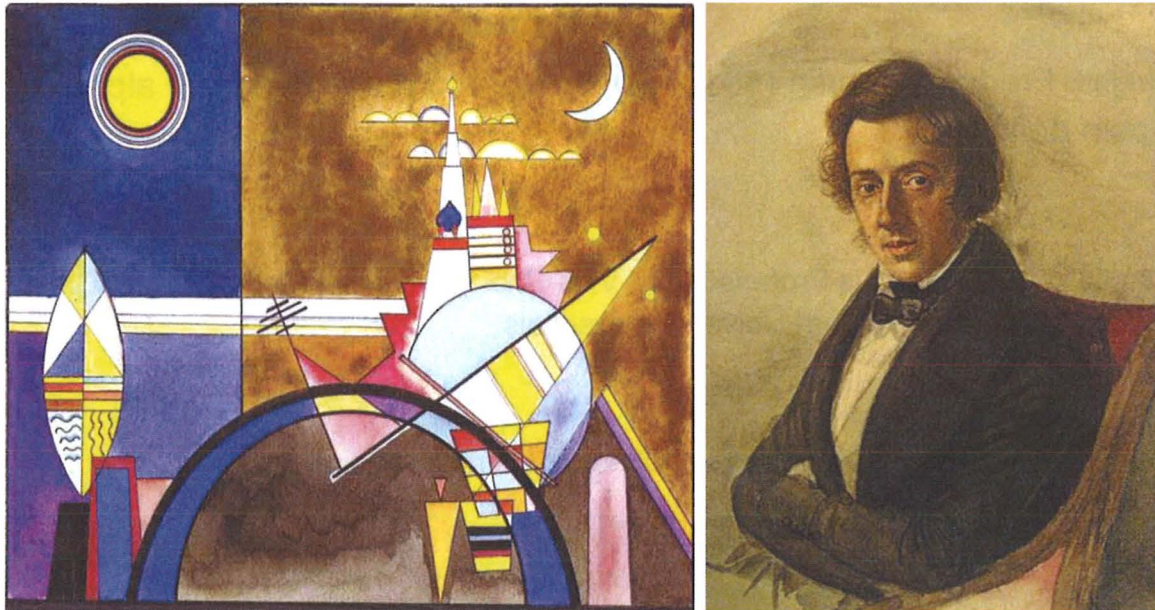


Alpha-1 Antitrypsin Deficiency: Still Crazy After All These Years



Jonathan C. Weissler M.D.

Internal Medicine Grand Rounds

University of Texas Southwestern Medical Center

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This is to acknowledge that Jonathan C. Weissler M.D. has disclosed financial interests or other relationships with commercial concerns related directly or indirectly to this program. Dr. Weissler will not be discussing off-label uses in his presentation.

Reference
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Cover (left): The Great Gate of Kiev. Stage set for Mussorgsky's Pictures at an Exhibition.

Cover (right): Frederic Chopin (1810-49), thought to have died from alpha-1 antitrypsin deficiency¹

Jonathan C. Weissler M.D. is Professor and Vice Chair of Internal Medicine, and Chief of Medicine at UT Southwestern University Hospitals

Interests: Pathogenesis of emphysema, pulmonary fibrosis, critical care medicine, conflict resolution.

Purpose and overview: Alpha-1 antitrypsin (AAT) deficiency is the result of mutations occurring in roughly 1 in 1500-5000 live births and is associated with clinical disease ranging from cirrhosis to emphysema to skin disease or vasculitis. The pathophysiology of disease differs amongst organ systems involved, with both loss of anti-protease function and a “toxic gain of function” from polymers of aberrant AAT playing a role. Proper therapy for AAT deficiency has important medical, lifestyle, and financial consequences and will be discussed.

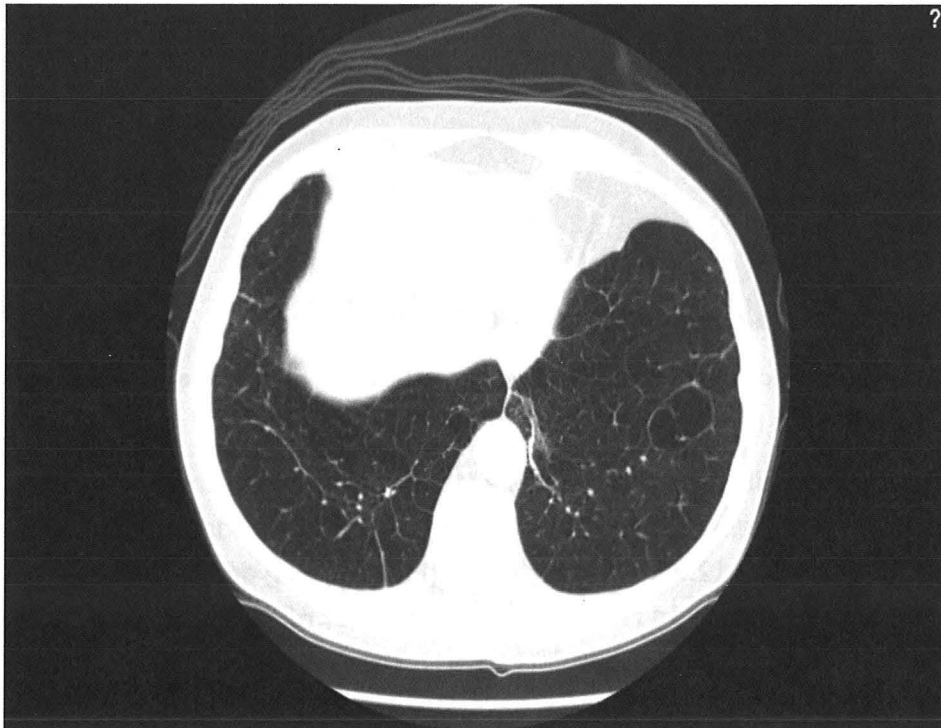
Objectives:

