family protein. Each family member contains two bromodomains, each with different affinities toward JQ1. The N-terminal bromodomains in BRD3 and BRD4 have the highest affinity to JQ1, followed by the C-terminal bromodomains of the two proteins. Although the affinity is lower, the bromodomains of BRD2 are also targeted by JQ1 (Filippakopoulos et al., 2010). Elucidating the differential functions and responses to JQ1 among the BET family members is crucial to understanding their roles in E2-dependent gene expression.

To dissect the differential functions of the BET family members, we performed siRNA-mediated knockdown of BRD2, BRD3, and BRD4 in MCF-7 cells and evaluated their contribution to E2-dependent gene activation (Fig. 4.4A). Depletion of a single family member did not affect E2-induced gene expression in MCF-7 cells. However, E2-responsive gene activation was impaired when BRD3 was depleted in combination with BRD2, BRD4, or both (Fig. 4.4B). Interestingly, BRD3 protein levels were elevated when either BRD2, BRD4 or both were depleted, suggesting a compensation mechanism that controls BRD3 expression levels. We did not find elevated expression of BRD2 or BRD4 under any condition tested, highlighting a BRD3-specific role in fine-tuning the overall activity of the BET family members (Fig. 4.4A). To test this hypothesis, we re-expressed siRNA-resistant BRD3 in MCF-7 cells with simultaneous knockdown of the BET family members (Fig. 4.5A). Re-expression of BRD3 restored E2-dependent gene expression at a level comparable to the siRNA control, illustrating that BRD3 alone is sufficient to function as a coregulator for ER α (Fig. 4.5B). Together, these results allude to a partial functional redundancy among the family members, and a key role of BRD3 as a molecular sensor that regulates the total activity of the BET family members.

BRD3 Co-occupies a Subset of ERa Enhancers

To further understand the role of BRD3 at ER α enhancers, we performed ChIP-seq assays for BRD3 and acetylated H4 in MCF-7 cells treated with E2 and analyzed with previously performed ER α ChIP-seq data (Franco et al., 2015). Interestingly, we found that BRD3 occupancy segregates ERBSs into two distinctive groups, ERBSs with or without BRD3 enrichment. The group of ER α BSs enriched for BRD3 recruitment was also significantly enriched for acetylated histone H4 upon E2 treatment (Fig. 4.6A, 4.6B, and 4.6C; Fig. 4.10), which serves as a platform for BRD3 binding (Belkina and Denis, 2012).

Enhancers are often characterized by specific features, including the enrichment of lineage-determining chromatin binding factors (e.g. FoxA1 and AP2 γ), high DNAaseI sensitivity, the enrichment of acetylated histones and specific histone marks (e.g. H3K4me1/2 and H3K27ac), the enrichment of transcription coregulators, as well as generation of enhancer transcripts (eRNAs) (Calo and Wysocka, 2013; Ong and Corces, 2011; Shlyueva et al., 2014). To understand the role of BRD3 in ER α enhancer activation, we compared our ChIP-seq datasets with publicly available FoxA1 ChIP-seq and DNaseI hypersensitivity sites (DHSs) datasets of MCF-7 cells treated with E2. Histone acetylation (acH4), FoxA1 and DHSs are all enriched at ERBSs with BRD3 enrichment compared to ERBSs without BRD3 upon E2 treatment. While ER α binding and acetyl H4 levels were induced after E2 treatment at ERBSs without BRD3 enrichment, we did not observe E2-dependent increase of FoxA1 recruitment and DHSs at ERBSs without BRD3 enrichment (Fig. 4.6B). These results indicate that BRD3 recruitment occurs downstream of ER α binading and at least partial histone acetylation. In addition, our data also suggest that,

although acetylated histones might be required for BRD3 recruitment, acetylated histones are not sufficient to recruit BRD3. Lastly, our analysis also implies a possible role of BRD3 in E2-induced FoxA1 recruitment and DHSs at ER α enhancers.

DNA Sequences Contribute to BRD3 Recruitment at ERa Enhancers

From the ChIP-seq datasets, we found that ER α peaks associated with BRD3 are surrounded by multiple ERBSs compared to ER α peaks that do not associate with BRD3 (Fig. 4.6A). To test the relationship in genomic localization between the satellite ER α binding peaks and the central ER α binding peaks with or without BRD3 enrichment, we quantified the number of ER α peaks within 10 kb surrounding the central ER α peaks with or without BRD3 and binned into 500 bp intervals from the reference ERBSs. Interestingly, we found more ER α peaks within 5 kb of the reference ER α binding peaks that are associated with BRD3 compared to the ER α binding peaks without BRD3. In particular, there is a 30 times greater chance of finding another ER α peaks without BRD3 (Fig. 4.6D).

We also noticed that the intensity of ER α binding is higher when they surround ER α peaks associated with BRD3 compared to ER α peaks without BRD3. We asked if differences in ER α binding motifs (EREs) under the surrounding ERBSs could account for the differences in the binding intensities of the satellite ER α peaks. Interestingly, both full and half motifs under the satellite ER α peaks are nearly identical to the reference ER α peaks, regardless of BRD3 enrichment (Fig. 4.6E). Instead, the frequencies of full ERE occurrence was significantly higher within 10 kb around the reference ERBSs associated with BRD3 as

compared to the reference ERBSs without BRD3 enrichment (Fig. 4.6F). Taken together, our results imply a close genomic relationship between the clustered ER α enhancers with BRD3 recruitment and the effects of ERE occurrence surrounding the ERBSs that colocalized with BRD3.

ERa Enhancers Occupied by BRD3 Are Active Enhancers

In our previous study, we demonstrated that approximately half of distal ERBSs generate enhancer transcripts. ERBSs associated with eRNAs highly correlate with various active enhancer features, including enrichment of coregulators and H3K4me1 regardless of ER α binding intensities. These results indicate that only a subset of ERBSs serve as active enhancers, and eRNAs are a sensitive surrogate to measure enhancer activity (Fang et al., 2014; Franco et al., 2015).

To examine the role of BRD3 in ER α enhancer activation, we analyzed eRNA transcription levels using a GRO-seq dataset (Hah et al., 2011). Significantly higher levels of eRNA were transcribed upon E2 treatment at ERBSs with BRD3 enrichment compared to ERBSs without BRD3 enrichment (Fig. 4.7A), suggesting a close association of BRD3 with active enhancers. In line with this result, E2-regulated genes nearest to ER α /BRD3 enhancers are enriched for E2-upregulated genes that are more highly induced compared to E2-regulated genes nearest to ERBSs without BRD3 recruitment (Fig. 4.7B, 7C, 7D; Fig. 4.11). These results strongly support the role for BRD3 in ER α -mediated gene transcription.

4.4 Discussion

The BET Family Members Are an Integral Part of Active ERa Enhancers

In this study, we illustrate a critical role of the BET family proteins in E2-dependent gene expression. Inhibition of the family members attenuated E2-dependent growth of ER-positive breast cancer cells (Fig. 4.1D). The attenuated growth by JQ1 was in part due to the genome-wide impairment of E2-dependent gene expression mediated by ER α (Fig. 4.2A and 4.3B). As expected, JQ1 had no effect on ER α binding or histone acetylation levels at ER α enhancers, but rather prevented the recruitment of the BET family members at ER α enhancers (Fig. 4.2B and 4.2C). Our results corroborate the known role of the BET family members in signal-induced gene transcription, including a previously reported role of BRD4 in E2-dependent gene transcription (Alluri et al., 2014a; Asangani et al., 2014; Nagarajan et al., 2014; Nicodeme et al., 2010). Furthermore, expression of the BET family members highly correlates with clinical outcomes in ER-positive breast cancer patients, suggesting the BET family members as potential therapeutic targets (Fig. 4.1A).

BRD3 Orchestrates the Overall Activity of the BET Family Members

BRD2, BRD3, and BRD4 are all expressed at detectable levels in ER-positive MCF-7 breast cancer cells (Fig. 4.4A). Despite the low-selectivity of JQ1 among the family members (Filippakopoulos et al., 2010), a number of studies on the roles of the BET family proteins have blindly focused on BRD4 (Brown et al., 2014; Gopalakrishnan et al., 2016; Hong et al., 2016; Nagarajan et al., 2014) as it was the member protein used to design JQ1

(Filippakopoulos et al., 2010). Indeed, thorough studies dissecting the differential functions among the family members are limited (Belkina et al., 2013; Deeney et al., 2016; Delmore et al., 2011; Stonestrom et al., 2015).

In our current study, the depletion of any of the family members alone was not sufficient to impair E2-dependent gene activation. Interestingly, the co-depletion of BRD3 with either BRD2 or BRD4 or both was required to significantly alter E2-responsive gene expression (Fig. 4.4B). In addition, BRD3 protein levels were elevated when BRD2 and/or BRD4 were depleted, presumably to compensate the total activity of the BET family proteins. Importantly, the compensation mechanism was achieved only by modulating BRD3 protein levels, but not BRD2 or BRD4 (Fig. 4.4A). These results demonstrate the partial functional redundancy among the family members, at least in the ER α enhancers tested in this study, and emphasize the role of BRD3 as a molecular sensor that fine-tunes the overall activity of the family members.

Our findings on the differential and redundant roles of the BET family members are likely to be context-specific. For instance, a previous study demonstrated the critical role of BRD2, but not BRD3 or BRD4, for insulin secretion by pancreatic β -cells (Deeney et al., 2016). On the other hand, additional studies have shown that each family member is required for proper inflammatory response in macrophages, enhanced cell survival in multiple myeloma models, and AR-dependent growth of prostate cancers (Asangani et al., 2014; Belkina et al., 2013]; Delmore et al., 2011). Thus, further investigation is needed to understand the extent of functional redundancy among the BET family members in E2dependent gene expression and the molecular mechanisms that control BRD3 levels in response to changes in BRD2 and BRD4 activity in order to maximize the potential of the BET family members as therapeutic targets in breast cancer treatment.

BRD3 Marks Active ERa Enhancers

Given the central role of BRD3 in E2-responsive gene expression in MCF-7 cells, we examined the genome-wide localization of BRD3 in response to E2 treatment. Interestingly, BRD3 was enriched only at a subset of ERBSs upon E2 stimuation (Fig. 4.6A). This subset of ERBSs highly correlate with elevated levels of E2-dependent transcription at the enhancers as well as the target genes (Fig. 4.7A), supporting an integral role of BRD3 in E2-responsive gene expression. These results corroborate previous studies by our group and others which demonstrate that not all stable TF binding events result in transcription activation. Furthermore, these studies also reveal that functional TF binding sites strongly correlate with enrichment of other active enhancer marks, including coregulator recruitment and enhancer-enriched histone modification, and activation of the target genes (Hah et al., 2013; Kouwenhoven et al., 2015; Savic et al., 2015; Shlyueva et al., 2014).

Based on our ChIP-seq experiments, we find that BRD3-enriched ERBSs are surrounded by additional ER α binding at higher frequencies and intensities. Recent studies by Young group and others report clusters of cell-type specific transcription factor binding, namely super enhancers (SEs), which control highly cell-type specific genes and thus maintain cellular identities (Hnisz et al., 2013; Whyte et al., 2013). Subsequent studies also demonstrate that the genes controlled by SEs are disproportionally sensitive to perturbation of transcription coregulators, including the BET family members and the TFIIH component
CDK7 (Chapuy et al., 2013; Chipumuro et al., 2014; Loven et al., 2013). In agreement with these observations, the clusters of ER α enhancers enriched with BRD3 identified in our present study may be categorized as SEs that collectively activate key ER α regulated genes (Fig. 4.6 and 4.7). Together, our results indicate that BRD3 marks active ER α enhancers distinguished from the rest of ERBSs, implicating BRD3 in ER α enhancer activation (Hah et al., 2013).

Implication for Additional Mechanisms of BET Family Member Recruitment

Our current study shows the requirement of the bromodomains in BRD2, BRD3, and BRD4 for the recruitment at ER α enhancers (Fig. 4.2) (Filippakopoulos et al., 2012; Kanno et al., 2004; Zeng and Zhou, 2002). However, it is evident that ER α enhancers are acetylated at detectable levels compared to the surrounding regions even prior to E2 exposure when BRD3 recruitment is at a basal level. In addition, BRD3 is localized at ERBSs, while histone acetylation is more broadly distributed around ER α enhancers (Fig. 4.6A). Interestingly, ERBSs, regardless of BRD3 recruitment, exhibit an E2-dependent increase in histone acetylation. Only a subset of ER α binding sites, however, recruit BRD3 (Fig. 4.6B). Together, these observations suggest that 1) BRD3 recruitment happens in intermediate steps of ER α enhancer formation downstream of histone acetylation, 2) histone acetylation is not sufficient for BRD3 recruitment, and thus 3) there might be another layer of regulation for BET family member recruitment at partially formed ER α enhancer complexes. A deeper understanding is needed of the molecular mechanisms of BET family member recruitment and function at ER α enhancers to fully assess the potential of the BET family members as therapeutic targets for breast cancer.

In summary, we demonstrate a critical role of BET family members in E2-responsive gene expression and the potential use of their inhibitors in treating ER-positive breast cancer. Among the three widely expressed BET family members, BRD3 is particularly interesting, given the presence of a compensatory mechanism that modulates its protein levels in order to orchestrate total activity of the BET family. We show that BRD3 is enriched for a subset of ER α associated with active enhancer features, such as elevated levels of E2-dependent coregulator recruitment and transcription. Collectively, our current study provides a better understanding of the function of the BET family members in ER α enhancers.

4.5 Materials and Methods

Kaplan-Meier Analyses

Kaplan-Meier estimators (Kaplan and Meier, 1958) were generated using the Gene Expression-Based Outcome for Breast Cancer Online (GOBO) tool (<u>http://co.bmc.lu.se/gobo/</u>) (Ringner et al., 2011). BRD2, BRD3 and BRD4 were provided as the input gene set to assess patient outcomes in ER-positive breast cancers.

Antibodies

The antibodies used for Western blotting and/or ChIP assays were as follows: ERa

(rabbit polyclonal generated in the Kraus Lab), BRD2 (Bethyl Lab, A302-583A), BRD3 (Bethyl Lab, A302-368A), BRD4 (Bethyl Lab, A301-985A), pan-acetyl H4 (Millipore, 06-866), Myc (Invitrogen, 13-2500), SRC2 (Santa Cruz Biotechnology, sc-8996), SRC3 (Santa Cruz Biotechnology, sc-7216), SNRP70 (Abcam, ab83306), β-tubulin (Abcam, ab6046).

Cell Culture and Treatments

MCF-7 breast cancer cells were kindly provided by Dr. Benita Katzenellenbogen (University of Illinois, Urbana-Champaign). They were maintained in Minimum Essential Medium (MEM) Eagle with Hank's salts (Sigma, M1018) supplemented with 5% HyClone calf serum (GE Healthcare, SH30072) and 20mM HEPES (ThermoFisher Scientific, BP310). Prior to gene expression and ChIP experiments, the cells were grown for three days in phenol red-free MEM Eagle medium supplemented with 5% charcoal-dextran-treated calf serum. Treatment conditions for cells were as follows: 17β-estradiol (E2), 100 nM (Sigma, E8875); (+)JQ1 (the active enantiomer of JQ1, referred to herein as JQ1), 500 nM unless otherwise stated (Cayman Chemical, 11187); and (-)JQ1 (the inactive enantiomer of JQ1), at the same concentrations as (+)JQ1 (Cayman Chemical, 11232). The cells were treated with (+)JQ1 or (-)JQ1 for 3 hours before treatment with E2. For gene expression analyses, the cells were collected after 3 hours of E2 treatment.

293T cells were purchased from the ATCC (CRL-3216) and maintained in high glucose Dulbecco's Modified Eagle's Medium (Sigma, D7777) supplemented with 10% fetal bovine serum.

Cell Proliferation Assays

MCF-7 cells were plated at a density of 4 x 10^4 cells per well in six well plates in standard grown medium and then switched to phenol red-free MEM Eagle medium supplemented with 5% charcoal-dextran-treated calf serum after attachment. The following day, the cells were treated with ethanol vehicle (Veh), E2 (100 nM), (+)JQ1 (62.5 or 250 nM), or both E2 + (+)JQ1, with fresh treatments added every 2 days. At selected time points over a six-day time course, the cells were fixed with 10% formaldehyde and stained with 0.1% crystal violet and 200 mM phosphoric acid. After washing away unincorporated stain, the crystal violet was extracted using 10% glacial acetic acid and the absorbance was read at 595 nm. The cell proliferation assays were run a minimum of three times with independent biological samples to ensure reproducibility.

siRNA-mediated Knockdown of BRD2, BRD3, and BRD4

MCF-7 cells were grown to 75% confluence in six well plates. The cells were then transfected with commercially available siRNA oligos directed against BRD2, BRD3 or BRD4 (Sigma) at a final concentration of 5 nM using Lipofectamine RNAiMAX reagent (Invitrogen, 13778150) per the manufacturer's instructions. MISSION universal negative control #2 (Sigma, SIC002) was used as a control siRNA. Treatments with E2 were performed 48 hours after siRNA transfection. The siRNA sequences are as follows:

- siBRD2 5'-GTTACAAGATGTCAGCGGA-3'
- siBRD3 5'-CCAAGGAAATGTCTCGGAT-3'
- siBRD4 5'-CTGGAATGCTCAGGAATGT-3'

Inducible Expression of BRD3

The lentiviral system for inducible expression of BRD3 is based on pINDUCER20 (Meerbrey et al., 2011), which was kindly provided by Dr. Thomas Westbrook (Baylor College of Medicine, Houston, TX). The "gateway cloning region" of the original pINDUCER20 vector was replaced with a multi-cloning site. The human BRD3 cDNA was cloned by reverse transcription-PCR from MCF-7 cell total RNA and then transferred into the modified pINDUCER20 vector with the addition of a sequence encoding an HA tag at the 3' end of the cDNA (for tagging of BRD3 at the carboxyl terminal end). The BRD3 cDNA was confirmed by sequencing.

The pINDUCER20-BRD3-HA plasmid was co-transfected into 293T cells with lentiviral packaging plasmid, envelope plasmid, and pAdVAntage (Promega) using GeneJuice transfection reagent (Novagen, 70967) according to the manufacturer's protocol. The supernatant containing the lentiviruses was collected 48 hours after transfection and used to infect MCF-7 cells. The infected MCF-7 cells were selected and maintained in 1 mg/ml Geneticin (Life Technologies, 11811031). For induction of BRD3 expression, doxycycline hyclate (Sigma, D9891) was added to the medium at a final concentration 50 ng/mL. Twenty-four hours later, the cells were collected for Western blotting or RT-qPCR.

Immunohistochemistry (IHC)

Immunohistochemical staining of patient samples were adapted from the Cancer Atlas of the Human Protein Atlas database, version 15 (www.proteinatlas.org). The antibodies used for IHC were as follows: BRD2, HPA042816; BRD3, HPA051830; BRD4, CAB068177. The direct links to the original datasets are as follows:

BRD2: <u>http://www.proteinatlas.org/ENSG00000204256-BRD2/cancer/tissue/breast+cancer</u> BRD3: <u>http://www.proteinatlas.org/ENSG00000169925-BRD3/cancer/tissue/breast+cancer</u> BRD4: http://www.proteinatlas.org/ENSG00000141867-BRD4/cancer/tissue/breast+cancer

Western Blotting

Protein lysate from MCF-7 cells was prepared using lysis buffer [20 mM HEPES (pH 7.5), 420 mM NaCl, 1.5 mM MgCl₂, 0.2mM EDTA, 25% Glycerol, 0.5% NP-40, 1 mM DTT, 1x complete protease inhibitor cocktail (Roche, 11697498001)], and SDS loading samples were prepared using the normalize amount of total protein. Protein expression was examined by western blotting with the antibodies stated above. The signals were developed using a chemilumenescent detection system. (ThermoFisher Scientific, 34080/34095).

mRNA Expression Analysis by Quantitative Real-time PCR (RT-qPCR)

Changes in the steady-state levels of target gene mRNAs were analyzed by RTqPCR, as described previously (Luo et al., 2014) with a few modifications. MCF-7 cells were grown in six well plates and treated as described above (\pm 3 hours with 100 nM E2; \pm 3 hour pretreatment with 500 nM JQ1). The cells were collected and total RNA was isolated using TRIzol reagent (Invitrogen, 15596) according to the manufacturer's protocol. Two micrograms of total RNA were reverse-transcribed using oligo(dT) or random hexmers using MMLV reverse transcriptase (Promega, M1701) according to the manufacturer's protocol. The resulting cDNA was analyzed by qPCR using the primer sets listed below using a LightCycler 480 real-time PCR thermocycler (Roche) for 45 cycles. The expression levels were normalized to 18S ribosomal RNA as an internal standard. All experiments were conducted a minimum of three times with independent RNA isolations to ensure reproducibility.

• TFF1 mRNA Forward	5'-TTGTGGTTTTCCTGGTGTCA-3'
• TFF1 mRNA Reverse	5'-GCAGATCCCTGCAGAAGTGT-3'
• GREB1 mRNA Forward	5'-AAACATCAGCTGCTCGGACT-3'
• GREB1 mRNA Reverse	5'-CCTGACAGATGACACACAACG-3'
• MYC mRNA Forward	5'-TCGGATTCTCTGCTCTCCTC-3'
• <i>MYC</i> mRNA Reverse	5'-CCTGCCTCTTTTCCACAGAA-3'
18S ribosomal RNA Forward	5'-TACCACATCCAAGGAAGGCAGCA-3
• 18S ribosomal RNA Reverse	5'-TGGAATTACCGCGGCTGCTGGCA-3'

Preparation of polyA+ RNA-seq Libraries

RNA-seq libraries were prepared as described previously (Zhong et al., 2011). Briefly, MCF-7 cells were grown in 10 cm diameter dish to the density of 8.8 x 10^6 cells and treated as described above (± 3 hours with 100 nM E2; ± 3 hour pretreatment with 500 nM JQ1). The cells were collected and total RNA was isolated using the RNeasy Plus kit (Qiagen, 74134). PolyA+ RNA was purified from the total RNA using Dynabeads Oligo(dT)25 (Life Technologies, 61002). Strand-specific libraries were prepared according to the "deoxyuridine triphosphate (dUTP)" method as described previously (Zhong et al., 2011). After quality control analyses, the libraries were sequenced on an Illumina HiSeq 2000 (single-end sequencing, 50 nt).

Analysis of RNA-seq Data

Quality control of RNA-seq reads were performed using FastQC tool (http://www.bioinformatics.babraham.ac.uk/projects/fastqc/), and reads were aligned using TopHat v2.0.10 (Langmead et al., 2009) on the hg19 reference genome. Using aligned reads as input, we used Cufflinks v.2.1.1 (Trapnell et al., 2010) and Cuffdiff v.2.1.1 (Trapnell et al., 2013) to assemble the reads into transcripts using RefSeq annotations and to call differentially regulated transcripts, respectively. All programs were run with default parameters. Expression of differentially expressed genes was visualized as heatmaps using Java Tree View (Saldanha, 2004) and as boxplots using the boxplot function in R. The read counts +1 to +13 kb from the 5' end of each gene were visualized in boxplots as Reads Per Kilobase of gene per Million mapped reads (RPKM). The statistical analysis on the effect of JQ1 upon E2 treatment for all E2-regulated genes was performed using 100 genes randomly chosen from each category.

Analysis of GRO-seq Data

GRO-seq data was analyzed as previously mentioned (Hah et al., 2011). The GROseq reads surrounding \pm 2.5 kb of center of the ER α peaks or surrounding the 5' end of regulated genes nearest to the ER α peaks were visualized in boxplots as Reads Per Kilobase of gene per Million mapped reads (RPKM) using boxplot function in R.

Gene Ontology (GO) Analyses

Gene ontology analyses were performed using DAVID (Database for Annotation, Visualization, and Integrated Discovery) (Dennis et al., 2003). As input, the list of genes expressed in MCF-7 at least in one condition tested was used as a background. DAVID returns clusters of related ontological terms that are ranked according to an enrichment score. We listed the top term in each cluster (based on p-value) from the top ten clusters (based on enrichment score). The statistical analysis on E2-dependent gene transcription regulated by ER α enhancer with or without BRD3 enrichment was performed using 100 genes or ER α binding sties for eRNAs randomly chosen from each category.

Chromatin Immunoprecipitation (ChIP)

ChIP was performed as previously described (Franco et al., 2015b) with a few modifications. MCF-7 cells were plated at a density of 2.5×10^6 cells in a 15 cm diameter dish, grown for three days in estrogen-free medium, and treated as described above (± 45 minutes with 100 nM E2; ± 3 hour pretreatment with 500 nM JQ1). The cells were cross-linked with 1% formaldehyde for 10 min at 37°C and quenched in 125 mM glycine for 5 min at 4°C. The cells were then collected and lysed in Farnham lysis buffer [5 mM PIPES pH 8.0, 85 mM KCl, 0.5% NP-40, 1 mM DTT, and 1x complete protease inhibitor cocktail (Roche, 11697498001)]. For acetyl-histone H4 ChIP assays, 10 mM sodium butyrate was added to all buffers to prevent deacetylation of the histones. The crude nuclear pellet was collected by centrifugation, resuspended in lysis buffer [Tris-HCl pH 7.9, 1% SDS, 10 mM EDTA, 50 mM, 1 mM DTT, and 1x complete protease inhibitor cocktail], and incubated on ice for 10

minutes. The chromatin was sheared by sonication at 4°C using a Bioruptor UC200 at the highest setting for five 5-minute cycles of 30 seconds on and 60 seconds off to generate chromatin fragments of ~200-400 bp in length. The soluble chromatin was diluted 1:10 with dilution buffer [20 mM Tris-HCl pH 7.9, 0.5% Triton X-100, 2 mM EDTA, 150 mM NaCl, 1 mM DTT and 1x complete protease inhibitor cocktail] and pre-cleared with protein A agarose beads. Five percent of the material was removed and saved as input, and the rest of the pre-cleared supernatant was incubated overnight at 4°C with the specified antibodies of interest or without antibody as a control (each 15 cm diameter dish yielded two immunoprecipitations) with continuous mixing.

After the incubation, the immune complexes were collected by adding protein A agarose beads and incubating for 2 hours at 4°C. The immunoprecipitated material was washed once with low salt wash buffer [20 mM Tris-HCl pH 7.9, 2 mM EDTA, 125 mM NaCl, 0.05% SDS, 1% Triton X-100, and 1x complete protease inhibitor cocktail], once with high-salt wash buffer [20 mM Tris-HCl pH 7.9, 2 mM EDTA, 500 mM NaCl, 0.05% SDS, 1% Triton X-100, and 1x complete protease inhibitor cocktail], once with LiCl wash buffer [10 mM Tris-HCl pH 7.9, 1 mM EDTA, 250 mM LiCl, 1% NP-40, 1% sodium deoxycholate, and 1x complete protease inhibitor cocktail], and twice with Tris-EDTA (TE) containing 1x complete protease inhibitor cocktail. The immunoprecipitated material was eluted at room temperature in elution buffer [100 mM NaHCO3, 1% SDS], and reverse crosslinked by adding 100 mM NaCl and incubating at 65°C overnight. The eluted material was then digested with proteinase K and RNase A to remove protein and RNA, respectively, and the enriched genomic DNA was extracted with phenol:chloroform:isoamyl alcohol, followed by

isopropanol precipitation. The ChIPed DNA was dissolved in water and analyzed by qPCR using the primer sets listed below using a LightCycler 480 real-time PCR thermocycler (Roche). All experiments were conducted a minimum of three times with independent RNA isolations to ensure reproducibility.