1. To review the incidence of alpha-1 antitrypsin (AAT) deficiency
2. To discuss the pathophysiology of lung and liver disease in AAT deficiency
3. To review the clinical spectrum of disease in AAT deficiency
4. To review the treatment options available for patients with AAT deficiency

Case Presentation:

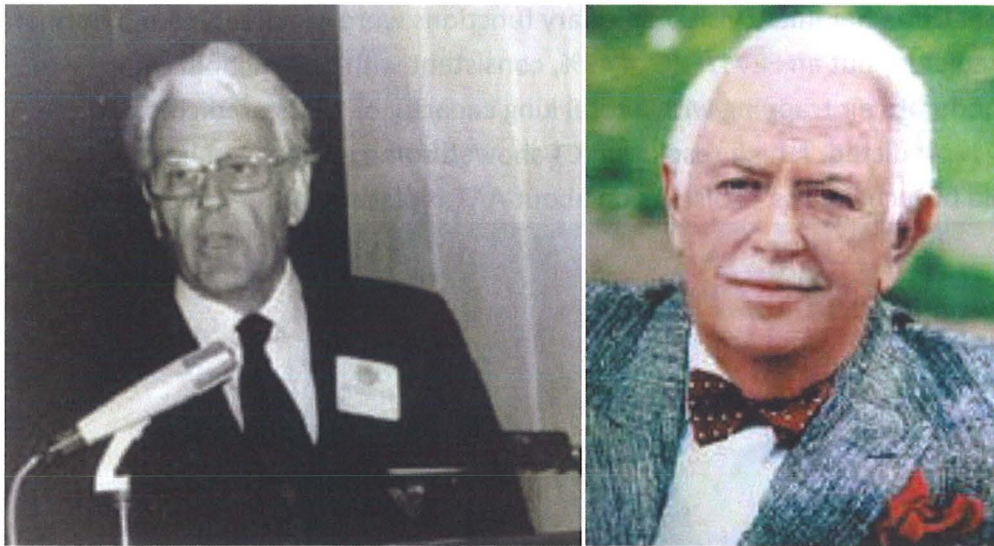
A.C. was a 58 year old woman with known ZZ phenotype of alpha-1 antitrypsin (AAT) deficiency when she was referred in February 2007 for a second opinion regarding AAT replacement therapy. Her mother had died of emphysema at age 62 and a paternal grandmother had also died of the disease. The patient had smoked briefly while in college and overall her respiratory status was good. However the preceding summer while in Lake City, Colorado (altitude 8970 feet) she had noted some tiredness with exercise, which prompted referral to a well trained pulmonologist in Dallas. Given her family history of emphysema and a CXR consistent with emphysema, an AAT phenotype and level were drawn which revealed a ZZ phenotype and an AAT level of 19mg/dl (normal >83).

There was no clear family history of liver disease, though one of her sons had been diagnosed with Gilbert's disease. Upon her initial visit pulmonary functions were remarkable for a normal FEV1 (1.89L, 88% predicted) but an FEV1/FVC of 49%, consistent with obstruction. Lung volumes revealed moderate air trapping with a total lung capacity of 134% predicted and residual volume 167% predicted. A high resolution CT showed some evidence of basilar emphysema and mild bronchiectasis. A discussion about AAT replacement therapy ensued.



Alpha-1 Antitrypsin: The Basics

Initial recognition of AAT deficiency was made by Laurel and Eriksson in 1963² when they noted that 5/1500 serum protein electrophoreses in Sweden over a 6 month period contained a markedly reduced alpha-1 globulin. Of the 5 individuals demonstrating this pattern, three had developed emphysema at an early age and one had a family history of emphysema. In 1969 Sharp³ described cirrhosis in 10 children with decreased alpha-1 globulin. While liver disease is more common than lung disease in AAT deficient individuals, the picture of the lung being “digested” by unrestrained protease activity is a powerful image seared into the minds of medical students, physicians and patients alike. Yet the mechanism by which lung disease occurs in this disorder remains obscure nearly 50 years later.



A good story, well told: Carl Bertel Laurel who discovered AAT deficiency and Lloyd Rigler the entrepreneur behind the papain based Adolf's Meat Tenderizer

Similar to other serine protease inhibitors (serpin) AAT is a *B*-sheet protein which is prone to mutations leading to alteration of protein function and polymerization of monomeric structure. The gene coding for AAT is SERPINA 1, a 12.2 kb nucleotide with four coding and three noncoding regions located on chromosome 14 (14q31-32.3). A number of different phenotypes for AAT, including null variants, have been described ^{4,5}(Table 1). The normal MM phenotype (PiM) is found in excess of 80% of the population and is associated with the production of a 394 amino acid molecule yielding serum levels of 80-220 mg/dl, with the majority of protein

produced and excreted by the liver. Although a number of variants of the M allele have been described, with rare exception these are not associated with altered function leading to disease.

The two most common mutations are the S and Z alleles, both of which are characterized by a single amino acid substitution. The S allele contains a valine instead of a glutamic acid at position 264. This protein undergoes rapid degradation within the hepatocyte and is associated with reduced circulating levels of circulating AAT. However the double heterozygote SS phenotype is not associated with specific clinical dysfunction. The Z allele is produced by substitution of lysine for glutamic acid at position 342; the resulting protein has a lower binding constant for its primary target, neutrophil elastase. More importantly the Z protein tends to polymerize intracellularly within the hepatocyte, as well as within the alveolar space (Figure 1). **ZZ homozygotes (PiZ) are the most common individuals to develop clinical disease and will thus be the major focus of this review.** While SZ heterozygotes may have 15-25% normal levels of circulating AAT, the link to clinical disease is less well established.

TABLE 1

Approximate Frequency of AAT Genes and Phenotypes in North America

<u>Gene Frequency</u>			<u>Phenotype</u>					
M	S	Z	<u>PiMM</u>	<u>PiMS</u>	<u>PiMZ</u>	<u>PiSS</u>	<u>PiSZ</u>	<u>PiZZ</u>
95%	3.2%	0.9%	84%	6%	2%	1%	<1%	<1%

Adapted from⁵

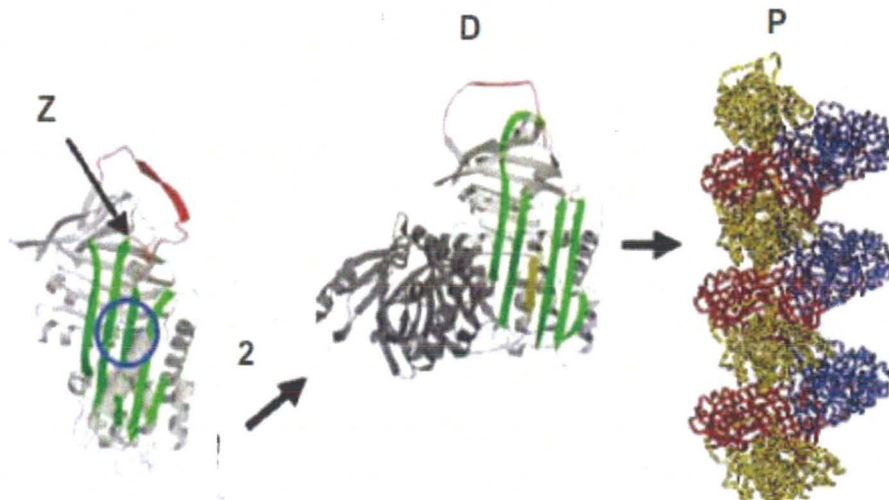


Figure 1⁶ The open *B*-sheet A of the Z protein accepts the loop of another Z molecule to form a dimer (D) and then extends into a polymer (P).

Estimating the incidence of AAT has proved challenging. Using the Hardy-Weinberg equation for estimating deficiency alleles by an indirect epidemiologic approach, roughly 33,000 ZZ individuals exist in the United States, while 173,000 exist worldwide. In excess of 1 million individuals with the SZ phenotype would exist⁷. Population based screening studies of newborns in Oregon yielded 21 ZZ individuals out of 107,000 screened for an incidence of 1 in 5,000⁸. Ethnic differences in allele frequency are well described, with the Z allele prevalence higher in western and northern Europe⁹; indeed the frequency of ZZ in screened Swedish newborns was 1 in 1600¹⁰.

Through a combination of screening and epidemiologic methods the number of ZZ individuals in the United States has been recently estimated at 70,000¹¹. It is apparent that the majority of AAT deficient individuals never come to medical attention. Estimates of affected individuals with pulmonary disease actually being diagnosed with AAT deficiency range between 1-4%^{9,12}, with profound delays in diagnosis being the rule¹³.

Production, processing, and modification of AAT

Classically AAT deficiency has been divided into two separate pathways. The first is a toxic “gain of function” where misfolded protein in the liver forms aggregates of polymers injurious to the hepatocyte (Figure 2). The second is the lack of anti-protease activity leading to unrestrained elastolytic activity in lung and other organs. Recently the multiple pathways through which aberrant AAT is processed by the liver have become clearer.