• TFF1 enhancer Forward	5'-TGGTTGCAGATCTTGTTGGA-3'
• TFF1 enhancer Reverse	5'-TTCTCACACACATCCCCTCA-3'
• GREB1 enhancer Forward	5'-GAGCTGACCTTGTGGTAGGC-3'
• GREB1 enhancer Reverse	5'-CAGGGGCTGACAACTGAAAT-3'

Preparation of ChIP-seq Libraries

ChIP-seq libraries were generated using two biological replicates the ChIPed DNA described above for each condition. The DNA was purified using a MiniElute PCR Purification Kit (Qiagen, 28004). After purification, 50 ng of ChIPed DNA for each condition was used to generate libraries for deep sequencing, as previously described (Franco et al., 2015b; Quail et al., 2008), with some modifications. Briefly, the DNA was end-repaired and a single "A"-base overhang was added using the Klenow fragment of *E. coli* DNA polymerase. The A-modified DNA was ligated to Illumina sequencing adaptors using the Illumina TruSeq DNA Sample Prep Kit. The ligated DNA (300-500 bp) was size-selected by agarose gel electrophoresis and purified using a QIAquick Gel Extraction kit (Qiagen, 28704). The size-selected fragments were PCR amplified using Illumina TruSeq P5 and P7 PCR primers, and purified using AMPure beads (Beckman Coulter, A63881). After

quality control analyses, the libraries were sequenced on an Illumina HiSeq 2000 (single-end sequencing, 50 nt).

Analysis of ChIP-seq data

Quality Control and Alignment. The quality of ChIP-seq reads was analyzed by FastQC tool (http://www.bioinformatics.babraham.ac.uk/projects/fastqc/). ChIP-seq reads were aligned to the hg19 reference genome using Bowtie 2 v2.2.2 using the default parameters (Langmead et al., 2009), and visualized on UCSC genome browser using BbigWig files generated by BEDTools (Quinlan and Hall, 2010) and custom R scripts.

Peak Calling and Data Representation. ER α peak dataset in E2-treated MCF-7 was employed from a previous study by Franco *et al.* in which peaks were called using the input as a control (Franco et al., 2015b). ChIP-seq read densities surrounding \pm 5 kb of ER α peaks for ER α , BRD3, FOXA1, and DNase1, and \pm 10 kb of ER α peaks for acetyl H4 were calculated using annotatePeaks.pl function in HOMER software (Heinz et al., 2010) and visualized as heatmaps using Java Tree View (Saldanha, 2004). The read counts within \pm 500 bp of top 50% of ER α peaks with BRD3 enrichment and within \pm 500 bp of top 10% of ER α without BRD3 enrichment was plotted as boxplots using the boxplot function in R. The statistical analysis on the recruitment of ER α , BRD3, and FoxA1 and the levels of acH4 and DNaseI hypersensitivity at ERBSs with or without BRD3 enrichment was performed using 100 ERBSs randomly chosen from each category.

Motif Analysis. Directed motif search was performed on ± 5 kb window around the center of all the ER α peaks with and without BRD3 enrichment using the command-line

version of FIMO software (Grant et al., 2011). A p-value of 1e-4 was used to identify the genomic locations with significant half ERE or full ERE in the ERα peaks as mentioned above. As a control, directed motif search was also performed on a set of random sequences of 10 kb length generated using BEDTools v2.16.2. The position weight matrix (PWM) for ESR1 was obtained from JASPAR database (Mathelier et al., 2014). The motif logos were generated using WEBLOGO online tool (Crooks et al., 2004).

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(A) High expression of the BET family proteins correlates with negative clinical outcomes in ER-positive breast cancer patients. Kaplan-Meier plots for overall survival of ER-positive breast tumor patients with high (red) or low (gray) BRD2, BRD3, and BRD4 expression.

(B) Majority of breast tumor samples express BRD3 or BRD4. Quantification of immunohistochemical standing for ER α , BRD2, BRD3, and BRD4 in breast tumor specimens in the Human Protein Atlas. Normal tissues herein represent samples of non-neoplastic and morphologically normal part of tissues that were surgically removed from three individuals with breast cancers.

(C) Representative immunohistochemical staining for ER α , BRD2, BRD3, and BRD4 in ERpositive ductal carcinoma patient samples adapted from the Human Protein Atlas.

(D) JQ1 attenuates E2-depedent growth of MCF-7 cells. Proliferation assays of ER-positive MCF-7 breast cancer cells with vehicle or E2 treatment in the presence or absence of 250 nM JQ1.





(A) JQ1 impairs E2-dependent gene induction in MCF-7 cells. The expression of E2-induced genes in the presence of active (+) or inactive (-) form of JQ1 determined by RT-qPCR. The asterisks indicate significant differences (two-way ANOVA, p < 0.001).

(B) JQ1 does not affect neither ER α binding or histone acetylation levels at ER α enhancers. The enrichment of ER α and acetyl H4 at enhancers for E2-responsitve genes in the presence of active (+) or inactive (-) form of JQ1 determined by ChIP-qPCR. The asterisks indicate significant differences from the control condition (one-way ANOVA, p < 0.001).

(C) JQ1 inhibits the E2-dependent recruitment of the BET family proteins at ER α enhancers. The effect of JQ1 on BRD2, BRD3, and BRD4 recruitment at ER α enhancers upon E2 treatment determined by ChIP-qPCR. The asterisks indicate significant differences from the control condition (one-way ANOVA, *; p < 0.05, **; p < 0.005).



Figure 4.3. The BET family members regulate E2-dependengt gene expression.

(A) E2-regulated genes are disproportionally affected by JQ1. Pie charts showing the fraction of genes whose expression levels were affected by JQ1 among all expressed or E2-regulated genes in MCF-7 cells. The expression levels were determined by RNA-seq.

(B) A heatmap representation of gene expression regulated by E2 in the presence or absence of JQ1. Genes are called statistically significantly regulated when the fold change of expression levels to the vehicle without JQ1 are greater than 2 or less than 0.25 with FDR < 0.1%. Roman numerous indicate four clustered gene groups.

(C) Boxplots of expression levels for the top 50% of highly regulated genes within each group indicated in (B). Letters on the bars indicate significant differences (Wilcoxon rank sum test, $p < 5 \ge 10^{-10}$).



Figure 4.4. BRD3 is a critical member of the BET family proteins for E2-dependent gene induction in MCF-7 cells.

(A) siRNA-mediated knockdown of BRD2, BRD3, and BRD4 individually or in combination. Protein expression was determined by western blot.

(B) E2-induced gene expression is attenuated only when BRD3 is depleted in combination with BRD2, BRD4, or both. E2-dependent gene expression determined by RT-qPCR under the condition that BET family proteins were depleted by siRNA individually or in combination. The asterisks indicate significant differences from control siRNA under the same condition (two-way ANOVA, *; p < 0.05, **; p < 0.005).



Figure 4.5. BRD3 is sufficient to restore E2-dependent gene expression suppressed by depletion of the BET family proteins.

(A) Western blot showing re-expression of siRNA-resistant BRD3 in MCF-7 cells with the simultaneous knockdown of BRD2, BRD3, and BRD4 by siRNA.

(B) Re-expression of BRD3 rescues E2-induced gene expression impaired by the depletion of the BET family member proteins. E2-induced gene expression in MCF-7 cells determined by RT-qPCR when siRNA-resistant BRD3 was expressed in triple knockdown of BRD2, BRD3, and BRD4. The asterisks indicate significant differences from control siRNA without treatment (two-way ANOVA, *; p < 0.01, **; p < 0.0001).

Figure 4.6. BRD3 is enriched for a subset of ER α binding sites associated with active enhancer features.

(A) Heatmap representations of ER α , BRD3, acetyl H4, FOXA1, and DNaseI ChIP-seq surrounding ER α peaks. ER α binding sites were classified based on co-localization of BRD3. The genomic scales were indicated on the bottom of – E2 columns. The same scales were applied for + E2 conditions of the corresponding ChIP-seq dataset.

(B) Boxplots of ER α , BRD3, and acetyl H4, FOXA1 ChIP-seq and DNaseI-seq reads 1 kb surrounding ER α peaks with or without BRD3 enrichment. Letters indicate significant differences compared to – E2 condition. (Wilcoxon rank sum test, p < 0.05).

(C) Genome browser tracks of ER α , BRD3, and acH4 ChIP-seq and GRO-seq data at GREB1 locus representing ER α binding sites with BRD3.

(D) Number of ER α peaks surrounding ER α binding sites colocalized with BRD3 binned based on the distance from the BRD3-associated or –dissociated ER α binding sites.

(E) Enriched motifs under satellite ER α binding sites surrounding the central ER α binding sites colocalized with or without BRD3.

(F) Boxplots showing the frequencies of ER α motifs within 10 kb from ER α binding sites with or without BRD3. Boxplots of the number of full and half EREs within 10 kb surrounding ER α peaks coocupied with or without BRD3. Letters indicate significant differences compared to – E2 condition. (Wilcoxon rank sum test, p < 2.2 x 10⁻¹⁶).

[Figure 4.6 is on the next page]



Figure 4.7. ERa binding sites with high BRD3 occupancy are associated with E2induced genes that are affected by JQ1.

(A) Boxplots showing the transcription levels determined by GRO-seq at ER α enhancers colocalized with or without BRD3 and the nearest E2-regulated genes. Letters indicate significant differences compared to – E2 condition. (Wilcoxon rank sum test, p < 0.05). (B) JQ1-affected E2-induceed genes are enriched for the target genes of ER α enhancers with BRD3 colocalization. Heatmap representations of ER α and BRD3 as shown in Figure 4.6A and RNA-seq of the nearest genes upon E2-treatment in the absence or presence of JQ1. (C) Boxplots showing RNA-seq reads of E2-regulated genes nearest to the ER α binding sites with or without BRD3 enrichment upon E2-treatment in the absence or the presence of JQ1. Letters on the bars indicate significant differences (Wilcoxon rank sum test, p < 2.2 x 10⁻¹⁶). (D) Genome browser tracks of ChIP-seq for ER α and BRD3, GRO-seq, and RNA-seq data at GREB1 locus representing ER α binding sites with BRD3.

[Figure 4.7 is on the next page]





Figure 4.8. JQ1 does not affect the expression of ERa, SRC2, and SRC3.

Western blot showing protein expression of ERa, SRC2, and SRC3 in MCF-7 cells treated with E2 for 45 min. in the absence or presence of JQ1.



Figure 4.9. E2-dependent MYC upregulation is not affected by JQ1.

(A) RT-qPCR assays showing MYC expression at RNA levels upon E2-treatment in the presence or absence of JQ1.

(B) Western blotting showing MYC protein levels in MCF-7 cells treated with E2 for 6 hrs in the presence or absence of JQ1.





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Figure 4.10. BRD3 colocalizes with ERa enhancers.

Genome browser tracks of ER α , BRD3, acetyl H4, FOXA1 ChIP-seq and DNaseI-seq datasets at GREB1 (A) and TFF1 (B) loci representing ER α enhancer colocalized with BRD3 enrichment.

Α



Figure 4.11. E2-induced genes affected by JQ1 are associated with ER α binding sites with BRD3.

Genome browser tracks of ChIP-seq for ERa and BRD3, GRO-seq, and RNA-seq data at TFF1 locus representing ERa binding sites with BRD3 enrichment.

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E2 up-regulated genes suppressed by JQ1 (suppression >2 fold)

Category	Term	p Value
GOTERM_BP_FAT	GO:0009719 response to endogenous stimulus	1.2E-5
GOTERM_BP_FAT	GO:0009725 response to hormone	2.5E-5
GOTERM_BP_FAT	GO:0001568 blood vessel development	1.9E-4
GOTERM_BP_FAT	GO:0001944 vasculature development	2.2E-4
GOTERM_MF_FAT	GO:0008092 cytoskeletal protein binding	5.8E-4
GOTERM_BP_FAT	GO:0042445 hormone metabolic process	6.3E-4
GOTERM_BP_FAT	GO:0010033 response to organic substance	2.4E-3
GOTERM_BP_FAT	GO:0048514 blood vessel morphogenesis	2.5E-3
GOTERM_BP_FAT	GO:0010817 regulation of hormone levels	3.0E-3
GOTERM_BP_FAT	GO:0043434 response to peptide hormone	3.3E-3

E2 down-regulated genes derepressed by JQ1(derepression >1.5 fold)

Category	Term	p Value
GOTERM_BP_FAT	GO:0007050 cell cycle arrest	1.7E-5
GOTERM_BP_FAT	GO:0042127 regulation of cell proliferation	1.4E-4
GOTERM_MF_FAT	GO:0005160 transforming growth factor beta receptor binding	3.3E-4
GOTERM_BP_FAT	GO:0045787 positive regulation of cell cycle	1.1E-3
GOTERM_BP_FAT	GO:0060284 regulation of cell development	1.2E-3
GOTERM_BP_FAT	GO:0051726 regulation of cell cycle	1.2E-3
GOTERM_BP_FAT	GO:0007389 pattern specification process	1.3E-3
GOTERM_BP_FAT	GO:0010770 positive regulation of cell morphogenesis involved in differentiation	1.3E-3
GOTERM_BP_FAT	GO:0010718 positive regulation of epithelial to mesenchymal transition	1.3E-3
GOTERM_BP_FAT	GO:0008219 cell death	1.6E-3

Table 1. Gene ontology analysis of JQ1-affected genes

E2-induced genes that are sensitive to JQ1 are enriched for terms related to hormonedependent signaling including hormone response, hormone metabolism, and hormone regulation. Gene ontology analysis of E2-regulated genes affected and unaffected by JQ1. Top ten GO terms with p-values are listed for each category

CHAPTER FIVE

Development of Single-cell GRO-seq

This chapter describes the outline of experimental designs for the development of single-cell GRO-seq technology. I design the experiments and prepare libraries in collaboration with the Gary Hon laboratory in the Green Center for Reproductive Biology Sciences, who have set up Drop-seq equipment. Once library preparation is optimized and libraries are prepared and sequenced, the data analysis will be performed in the Hon laboratory.