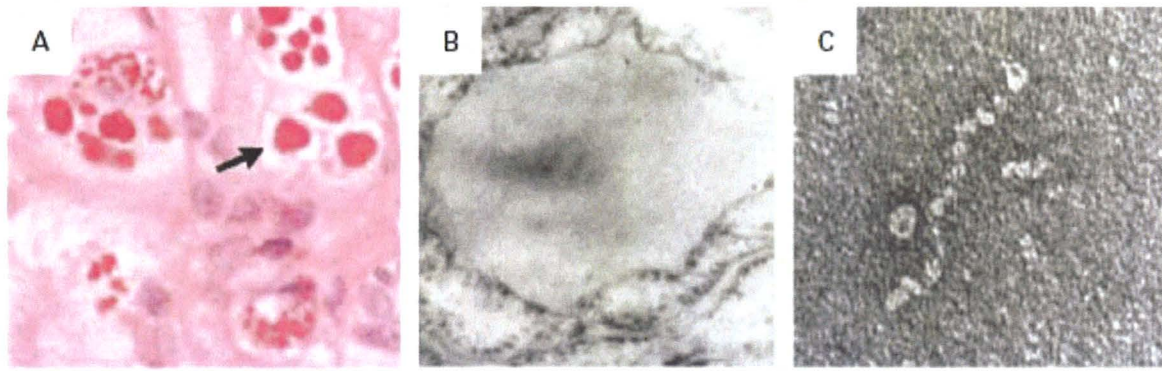


Figure 2¹⁴ Hepatocytes from Z homozygote stained with PAS (Panel A) demonstrate globules containing Z polymers. EM shows a large inclusion in the endoplasmic reticulum(B) and intrahepatic Z polymers giving a bead like structure(C).

Liver

A major function of the endoplasmic reticulum (ER) is to prevent release of misfolded proteins into the secretory pathway. Misfolded glycoproteins are targeted to the proteasome for degradation, a process referred to as ERAD (endoplasmic reticulum associated degradation). The major chaperone required to escort the Z protein out of the endoplasmic reticulum (ER) is calnexin¹⁵. Prolonged association of calnexin with glucose-containing, misfolded glycoproteins appears integral to targeting to the proteasome for further degradation (figure 3). Other chaperones, such as binding immunoglobulin protein (BiP) belong to the heat shock protein family. When the aberrant protein load exceeds the supply of ER chaperones, protein may aggregate in the ER essentially shutting down the secretory pathways of the cell and producing a condition known as ER stress. Similar mechanisms of disease have been described in a growing number of conditions(including multiple myeloma, neurodegenerative diseases, congenital diabetes, atherosclerosis, and ankylosing spondylitis) characterized by misfolded or unfolded proteins. Protein folding stress may trigger an “unfolded protein response” (UPR) with an extensive signaling pathway trying to balance protein production. The results of this process are extensive and may lead to enhanced autophagy, protein folding, and apoptosis.

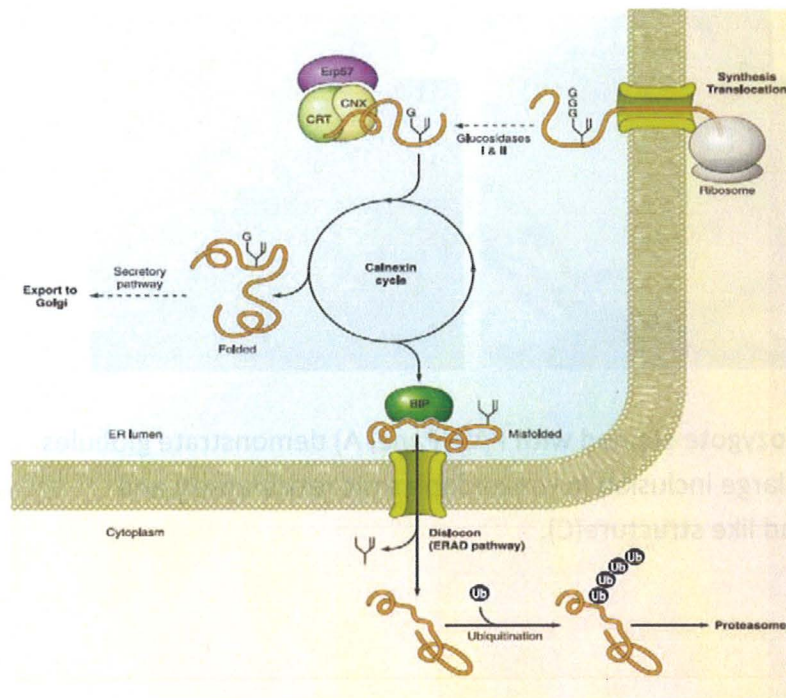


Figure 3¹⁶ Glycosylated proteins in the ER fold in association with the lectin chaperones calnexin(CNX) or calreticulin(CRT). Misfolded proteins are not immediately released from CNX and ultimately bind to BiP(also known as Grp78). Through the ERAD pathway these proteins are dislocated across the ER membrane for ubiquitination and proteasome mediated degradation.

However it is important to note that the relationship of the Z protein to the unfolded protein response (UPR) is complex. While accumulation of Z monomers can induce an UPR, accumulation of Z protein polymers in the ER does NOT activate a classic unfolded protein response¹⁷⁻¹⁹, thought in large part to be due to the highly ordered nature of the polymers. As AAT liver disease is histologically and biochemically characterized by the accumulation of Z polymers, it is apparent that the ER stress produced in this disease is atypical and may actually explain the occurrence of clinical liver disease in only a distinct minority of ZZ patients. The UPR may be initiated in such patients however by a “second hit” such as infection, drugs, or accumulation of other unfolded proteins²⁰.

In contrast autophagy appears central to the proper disposition of Z protein²¹. Autophagy is a degradative process whereby vesicles which engulf intracellular organelles and cytosol fuse with a lysosome and allow for recycling of intracellular protein. Autophagy appears particularly important for disposing of polymerized and aggregated forms of the Z protein¹⁹. Recently the administration of carbamazepine (tegretol), an anticonvulsant which has been shown to enhance autophagy, to a transgenic mouse expressing Z protein in the liver resulted in an

increase in intracellular degradation of the Z protein, attenuated hepatic Z protein content, and diminished hepatic fibrosis²². An NIH trial utilizing carbamazepine for liver disease in Z homozygotes is currently underway.