5.1 Summary

Heterogenic gene expression is an intrinsic nature of a population of cells. It is a fundamental question in biology why heterogeneous gene expression occurs and how it is controlled (Elowitz et al., 2002; Levsky et al., 2002; Raser and O'Shea, 2004). The current technologies available, however, have limitations in observing the link between heterogenic gene expression and heterogenic usage of regulatory elements at the single-cell level (Kolodziejczyk et al., 2015; Marr et al., 2016). In this chapter, I describe strategies for the development of an innovative technology, single-cell global run-on sequencing (scGRO-seq), which will allow us to determine enhancer activities and their target gene transcription on the same cell. scGRO-seq is a promising strategy for better understanding the molecular basis of transcription regulation.

5.2 Introduction

Transcription Enhancers

Transcription regulation is a central regulatory mechanism for controlling a wide array of biological processes (Heinz et al., 2015; Szutorisz et al., 2005). Complex transcription profiles are orchestrated by relatively small numbers of transcription factors (TFs) (Spitz and Furlong, 2012; Young, 2011). TFs bind to thousands of DNA regulatory elements, called transcription enhancers, to form enhancer complex by recruiting transcription coregulators and histone modifiers, resulting in the establishment of enhancerspecific chromatin environments such as open chromatin states and enrichment of enhancerenriched histone modifications including H3K4me1 and H3K27ac (Calo and Wysocka, 2013; Ong and Corces, 2011). Enhancer activation in turn induces transcription not only at the target gene promoters but also at the enhancers, which leads to the production of non-coding transcripts named enhancer transcripts (eRNAs) (De Santa et al., 2010; Kim et al., 2010).

Enhancer Annotation Based on eRNAs

There are several suggested functions of enhancer transcripts (Hsieh et al., 2014; Melo et al., 2013; Mousavi et al., 2013; Pnueli et al., 2015; Schaukowitch et al., 2014); however, we are still missing a unifying model of the functions and mechanisms. Nonetheless, it has became widely accepted that GRO-seq, which detects the genomic location and orientation of actively transcribing RNA polymerases, is the best available method to measure eRNAs expression (Fang et al., 2014; Lai and Shiekhattar, 2014), partly
due to the nature of eRNAs as unstable transcripts (Kim and Shiekhattar, 2016). Using GRO-seq datasets, our previous study and others demonstrated enhancer transcription at TF binding sites across the genome (De Santa et al., 2010; Franco et al., 2015; Hah et al., 2013; Kim et al., 2010). Furthermore, we showed that enhancer transcription robustly marks active enhancers that highly correlate with active enhancer features, including enhancer-enriched histone modifications and coregulators, enhancer-promoter chromatin interactions, and the target gene transcription (Hah et al., 2013). To this end, we previously developed a computational pipeline to annotate active enhancers by determining eRNA production without knowing the transcription factor that is responsible for the eRNA and the target gene expression (Hah et al., 2013; Nagari et al., 2017).

Heterogeneity in Expression Regulation

Single-cell gene expression analysis has demonstrated that gene expression is highly heterogeneous even among a pure population of cells (Kurimoto and Saitou, 2010; Leek and Storey, 2007; Saliba et al., 2014; Tang et al., 2010). Drop-seq and its derivatives has become a popular method to study steady-state RNA levels in individual cells (Junker and van Oudenaarden, 2015; Klein et al., 2015; Kolodziejczyk et al., 2015; Macosko et al., 2015; Shekhar et al., 2016). In this method, each cell is captured within a single droplet containing a single bead attached with an adaptor oligo with a barcode sequence that is unique to each bead. By capturing transcripts from a single cell to adaptor oligonucleotides on a single bead, transcripts from a given cell can be identified by their unique barcodes that are

different from other cells. Transcripts uniquely barcoded for each cell are pooled and subjected to RNA-seq library preparation (Klein et al., 2015; Macosko et al., 2015).

Similarly, a few methods have been developed to study regulatory mechanisms of heterogeneous gene expression at the single-cell level, including single-cell ATAC-seq and ChIP-seq. These studies revealed that heterogenic activity of DNA regulatory elements across individual cells in a population (Buenrostro et al., 2015; Smallwood et al., 2014; Wu et al., 2016).

A significant limitation in the currently available tools that determine enhancer activity and the target gene expression lies on the fact that one cannot obtain the both types of information in the same single cell (Gawad et al., 2016; Macaulay and Voet, 2014; Marr et The insufficiency on these methods makes it unfeasible to understand the al., 2016). connection between enhancer activity and the target gene transcription in the single-cell level. Although we have demonstrated a strong correlation between enhancer activity and the target gene transcription using populations of cells, we are still missing definitive evidence for the connectivity between the two events at the single cell level. If the two events are synchronized, we hypothesize that heterogenic gene expression results from heterogenic enhancer usage among a cell population. Also another hypothetic scenario will be where a given gene is controlled by differential enhancers within a cell population. In addition, activity of a given enhancer may not reflect to the target gene transcription in the same manner among individual cells in a population (Figure 5.1). By using enhancer transcription as a surrogate for enhancer activity, scGRO-seq will allow us to simultaneously determine enhancer activity and the target gene transcription at the single-cell level. Comprehensive analyses of scGRO-seq data promise to uncover the missing link between enhancer activity and the target gene transcription.

Moreover, scGRO-seq provides additional advantages compared to the currently available single-cell sequencing analyses. Compared to single-cell RNA-seq, scGRO-seq will provide direct measurement of transcription outcomes at high temporal resolution. In addition, the methods for regulatory element analysis such as single-cell ATAC-seq and ChIP-seq, severely suffer from the limited dynamic ranges of measurement and the high false negative error rates. These methods are based on sequencing fragmented genomic DNA (gDNA). These problems arise since there are only two copies of genomic DNA in a given diploid cell available as the starting material for each genomic loci (Buenrostro et al., 2015; Smallwood et al., 2014; Wu et al., 2016). Enhancer activity determined by scGRO-seq will reside in a wider dynamic range of measurement compared to these methods. Each transcription unit is transcribed by multiple RNA polymerases at a given moment each of which produces a nascent transcript that serves as a starting material for scGRO-seq library preparation. Library preparation starting with greater numbers of molecules per loci helps to avoid high false negative error rates associated with the gDNA-based single-cell sequencing methods.

By unrevealing transcription states at high-temporal resolution at the single-cell level, scGRO-seq will become a powerful tool to define biological processes in more details, to identify rear population of cells, and to understand key regulatory mechanisms of transcription (Grun et al., 2015; Tirosh et al., 2016; Treutlein et al., 2016; Zhou et al., 2016).

5.3 Significance and Hypothesis

In this chapter, I describe a strategy for developing a new technology, scGRO-seq, which will allow us to determine active transcription at the single-cell level by measuring nascent transcripts. Using scGRO-seq data analyzed by rigorous bioinformatic pipelines, we will annotate active enhancers based on eRNA transcription at the single-cell level. In addition, integrate analyses on enhancer activities and the target gene transcription at the single-cell level will reveal the molecular mechanisms of enhancer function. Collectively, scGRO-seq will expand our understanding on the molecular mechanisms of enhancer functions by bringing light on the missing link between enhancer activity and the target gene transcription.

5.4 Approaches and Experimental Plans

5.4.1 Biological Systems

For the development of scGRO-seq, we will use MCF-7 ER α -positive breast cancer cells treated in the time course of E2 for reasons (1) MCF-7 cells allow us to isolate run-on competent nuclei for GRO-seq (Hah et al., 2011), (2) estrogen provokes rapid and extensive transcription responses in MCF-7 cells (Hah et al., 2011), and (3) the Kraus lab has prepared time course GRO-seq libraries for E2-treated MCF-7 cells that allow us to compared the transcriptome observed in single-cell levels and in a population of cells (Danko et al., 2013).

These advantages with MCF-7 cells will allow me to avoid potential obstacles at least in part during the development of scGRO-seq technology, but yet to perform informative analysis with biological significance.

Upon completion of successful development of the protocol, scGRO-seq will be also applied to mouse embryonic stem cells (mESCs) during a time course of differentiation in embryonic bodies to examine high temporal resolution of transcriptional regulation during embryonic differentiation. Additional urging question that can be answered using scGROseq is allele-specific regulation of target gene transcription by enhancers. In this case, scGRO-seq will be prepared from mouse tissues of an offspring mouse generated by crossing two different strains. The data will be analyzed utilizing single nucleotide polymorphisms (SNPs) unique to each strain.

5.4.2 Overview and Experimental Plans

The strategies described herein for the development of scGRO-seq take an approach by bridging GRO-seq and modified Drop-seq using a click chemistry reaction (Fig. 5.2). Clickable cytidine triphosphate analog (rCTP) will be used in nuclear run-on in a population of intact nuclei (Birts et al., 2014; Core et al., 2008). Single nucleus will then be isolated in droplets, and rCTP analog-incorporated nascent transcripts will be clicked by coppercatalyzed alkyne-azide cycloaddition (CuAAC) to 5'-azide of the custom synthesized adaptor oligonucleotides that is pre-annealed to the barcoded Drop-seq oligonucleotides conjugated to a bead in individual droplets. Nascent transcripts uniquely barcoded for each single-nuclei will be pooled and converted to sequencing libraries using modified Drop-seq protocol (Fig. 5.2) (Klein et al., 2015; Macosko et al., 2015). Sequenced date will be analyzed to understand the molecular bases of enhancer function.

Nuclear Run-on and Encapsulation of a Single Nucleolus

Nuclei will be isolated, and nuclear run-on will be performed as a population of intact nuclei in a reaction solution containing adenosine/uridine/guanosine triphosphate (rATP, rUTP, rGTP), and 3'-(O-Propargyl)-rCTP (Fig. 5.2) (Birts et al., 2014; Core et al., 2008; El-Sagheer et al., 2011; Hah et al., 2011). 3'-(O-Propargyl)-rCTP incorporation into nascent transcripts by RNA polymerases will stall transcription due to the lack of the 3' hydroxyl group of ribose sugar required for elongation (Fig. 5.3). This transcription stalling enables us to determine active transcription events at a near-nucleotide resolution. Upon completion of nuclear run-on and incorporation of 3'-(O-Propargyl)-rCTP, single nuclei will be isolated and encapsulated into individual droplets containing a single bead using the Drop-seq equipment. Each bead are conjugated to Drop-seq oligonucleotides with unique barcodes that is pre-annealed through poly-dT sequences to the custom synthesized oligonucleotides of which 5'-terminal is modified with 5'-azide-deoxythymidine (5'-azide-dT) (Table 2, Fig. 5.2) (Klein et al., 2015; Macosko et al., 2015).

Capturing Nascent Transcripts Using Click Chemistry

Nascent transcripts will be released from the chromatin in individual droplets by a cocktail of restriction enzymes (FspEI, MspJI, McrBC, and DnpI) that specifically digest methylated DNA (Siwek et al., 2012; Venitt et al., 1976; Zheng et al., 2010). Methylation-

specific endonucleases will fragment genomic DNA without digesting the synthetic adapter oligonucleotides linked to the beads in droplets. In each droplet, the alkyne group of 3'-(O-Propargyl)-rCTP incorporated on the 3' end of newly synthesized RNA molecules will be clicked to the azide group of 5'-azide-dT on the 5' end of the custom synthesized adapter oligonucleotides by the CuAAC click chemistry reaction (Fig. 5.2; Table 2) (Gierlich et al., 2006; Seela and Sirivolu, 2006). The downstream of the 5'-azide-dT, the custom synthesized adaptor oligonucleotides also contain poly(dA) sequences that is pre-annealed to poly(dT) sequences of the Drop-seq oligonucleotides, which is conjugated to beads (Figure 5.2) (Birts et al., 2014; Gibson et al., 2016). The Drop-seq oligonucleotides contain barcodes identical on a given bead but unique to each bead as in Drop-seq. This barcoding strategy will allow us to cluster sequencing reads from individual nuclei by encapsulating a single beads and a single nucleus in each droplet through the Drop-seq equipment (Klein et al., 2015; Macosko et al., 2015).

Library Preparation Using Modified Drop-seq Protocol

The captured nascent transcripts will be subjected to library preparation by following the Drop-seq protocol with some modifications as described below (Klein et al., 2015; Macosko et al., 2015). After the click reaction, nascent transcripts linked to the barcoded beads will be released from the droplets and reverse transcribed in a pool using pre-annealed Drop-seq oligonucleotides for priming and Nextera TSO oligonucleotides for template switching in place of the standard Drop-seq TSO (Table 2) (Birts et al., 2014; Chen et al., 2014). In the standard Drop-seq protocol, P7 adaptor required for sequencing will be incorporated by Tn5 tagmentation reaction. During this process, Tn5 favors DNA fragments > 600 bp as their substrates. However, a great portion on nascent transcripts will be considerably shorter than 600 bp, including transcripts generated from promoter-proximally paused polymerases. The use of Nextera TSO, which contains P7 adaptor sequence, in place of the Drop-seq standard TSO ensures incorporation of P7 adaptor on the 3' terminal of cDNA independent of the tagmentation reaction. The first PCR reaction following reverse transcription and Exonuclease I treatment will be performed using Nextera TSO PCR primer in addition to SMART PCR primers at the equimolar ratio. The second PCR reaction following to the tagmentation will be performed using New-P5-SMART PCR hybrid oligo and Nextera N70X oligo as in the standard Drop-seq protocol, where "X" in N70X represents an integer for barcode identifier.