Toxic gain of function and lack of function can be produced by the same substance

Lung

AAT is the major defense against neutrophil elastase in the lower respiratory tract. In addition AAT inhibits cathepsin G, proteinase 3, and can complex and inactivate trypsin, chymotrypsin and thrombin. Chronic obstructive pulmonary disease in general, and emphysema in particular, is characterized by an influx of neutrophils and other inflammatory cells into the airways and alveolar space. In AAT deficient individuals with emphysema a marked neutrophilia has been described²³. As less than 15% of PiZ is actually secreted into the circulation by the liver, and the Z protein has considerably less activity against neutrophil elastase, a “loss of function” leading to an imbalance in proteases and antiproteases with resultant destruction of lung matrix has formed the central hypothesis of emphysema in AAT deficient individuals. Inflammatory pathways produced by cigarette smoke or other agents are amplified by the lack of AAT. Binding of free neutrophil elastase to alveolar macrophages results in release of leukotriene B4 and IL-8 with resultant chemotaxis of neutrophils²⁴. The importance of AAT as an anti-inflammatory molecule has gained significant credence as well by observations that sustained expression of AAT can prevent autoimmune disease in mouse models of encephalomyelitis²⁵. Additionally AAT interferes with the maturation of dendritic cells and is capable of reducing graft-versus-host disease in models of allogeneic bone marrow

transplantation²⁶. Thus reconstitution of AAT levels in the lower respiratory tract should be an attractive strategy to counterbalance inflammation and lung injury leading to emphysema.

However attention has also focused on the possible “toxic gain of function” of Z polymers in the alveolar space, alveolar wall, and interstitium which are readily found in ZZ individuals with emphysema^{23,27}. These polymers are inactive as proteinase inhibitors and are strongly chemotactic for neutrophils²⁸. The polymerization of Z protein induced by cigarette smoke through oxidative modification of the Z protein has been described in a mouse model of PiZ disease and was tightly correlated with recruitment of neutrophils into the lung²⁹. Indeed the observation that Z polymers are present in the interstitium has lead some authors to hypothesize that local production of aberrant protein in the lung contributes to the inflammatory state³⁰. Even if this does not occur, since AAT enters the lung through passive diffusion from capillary to alveolar space polymeric Z protein in the interstitium is likely omnipresent, and could explain the panacinar distribution of emphysema.

Disease Associated with AAT Deficiency

Liver

The accumulation of AAT protein with resultant aggregation and polymerization causes clinical liver disease in approximately 10-20% of Z homozygotes^{10,31,32}. In a Swedish study of neonates, 18% of PiZ infants had evidence of liver dysfunction, with 10% exhibiting neonatal jaundice. Follow-up of these patients revealed that 25% of those with liver dysfunction died within the first decade of life, and 2% of the survivors developed cirrhosis in childhood. When the surviving patients were surveyed at age 30, 3-5% had abnormalities of liver function but none had clinical liver disease. Another series with an 11 year follow-up of PiZ patients found cirrhosis in 12% and hepatocellular carcinoma in 3%. However an autopsy series of Z homozygotes found histological evidence of cirrhosis in 50% of subjects³³. Overall AAT deficient PiZ patients accounted for 1.1% of the individuals receiving liver transplants in the United States during the period 1995-2004, with 5 year survival rates of 83%³⁴.

Transgenic PiZ mice expressing human AAT-Z have been a useful model for studying liver disease from AAT deficiency²². Liver disease characterized by AAT containing globules, inflammation, dysplasia, fibrosis, and hepatocellular carcinoma closely mimic disease in humans³⁵. The model differs somewhat from human disease in that normal circulating levels of the murine ortholog of AAT are found, though evidence of Z polymers in the lung have been described suggesting that at least some of the human Z protein is secreted systemically²⁹.

Lung

AAT deficiency is classically associated with early onset emphysema. Those with a smoking history develop more severe disease, at an earlier age than could be expected from smoking alone, with clinical disease presenting in the third or fourth decade of life³⁶. Smoking status is integrally linked to rate of decline in lung function amongst Z homozygotes³⁷ as well as survival. Non-smoking PiZ subjects in Sweden identified by screening and followed for 16 years did not have an increased mortality risk compared to the general population³⁸. In contrast PiZ smokers identified either through screening or after clinical presentation with lung disease or non-respiratory disease had a markedly greater mortality risk³⁹ (figure 4). Thus the importance of smoking avoidance or cessation in this group of patients cannot be overemphasized.