The quality of the PCR amplified libraries will be accessed by Agilent TapeStation. Once passing the quality control, the libraries will be quantified by Qubit Fluormeter and pooled for sequencing on Illumina NextSeq 400 instrument. For the analysis, another set of libraries will be prepared by the same strategy using a population of nuclei without the single nuclei isolation step as a control.

5.4.3 Data Analysis

Alignment and Transcript Calling

The origin of nuclei for each sequencing read will be identified by de-barcoding the barcode sequences unique to each bead. De-barcoded reads will be subjected to proper quality control steps, followed by mapping to the reference genome. Uniquely mapped reads will be used for the subsequent analysis (Klein et al., 2015; Macosko et al., 2015). First, a universe of transcripts will be called *de novo* by groHMM, a computational analysis tool for GRO-seq datasets developed in the Kraus lab (Chae et al., 2015), using the datasets from a population of nuclei. Next, putative active enhancers will be annotated based on short, bidirectional intergenic transcripts, a characteristic of eRNAs, using a computational pipeline for GRO-seq-based enhancer calling (Nagari et al., 2017). Finally, datasets from single nuclei will be used to determine transcription levels of each transcription unit in the universe of *de novo* called transcripts (Hah et al., 2013). The analysis described above will provide us with a list of genes with their expression levels and a list of enhancers with their activity levels measured as a production of eRNAs in individual nuclei.

Integrative Analysis of Genes Expression and Enhancer Activity

Using the list of expressed genes and activate enhancers, we will focus on our further analysis to determine (1) the extent of heterogeneity on enhancer usage across cells and across conditions, (2) how enhancer avidity is connected to the target gene transcription at the single cell level, and (3) the transcription regulatory mechanisms at the high temporal resolution to uncover previously unknown regulatory mechanisms. We will first determine differential gene/eRNA expression across cells and conditions using statistical analysis package edgeR (Robinson et al., 2010). Differential enhancer activities will be analyzed based on differential eRNA expression. In addition, the activity of each enhancer will be linked to the expression of the nearest neighboring genes as the target gene expression (Danko et al., 2013, Franco, 2015 #32; Franco et al., 2015; Hah et al., 2013). The analyzed

data will be visualized in appropriate forms including heatmaps, boxplots, and dot plots (Franco et al., 2015; Hah et al., 2013).

5.5 Selected Results of Optimization Steps

5.5.1 Nuclear Run-on Incorporates 3-(O'-Propargyl)-CTP in Nascent Transcripts *Objective*

One of the key aspects of the scGRO-seq strategy is the use of 3'-(O-propargyl)-CTP. This nucleotide analog was chosen because of its biocompatible nature. In particular, the triazole linkage formed by CuAAC reaction between 3'-(O-propargyl)-ribonucleotie and 5' azide-containing RNA has been shown to be reverse transcribed by (1) MMLV-derived reverse transcriptase *in vitro* (Figure 5.3) (Chen et al., 2014) and (2) RNA Polymerase II in mammalian cells (Figure 5.3) (Birts et al., 2014). Moreover, a plasmid vector composed of DAN fragments conjugated by CuAAC reaction between 3'-(O-propargyl)-dCTP and 5' azide is amplified in *Escherichia coli* upon transformation (Figure 5.3) (El-Sagheer et al., 2011). 3'-(O-propargyl)-CMP is commercially available at ChemGenes (RP-3302). The nucleoside, 3'-(O-propargyl)-CMP, was converted to the nucleotide, 3'-(O-propargyl)-CTP, by the custom synthesis service at ChemGenes.

Equally important characteristics of 3'-(O-propargyl)-CTP was the fact that RNA polymerases will be stalled upon tis incorporation due to the lack of 3' hydroxyl group (Figure 5.3). This feature provides an advantage to determine the genomic localization of

actively engaged RNA polymerases at near-nucleotide resolution. 3'-(O-propargyl)-NTPmediated transcription stalling ensures that run-on reaction time will minimally contribute as a source of experimental variability. In addition, the immediate stalling of transcription will allow us to improve the protocol in the future to determine the transcription snapshot at the single nucleotide resolution in the single-cell level using 3'-(O-propargyl)-NTP. To achieve this goal, Drop-seq beads needs to be custom synthesized so that it contains P5 sequence, bead barcode, molecular barcode, followed by a non-genomic unique sequence in place of the poly(A) sequence of the current Drop-seq beads that serves as an annealing site for an adaptor that contains an 5'-azide modification. 3'-(O-propargyl)-NTP-incorporated nascent transcripts will be the adaptor oligo with 5' azide and library preparation will proceed as the original scGRO-seq protocol.

The following experiment was performed to test (1) if 3'-(O-propargyl)-CTP can be incorporated in nascent transcripts during run-on reactions using isolated nuclei, and (2) the integrity of RNA upon incubation in Cu⁺-containing click reaction mix. Briefly, nuclear runon was performed in the presence of 3'-(O-propargyl)-CTP for the test reaction or biotin-11-CTP (CTP analog used in PRO-seq) for a positive control (Mahat et al., 2016). Biotin-azide was clicked to 3'-(O-propargyl)-CTP by CuAAC reaction using run-on nuclei in the presence or absence of copper catalyst. Total RNA was isolated and subjected to dot blotting with streptavidin-HRP. Additionally the RNA was examined on RNA Tape Station to determine the integrity after incubation in CuAAC reaction mix at the elevated temperature for the prolonged time.

Materials and Methods

MCF-7 cells growing on a 150 mm² plate were washed twice with ice-cold PBS and scraped in PBS containing 0.02 U/ μ L SUPERase•In (Ambion) and collected by centrifugation at 500x g for 5 min. at 4°C. The cells were resuspended in Sucrose Permeabilization Buffer (10 mM Tris•HCl pH 7.4, 300 mM Sucrose, 10 mM KCl, 5 mM MgCl₂, 1 mM EDTA, 0.05% Tween-20, 0.1% NP-40, 1 mM DTT, 0.02 U/ μ L SUPERase•In, and 1x complete protease inhibitor cocktail) and equilibrated on ice for 5 min. The cells were collected by centrifugation and washed with 1 mL Sucrose Permeabilization Buffer once. The permeabilized cells were resuspended in 1 mL Sucrose Permeabilization Buffer and counted using hemocytometer. Cells were collected by centrifugation and resuspended in Nuclei Freezing Buffer to 10 x 10⁶ nuclei/100 μ L (50 mM Tris•HCl pH 8.3, 5 mM MgCl2, 40% glycerol, 0.1 mM EDTA, and 0.02 U/ μ L SUPERase•In), aliquoted 50 μ L per tube, and stored at -80°C.

The permeabilized cells stored at -80°C were thawed on ice, mixed with pre-heated 50 µL reaction mix [2x Nuclear Run-on Buffer (20 mM Tris•HCl pH 8.0, 300 mM KCl, 5 mM MgCl₂, and 0.1% Tween-20), 50 µM Biotin-11-CTP or 3'-(O-propargyl)-CTP (ChemGenes, custom synthesized), 250 µM rATP/rUTP/rGTP each, 1 mM DTT, 0.8 U/µL SUPERase•In, and 1x complete protease inhibitor cocktail], and incubated at 37°C for 5 min. After run-on reaction, 900 mL ice-cold Glycerol Lysis Buffer (10 mM Tris•HCl pH 7.4, 10% glycerol, 2 mM MgCl₂, 3 mM CaCl₂, 1 mM EDTA, 0.5% NP-40, 1 mM DTT, 0.02 U/µL SUPERase•In, and 1x complete protease inhibitor cocktail) was added to the run-on reaction and the nuclei were collected by centrifugation at 200x g for 5 min. at 4°C. The nuclei were

washed twice with Glycerol Lysis Buffer, resuspended in 100 μ L click reaction mix [±3 μ L/reaction pre-mixed Catalyst Solution Mix (33.3 mM CuSO₄, 166.7 mM THPTA, and 166.7 mM sodium ascorbate), 1x K⁺ Tris Lysis Buffer (50 mM Tris•OAc pH 7.9, 50 mM KOAc, 0.1% Sarcosyl, and 3% Ficoll PM-400), 1 mM GTP, 1x Activator Solution (NEB R0661S), 50 μ L biotin-azide, 5 mM Aminoguanidine, 1 U FspEI (NEB), 1 U MspJI (NEB), 2 U McrBC (NEB), 4 U DpnI (NEB), and 0.4 U/ μ L SUPERase•In], and incubated at 37°C for 3 hr in 0.2 mL tube while shaking.

After clicking reaction, total RNA was isolated using 300 µL TRIzol LS (Invitrogen) by following the manufacture's instruction. The total RNA was ran through P-30 column (BioRad) to remove unincorporated nucleotide, normalized, and subjected to dot blot. Serial dilution of RNA was placed on a nitrocellulose membrane, blocked in 5% BSA/TBST, and blotted with Streptavidin-HRP (ThermoFisher, N100) in 5% BSA/TBST. RNA integrity was examined using RNA Tape (Agilent, 5067-5576) on 2200 TapeStation (Agilent).

Results

To examine if 3'-(O-propargyl)-CTP is incorporated during the nuclear run-on reaction, I performed run-on reaction using permeabilized MCF-7 cells in the presence of the CTP analog. 3'-(O-propargyl)-CTP-incorporated nascent transcripts were clicked to biotin-azide by CuAAC reaction, which was then detected using streptavidin-HRP. Nuclear run-on reaction performed in the presence of biotin-11-CTP, the CTP analog used in PRO-seq (Mahat et al., 2016), served as a positive control because (1) it had been shown to incorporate

in nascent transcripts, and (2) its detection by streptavidin-HRP is independent of the clicking reaction (Figure 5.4A).

The level of biotin content in the RNA sample incorporated with 3'-(O-propargyl)-CTP and clicked to biotin in the presence of copper catalyst was similar to that in the RNA samples incorporated with biotin-11-CTP, indicating the efficient incorporation of 3'-(Opropargyl)-CTP in the nascent transcripts and the efficient CuAAC reaction-mediated biotin conjugation (Figure 5.4B). Importantly the 3'-(O-propargyl)-CTP-incorporated RNA samples clicked in the absence of copper exhibited significantly lower signal, demonstrating that the detected biotin levels was dependent on CuAAC reaction (Figure 5.4B).

Another key finding from this experiment was that RNA was degraded after CuAAC reaction as determined using RNA Tape on TapeStation (Figure 5.4C), presumably by Cu^{2+} -mediated RNA fragmentation. This result leads to a modification of the scGRO-seq protocol. In the original experimental plan, 3'-(O-propargyl)-CTP-incorporated nascent transcript was assumed to maintain the integrity, leading to reverse transcription all the way to the 5'-end of nascent transcripts. The 3' adaptor was planed to be incorporated in cDNA through a template switching reaction by reverse transcriptase, which depends on the 5' m7G cap of RNA. The intact nascent transcripts were going to be amplified by PCR with low cycle numbers. Double-stranded DNA was going to be fragmented by Tn5, which incorporates P7 adapter on the 3'end of DNA generated from >600 nt transcripts during fragmentation (Macosko et al., 2015). However, with the Cu²⁺-catalyzed fragmentation of RNA, the experimental plan was modified so that P7-containing RNA adapter (VRA5) will be ligated to the 5' end of nascent transcripts following to CuAAC reaction, RppH-mediated

5' cap removal, and T4 Polynucleotide kinase-mediated phosphorylation of 5'end (Figure 5.2) (Mahat et al., 2016).

5.5.2 Capture of Alkyne-containing Small Molecules by 5'Azide-modified Adapter Oligo through Copper-catalyzed Click Reaction on Beads

Objective

The experiment in this section was performed to ensure that the 5' azide-containing adapter oligo that is hybridized to the Drop-seq oligo captures alkyne-containing molecules thought CuAAC reaction while maintaining hybridization in the conditions compatible to scGRO-seq library preparation.

Materials and Methods

5' Biotinylated Drop-seq oligo was custom synthesized by IDT. 42 μ M 5' biotinylated Drop-seq oligo and dT-polyT adapter oligo with or without 5' azide modification (Gene Link) was annealed in 1x Annealing Buffer (10 mM Tris•HCl pH 7.9, 10 mM NaCl, and 1 mM EDTA) by incubating at 95°C for 2 min., 94°C for 45 sec., and decreasing by 0.5°C every cycle for 139 cycles. The annealed oligos were then diluted to 20 μ M in 1x Annealing Buffer.

 $100 \ \mu$ L Dynabeads MyOne Streptavidin T1 beads (Invitrogen) per reaction was washed once with 300 mM Salt Wash Buffer (10 mM Tris•HCl pH 7.4, 300 mM NaCl, 1 mM EDTA, and 0.1% NP-40), once with Base Wash Solution (0.1 N NaOH and 50 mM NaCl), and once with 100 mM NaCl. The beads were resuspended in 200 μ L Biotin-binding

Buffer (10 mM Tris•HCl pH 7.8, 100 mM NaCl, and 1 mM EDTA). 50 μ L of 20 μ M annealed oligo was mixed with 150 μ L Biotin-binding Buffer. The diluted oligo and washed beads were mixed together and incubated for 30 min. at RT while rotating. After binding reaction, the beads were washed once with 500 μ L High Salt Wash Buffer (10 mM Tris•HCl pH 7.4, 2 M NaCl, 1 mM EDTA, and 0.1% NP-40) and twice with 500 μ L K⁺ Wash Buffer (50 mM Tris•OAc pH 7.9, 50 mM KOAc, and 0.1 % NP-40). At the last wash, beads susupended in 500 μ L wash buffer were divided into 1x 100 μ L and 1x 400 μ L and placed on a magnetic stand, and the wash buffer was aspirated.