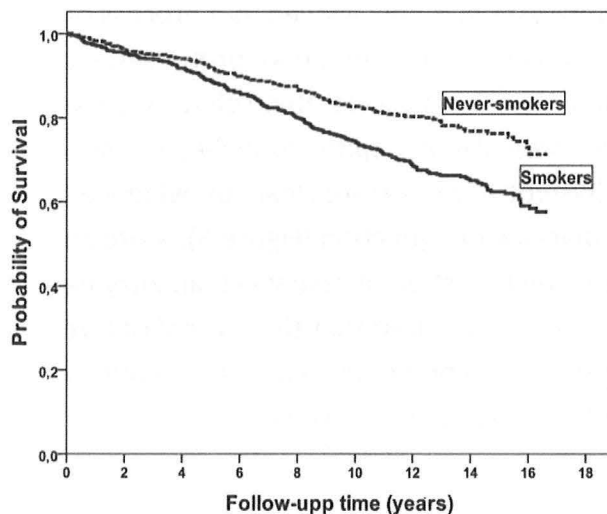


Figure 4 Probability of survival in Z homozygotes regardless of method of diagnosis. Smoking was associated with a markedly worse prognosis³⁹

Although early onset emphysema, particularly in a patient with a family history of lung disease, should prompt consideration of AAT deficiency, it is clear that the majority of Z homozygotes with lung disease have a clinical presentation indistinguishable from routine COPD⁴⁰. The characteristic plain radiographic appearance of lower lobe emphysema is seen in only 20% of patients presenting with lung disease⁴¹ and a third of patients have upper lobe predominant emphysema on CT scan³⁷.

Use of mouse models to study AAT-related emphysema has been hampered by the fact that while humans have only one AAT gene, mice have a family of 3-5 AAT isoforms clustered on murine chromosome 12⁴². Knockout of one specific gene in mice to remove all murine AAT,

with subsequent reconstitution with a human transgene (such as in the PiZ mouse), has not been feasible. Indeed attempts to manufacture a knockout of *serpin1a*, one of the murine AAT isoforms, leads to embryonic demise⁴³.

A number of mouse strains differ in susceptibility to experimental smoke-induced emphysema, but a clear relationship to AAT levels has not been established^{44,45}. However the production of emphysema in mice with lung specific transgenes has been described in numerous models⁴⁶⁻⁴⁸. Indeed it is a well recognized experimental limitation of transgenic mouse models for lung disease that care must be taken to not induce stress in alveolar epithelial cells leading to emphysema⁴⁹. Nevertheless these models have yielded insight into exactly what underlies emphysema in many settings.

Rather than a result of “digested lung matrix” emphysema is now viewed as a process of accelerated aging within the lung⁵⁰. A complex interaction of inflammation, proteases, oxidants, apoptosis, and in some cases telomere dysfunction⁵¹ results in accelerated cell turnover and ultimately a depletion of stem cells capable of renewing alveolar epithelium. Regardless of the system utilized, transgenic mice developing emphysema demonstrate clear cut evidence of ongoing apoptosis in cells residing at the bronchiolar-alveolar junction (Figure 5), a site known to harbor lung stem cells. Integral to this process is the induction of caspase-3, an enzyme which promotes lung epithelial cell apoptosis. It is now recognized that the normal M form of AAT (but not polymeric Z AAT) may play a significant role in preventing caspase-3 induced apoptosis^{52,53}, suggesting an additional role for AAT in alveolar homeostasis.

Other types of lung disease have also been described in patients with AAT deficiency. Foremost amongst these is bronchiectasis. In one series of PiZ individuals 27% had clinically significant bronchiectasis on CT scan, and a subgroup had this disproportionately to signs of emphysema⁵⁴. In contrast given that AAT phenotypes associated with severe loss of AAT levels are rare, and bronchiectasis is a common disease, it is not surprising that investigation of a heterogeneous group of patients with bronchiectasis revealed no association between bronchiectasis and AAT phenotype⁵⁵. Consideration of AAT deficiency in patients with unexplained bronchiectasis may be useful in some individuals⁵⁶.