Catalyst solution was prepared by mixing 1 μ L of 100 mM CuSO₄, 1 μ L of 500 mM THPTA, and 1 μ L of 500 mM sodium ascorbate. A click reaction mix were prepared by mixing ±1.2 μ L pre-mixed Catalyst solution, 1x K⁺ Tris Lysis Buffer, 50 μ M Cy3-alkyne, and 5 mM Aminoguanidine to the total volume of 40 μ L. The biotin-oligo-bound T1 beads were resuspended in the click reaction mix, and transferred to 0.2 mL reaction tube for incubation at 37°C for 1 hr with mild vortexing. After clicking reaction, the beads were washed twice with High Salt Wash Buffer, once with K⁺ Wash Buffer, and twice with Low Salt Wash Buffer (5 mM Tris•HCl pH 7.4, 100 mM NaCl, and 0.1% NP-40). The beads were resuspended with 100 μ L 1x RQ-1 DNase buffer containing 20 μ L RQ-1 DNase (Promega), and incubated at 37°C for 1 hr. Supernatant containing released Cy3 was transferred to a 96-well plate (sample labeled as Off Beads). Beads were washed twice with 1x RQ-1 DNase buffer, resuspended with 100 μ L 1x RQ-1 DNase buffer, and transferred to a 96-well plate (sample labeled as On Beads). A serial dilution of Cy3-alkyne was prepared to generate a slandered curve. Fluorescent signal was determined using a plate reader and the percent recovery was calculated. Note that in the clicking reaction, Cy3-alkyne was at 50 μ M, while the theoretical concentration of annealed oligos are at 10 μ M with 100 % annealing efficiency and at the binding efficiency to T1 beads suggested by the manufacture; thus the maximum theoretical values for recovery rate is 16% for the reaction using 80% of the beads.

Results

To test if the 5' azide-containing adapter oligo captures alkyne-containing molecules while annealed to the Drop-seq beads, I performed a Cu⁺-catalyzed click reaction using the adapter oligo annealed to the Drop-seq oligo as an azide donor and Cy3-alkyne as an alkyne donor. First, the 5' azide-containing adapter oligo was annealed to another oligo of the sequence identical to the Drop-seq oligo with 5' biotin modification. After annealing, the double-stranded (ds) oligs were immobilized on streptavidin-coated beads. Upon oligo immobilization, the beads were divided into 20 and 80 % of the total beads, and subjected to a Cu⁺-catalyzed click reaction with a saturating amount of Cy3-alkyne. Once capturing Cy3-alkyne, the beads were washed and DNA was digested by DNase to release Cy3 from the beads for measurement of fluorescent signals. Equivalent reaction was performed alongside using an adapter oligo without 5' azide modification as a negative control (Figure 5.5A).

Significantly higher fluorescent signaling was detected when the reaction was curried out with the adapter oligo with 5' azide modification, suggesting CuAAC-dependent capture and release of Cy3-alkyne (Figure 5.5B). In addition, fluorescent signal was approximately three times stronger in the reaction using 80% beads compared to that using 20% of the beads when the 5' azide adapter oligo was used. This dose dependent increase of fluorescent signal was not evident when the adapter oligp lacked 5' azide modification, further supporting the specificity of the readout (Figure 5.5B). In conclusion, the experiment in this section shows that the 5' azide-containing adapter oligo captures alkyne-containing molecules through CuAAC reaction while maintaining the annealing to the Drop-seq oligo on beads.

5.5.3 Copper-mediated Fragmentation of gDNA

Objective

Fragmentation of genomic DNA in Droplets is a critical step in the workflow of scGRO-seq protocol. Based on RNA-seq from chromatin-associated fractions, nascent transcripts are expected to maintain its association with chromatin in the nuclei. The nascent transcripts need to be released from the chromatin for their capture by the Drop-seq beads through CuAAC reaction; otherwise, sticky chromatin may congregate on the beads, leading to high background from the genomic DNA contamination. It is also important to note that the fragmentation of the genomic DNA needs to be accomplished without damaging the oligos directly or indirectly attached to the Drop-seq beads. To achieve these goals, it was planed to supplement a cocktail of methylation-dependent endonucleases (FspEI, MspJI, McrBC, and DpnI) in the lysis buffer that flows with beads in the microfluidic device in the original scGRO-seq protocol. Methylation-dependent endonucleases will digest genomics DNA while keeping the synthetic oligos on the beads intact.

Materials and Methods

Digestion of gDNA by a cocktail of methylation-dependent endonucleases. 60 ng of genomic DNA isolated and purified from MCF-7 cells was incubated at 37°C for 2 hours in a mock click reaction mix [3 μ L/reaction pre-mixed Catalyst Solution Mix (33.3 mM CuSo₄, 166.7 mM THPTA, and 166.7 mM sodium ascorbate), 1x K⁺ Tris Lysis Buffer with or without Mg²⁺ (50 mM Tris•OAc pH 7.9, 50 mM KOAc, 0.1% Sarcosyl, 3% Ficoll PM-400, and ± 5 mM MgCl₂), 1 mM GTP, 1x Activator Solution (NEB R0661S), and 5 mM Aminoguanidine].

gDNA digestion with additional nucleases. 5 million nuclei were thawed on ice. 500 μL Glycerol Lysis Buffer was added to the nuclei and aliquoted into 5 x 100 μL. The nuclei was collected to by centrifugation and the nuclei was resuspended in 52 μL mock click reaction mix [±3 μL/reaction pre-mixed Catalyst Solution Mix (33.3 mM CuSo₄, 166.7 mM THPTA, and 166.7 mM sodium ascorbate), 1x K⁺ Tris Lysis Buffer (50 mM Tris•OAc pH 7.9, 50 mM KOAc, 0.1% Sarcosyl, and 3% Ficoll PM-400), 1 mM GTP, 1x Activator Solution (NEB R0661S), 5 mM Aminoguanidine, and 0.4 U/μL SUPERase•In]. 1.75 μL of each reaction mix was transferred and pooled to a tube containing 200 μL Buffer D (100 mM Tris•HCl pH 8.0, 4 M Guanidine Thiocyanate, 25 mM Sodium Citrate, pH 7.9, 0.5% Sarkosyl, 100 mM β-Mercaptoethanol). The indicated combination of 4 μL methylationdependent restriction enzyme mix [1 U FspEI (NEB, R0662S), 1U MspJI (NEB, R0661S), 2 U McrBC (NEB, M0272S), 4 U DpnI (NEB, R0176S)], 2 μL λ Exonuclease (NEB, M0293S), 2 μL Exonuclease I (NEB, M0262S), and/or H₂O to the total volume of 8 μL of enzyme mix was added to each reaction tube. The reaction mix was incubated at 37°C for 5 hr while shaking. 10 μ L of the reaction mix was transferred to a tube containing 200 μ L Buffer D every hour. After 5 hr incubation, 200 μ L phenol-chloroform-isoamyl acid was added to each tube of sample in 200 μ L Buffer D, vortexed at low setting, and centrifuged at the maximum speed at 4°C for 15 min. The aqueous layer was transferred to a tube containing 200 μ L chloroform, vortexed at low setting, and centrifuged at the maximum speed at 4°C for 15 min. The aqueous layer was transferred to a new tube containing 200 μ L chloroform, vortexed at low setting, and centrifuged at the maximum speed at 4°C for 15 min. The aqueous layer was transferred to a new tube. 12 μ L of 5 M NaCl and 1 μ L GlycoBlue (Ambion, AM9515) were added and mixed by tapping the tube. 600 μ L ethanol added, incubate on ice for 10 min., and centrifuged at the maximum speed at 4°C for 20 min. The pellet was washed with 75% ethanol, air dried, and resuspended in 12 μ L H₂O. 5 μ L of the sample was treated with RNase (Roche) in NEB buffer 2 (NEB) for 37°C for 1hr. Size of genomic DNA was analyzed on 1% agarose gel.

Results

CuAAC click reaction will be carried out in the buffer containing the copper chelator THPTA. To avoid the competition for THPTA binding, it is ideal that the click reaction buffer does not contain cations other than copper. However, it is expected that the activities of restriction endonucleases for gDNA digestion is magnesium dependent. To test the magnesium dependency, DNA digestion was first tested in the presence or absence of magnesium using isolated gDNA.

To test if methylation-dependent restriction enzyme requires magnesium for their catalytic activity, isolated gDNA was incubated with click reaction buffer in the presence or absence of magnesium. To our surprise, the gDNA was sufficiently digested in the absence

of magnesium (Figure 5.6A). I speculate that copper is able to replace the coenzymatic function of magnesium for the endonucleases tested here. Additional unanticipated observation from this experiment is that gDNA is degraded even in the absence of the endonuclease cocktail (Figure 5.6A). As Cu^{2+} is known to damage biomolecules, it might be possible that the copper in the reaction is degrading gDNA in the absence of enzyme.

After several experiments to optimize the scGRO-seq protocol, I found that the methylation-dependent restriction enzyme cocktail was insufficient for adequate extent of gDNA digestion. To increase the digest efficiency, I included λ Exonuclease, 5' phosphate-dependent 5' to 3' double-strand DNA nuclease, and Exonuclease I, 3' to 5' single-strand DNA exonuclease (substrates generated upon λ Exonuclease-dependent gDNA digestion), in addition to the methylation-dependent endonuclease cocktail to increase digestion efficiency.

To test a suitable condition for gDNA digestion, additional digestion test was performed using nuclei in the click reaction buffer supplemented with the methylationdependent endonuclease cocktail, λ Exonuclease, and/or Exonuclease I. A time course digestion experiment confirmed the time-dependent fragmentation of gDNA in all the conditions (Figure 5.6B). As consistent with the pervious experiment using isolated gDNA, the reaction in the absence of any nucleases showed the most efficient gDNA digestion (Figure 5.6B). To determine if the enzyme storage buffer in which the enzymes are delivered in has any effects on copper-dependent DNA degradation, I reconstituted the enzyme storage buffer without enzymes and performed gDNA test with or without the storage buffer. The addition of the enzyme storage buffer impaired copper-mediated DNA degradation (data not shown). To this end, I decided not to use any enzymes to digest gDNA and release nascent transcript by copper-mediated chromatin fragmentation. Since copper-mediated DNA fragmentation does not discriminate gDNA and adapter oligos, it further needs to be tested the effect of the CuAAC click reaction on the adapter oligos.

5.6 Expected Outcomes and Interpretations

In this chapter, I described an integrative approach incorporating state-of-art scGROseq technology and a well-tuned computational pipeline to address key biological questions. The proposed technology will uncover previously unknown the relationship between enhancer activities and the target gene transcription at the single-cell level. We expect that scGRO-seq technology will reveal the molecular basis on heterogeneous gene expression by bridging the heterogeneous enhancer usage and gene transcription. Collectively, this approach will significantly expand our knowledge on the molecular basis of gene transcription.

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Name	Sequence (5' to 3')	Note
Drop-seq oligonucleotides	5'-Bead-Linker- TTTTTTTGAATTCAAGCAGTGGTATCAACGCAGAGTAAG CTTACJJJJJJJJJJJJNNNNNNNNTTTTTTTTTTTTTTT	J = bead barcode; N = moleuclar barcode
Custom synthesized adapter oligonucleotides	(5' azide)TAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAA	(5'azide)T, 5'-azide-deoxythymidine ; *,phosphorothioate bond
Nextera TSO	GTCTCGTGGGCTCGGAGATGTGTATAAGAGACAGrGrGrG	Replace with TSO
Nextera TSO PCR primer	GTCTCGTGGGCTCGGAGATG	Supplement in PCR reaction 1 with SMART PCR primer
5' RNA adapter (VRA5)	CCUUGGCACCCGAGAAUUCCA	5' RNA adapter
RP1 PCR Primer	AATGATACGGCGACCACCGAGATCTACACGTTCAGAGTTCTACAGTC CG*A	Universal PCR primer
RPI1 PCR Primer	CAAGCAGAAGACGGCATACGAGATCGTGATGTGACTGGAGTTCCTT GGCACCCGAGAATTCC*A	Sample barcord #1 contained PCR primer

Table 2. Oligonucleotide sequences for scGRO-seq



Figure 5.1. Schematics comparing patterns of enhancer activity and gene transcription in a population and single cells.

Schematics of genome browser tracks in a model locus with GRO-seq data prepared from a population of cells versus single cells. While it is possible that some cells show patterns of transcription similar to that in a population, many cells may express a subset of transcripts as exemplified in Cell 2 to 5.



Figure 5.2. The workflow of scGRO-seq library preparation protocol.

Isolated nuclei are used for nuclear run-on in the presence of 3'-(O-propargyl)-CTP along with rATP/rGTP/rUTP. In a separate tube, the 5'-azide-modified adapter oligo is annealed to the Drop-seq oligo that is directly conjugated to the beads. The Drop-seq oligo on a given bead contains a unique barcode sequence that allows tracking sequence reads originated from each bead. Post-run-on nuclei and beads are introduced in separate channels on a microfluidic capillary device in such a way that a single nucleus and a single bead are encapsulated in one droplet. Upon capturing nascent transcripts in droplets through CuAAC click reaction between O-propargyl group of the CTP analog and 5' azide on the adapter oligo, the droplets are broken and nascent RNA on the beads are pooled. 5' RNA oligo are ligated to the nascent transcripts followed by the first strand synthesis by reverse transcription primed from the Drop-seq oligo on the beads. The cDNA library is amplified and subjected to sequencing on the Illumina platform using custom sequencing primers as in the Drop-seq protocol.



Figure 5.3. Schematics of CuAAC reaction between 3'-(O-propargyl)-CTP and 5' azide of the adapter oligo.

3'-(O-propargyl)-CTP is incorporated in nascent transcripts during nuclear run-on. The adapter oligo with 5' azide modification is annealed to the Drop-seq oligo in a separate tube. The 3'-(O-propargyl)-CTP-incorporated nascent transcript is captured to the adapter oligo through a copper-catalyzed click reaction between the alkyne group in the CTP analog and the azide group on the oligo. The resulting DNA/RNA hybrid contains a triazole ring.



- 1. Nuclear Run-on with biotin-11- CTP or 3-(O-propargyl)-CTP
- 2. Wash to remove CTP analog (intact nuclei)
- 3. Click to biotin-azide (lysis, gDNA digestion)
- 4. RNA isolation / P-30 column
- 5. Dot blot



Figure 5.4. Nuclear run-on incorporates 3'-(O-propargyl)-CTP in nascent RNA.

(A) A schematic and steps of the experiment to test incorporation of 3'-(O-propargyl)-CTP in nascent transcripts during nuclear run-on.

(B) A dot blot showing the biotin incorporation levels in each test condition. 3'-(O-propargyl)-CTP or biotin-CTP incorporated in nascent RNA was clicked to biotin-azide, and the biotin levels were compared by a dot blot.

(C) The RNA integrity was determined using an RNA Tape on TapeStation. RAN was degraded through incubation for the click reaction. The presence of copper catalysis further enhanced the RNA degradation.

Figure 5.5. The 5' azide-containing adapter oligo captures alkyne-containing molecules on beads through a CuAAC reaction.

(A) A schematic and steps of the experiment to test if the 5 azide modified adapter oligo captures Cy3-alkyne thought a CuAAC reaction while maintaining annealing to the Drop-seq oligo.

(B) Fluorescent intensities form Cy3 using titrating amount of adapter oligos with or without 5' azide modification. Off beads, Cy3 released from the beads; on beads, Cy3 background on the beads after release of Cy3.

[Figure 5.5 is on the next page]



- 3. Click Cy3-alkyne
- 4. Wash beads
- 5. Release Cy3 by DNase treatment
- 6. Collect the beads and take the elute Supe = "Off Beads"
- 7. Wash beads
- 8. Resuspend the beads = "On Beads"







Figure 5.6. Copper-mediated genomic DNA degradation occurs during CuAAC reaction without nucleases.

(A) An agarose gel showing undigested gDNA (*left*) and gDNA digested by methylationdependent restriction enzyme (Met-dep. RE cocktail) in the presence or absence of Mg^{2+} (*right*).

(B) An agarose gel showing time-dependent gDNA digestion in the presence of indicated combination of nucleases. Copper-mediated gDNA digestion with 3 hr incubation sufficiently degrades gDNA.

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CHAPTER SIX

Summary and Future Directions

6.1 Summary

Transcription is a fundamental biological process involving the regulation of a wide array of physiological and pathological activities in the cell. DNA regulatory elements called enhancers provide a focal point for transcription factor binding, which in turn triggers assembly of enhancer complexes and enhancer activation. This entire process consists of layers of molecular events involving numbers of transcription coregulators.

In my dissertation studies, I explored selected aspects on enhancer activation using ligand-dependent, DNA-binding nuclear receptor ER α as a model transcription factor. Upon ligand and chromatin binding, ER α recruits numbers of coregulators including SRCs, Mediator, p300, and BRDs to form an enhancer complex. Using genomic, molecular, and cellular assays, my studies provide insights on the dynamic and cooperative nature of ER α enhancer complex formation. In particular, p300 and Mediator are recruited to ER α cooperatively independent of SRCs in the initial phase of enhancer activation, which is sufficient to evoke the transcriptional response. However, ER α enhancer requires SRCs and SRC-mediated maintenance of p300 recruitment to maintain transcription outputs. In another words, p300 recruitment in any mechanisms results in transcription activation at enhancer as well as the target gene, indicating a central role of p300/CBP in the enhancer complex. As active enhancers nucleated by a wide range of TFs share many common features, dynamic regulation and assembly such
as coregulator switching as described herein may be a common underlining mechanism for enhancer complex formation by many TFs.

Successful enhancer complex formation results in transcription activation. Using enhancer transcripts, we characterized genome-wide ER α binding sites and their enhancer activities. Interestingly, only as much as half of ER α binding events resulted in the production of enhancer transcription in our study. ER α binding sites accompanied with eRNA production correlated with other active enhancer features such as enrichment of coregulator including BRDs and p300/CBP and enhancer-enriched histone marks. Collectively, my dissertation studies indicate that (1) TF binding alone is not sufficient for enhancer activation, (2) enhancer complex formation involving coregulators occurs in a dynamic and cooperative manner, and (3) the production of enhancer transcription reside as the end-point of enhancer activation. Altogether, these aforementioned studies deliver better understanding of the mechanisms and functions of transcription enhancers.

6.2 Future Directions

6.2.1 The Roles and Mechanisms of Enhancer Features

As presented in this dissertation studies, enhancer formation and activation is a dynamic process in which numbers of coregulators influence each other. Although the recent work by us and others have dissected some of the key events in dynamic enhancer formation, it requires ample amount of additional studies to comprehend the full picture of enhancer biology. Central questions yet to be answered include differential functions of each coregulators in enhancer complexes and the functional relationships among coregulators. In parallel, it is also important to determine minimal requirement for an active enhancer complex. In my dissertation studies, we demonstrated that the recruitment of p300 and Mediator independent of active ER α was sufficient to induce gene expression. What are coregulators beside p300 and Mediator that were recruited by the two factors and which of them are essential for enhancer activation? Is the minimal requirement for enhancer activity for a given TF consistent or variable across biological contexts? Moreover, do enhancer complexes formed by various TFs share a common requirement or they claim TF-specific minimal units?

Development of microarrays and high-throughput sequencing technologies accelerated our understanding on transcription regulations by enhancers. Series of studies in the past two decades have accumulated gnomic observations that correlate TF binding and enhancer activity with active enhancer features such as enhancer-enriched histone marks and extensive chromatin looping to the target genes. However, these studies are typically descriptive and limited to correlations. Only in recent years, the field has started focusing on molecular mechanisms and functions of the events associated enhancers beyond correlations (Chen et al., 2017; Murakami et al., 2017; Stampfel et al., 2015). Long list of questions yet to be answered suggests a hope for the bright future of the field.

As aforementioned, one of cryptic pieces of enhancer features is the formation of enhancer-promoter chromatin looping. It has been speculated as a mechanism for distal enhancers to modulate the target gene expression; however, direct evidence to support this hypothesis is still underway. In my dissertation studies using 3C-qPCR assays, we demonstrated that enhancer-promoter chromatin looping is not sufficient for transcription activation by two unrelated experiments (in MCF-7 cells treated with Flavopiridol where enhancer-promoter chromatin looping is maintained in absence of transcription; MDA-MB-231 cells expressing ERa LQ where E2-dependent transcription is impaired while E2 induces enhancer-promoter chromatin interaction is maintained). In addition, these results align with other studies using different biological systems where enhancerpromoter chromatin looping is formed without transcription activation (Krivega et al., 2014; Schaukowitch et al., 2014; Therizols et al., 2014). Nevertheless, it is still unclear if enhancer-promoter chromatin looping is necessary for transcription. Further characterization of the molecular mechanisms on chromatin looping formation will aid our understanding for its role in gene regulation.

6.2.2 The Molecular Basis of Enhancer Activity and Transcriptional Outcomes in Single-cell

The accumulated observations based on the conventional genomic experiments (e.g. ChIP-on-chip, Next Generation Sequencing) revealed numbers of enhancer features, including enhancer-enriched histone modifications, coregulator recruitment, and chromatin looping. One caveat of the conventional genomic techniques using a population of cells is a lack of evidence for the occurrence of enhancer activation and the target gene expression in the same cells. If any of the enhancer features are required for transcription of the target genes, one should observe both events in the same cell.

For long time, the drawback of the population-based genomic techniques had been complemented with studies using imaging technologies (Chen and Larson, 2016; Shachar et al., 2015; Symmons and Raj, 2016). Imaging-based studies early on showed that signal-dependent transcription activation is intrinsically stochastic events at the single-cell level (Kaern et al., 2005; Raj and van Oudenaarden, 2008). Moreover, further studies using single-cell imaging technologies suggested discrepancies between enhancer features and the target gene transcription (Fukaya et al., 2016; Rahman et al., 2017). For instance, Fukaya *et al.* showed that a given enhancer shared by two reporter genes turns on the reporter genes simultaneously rather than stochastically choosing one of the two reporters at a time. Their results contradict with the paradigm in which the promoterenhancer interaction determines the enhancer-target gene specificity. In addition, Rahman *et al.* reported their observations suggesting disconnect between active enhancer features and the transcriptional outcomes. They determined the number of loci that express enhancer transcripts (eRNAs) as well as the target genes in polyploid cells using fluorescence in situ hybridization (FIHS). Although the number of alleles that express eRNA and the target gene mRNA correlate across experimental conditions, these evens are observed predominantly in separate alleles. Furthermore, they show that when eRNA and mRNA are produced from two different alleles, the distance between the two alleles are greater than what would be observed when they are in close proximity through chromatin looping. Like the study by Fukaya *et al.*, they suggested an un-linked relationship between eRNA and mRNA transcription and the promoter-enhancer chromatin looping.

While imaging technology is effective, it is not as suitable to observe thousands of transcripts in a single experiment as next-generation sequencing. Also detection of eRNAs by FISH may inherit some limitations because of the lack of systematic annotation of eRNAs as well as their unstable nature as transcripts. Single-cell GRO-seq (scGRO-seq) promises an alternative highly sensitive, dynamic, and high-throughput approach that overcome these issues. By capturing enhancer transcription as a surrogate for enhancer activity along with target gene transcription, scGRO-seq will help us to uncover new principles in molecular mechanisms and functions of transcription enhancer at the single-cell level.

6.3 References

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APPENDIX S1 Supplemental Figures Related To Chapter 3



Appendix S1. ERa coregulators of interest in this study are expressed at similar levels in 231/ERaWt and 231/ERaLQ cells.

Western blots showing the expression levels of ERa and its coregulators in 231/ERaWt and 231/ERaLQ cells.

APPENDIX S2 Supplemental Figures Related To Chapter 3



Appendix S2. Considerable overlap between ERaWt and ERaLQ binding sites in 231/ERa cells.

(A) A large subset of ER α Wt and ER α LQ binding sites overlap in 231/ER α cells. Venn diagram showing the overall of significant ER α Wt and ER α LQ peaks upon 45 min. of E2 treatment in 231/ER α Wt and 231/ER α LQ cells, as determined by ChIP-seq, for the top 6,500 peaks.

(B) Loci with significant peaks of ERaWt in 231/ERaWt cells are enriched in read counts for ERaLQ in 231/ERaLQ, and vice versa. Box plots of ERa ChIP-seq read counts \pm 45 min. of E2 treatment at ERa binding sites unique to either ERaWt (Wt only) or ERaLQ (LQ only) after 45 min. of E2 treatment in 231/ERaWt and 231/ERaLQ cells. Box plots marked with different letters (*a*, *b*, *c*, *d*) are significantly different from each other (p < 2.2 x 10⁻¹⁶; Wilcoxon rank-sum test).

APPENDIX S3 Supplemental Figures Related To Chapter 3

Appendix S3. Reduced SRC recruitment at ERα L540Q binding sites impairs enhancer formation and target gene transcription *(continued)*.

(A and B) Impaired recruitment of SRC and p300 at ER α L540Q binding sites results in reduced E2-stimulated transcription. GRO-seq browser tracks with a time course of E2 treatment (0, 20, and 45 min.) and ChIP-seq browser tracks for ER α , Med1, SRC (pan), p300, and H3K27ac ± 45 min. E2 at the *OTUB2* (A) and *TGFA* (B) loci in MBA-MB-231 cells expressing ER α wild-type or ER α L540Q (231/ER α Wt and 231/ER α LQ cells, respectively).

(C) ER α L540Q exhibits impaired transcriptional activity. Venn diagram showing the number of genes significantly up-regulated by 45 min. of E2 treatment in 231/ER α Wt and 231/ER α LQ cells as measured by GRO-seq. FDR = 0.05.

(D) Box plots showing the read counts for 309 genes up-regulated by both wild-type and L540Q ERa (*left*) or 174 genes up-regulated by the L540Q mutant, but not by the wild-type, (*right*) upon E2 treatment, as shown in panel (C). Box Plots marked with different letters (*a*, *b*, *c*) are significantly different from each other ($p < 1.54 \times 10^{-05}$; Wilcoxon rank-sum test).

[Appendix S3 is on the next page]



APPENDIX S4 Supplemental Figures Related To Chapter 3

Appendix S4. The recruitment of all three SRC family members is impaired at ERα L540Q binding sites.

(A-C) ChIP-qPCR assays for (A) SRC1, (B) SRC2, and (C) SRC3 in 231/ER α Wt and 231/ER α LQ cells treated ± E2 for 45 min. Each bar represents the mean + S.E.M. for at least three independent biological replicates. Bars marked with different letters (*a*, *b*, *c*) are significantly different from each other (p < 0.05; two-way ANOVA).