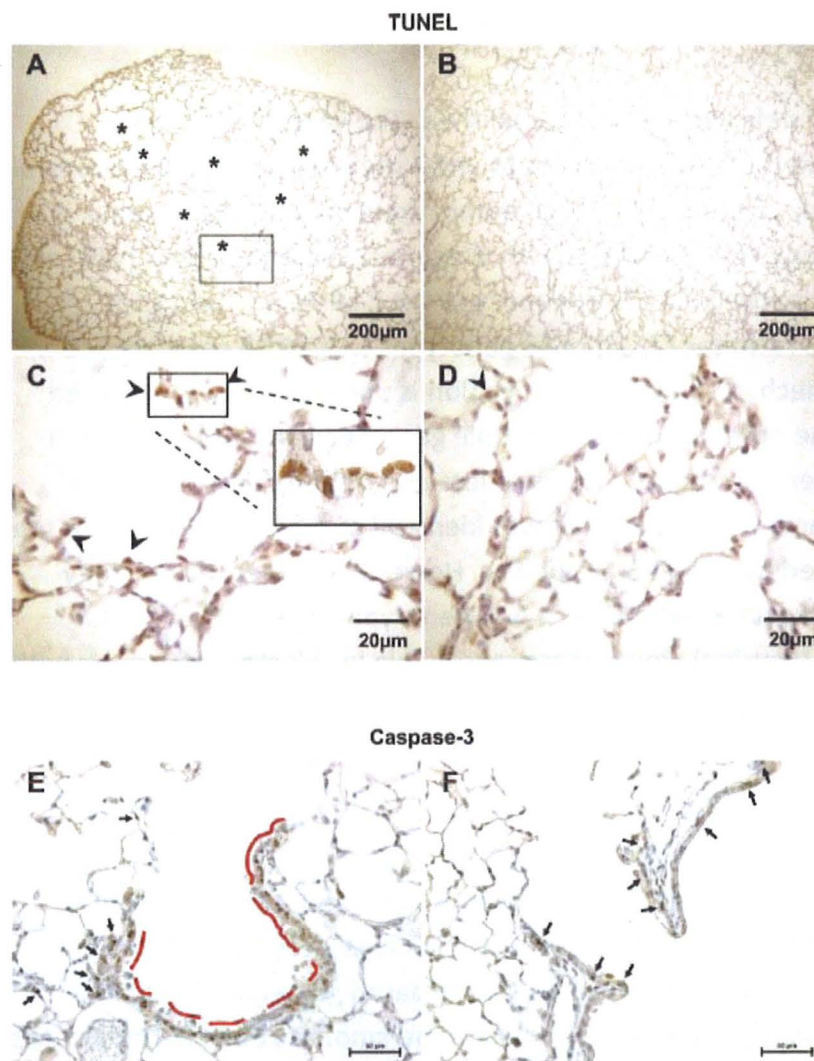


Figure 5 Mice expressing an inducible, lung specific human transgene for PLAGL2, a transcription factor for surfactant protein-C, develop emphysema (panel A), and show signs of ongoing apoptosis in a TUNEL assay (panel C) and caspase-3 up-regulation (panel E) at the bronchoalveolar duct junction and adjacent alveoli. Mice with an uninduced transgene are shown in panels B, D, and F⁴⁸.

Skin

There are less than 100 cases of AAT associated panniculitis described in the literature. Areas of fat necrosis in otherwise normal appearing tissue is usually diagnosed on biopsy. While ZZ polymers and neutrophils have been found in these areas⁵⁷, it is important to note that panniculitis has been observed in SS and SZ individuals^{58,59} and appears to respond well to augmentation with high dose AAT replacement therapy⁵⁷. Taken together these observations suggest that panniculitis is due to a “loss of function” mechanism associated with reduced levels of AAT rather than a “toxic gain of function” from ZZ polymers.

ANCA-associated vasculitis

Vasculitis in individuals with AAT deficiency is well established. The vasculitis is usually associated with a c-ANCA, and always due to antibodies to antiproteinase 3 (PR3). Case control studies revealed a 5-18% frequency of the Z allele (both homozygotes or heterozygotes) in anti-PR3 individuals⁶⁰. A recent study of 433 patients with Wegeners Granulomatosis (WG) and 421 ethnically matched controls disclosed a 7.4% frequency of the Z allele and an 11.5% frequency of the S allele. The **odds ratio** for ZZ, SZ, or SZ genotypes was 14.58 compared to control subjects⁶¹. Although the mechanism for this association is unclear it should be noted that PR3 is a substrate for AAT. The observation that multiple genotypes are associated with WG would again suggest that either reduced AAT levels or loss of function of the normal M protein (the odds ratio for WG among MM individuals was identical to the control group) is the driving force of the vasculitis caused by anti-PR3 antibodies. However another recent study suggests that only the Z allele is associated with WG⁶². AAT genotyping (via PCR) or phenotyping (using isoelectric gel focusing) would seem reasonable in selected individuals with WG. Use of AAT augmentation therapy in patients with WG and AAT deficiency has not been rigorously explored.

Treatment of AAT Deficiency

The cornerstone of therapy for AAT deficient individuals in general and Z homozygotes in particular is smoking avoidance or cessation^{11,56}. Those with clinical evidence of COPD should receive standard therapy for the disease according to evidence based guidelines⁶³. Alcohol avoidance and immunization against viral hepatitis should also be emphasized in PiZ individuals.

Many novel therapies for liver disease in PiZ patients are being explored and are geared to reducing the intracellular Z polymer concentration. These include autophagy inducers^{22,64}, gene therapy with inhibitory RNA^{65,66}, and chaperone augmentation designed to accelerate transport of the Z protein out of the hepatocyte. Liver transplantation for individuals with significant hepatic dysfunction or hepatocellular carcinoma is now a realistic therapy for many patients.

The major controversy in AAT deficiency continues to revolve around the indications for AAT augmentation. There are now multiple commercial products available for infusion capable of restoring functional AAT to the lower respiratory tract. However the cost is daunting, with estimates in most settings exceeding \$100,000/year for the duration of a patients life.



Even if there is a there, there.... do the benefits merit the cost? After all a billion here, a billion there and pretty soon you're talking real money.

Efficacy of AAT Replacement Therapy

1. *Reconstitution of antiprotease levels*

Replacement therapy for AAT was first approved by the FDA in 1987 based on evidence that serum levels of AAT could be raised above a level thought to be protective (80mg/dl) by weekly infusion of 60 mg/kg of AAT⁶⁷. This was associated with increased anti-elastase activity in the lower respiratory tract. There are now 6 commercially available products utilized in the United States for infusion. While replacement therapy is available in Canada and many European nations, AAT replacement is currently not licensed in the UK, Australia, and New Zealand which await further proof of efficacy. Clinical trial design has been difficult, and at times looked upon as a rationale why biochemical demonstration of efficacy of AAT replacement should suffice. An analysis published in 2000 of a potential prospective, randomized study capable of detecting a 40% reduction in mortality at 5 years in individuals with FEV1 between 35-49% predicted, estimated that 684 patients would need to be enrolled over a 2 year period⁶⁸. Given that as of 1998 the NHLBI Registry for Individuals with Severe Deficiency of AAT contained a total of 1,129 patients, 747 of whom were already on therapy⁶⁹, the prospects of performing such a large clinical trial seemed remote.