[Appendix S4 is on the next page]



APPENDIX S5 Supplemental Figures Related To Chapter 3



Appendix S5. Recruitment of CBP is impaired at ERa L540Q binding sites.

ChIP-qPCR assays for CBP in 231/ER α Wt and 231/ER α LQ cells treated ± E2 for 45 min. Each bar represents the mean + S.E.M. for at least three independent biological replicates. Bars marked with different letters (*a*, *b*, c) are significantly different from each other (p < 0.05; two-way ANOVA).

APPENDIX S6 Supplemental Figures Related To Chapter 3



Appendix S6. Recruitment of SRC to various types of ERa L540Q genomic binding sites.

Box plots of SRC (pan) ChIP-seq read counts ± 45 min. of E2 treatment at ER α binding sites located nearby genes (1) uniquely up-regulated by ER α Wt (*left*), (2) commonly up-regulated by ER α Wt and ER α LQ (*middle*), or (3) uniquely up-regulated by ER α LQ (*right*). Box plots marked with different letters (*a*, *b*, *c*) are significantly different from each other (p < 2.2 x 10⁻⁵; Wilcoxon rank-sum test).

APPENDIX S7 Supplemental Figures Related To Chapter 3



Appendix S7. Pol II recruitment is impaired at ERa L540Q binding sites.

ChIP-qPCR assays for Pol II in 231/ER α Wt and 231/ER α LQ cells treated ± E2 for 45 min. Each bar represents the mean + S.E.M. for at least three independent biological replicates. Bars marked with different letters (*a*, *b*) are significantly different from each other (p < 0.05; two-way ANOVA).

APPENDIX S8 Supplemental Figures Related To Chapter 3

Appendix S8. Impaired E2-dependent Pol II loading and transcription initiation with ERα L540Q.

(A) Pol II recruitment is impaired at the promoters of ER α L540Q target genes. ChIPqPCR assays for Pol II at the promoters of the indicated genes in 231/ER α Wt and 231/ER α LQ cells treated ± E2 for 45 min. Each bar represents the mean + S.E.M. for at least three independent biological replicates. Bars marked with different letters (*a*, *b*, *c*, *d*) are significantly different from each other (p < 0.05; two-way ANOVA).

(B) ERaLQ shows impaired initiation for E2-dependent gene activation, which ultimately affects elongation. (*Left and middle*) Box plots showing the read counts for the transcription start site (TSS) (*left*) and the gene body (*middle*) for 367 genes up-regulated by ERaWt, but not by ERaLQ, upon E2 treatment as shown in Figure 3A. (*Right*) Box plots showing the pausing indexes for the same set of genes. Box plots marked with different letters (*a*, *b*, *c*) are significantly different from each other ($p < 2.2 \times 10^{-03}$; Wilcoxon rank-sum test).

(C) Metaplots of GRO-seq data \pm 10 kb around the TSS of the same set of genes described in (B).

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APPENDIX S9 Supplemental Figures Related To Chapter 3

Appendix S9. E2-dependent enhancer-promoter chromatin loop formation is maintained with ERα L540Q, in spite of impaired SRC recruitment.

(*Top*) Browser tracks for ER α ChIP-seq and GRO-seq in 231/ER α Wt cells after 45 min. of E2 treatment, shown with the location of the 3C primers. (*Bottom*) 3C-qPCR assays showing chromatin looping from a distal ER α binding site to the (A) *TGFA*, (B) *HK1*, (C) *CR595588*, or (D) *C2orf18* promoters in 231/ER α Wt and 231/ER α LQ cells ± 45 min. of E2 treatment. Each point represents the mean ± S.E.M. for at least three independent biological replicates. Asterisks indicate significant differences compared to Wt at each genomic location in each condition (p < 0.05; two-way ANOVA).

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APPENDIX S10 Supplemental Figures Related To Chapter 3



Appendix S10. p300 HAT activity is required for E2-stimulated increases in H3K27ac levels.

ChIP-qPCR assays for H3K27ac in 231/ER α Wt cells treated ± E2 for 45 min. in the presence of the p300/CBP HAT inhibitor C646. Each bar represents the mean + S.E.M. for at least three independent biological replicates. Bars marked with different letters (*a*, *b*, *c*) are significantly different from each other (p < 0.05; two-way ANOVA).

APPENDIX S11 Supplemental Figures Related To Chapter 3

Appendix S11. Impaired SRC recruitment with ERα L540Q causes abortive enhancer formation and target gene transcription *(continued)*.

(A) Western blot showing doxycycline (Dox)-dependent expression of ER α wild-type (Wt) and ER α L540Q (LQ) in MDA-MB-231 cells (231/ER α Wt and 231/ER α LQ cells, respectively).

(**B** - **E**) p300 is recruited in an SRC-independent manner during the initial phase of enhancer formation ("enhancer priming"). ChIP-qPCR assays for ER α , SRC (pan), and p300 in 231/ER α Wt and 231/ER α LQ cells treated with a time course of E2. Each point represents the mean \pm S.E.M. for at least three independent biological replicates. Asterisks indicate significant differences compared to Wt at each time point (*, p < 0.05; **, p < 0.0005; two-way ANOVA).

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APPENDIX S12 Supplemental Figures Related To Chapter 3



Appendix S12. p300 is recruited to ERaLQ binding sites similarly to ERaWt binding sites during enhancer priming, but not during enhancer maturation. Box plots of p300 ChIP-seq read counts for a time course of E2 treatment at 274 ERa binding sites common to ERaWt and ERaLQ with >2-fold E2-dependent induction of p300 recruitment at 20 min. Box plots marked with different letters (*a*, *b*, *c*) are significantly different from each other (p < 2.2 x 10⁻¹⁶; Wilcoxon rank-sum test).

APPENDIX S13 Supplemental Figures Related To Chapter 3

Appendix S13. Impaired SRC recruitment by ERα L540Q results in abortive p300 recruitment in MCF-7 cells.

(A) Western blotting for ER α in MCF-7 cells with Dox-dependent shRNA-mediated knockdown of endogenous ER α in combination with Dox-dependent re-expression of ER α Wt or ER α LQ. The control sample with an empty re-expression vector shows the efficiency of knockdown.

(B-D) p300 recruitment at ER α LQ binding sites is induced during enhancer priming, but is attenuated during enhancer maturation in MCF-7 cells. ChIP-qPCR assays for ER α (*left*), SRC (pan) (*middle*), and p300 (*right*) at (B) *GREB1* enhancer, (C) *PGR* enhancer, and (D) *P2RY2* enhancer in the cell lines described in (A) treated with a time course of E2. The percent of input for SRC and p300 enrichment is normalized to the level of ER α binding in the corresponding conditions. Each point represents the mean ± S.E.M. for at least three independent biological replicates. Asterisks indicate significant differences compared to Wt at each time point (*, p < 0.05; two-way ANOVA).

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APPENDIX S14 Supplemental Figures Related To Chapter 3



Appendix S14 Differential expression of the pioneer factor FoxA1 in MCF-7, 231/ERaWt, and 231/ERaLQ cells.

(A and B) Western blots showing the expression of ER α and FoxA1 in MCF-7, 231/ER α Wt, and 231/ER α LQ cells. (A) 231/ER α cells with constitutive expression of ER α Wt or ER α LQ. (B) 231/ER α cells with Dox-inducible expression of ER α Wt or ER α LQ.

APPENDIX S15 Supplemental Figures Related To Chapter 3

Appendix S15. SRC-independent ERα enhancer priming requires Mediator *(continued)*.

(A) Western blots showing the expression levels of Med1, p300, and CBP in $231/ER\alpha Wt$ cells with or without siRNA-mediated knockdown of Med1.

(**B** - **E**) SRC-independent recruitment of p300 requires Mediator. ChIP-qPCR assays for Med1 and p300 in Med1-depleted doxycycline (Dox)-inducible 231/ER α Wt cells treated with a time course of E2. Each point represents the mean \pm S.E.M. for at least three independent biological replicates. Asterisks indicate significant differences compared to the siRNA control at each time point (*, p < 0.005: **, p < 0.0001; two-way ANOVA).

(F - I) Mediator is required for E2-induced gene expression. RT-qPCR assays in Med1depleted doxycycline (Dox)-inducible 231/ER α Wt cells treated with a time course of E2. Each bar represents the mean + S.E.M. for at least three independent biological replicates. Asterisks indicate significant differences compared to the siRNA control at each time point (*, p < 0.05; **, p < 0.005; two-way ANOVA).

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APPENDIX S16 Supplemental Figures Related To Chapter 3

Appendix S16. The p300 bromodomain is required for ER α enhancer priming *(continued)*.

(A - D) The p300 bromodomain is required for p300 recruitment during enhancer priming. ChIP-qPCR assays for ER α , p300, and Med1 in MCF-7 cells treated with a time course of E2 in the presence of the p300/CBP bromodomain inhibitor SGC-CBP-30 (CBP30). Each point represents the mean \pm S.E.M. for at least three independent biological replicates. Asterisks indicate significant differences compared to the DMSO control at each time point (*, p < 0.05; **, p < 0.005; two-way ANOVA).

(E) The p300 bromodomain is recruited to ER α binding sites during enhancer priming. ChIP-qPCR assays for the IgG Fc-fused p300-BRP cassette in MCF-7 cells treated with a time course of E2. Each bar represents the mean + S.E.M. for at least three independent biological replicates.

(F - I) The p300 bromodomain and p300 acetyltransferase activity are required for E2induced gene expression. RT-qPCR assays in MCF-7 cells treated with a time course of E2 in the presence of the p300 HAT inhibitor C646 or the bromodomain inhibitor SGC-CBP-30 (CBP30). Each bar represents the mean + S.E.M. for at least three independent biological replicates. Asterisks indicate significant differences compared to the DMSO control at each time point (*, p < 0.05; **, p < 0.005; two-way ANOVA).

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APPENDIX S17 Supplemental Figures Related To Chapter 3

Appendix S17. Forced recruitment of p300 to ERa binding sites promotes Mediator recruitment, enhancer formation, and target gene expression *(continued)*.

(A and B) Forced recruitment of p300 to an inactive ER α binding site through the SRC2 PID restores Mediator recruitment and H3K27ac enrichment. ChIP-qPCR assays for ER α , p300, Med1, and H3K27ac in the MDA-MB-231 cell lines expressing the ER α s described in Figure 6A ± E2 treatment for 45 min. Each bar represents the mean + S.E.M. for at least three independent biological replicates. Asterisks indicate significant differences compared to the vehicle control in each cell line (*, p < 0.05; **, p < 0.005; two-way ANOVA).

(C and D) Forced recruitment of p300 to inactive ER α binding sites restores enhancerpromoter chromatin looping. (*Top*) Browser tracks for ER α ChIP-seq and GRO-seq in 231/ER α Wt and after 45 min. of E2 treatment, shown with the location of the 3C primers. (*Bottom*) 3C-qPCR assays showing the chromatin looping from a distal ER α binding site to the *TGFA* and *HK1* promoters in 231/ER α ΔH12 or 231/ER α ΔH12-PID cells. Each point represents the mean ± S.E.M. for at least three independent biological replicates. Asterisks indicate significant differences compared to 231/ER α ΔH12 cells for each treatment (*, p < 0.0001; two-way ANOVA).

(E) Forced recruitment of p300 to an inactive ER α binding site restores E2-responsive eRNA production and gene expression. RT-qPCR assays in 231/ER α Wt, 231/ER α AH12 or 231/ER α AH12-PID cells treated in a time course of E2. Each bar represents the mean + S.E.M. for at least three independent biological replicates. Asterisks indicate significant differences compared to the vehicle control in each cell line (*, p < 0.05; two-way ANOVA).

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APPENDIX S18 Supplemental Figures Related To Chapter 3

Appendix S18. p300, Mediator, and SRCs link ERα enhancer function to cell growth and clinical outcomes for ER-positive breast cancers *(continued)*.

(A) Growth curve showing the combinatorial effects of p300 inhibition and Med1 depletion on E2-dependent MCF-7 cell proliferation. Proliferation was measured after siRNA-mediated Med1-depletion \pm the p300/CBP bromodomain inhibitor SGC-CBP-30 (CBP30) in the presence of E2. Each point represents the mean \pm S.E.M. for at least three independent biological replicates. Points marked with different letters (*a*, *b*, *c*) are significantly different from each other (p < 0.05; two-way ANOVA).

(B) Proliferation assays showing the combinatorial effects of p300 inhibition and Med1 depletion on MCF-7 cell proliferation. The assays were performed as described in Fig. 7A and panel (A) above. The results are shown for 0 or 6 days of proliferation. Each bar represents the mean + S.E.M. for at least three independent biological replicates. Bars marked with different letters (a, b, c) are significantly different from each other (p < 0.05; one-way ANOVA).

(C) The pipeline of Kaplan-Meier analysis using a gene signature as collective genes upregulated in tumor samples with *SRC2* or *SRC3* amplification compared to the tumor samples without amplification. Tumor samples on data from TCGA were divided in two groups depending on *SRC* gene amplification. Genes up-regulated in samples with *SRC* gene amplification relative to samples without amplification were denoted as signature genes. The expression of each signature gene (high or low) determined in curated microarray datasets were used to stratify ER-positive breast cancer patients into two groups. Clinical outcomes associated with the microarray datasets were plotted for patients in each group as in Fig. 7D.

(D) Kaplan-Meier plots for Luminal B ER-positive breast cancer patients using a set of genes (signature genes) whose expression is up-regulated in samples with *SRC2* or *SRC3* amplification compared to samples without amplification based on data from TCGA. The expression levels (high or low) of the signature genes determined in curated microarray datasets stratify patients into two groups. Their overall survival rates are shown in the plots.

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