2. Observational studies

The impact of AAT replacement therapy has been suggested by some observational studies but the results are open to interpretation. Patients in the NHLBI registry on replacement therapy showed no overall difference in lung function decline, but the subgroup with FEV1 between 30-64% predicted had a slower decline and the group receiving treatment had a lower mortality⁶⁹. A study of 96 patients (85/96 PiZ) before and after the initiation of therapy showed an overall benefit in terms of FEV1 decline but specifically did not demonstrate a benefit in patients with FEV1 between 30-64%⁷⁰. However it did suggest patients with preserved function benefited from augmentation. A more recent observational study of 164 ZZ patients in the Alpha-1 AAT Foundation tissue bank disclosed a significant benefit in those receiving replacement therapy. However, it was noteworthy that of the 40 patients not on therapy the percentage of current smokers was significantly higher (38% v 18%, $p < 0.001$) compared to the group receiving augmentation⁷¹. In both this study and the NHLBI registry study it was noted that individuals with well preserved lung function who received therapy actually did worse.

3. Randomized trials

The first randomized clinical trial for AAT replacement occurred in 1999, twelve years after FDA approval. 58 ex-smokers were randomized to every 4 week replacement with AAT or an albumin placebo⁷². No statistically significant improvement in lung function was observed. An observation that there was a trend towards a slower loss of lung tissue on lung CT scan lead to a larger trial, the EXACTILE study (exacerbations and computed tomography scan as lung end-points). 77 PiZ patients were randomized to once weekly augmentation therapy for over 2 years⁷³. The primary endpoint was a comparison of lung density by one of 4 methods of CT analysis. A trend towards improvement was seen (p value 0.049-0.084). No difference in lung function or exacerbation frequency was observed. A Cochrane database analysis of the available trials stated that AAT replacement lacked efficacy⁷⁴. This analysis has been criticized over the "pool-ability" of trials using different administration schedules. Similar criticism would seem to be reasonable however for meta-analyses which have pooled all available studies on AAT replacement⁷⁵ or have reanalyzed the two randomized trials⁷⁶ and found a benefit, leading to the contention that placebo groups in future studies would be unethical. Using a range of estimates of efficacy and a standard definition of cost-effectiveness (\$50,000 per quality adjusted life-year) all authors^{6,11,77,78} agree that AAT replacement therapy exceeds this threshold and that better therapy is warranted.

In consideration of the above it is difficult to consistently apply the 2003 guidelines for AAT replacement issued by the American Thoracic Society(ATS)⁷⁹. In general these guidelines support replacement for any individual (null, PiZZ, PiSZ) with AAT levels less than 50 mg/dl (11uM) OR any PiZ individual with any evidence of airflow limitation, while realizing that the

data even in observational studies for benefit in patients with FEV1 >50-60% predicted or <35% predicted is unclear. The ATS guidelines also provide extensive guidance for screening populations for AAT deficient alleles. These recommendations suggest phenotyping be performed for all symptomatic adults with COPD, all symptomatic adults with asthma and incompletely reversible airflow obstruction, all asymptomatic individuals with persistent obstruction on PFTs, as well as those with unexplained liver disease or necrotizing panniculitis. Given that there are approximately 15 million patients with COPD in the United States testing in this group alone (retail charge \$260/test) would cost in excess of \$3.8 billion.

Moreover it is clear that mass screening would likely produce a diagnosis of an “abnormal” AAT phenotype in many patients. The consequences of this were described by the Medical and Scientific Advisory Committee of the Alpha-1 Foundation⁸⁰ in an article warning of inappropriate use of AAT replacement. The Alpha-1 Foundation DNA and Tissue Bank at the University of Florida had received 352 samples of material from patients with the MZ phenotype, who don’t have an increased risk of lung disease. Of these patients 23 (6.5%) were on replacement therapy. Given that there are approximately 10 million MZ Americans⁵, extrapolated to a larger scale AAT replacement would cost roughly \$65 billion/year in this population alone if these results were reproduced in a completely screened population. Aggressive promotion of at least one AAT replacement formulation has already drawn the ire of the FDA which specifically objected to claims that replacement therapy “protects the lung” or “slows down any decline in lung function” (<http://www.fda.gov/BiologicsBloodVaccines/GuidanceComplianceRegulatoryInformation/ComplianceActivities/Enforcement/UntitledLetters/ucm207335.htm>) .

Our Patient

The patient was hesitant to commit to weekly infusion of AAT for her remaining lifespan, even though the ATS Guidelines would have recommended AAT replacement therapy based on her serum AAT levels, CT scan, and evidence of airflow obstruction. Since 2007 she has been treated with standard therapy for COPD and has experienced a total of 3 exacerbations warranting prednisone and antibiotics. Her activities are unlimited.

PFTS:	<u>2007</u>	<u>2012</u>
FEV1	1.89(88%pred)	1.78(86%)
DLCO	15.35(92%)	13.58(79%)

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